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Abstract	<p>This chapter argues that during the Long 1970s, health and medicine played an important role in the politics of the PRC and its relationship with both the First and Third Worlds. It examines the widely acclaimed Barefoot Doctor Campaign in Mao's China. The Barefoot Doctor Campaign was applied domestically in the PRC in the aftermath of the Great Leap Famine, and then during and immediately after the Cultural Revolution period (1968–1978), partly to redress rural health disparity and health crisis but more importantly as a political tool for the CCP to re-establish its power in the Chinese countryside. In the Long 1970s, the Barefoot Doctor Campaign, referred to in the West as the "Chinese approach to health," came to hold out the promise of a true alternative to the crumbling single-disease-centered "vertical" health program advocated by the United States and the centralized healthcare structure of the Soviet Union. The World Health Organization (WHO) embraced it as a model of Primary Healthcare, adopted to achieve a goal of "health for all" by the World Health Assembly in 1977, and formally included in the Declaration of Alma Ata the following year. Concurrently, the PRC's purported success in healthcare delivery also became useful to those in the West who were prepared to see some good in the Maoist China.</p>
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## CHAPTER 6

# From China's "Barefoot Doctor" to Alma Ata: The Primary Health Care Movement in the Long 1970s

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*Zhou Xun*

## A LONG JOURNEY ALWAYS BEGINS WITH A SINGLE STEP: THE BACKGROUND OF THE FIRST US MEDICAL DELEGATION TO THE PEOPLE'S REPUBLIC OF CHINA, 1971

In the Chinese calendar 1971 was the Year of Pig, a symbol of the Chinese Zodiac that denotes steady prosperity. The United Nations (UN) 26th General Assembly designated 1971 as the Year of China. According to Richard Hottelet, the UN correspondent for CBS News, "Peking's approach to the UN suggests that it now prefers to enter the game of international politics."<sup>1</sup> At that time more than a dozen countries had recently established diplomatic relations with the People's Republic of China (PRC). Even the United States, formerly the PRC's greatest enemy, supported it taking a seat at the UN. In 1971, too, at the invitation of the China Medical Association (CMA), the first medical delegation from the United States visited the PRC. For 24 years there had been a complete absence of contact between Chinese and American health

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professionals, meaning this trip was often termed the “historical visit.” It was indeed historical, not least because it was far from being simply a medical enterprise. ‘Medicine’ and ‘humanitarianism’ had both played pivotal roles in the political and diplomatic negotiations within the White House that eventually led to the opening to China.

The visit had been initiated by Edgar Snow, the American journalist and author of *Red Star over China*, who was, according to John Stewart Service, at that time the “chief contact” between the United States and mainland China.<sup>2</sup> At the invitation of the Chinese Communist Party Central Committee, acting on behalf of Chairman Mao Zedong, in June 1970 Snow visited China. The invitation came in response to an earlier request by Snow to be allowed to observe the Chinese Cultural Revolution, since confusing reports of this event had disturbed him. He became the first Western journalist to visit China since 1966 and report on the Cultural Revolution. On his return to the West, Snow published numerous articles on his trip and his interviews with Chinese leaders in both *Life Magazine* and its popular Italian counterpart *Epoca*, in which he stated that China was willing to welcome US President Richard M. Nixon. One of his articles for *Epoca* also featured the PRC’s achievements in medicine and public health, citing examples that included Chinese successes in limb reimplantation and acupuncture anesthesia, together with various public health initiatives, especially its birth control efforts and the Barefoot Doctor program.<sup>3</sup>

Snow’s accounts of the PRC’s achievements in public health and medicine aroused much interest in the West, but also provoked criticism from some quarters. Snow’s attackers claimed he was not a qualified observer, since he lacked any medical training. In response, Snow asked his friend E. Grey Dimond, a cardiologist, medical educator, and innovator who had founded the University of Missouri-Kansas City School of Medicine, to visit China and report back on his professional observations.<sup>4</sup> Dimond agreed to do so but he urged Snow to extend a further invitation to his mentor, Paul Dudley White, a distinguished cardiologist who had been President Eisenhower’s personal physician. Professionally, Dimond argued, White’s inclusion would enhance their medical credibility. Other historical evidence, however, reveals that White’s contribution went beyond being an authoritative medical voice, and that he played a crucial role in US foreign policy.

A few years before this episode, in July 1962, Huang Jiasi, a renowned cardiologist who was president of the Chinese Academy of Medical

79 Science, had invited White to visit China the following spring, after the  
80 two men had met at an international cancer congress in Moscow. At the  
81 last moment, however, the Chinese host withdrew the invitation, on the  
82 grounds that the United States had “persistently adopted a reactionary  
83 policy against New China, and blockaded every possible channel of com-  
84 munication between the peoples of our two countries.”<sup>5</sup> At this juncture,  
85 White approached the lawyer Grenville Clark, his patient and personal  
86 friend, for legal advice on the subject. Although Clark—whose daughter  
87 was married to Dimond—had no professional interest in building medical  
88 connections between the two countries, he perceived this as an opportu-  
89 nity to break down the estrangement and alienation separating both the  
90 peoples and governments of China and the United States. More impor-  
91 tantly, Clark also saw this as the first step on his long personal journey  
92 toward achieving international peace, and believed that the PRC could  
93 play an integral role in implementing proposals for global disarmament  
94 that he supported. Initially, Clark had hoped that the Soviet Union would  
95 persuade the PRC to agree to this, but as the growing Sino-Soviet split  
96 became more apparent after 1962, he had lost faith in this outcome.  
97 Meanwhile, Clark had become increasingly disenchanted with official  
98 American hostility toward China. According to William Worthy, the civil  
99 rights activist and journalist, Clark was also eager to visit the PRC himself.<sup>6</sup>

100 When White sought his help, Clark immediately took on the case and  
101 sought Edgar Snow’s advice. The two men embarked on a long, friendly  
102 correspondence on the subject. Snow wrote many letters to Chinese  
103 leaders, while Clark and White negotiated with Averell Harriman, at that  
104 time under-secretary of state for political affairs and subsequently ambas-  
105 sador-at-large during the administration of President Lyndon B. Johnson.  
106 In one letter to Johnson, dated August 10, 1965, and probably drafted by  
107 Clark, White offered to help “to break our deadlock with China.”<sup>7</sup> In a  
108 further letter on August 16, White went so far as to pledge: “If at any time,  
109 you think that you may be able to use me in any mission, no matter how  
110 difficult or hazardous, please don’t fail to call on me.” After reading this,  
111 Johnson expressed deep interest and decided to involve McGeorge Bundy,  
112 his special assistant for National Security Affairs.<sup>8</sup>

113 Although Bundy felt that any official backing for White’s visit to China  
114 would be disadvantageous to the United States, since “the Red Chinese  
115 would turn it down,” he nonetheless kept an open mind. At a time when  
116 he believed US policy in Vietnam was reaching “a new level of clarity and  
117 firmness,” he thought White’s appeal might have come at an apposite

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118 moment. Bundy summoned James Thomson, the White House's China  
119 expert, to his office and handed him White's letter, telling him: "Take it  
120 and run with it. Here is your chance." Thomson thereupon drafted a  
121 memorandum for the president. Following Thomson's recommendation,  
122 Bundy proposed to "ease our present travel restrictions and make a general  
123 rule that doctors and public health specialists—or perhaps all workers in  
124 the fields of health, education, and welfare—would be authorized to  
125 receive visas." White's ostensibly "professional" trip to China was put on  
126 the agenda for discussion at a meeting of Secretary of State Dean Rusk and  
127 Secretary of Defense Robert S. McNamara.<sup>9</sup> That meeting agreed to a  
128 clear, but unofficial presidential authorization for the issue of unrestricted  
129 passports to doctors, scientists, and individuals in public health and related  
130 fields. Their activities, the White House explained, could be viewed as  
131 neither political nor threatening. In terms of positive public relations,  
132 endorsing such professional exchanges between China and the United  
133 States as White's trip to China as a physician was desirable, since these  
134 visits could be perceived as designed to "increase the understanding  
135 essential for the promotion of human welfare."<sup>10</sup> Officials also believed  
136 that the health and welfare dimension, and especially the participation of  
137 White, who was known to be on the center-right of American politics,  
138 could help to deflect right-wing criticism.

139 For the next few months "health" became a useful term that featured  
140 regularly in White House discussions of China and its foreign policy. At  
141 the first White House Conference on Health in November 1965, for  
142 example, Secretary of State Dean Rusk openly declared that "health is a  
143 matter which cuts across national frontiers, cuts across ideologies, cuts  
144 across political controversies. [ . . . ] For example, if an American doctor  
145 receives an invitation to visit a place to which we do not ordinarily  
146 encourage Americans to travel, I can assure you I would do my very  
147 best to see that he gets a passport to accept such an invitation. Because  
148 these are not problems which ought to be governed by political process  
149 but ought to be governed by the elementary interest of man in his  
150 health." At the end of his address, Rusk described the export of  
151 American health capability as one of the strongest aspects of US foreign  
152 policy.<sup>11</sup> For health professionals, Rusk's speech marked a new era for  
153 health and medicine in America. There was a strong sense that the  
154 government and health professionals could work collaboratively to  
155 meet growing global demands and address domestic and international  
156 social change.

157 A few days later, at Thomson's request, White wrote formally to Rusk,  
158 asking if he would put his Health Conference speech into practice by  
159 issuing unrestricted passports for all travelers in the field of medicine and  
160 public health. A month later, on December 29, 1965, after several man-  
161 euvers back and forth, the White House formally announced the revised  
162 regulations, highlighting White's pivotal role in these.<sup>12</sup> In this sense, one  
163 might argue that the negotiations over White's China trip represented the  
164 first step in the long journey of the 1970s toward US-PRC rapproche-  
165 ment. Thanks to the Chinese Cultural Revolution and escalating US  
166 involvement in the Vietnam War, White nonetheless had to wait until  
167 1971 before his China trip finally became reality. While the request to  
168 include him undoubtedly came through Dimond, it was politically vital  
169 that this first US medical delegation to Communist China should include  
170 White.

171 On September 18, 1971, four months after the US ping-pong team set  
172 foot in the Chinese capital, the first US medical delegation arrived in  
173 Beijing. In addition to White and Dimond, the delegation included  
174 White's wife, Ina, and Dimond's wife, Mary; Samuel Rosen, an interna-  
175 tionally acclaimed ear surgeon from New York, and his wife; and Victor  
176 and Ruth Sidel. Although none of the group had previously visited China,  
177 Rosen and the Sidels had, like White, long wished to do so. According to  
178 the State Department, in August 1961 Communist China had sent Rosen  
179 an invitation through the PRC Consulate General in Geneva, asking him  
180 to visit China to demonstrate his unique surgical technique to relieve a  
181 common form of deafness. Rusk initially refused to permit this trip, but  
182 after years of negotiations between Wang Bingnan and Jacob Beam, the  
183 Chinese and US ambassadors to Poland, the State Department eventually  
184 agreed that Rosen and his wife could go to China "for humanitarian  
185 purposes." When announcing this, the State Department nonetheless  
186 denied that the United States had any interest in normalizing its relation-  
187 ship with Communist China, thereby angering the Chinese. On  
188 December 21, a week before Rosen's scheduled China trip, the CMA—  
189 Rosen's Chinese host—claimed that the US government's "policy of  
190 hostility towards China" was "besmirching science and friendship" and  
191 therefore asked him "for the time being" to cancel his visit.<sup>13</sup>

192 Victor Sidel, who grew up in an Eastern European Jewish immigrant  
193 family in New York City, was well known for his work in social justice,  
194 public health, and international health. A strong opponent of the US  
195 government's use of chemical weapons in Vietnam, Sidel worked closely

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196 with Arthur Galston, a biologist at Yale University, who was in Vietnam  
197 when the American ping-pong team visited China, an event that inspired  
198 Galston to go to the Chinese Consulate in Hanoi to ask for a Chinese visa.  
199 His request was granted. After Galston returned to the United States from  
200 China, Sidel, by then filling a new chair of Social Medicine at Montefiore  
201 Medical Center and a professor of Social Medicine at the Albert Einstein  
202 College of Medicine, approached Galston to secure Chinese visas for  
203 himself and his wife, Ruth. Galston promptly wrote to Guo Moruo, the  
204 director of the Chinese Academy of Sciences (CAS), whom he had met  
205 while in Beijing. The invitation came quickly, with the Sidels successfully  
206 granted Chinese visas from the Chinese Embassy in Ottawa.<sup>14</sup>  
207

## 208 THE “CHINESE APPROACH” TO HEALTHCARE DELIVERY IN THE 209 LONG 1970s 210

211 During their 12-day tour, the delegation was taken to 12 medical and  
212 health facilities and also had 30 hours of scheduled discussions with  
213 Chinese physicians. According to Dimond, while none of the delegates  
214 had been to China before or knew any Chinese words, such *lingua*  
215 *medica* as stethoscope, ophthalmoscope, electrocardiogram, and  
216 laboratory data, nonetheless “bridged whatever other language gap  
217 existed.” Deeply impressed by the PRC’s mass public health measures,  
218 and China’s ability to provide inexpensive and convenient (if inexperienced)  
219 healthcare to its rural population of 700 million, Dimond  
220 argued that Communism was only “a part, but not whole of the  
221 exuberant drive of a people [in China],” contending that “China’s  
222 achievement in public health shows that Communist China had more to  
223 offer the world.”<sup>15</sup>

224 Dimond’s fellow delegate Victor Sidel felt strongly that China’s  
225 Revolutionary experience in health and medicine could offer valuable  
226 lessons for public health and community health work in the United  
227 States, and indeed the rest of the world. Sidel’s own commitment to  
228 community primary care had grown in reaction to the chilling effects of  
229 McCarthyism and the Cold War. Like many of his generation on the  
230 American Left, he believed strongly in the need for greater social and  
231 economic justice in the United States and elsewhere in the world. As a  
232 physician, Sidel channelled much of his political energy into public health  
233 work, particularly community health undertakings, and he founded the  
234

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235 oranization Physicians for Social Responsibility. In preparation for their  
236 first China visit, Sidel and his wife Ruth, a social worker at a paediatric  
237 health center at the Albert Einstein College of Medicine, read a number of  
238 works: Edgar Snow's *Red Star over China*, William Hinton's *Fanshen*, and  
239 British surgeon Joshua Horn's *Away with All Pests*. The latter depicted  
240 healthcare in the PRC in a highly favorable light, and all three volumes  
241 were decidedly propagandistic, eulogizing Communist China politically.  
242 The Sidels apparently found these books, "detailing the extraordinary  
243 changes in China during and after the revolution, were particularly  
244 useful."<sup>16</sup>

245 Sidel used his reading material to develop questions scrutinizing  
246 healthcare delivery and community primary care in rural China. On  
247 returning to the United States, the Sidels lectured and wrote extensively  
248 on public health achievements in China, winning enthusiastic audiences.  
249 Meanwhile, in New York's Bronx district, a Community Health  
250 Participation Program that recruited, trained, and supervised neighbor-  
251 hood health workers, modelled on the Chinese Barefoot Doctor  
252 program, was introduced. One year later, the CMA invited the Sidels  
253 to return to China for a lengthier visit, to observe China's public health  
254 innovations in more detail and greater depth.

255 Another well-publicized admirer of China's public health achieve-  
256 ments was Joshua Horn, a British surgeon whose lectures attracted  
257 large audiences in New York in 1971. When he first visited China in  
258 1936 as a ship's doctor, what he had witnessed appalled Horn. "China  
259 was truly the sick man of Asia, rampant with poverty, disease and  
260 corruption," the result, he believed, of feudalism, imperialist oppres-  
261 sion, and social evils such as concubinage. "China needed a revolution"  
262 was the thought that filled the young Horn's mind. When the PRC was  
263 founded in 1949, Horn was won over by its claims to social justice. In  
264 1954, Horn, by then an established surgeon in Great Britain, took the  
265 first opportunity available to him to watch the new China of which he  
266 had dreamed, moving there with his family and staying for 15 years.  
267 What he found, or intended to find, in China's new incarnation was  
268 markedly different from the past. In his 1971 New York lecture, which  
269 was subsequently broadcast in May 1972, Horn argued forcefully that  
270 "the sick man of Asia" had been transformed into "the most healthy  
271 man in the world," with politics the driving force behind China's  
272 remarkable public health achievements. When delivering another  
273



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274 lecture at the University of Hong Kong a year earlier, Horn had mar-  
275 velled at China's Barefoot Doctor program:

276  
277 There are more than one million health workers [in China], and most of  
278 them live in the countryside. This means one health worker for every six  
279 hundred Chinese. For the first time in the five thousand years of Chinese  
280 history, China has successfully achieved to deliver health services to its  
281 people no matter where they live. China is the first one in the world to  
282 achieve this. Yet in wealthy countries such as the United States millions of  
283 people have no access to healthcare.<sup>17</sup>

284  
285 This last sentence resonated with many US health professionals and public  
286 health advocates, growing numbers of whom received opportunities to  
287 visit mainland China. Like the first delegation, they were generally  
288 impressed by new China's public health achievements, in particular, how  
289 China managed to resolve the problem of providing healthcare to its  
290 700 million rural population, whereas the United States had failed to  
291 meet its own population's needs. Resident foreign medical specialists,  
292 such as Shafick George Hatem, a Lebanese-born American physician living  
293 in China, enthusiastically advertised Communist China's accomplishments  
294 to visiting Western health delegations. Hatem, originally from New York  
295 City, had first ventured into eastern China in 1933, as a medical student.  
296 He stayed on to practice medicine in China while seeing the sights, and  
297 soon became attracted to the teachings of Communism. In 1936 Madame  
298 Sun Yatsen introduced Hatem to Edgar Snow. Hatem accompanied the  
299 latter on his visit to the Chinese Communists' red base in Yan'an, where  
300 he joined the Chinese Communist Party and became involved in CCP  
301 health planning. After the Communists won control of China in 1949,  
302 Hatem became the first foreigner to acquire Chinese nationality from the  
303 PRC. Thereafter he assumed an active role in China's public health work,  
304 in particular the prevention and treatment of venereal diseases and  
305 leprosy.<sup>18</sup> In addition to his public health and medical work, Hatem was  
306 known as "a brilliant apologist for the Chinese Communists."<sup>19</sup> Echoing  
307 Horn, Hatem argued that a country so vast as China, with its feudal past  
308 and uneducated masses, needed a centralized power to ensure its people  
309 were provided with medical care, food, and other essentials for survival.

310 In the West, on the other hand, by the late 1960s the scientific and  
311 technological revolution in medicine which had begun at the end of World  
312 War II had apparently peaked. Major changes in medical care and access

313 materialized more slowly, however. A growing consensus existed that in the  
314 Western world medicine was in a state of crisis. Despite the huge efforts and  
315 funds that had been invested in healthcare and medicine, in reality the  
316 general health of the population was deteriorating. "New ideas are needed,  
317 new systems of healthcare have to be explored."<sup>20</sup> Accounts by Horn,  
318 Hatem, and other Western health observers, especially the popular works  
319 of the Sidels, echoed in the 1972 documentary film *The Barefoot Doctors of*  
320 *Rural China* by a group of scholars from Stanford University, all claimed  
321 that China's healthcare delivery system offered a radical new model.

322 This "Chinese approach" to healthcare delivery, understood as a draconian  
323 government program intended to provide prompt, convenient, and inexpen-  
324 sive healthcare to the 700 million people living in the rural countryside,  
325 became increasingly attractive to Western health professionals and policy-  
326 makers seeking a solution to the perceived Western health crisis. After spend-  
327 ing several months in China as a CMA guest in 1973, one year later Phillip  
328 Lee, a professor of social medicine at the University of California's School of  
329 Medicine at San Francisco, who had attended the 1965 White House  
330 Conference, described China's healthcare delivery system in glowing terms:  
331 "Major epidemic diseases have been controlled, and in some cases apparently  
332 eradicated. Nutritional status has been improved. Massive campaigns of health  
333 education and environmental sanitation have been carried out. Large numbers  
334 of health workers have been trained, and a system has been developed that  
335 provides some health service for the great majority of the people."<sup>21</sup>

336 Both the postwar scientific and technological revolutions and the collapse of  
337 the remaining British, French, and Dutch colonial empires simultaneously  
338 brought major changes around the world that presaged what is now termed  
339 globalization. This brought greater awareness of growing global disparities,  
340 especially in healthcare and access. Beyond the field of public health, medical  
341 missionaries working in developing countries were equally strong voices alert-  
342 ing the world to the absence of basic healthcare in these nations. The very term  
343 "Primary Health Care" first appeared in *Contact*, the journal of the Christian  
344 Medical Commission, an organization that advocated Primary Health Care,  
345 created in the late 1960s by medical missionaries under the broad umbrella of  
346 the World Council of Churches and the Lutheran World Federation.<sup>22</sup>

347 In 1967, John Bryant, one of the foremost international public health  
348 leaders of the previous three decades, and at that time a Rockefeller  
349 Foundation staff member in Bangkok, published *Health and the*  
350 *Developing World*, the outcome of a Rockefeller Foundation-sponsored  
351 collaborative project. In this publication Bryant, a member of the

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352 Christian Medical Commission, argued that “large numbers of the world’s  
 353 people, perhaps more than half, have no access to health care at all, and for  
 354 many of the rest, the care they receive does not answer the problems they  
 355 have . . . the most serious health needs cannot be met by teams with spray  
 356 guns and vaccinating syringes.”<sup>23</sup> Bryant’s views reflected new develop-  
 357 ments in international public health during the early 1970s. By this time  
 358 the Rockefeller Foundation’s International Division had more or less  
 359 retired from the global scene, and public health had embarked on a new  
 360 post-imperial stage, now labelled the “Fourth Phase” of public health.

361 China’s reputed ability to redress healthcare disparity, especially the  
 362 manner in which the Chinese had successfully reduced the health burden  
 363 on the state by incorporating existing medical practices into the national  
 364 health service, impressed governments in postcolonial Africa, Southeast  
 365 Asia, and Latin America, where healing and indigenous medicines played  
 366 an integral part in the political struggle for a new postcolonial identity.<sup>24</sup>  
 367 In 1967, for example, a medical delegation visiting China from Tanzania  
 368 was “impressed by the stage of development of health services, which have  
 369 been revolutionized and transformed by the new China.”<sup>25</sup> These  
 370 Tanzanian officials viewed both the training of barefoot doctors and the  
 371 integration of indigenous methods and modern medicine as useful blue-  
 372 prints on which to develop a new national healthcare delivery system that  
 373 would cater to Tanzania’s rural population.<sup>26</sup> Driven in part, too, by their  
 374 commitment to non-aligned socialism, assorted African states as well as  
 375 socialist countries in Southeast Asia and Latin America approached China  
 376 for health collaboration, including training and medical aid.

### 378 CHINA’S HEALTH AID TO AFRICA

379  
 380 China quickly realized that health projects were “inexpensive but profit-  
 381 able” undertakings that could boost its effort to promote a new interna-  
 382 tional order: a “people’s revolutionary movement” against “colonialism,  
 383 imperialism and hegemonism.”<sup>27</sup> On June 5, 1971, in a conversation with  
 384 the government delegation from Somalia, Premier Zhou Enlai carefully  
 385 emphasized that health collaborations between the two countries were  
 386 intended to serve local interests and thereby promote friendship. Chinese  
 387 medical teams must renounce “superpower chauvinism.”<sup>28</sup>

388 Between 1963 and 1989, China sent medical teams to more than 40  
 389 countries in Africa. Different provinces in China were each twinned with  
 390 an African nation. Sichuan, for instance, was responsible for developing

391 health projects in Mozambique, whereas medical teams from Shandong  
392 went to Tanzania, those from Jilin to Somalia, and so on.<sup>29</sup> In addition,  
393 China gave medical and health training to a large number of students from  
394 Africa, Southeast Asia and Latin America, competing with the extensive  
395 parallel programs training physicians in the Soviet Union, such as that at  
396 Lumumba Friendship University in Moscow. China also sent regular  
397 "friendship delegations" to these countries, groups that always included  
398 at least one health worker, such as a model barefoot doctor. With this  
399 expansion, China's influence on healthcare delivery in Asia, Latin America,  
400 and Africa grew exponentially. As Stacey Langwick has demonstrated, in  
401 postcolonial settings such as Tanzania, China's "friendship," centered  
402 around health collaborations, also paved the way for the re-emergence of  
403 traditional medicine and a fast growing herbal market.<sup>30</sup> In the mid-  
404 1970s, Alan Hutchison observed that China's Barefoot Doctor program  
405 was particularly well suited to local rural conditions in many African  
406 countries.<sup>31</sup> The Soviet model was, by contrast, less effective.

407 Yet Chinese archival sources from this period reveal that politics were  
408 always integral to Chinese medical activities and China's crafting of Sino-  
409 African friendship. Chinese medical teams were well aware that, in addition  
410 to their medical work, their mission was to spread Mao Zedong  
411 Thought (毛泽东思想 or Maoism). While researching the African variety  
412 of schistosomiasis in Somalia, for example, the Chinese team organized an  
413 exhibition demonstrating how, with Mao's leadership, China had successfully  
414 eradicated this deadly disease. Invitees to the exhibition included  
415 workers, rural residents, officials, middle school students, and policemen.  
416 Not only was medical and public health propaganda displayed, but the  
417 villagers even learnt to sing *The East is Red*. This bore fruit. After seeing  
418 the exhibition, one local official went over to the Chinese team and said:  
419 "Thank you. Compared to the American, the British and the Italian  
420 doctors, the Chinese are our most loyal friends." Another government  
421 technician was convinced that only Mao Zedong Thought was guaranteed  
422 to bring happiness to the people of the world. During the 1971 Somalian  
423 New Year celebrations, a group of workers and rural villagers sang *The East  
424 is Red* while holding Mao's portrait aloft. After singing and dancing, they  
425 also shouted: "We thank Chinese doctors for helping us"; "Chinese bring  
426 us food and cure us from diseases"; and "China brings us life. Don't  
427 invade China."<sup>32</sup>

428 At the United Nations, China's medical activities and the bonds of  
429 friendship they forged contributed to China's success in its battle against

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430 Taiwan.<sup>33</sup> As early as 1971, China was seen as a crucial player on so-called  
431 “African issues.” “China could out do the Soviet Union rhetorically and  
432 also sponsor action that is too rich for Moscow’s blood,” argued  
433 Hottelet.<sup>34</sup> One reason why other states thought it necessary for the  
434 PRC to hold the “China” seat in the UN General Assembly and the  
435 Security Council was to prevent China furnishing military assistance and  
436 leadership to national liberation movements in volatile regions of Africa.  
437 Taiwan, by comparison, was considered too marginal to be a player in such  
438 matters.<sup>35</sup>

### 441 “HEALTH FOR ALL”

442 After entering the United Nations, in 1973 China was invited to rejoin the  
443 World Health Assembly.<sup>36</sup> China’s rural health delivery system—the  
444 Barefoot Doctors program—which involved a massive training program  
445 and the major mobilization and organization of health services, linked to  
446 mass political campaigns, soon became the foremost inspiration for the  
447 burgeoning worldwide Primary Health Care movement. The emergence  
448 of decolonized African nations and the spread of nationalist and anti-  
449 imperialist socialist movements in less developed countries with more  
450 limited economic resources demanded a new healthcare delivery model.  
451 Coupled too with the perceived failure of single disease eradication pro-  
452 grams, also known as the vertical health approach, as promoted by the  
453 World Health Organization (WHO) and some US agencies in the 1950s  
454 and 1960s, all provided further incentives to move from elite-based  
455 healthcare and expert based medicine to primary healthcare and preventive  
456 medicine.

457 1973 was also the year when Halfdan Mahler, who had previously  
458 served as a WHO senior officer attached to the National Tuberculosis  
459 Program in India, was elected Director-General of the WHO. His experi-  
460 ences in India had made Mahler deeply concerned with issues of social  
461 justice. As soon as Mahler took office, he appointed Kenneth Newell the  
462 director of the new Division of Strengthening of Health Services. “Both  
463 men were visionaries who shared common values that included dedication  
464 to the pursuit of human development and justice,” recalled Socrates  
465 Litsios, a former senior scientist in the WHO Control of Tropical  
466 Diseases Division.<sup>37</sup> Newell was a strong voice for broadening efforts in  
467 primary healthcare. He had become interested in China’s Barefoot Doctor  
468

469 program and comparable developments in Cuba, Tanzania, and India, and  
 470 was keen to introduce similar programs in other developing countries.

471 Like Newell, Mahler responded enthusiastically to the widely cited  
 472 example of China's successful experience in tackling health problems  
 473 with limited financial, technological, and human resources, and  
 474 believed it should be promoted around the world. In 1974, the WHO  
 475 invited Wang Guizhen, a model barefoot doctor from a village near  
 476 Shanghai, to address the Twenty-Seventh World Health Assembly on  
 477 China's Barefoot Doctor program. In 1976, two barefoot doctors were  
 478 again invited to speak in Manila at the WHO Regional Office for the  
 479 Western Pacific's First Regional Working Group meeting on Basic  
 480 Health Services.

481 Under Newell's leadership, in 1975 WHO and UNICEF mounted a  
 482 joint project on "Alternative Approaches to Meeting Basic Health Needs  
 483 in Developing Countries." Newell invited the Sidels to WHO headquar-  
 484 ters in Geneva, to serve as consultants on this project.<sup>38</sup> This led to the  
 485 landmark publication *Health by the People*, which included a chapter on  
 486 China's healthcare delivery system contributed by the Sidels. In his  
 487 Introduction to this volume, Newell criticized a strict health sectoral  
 488 approach as ineffective. According to him, some 80 percent of the world's  
 489 rural population had no access to the health technology that many per-  
 490 ceived as "the shining example of present-day man's technological inge-  
 491 nuity and progress." Citing the examples of China's Barefoot Doctor  
 492 program and the use of indigenous (subaltern) medicines, and also various  
 493 Christian Medical Commission programs, he argued for a new system of  
 494 Primary Health Care that was "either linked with the indigenous system or  
 495 attempted to play a role having some of the same social qualities that the  
 496 existing systems had."<sup>39</sup>

497 This outlook pervaded the joint WHO/UNICEF project report,  
 498 *Alternative Approaches to Meeting Basic Health Needs in Developing*  
 499 *Countries*. The report examined successful Primary Health Care experi-  
 500 ences in Bangladesh, China, Cuba, India, Niger, Nigeria, Tanzania,  
 501 Venezuela, and Yugoslavia, seeking to identify the crucial factors in their  
 502 success. China and Cuba emerged at the top of the list of successful  
 503 programs. Toward the end, under "Recommendations," the report pro-  
 504 posed to adopt seven "principles in the orientation of and development of  
 505 health services" to achieve extensive Primary Health Care, guidelines that  
 506 closely resembled the Chinese Barefoot Doctor program, as outlined by  
 507 the Sidels.<sup>40</sup>

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508 Building on this report, in 1975 Mahler proposed “Health for All,”  
 509 which the Twenty-Ninth World Health Assembly formally adopted in  
 510 1976 as a goal to be achieved by the year 2000. Speaking to the  
 511 Assembly, Mahler affirmed the need for radical change: “Many social  
 512 evolutions and revolutions have taken place because the social structures  
 513 were crumbling. There are signs that the scientific and technical structures  
 514 of public health are also crumbling.”<sup>41</sup> “Health for All by 2000” became  
 515 the rallying cry for the worldwide Primary Health Care movement.  
 516 According to the then technical assistant director general of WHO,  
 517 David A. Tejada de Rivero, a Peruvian physician, by “Health for All,”  
 518 Mahler “made it clear that he was referring to the need to provide a level  
 519 of health that would enable all people without exception to live socially  
 520 and economically productive lives.” Moreover, “the reference to the year  
 521 2000 meant that, as of that date, all the world’s countries would have  
 522 developed the appropriate political strategies and be carrying out concrete  
 523 measures toward achieving this social goal, albeit within different time  
 524 frames.”<sup>42</sup>

#### 526 LEADING UP TO ALMA ATA

527  
 528 While major Western powers accepted the Mahlerian notion of Primary  
 529 Health Care, the Soviet Union, still greatly preferring the Soviet central-  
 530 ized healthcare system, opposed and condemned it as a backward step  
 531 from the great scientific and technological advances achieved since the  
 532 beginning of the Cold War. Furthermore, the notion of Primary Health  
 533 Care was seen as a victory for the developing or Third World that would in  
 534 turn undermine the Soviet Union’s status as the world leader in healthcare  
 535 delivery and health provision. Even more important was the degree of  
 536 open Chinese–Soviet antagonism. Since the goal of “Health for All” was  
 537 largely inspired by China’s Barefoot Doctor program, Moscow felt very  
 538 strongly that it could not permit Beijing to win “a victory within the Third  
 539 World.”<sup>43</sup> The PRC, however, used the World Health Assembly meeting  
 540 to wage diplomatic battle against the Soviet Union. At the Twenty-  
 541 Seventh World Health Assembly meeting, Wang Guizhen, China’s  
 542 model barefoot doctor and spokesperson, was briefed to use the meeting  
 543 to propagate not just Mao’s health policy but also Mao’s international  
 544 political line, so as to defeat China’s enemies. On returning, Wang proudly  
 545 reported that the meeting had been a great triumph for China and  
 546 Chairman Mao, since many Third World countries and WHO officials

547 had praised China's cooperative health system and the Barefoot Doctor  
548 program, while condemning Soviet medical training and aid to the Third  
549 World as inappropriate for those countries.<sup>44</sup>

550 After the Chinese WHO delegation first proposed the idea of an inter-  
551 national conference on Primary Health Care, the Soviet Union began  
552 intensive lobbying to host the conference in the Soviet Union.<sup>45</sup> In  
553 January 1976, one day before the WHO Executive Board meeting, the  
554 Soviet Minister of Health Dimitri Venediktov appeared at Tejada de  
555 Rivero's home in Geneva and proposed: "I will give you US\$2 million  
556 for an international conference on Primary Health Care." Despite Tejada  
557 de Rivero's reluctance to accept this offer, Venediktov repeated this pro-  
558 posal at the Executive Board meeting. Only after Venediktov agreed that  
559 the conference would not be held in Moscow but instead in a developing  
560 country would the Executive Board accept his offer, with the conference  
561 scheduled for 1978.<sup>46</sup>

562 The search for a suitable Third World location was difficult. Since  
563 the Soviet Union was sponsoring the conference, this excluded China,  
564 the world's leader in, and a major inspiration for, the Primary Health  
565 Care movement. Other countries the WHO favored included Costa  
566 Rica, Egypt, and Iran, but none could successfully secure the addi-  
567 tional US\$1 million the conference required. After traveling exten-  
568 sively around the Soviet Union, Venediktov and Tejada de Rivero  
569 agreed on Alma Ata (present-day Almaty), the capital of the Kazakh  
570 Soviet Socialist Republic (present-day Kazakhstan) as a suitable loca-  
571 tion for the conference. According to Tejada de Rivero, two factors  
572 drove the choice of Alma Ata: it possessed a most impressive confer-  
573 ence hall and also an extremely dynamic Minister of Health, Professor  
574 Turgeldy S. Sharmanov.<sup>47</sup> For the Soviets, however, Alma Ata was  
575 also significant because it was geographically proximate to China.  
576 Furthermore, it was a showcase of Soviet socialist achievements in  
577 providing healthcare for the backward Kazakhs through a centralized  
578 state-run health delivery system bringing modern biomedicine to rural  
579 villagers.

580 Lying south of Siberia and east of the Caspian Sea, Kazakhstan bore no  
581 geographic or cultural resemblance to the rest of the Soviet Union. Most  
582 of its population were Turkic-speaking Muslims. From the late nineteenth  
583 century, Russians and Ukrainians from European Russia began to settle  
584 in the northern part of Kazakhstan and introduced agriculture to the pre-  
585 viously nomadic Kazakhs. In 1925, the region was made an Autonomous



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586 Soviet Socialist Republic. From 1928 onward the Soviet government,  
587 seeking to build a strong and self-sufficient nation, embarked on a mod-  
588 ernization program—also known as the Stalinist Revolution—encompass-  
589 ing rapid industrialization and agricultural collectivization across the  
590 region. This led to a devastating famine that killed half the population  
591 between 1932 and 1933.<sup>48</sup>

592 To improve the local human stock of manpower and thereby facilitate  
593 its program of bringing modernity and socialist rationality into the hinter-  
594 land, in 1928, numerous Soviet health professionals were sent to  
595 Kazakhstan to provide the indigenous population with advanced biome-  
596 dical services. Aiming to transform them into the new Soviet man and  
597 woman, who “would have faith in the Soviet State’s and the Communist  
598 Party’s ability to lead the citizenry towards a higher stage of economic and  
599 cultural development,” medical cadres taught Kazakh villagers that dis-  
600 eases were caused by germs, not evil spirits, and that they should have faith  
601 in the power of science, not superstitions.<sup>49</sup> The new Soviet order had no  
602 place for superstitions, irrationality, and a backward and therefore unscien-  
603 tific past. In 1931 the V. M. Molotov Kazakh Medical Institute or Kazakh  
604 National Medical University was founded in Alma Ata. Turgeldy S.  
605 Sharmanov was one of its proud graduates. Between 1927 and 1937 the  
606 number of modern doctors in the region tripled. In 1942, to celebrate the  
607 25th anniversary of the October Revolution, the Kazakh Commissar of  
608 Public Health proudly declared that Kazakhstan’s backward culture had  
609 disappeared forever. Between 1950 and 1970, following Soviet healthcare  
610 norms that emphasized large numbers of hospital beds and doctors, many  
611 hospitals and polyclinics were built in Kazakhstan.<sup>50</sup> By the time the Alma  
612 Ata conference took place, the Sovietification of Kazakhstan had been  
613 completed. Soviet authorities claimed that life expectancy had increased,  
614 diseases and epidemics had subsided, and the general health of  
615 Kazakhstan’s people had greatly improved. In 1981, Kazakh National  
616 Medical University received the Order of the Red Banner of Labor for  
617 “great services and people’s public health development.”

618 Back at WHO headquarters in Geneva, Newell was made responsible  
619 for drawing up documents for the Alma Ata conference. Extensive con-  
620 flicts occurred over the wording of the text of the Declaration. “Not only  
621 the Soviet Union but many Member States supported a centralized health-  
622 systems approach. Primary Health Care will not succeed unless we can  
623 generate participation from individuals, families and communities, but this  
624 community participation will not work unless there is support from the

625 health system," Mahler recalled in a 2008 interview.<sup>51</sup> According to  
 626 Tejada de Rivero: "Many delegations and individual delegates fought to  
 627 include details that had more to do with medical specialties than with  
 628 health. . . . The Declaration and Recommendations went through 18 drafts  
 629 revised in meetings in the six WHO regions, in the Special Meeting of  
 630 Ministers of Health of the Americas in 1977 and in meetings of special  
 631 country groupings and certain individual countries as well."<sup>52</sup> "It wasn't  
 632 easy," Mahler remembered. "But there was an overwhelming feeling that  
 633 'we must arrive at a consensus.' . . . That did not mean trying to convince  
 634 our adversaries they were wrong, but trying to unite ourselves with them  
 635 at a higher level of insight. This was exactly what happened in Alma-Ata. It  
 636 was almost a spiritual atmosphere, not in the religious sense, but in the  
 637 sense that people wanted to accomplish something great."<sup>53</sup>

638 In September 1978, 3,000 delegates from 134 member states, 67  
 639 international organizations, and a dozen NGOs from around the globe  
 640 attended the world's first Primary Health Care conference at Alma Ata.  
 641 China, the inspiration for the conference, was absent. "At the end of the  
 642 conference, a young African woman physician in beautiful African garb  
 643 read out the Declaration of Alma-Ata. Lots of people had tears in their  
 644 eyes. We never thought we would come that far. That was a sacred  
 645 moment," Mahler fondly recalled. "The 1970s was a warm decade for  
 646 social justice. That's why after Alma-Ata in 1978, everything seemed  
 647 possible."<sup>54</sup>

## 649 THE END OF BAREFOOT DOCTORS IN POST-MAO CHINA

651 Although contemporary international politics meant that China was  
 652 excluded from the Alma Ata conference, around the same time China by  
 653 choice gradually began to abandon the Barefoot Doctor program. The  
 654 program was officially ended after 1983, as China opted for neoliberal  
 655 market capitalism, or the so-called system of "Socialism with Chinese  
 656 characteristics." Born out of the specific political and economic context  
 657 of the Maoist period, the Barefoot Doctor program could only exist within  
 658 the collective socialist economic system, which was fundamentally flawed.  
 659 Once the farming collectives (the People's Communes) were dissolved  
 660 after the death of Mao, and the household quota system (包产到户) was  
 661 introduced, the cooperative medical service (合作医疗), which provided  
 662 the framework for the Barefoot Doctors program, was no longer viable  
 663 and collapsed.

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664 Without the cooperative medical system and farming collectives, rural  
665 health services had to be contracted out (承包). According to a 1980  
666 Ministry of Health report, rural China had over 5,000 commune hospitals  
667 with 777,000 hospital beds and more than 1 million health workers. They  
668 had previously been paid by the communes from collective funds, and  
669 subsidized by the government. Once the collective economic system  
670 ceased, the communes had no money to cover their expenditure and  
671 salaries, a huge number of beds were cut, and health workers lost their  
672 livelihood.<sup>55</sup> To support themselves, these health workers and barefoot  
673 doctors opened private practices and charged high prices. It seemed highly  
674 ironic that by the mid-1980s millions of rural villagers in China, once the  
675 world leader in Primary Health Care, were left without healthcare.

676 Yet China was not alone in abandoning the Primary Health Care  
677 approach. One year after the Alma Ata Declaration, Kenneth Warren,  
678 who had been appointed Director of Health Science at the Rockefeller  
679 Foundation in 1977, and his colleague Julia Walsh, proposed Selective  
680 Primary Health Care as an “interim” strategy for disease control in devel-  
681 oping countries.<sup>56</sup> Several UN agencies quickly adopted this selective  
682 approach, since it was less costly than the more integrated approaches  
683 preferred by Mahler and Newell. Warren, sometimes described by those  
684 who knew him well as “contemptuous,” was obsessed with health quality  
685 and information.<sup>57</sup> Under his leadership, the Great Neglected Disease  
686 Network (GND) was established.<sup>58</sup> This move greatly disappointed advo-  
687 cates of Primary Health Care such as Mahler: “That brought us right back  
688 to square one.” He lamented:

689  
690 We had started with selective health-care programs, single diseases such as  
691 malaria and tuberculosis in the 1950s and 1960s. Then we had this spiritual  
692 and intellectual awakening that came out of Alma-Ata, and suddenly some  
693 proponents of primary health care went back to the old selective approach  
694 again. Perhaps, paradoxically, Alma-Ata had in such instances the opposite  
695 effect to the one intended, as it made people think too much about selec-  
696 tion, rather than following the Alma-Ata gospel of health for all.<sup>59</sup>

697  
698 Interestingly, although in China a large section of today’s population  
699 enjoys little or no access to adequate healthcare, in recent years the  
700 Chinese government has continued to make the Barefoot Doctor program  
701 a central component of its international health diplomacy, most notably  
702 across Sub-Saharan Africa. A 2010 Chinese Xinhua News Agency report

703 claimed that China's Barefoot Doctor program could help African coun-  
 704 tries reduce their infant mortality rates. As President Xi Jinping's "China  
 705 Dream" extends to Africa, the Chinese government is increasingly priorit-  
 706 izing health aid to this region. As in the Long 1970s, when health  
 707 cooperation with African nations was seen as a useful tool facilitating  
 708 Chinese efforts to promote a new international order, in the twenty-first  
 709 century China's health aid to Africa helps the PRC enhance its profile in  
 710 the developing world as a major reformer and provider in the field of  
 711 healthcare, not merely as the market for raw materials from Africa.<sup>60</sup>  
 712 This led to the regular Ministerial Forum of China–Africa Health  
 713 Development, first inaugurated by Chinese President Xi Jinping in  
 714 September 2013. Noting the long and positive history of Chinese–  
 715 African cooperation on health, Li Bin, Minister of China's Health and  
 716 Family Planning Commission, proclaimed at the Forum that "China will  
 717 continue to strengthen cooperation with international organizations on  
 718 global health and population development, and make use of international  
 719 platforms to explore new approaches to South-South cooperation in the  
 720 area of health with developing countries in Africa and elsewhere."<sup>61</sup>

## 721 CONCLUSION

722  
 723  
 724 As the Cold War explicitly demonstrated, Medicine and Health invariably  
 725 possess political dimensions. Mainland China deftly deployed healthcare in  
 726 propaganda efforts in both the First and Third Worlds, initiatives that com-  
 727 plemented the sports diplomacy and aid programs described by Xu Guoqi and  
 728 Shu Guang Zhang elsewhere in this volume. By the mid-1960s, the US  
 729 government viewed politically well-connected American medical professionals  
 730 as potential intermediaries, whose purportedly neutral visits might signal US  
 731 interest in developing more connections with mainland China. China skilfully  
 732 utilized Western fascination with both its mass healthcare achievements and  
 733 traditional Chinese medical practices. Western critics of existing health dispa-  
 734 rities in industrialized nations and advocates of Social Medicine came to view  
 735 the PRC as a model for primary healthcare delivery. This complemented  
 736 increasingly positive images of the PRC and its totalitarian government pur-  
 737 veyed from the second half of the 1960s by its cohort of Western sympathizers  
 738 who associated Communist China with opposition to American aggression in  
 739 Vietnam aimed at suppressing a Leftist revolution. In developing countries  
 740 and the United Nations, the rise of the "Third Way," championed by  
 741 Yugoslavia and India, offered China global space to effect its political goals

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742 through healthcare. During the Long 1970s, mainland China—standing in  
 743 opposition to the American system (with its perceived healthcare shortfalls)  
 744 and the Soviet centralized healthcare structure—seemed to hold out the  
 745 promise of a true alternative model, one that seemed on the verge of triumph  
 746 throughout this pivotal decade, and climaxed at the Alma Ata Conference.  
 747 Ultimately, as Chinese healthcare became an ever less exportable cultural  
 748 propaganda asset, this model collapsed, proving as ephemeral as the predo-  
 749 minance of the Barefoot Doctors program, which disappeared with the ending  
 750 of China’s agricultural communes, all alike swallowed up in the ravening late  
 751 twentieth-century morass of global neoliberal capitalism.

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## 6 FROM CHINA'S "BAREFOOT DOCTOR" TO ALMA ATA...

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## Chapter 6

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Number	Query
AQ1	There is variation in the text between the terms ‘healthcare’ and ‘health care’. Please confirm preferred styling.
AQ2	Is the use of initial capitals OK here? Not just ‘primary healthcare’?
AQ3	Please check and confirm whether the affiliation details are correct.
AQ4	We have shortened the running head text as “From China’s “Barefoot Doctor” to Alma Ata . . .”. Please confirm if this is fine.
AQ5	Is the term ‘inexperienced’ OK?
AQ6	Is the use of initial capitals OK here?

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uncorrected proof