1	NOTE: THIS VERSION MAY NOT BE IDENTICAL TO THE PUBLISHED VERSION		
1	Emotional communication in long-term abstained alcoholics		
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28

29Abstract

30Background: Alcoholism is associated with difficulties in perceiving emotions through non-31verbal channels including prosody. The question whether these difficulties persist to long-**32**term abstinence has, however, received little attention. **Methods:** In a two-part investigation, 33emotional prosody production was investigated in long-term abstained alcoholics and age-34and education matched healthy controls. First, participants were asked to produce **35**semantically neutral sentences in different emotional tones of voice. Samples were then **36**acoustically analyzed. Next, naïve listeners were asked to recognize the emotional intention **37**of speakers from a randomly collected subset. Voice quality indicators were also assessed by **38**the listeners. **Results:** Findings revealed emotional prosody production differences between **39**the two groups. Differences were particularly apparent when looking at pitch use. Alcoholics' 40mean and variability of pitch differed significantly from controls' use. The use of loudness 41was affected to a lesser extent. Crucially, naïve raters confirmed that the intended emotion 42was more difficult to recognize from exemplars produced by alcoholics. Differences between 43the two groups were also found with regard to voice quality. **Conclusions:** These results 44suggest that emotional communication difficulties can persist long after alcoholics have quit 45drinking.

46Keywords: Alcoholism; Social Cognition; Emotional Prosody; Vocal Emotion47

48INTRODUCTION

49 Non-verbal emotion signals form a crucial part of social interactions: we can encode a range 50of emotional states based on others' use of facial expressions, body postures, or prosody (sometimes 51referred to as "tone of voice"). Alcoholism is often associated with deficits in processing these kinds 52of emotional signals. Specifically, recently detoxified alcoholics demonstrate difficulties in perceiving 53emotions through a range of non-verbal channels including facial expressions (Frigerio et al., 2002; 54Philippot et al., 1999), body postures (Maurage et al., 2009), and prosody (Monnot et al., 2001; 55Uekermann et al., 2005). Some research suggests that these perception difficulties are long lasting as 56they have been found to persist through to mid- and long-term abstinence (Foisey et al., 2007; 57Kornreich et al., 2001; Valmas et al., 2014).

58 Accurate recognition of emotional signals is, however, only one part of successful social interactions. Properly and authentically *expressing* emotional states is just as important. This is particularly true for *vocal* emotional communication as listeners rely heavily on prosody to make inferences about the speaker's intentions and feelings in cases where verbal messages are ambiguous 62or lack emotional content (e.g., "I'll see you next week" can be said in a happy, cheerful tone of voice suggesting that the speaker is looking forward to this event, or it can be said in an annoyed, angry tone of voice suggesting quite the opposite). Clearly, both failure to detect and failure to express vocal emotional intentions effectively can lead to interpersonal communication breakdown. However, while an increasing number of studies have tried to describe the role of alcoholism in emotional prosody *perception* (Oscar-Berman et al., 1990; Monnot et al., 2001; Uekermann et al., 2005), research on emotional prosody *production* in alcoholics has been largely neglected. The present investigation aims to start fill this gap in the literature by exploring how long-term abstainers¹ express vocal emotions and, crucially, how these emotional intentions are perceived by naïve listeners. When expressing how we feel, we modulate various acoustic cues, such as fundamental frequency (perceived as pitch), 72 loudness, or tempo. For instance, it has been shown that we increase our mean and range of pitch and

⁴¹ Here, we follow conventions in the literature (e.g., Kornreich et al., 2001; Fein et al., 2010) 5who use the term "long-term" abstainers for individuals who have abstained from alcohol for 6more than six months.

73 loudness when expressing anger (as opposed to, for instance, neutral) and we also speak considerably 74 faster when angry. Further acoustic cue profiles are associated with other emotions (for example, 75 when expressing sadness, speakers use a smaller range of pitch and loudness and decrease their **76**speech rate; see Banse & Scherer, 1996). Inadequate acoustic cue use is likely to lead to difficulties in 77listeners' abilities to recognise how the speaker feels. To the best of our knowledge, there is only one 78 previous study that has focused on the production of vocal emotions in alcoholics. Monnot and 79 colleagues (2003) asked 24 detoxified alcoholics and 15 healthy controls to intone sentences in one of 80 five emotions (happiness, sadness, anger, boredom, surprise) and in a neutral tone. Four researchers 81 were then asked to identify the expressed emotions. Detailed acoustical analyses of produced speech 82were not provided in this study, limiting our ability to specify how alcoholics might differ in their 83emotional expressions from healthy controls. Also, judges' exact accuracy rates were not reported, 84leaving it unclear as to how difficult listeners might find it to recognize emotions expressed through 85speech from detoxified alcoholics. However, the authors report that pitch was positively linked to how 86 accurately the four judges rated the intended emotion, suggesting that pitch is particularly important 87when encoding emotional speech in alcoholics. Moreover, this research highlights that adequate pitch 88variations are key to expressing vocal emotions. Given the lack of information about other acoustic 89cues used in this sample, it remains unclear which additional parameters listeners relied on when **90** judging emotions expressed by detoxified alcoholics and it is also not possible to comment on **91**potential cue use differences between detoxified alcoholics and controls. Finally, the question of 92 whether a history of alcohol abuse can have long-term effects on emotional prosody production **93**cannot be answered with data from recently detoxified alcoholics. This is, however, an important 94question to address given evidence that emotional *perception* deficits can still be observed in mid-**95**term to long-term abstainers (e.g., Fein et al., 2010; Foisey et al., 2007; Kornreich et al., 2001; Valmas **96**et al., 2014). Thus, to address these questions, two studies were conducted. Study 1 explored acoustic 97cue use in emotional prosody production in a sample of long-term abstainers and healthy controls. In 98 particular, we investigated how speakers use pitch, tempo (duration), and loudness to express six basic **99**emotions and neutral to infer whether long-term abstainers use acoustic cues similarly to controls and

99speakers described in the wider emotional prosody production literature (e.g., Banse and Scherer, 1001996; Paulmann and Uskul, 2014). If emotional prosody cue use is not affected in long-term 101abstainers, we expect them to show similar acoustic cue use profiles to healthy controls and reports of 102speakers in the literature; however, if a history of alcohol abuse can impact on emotional prosody 103production abilities, altered profiles should be expected. Based on evidence reported by Monnot et al. 104(2003) we specifically expect to find differences between groups with regard to pitch production.

105 Although descriptions of acoustic parameter use are vital for exploring emotional prosody **106** production in abstained alcoholics, they do not provide a holistic picture. In particular, we need to **107** also assess how speech samples are perceived by naïve listeners. Can they detect which emotion **108**abstainers are trying to express? And, do listeners judge emotional speech samples from abstainers **109**differently to samples spoken by healthy controls? In other words, can we estimate the potential social **110** ramifications for abstained alcoholics? As mentioned before, this part of emotional social interactions 111has been overlooked in the research community so far. There is, however, limited evidence that 112 couples with one alcoholic member report more difficulties expressing emotions as well as feeling as **113** if their emotions are not understood in contrast to non-alcoholic couples (Philippot et al., 2003). 114Whether this perceived difficulty can be confirmed experimentally will be tested here. Thus, in Study 1152 we explore whether emotional speech produced by abstained alcoholics is recognized with a similar **116**success rate as emotional speech produced by controls when judged by naïve listeners. Crucially, 117 listeners are also asked how much they thought speakers actually *felt* the emotion they tried to **118**express. Moreover, to get a more informed picture about the emotional speech produced, we also **119**explored the role of perceived voice quality in emotional prosody production. Voice quality refers to 120the characteristics of produced speech and can include features such as how rough, melodic, or nasal a **121**voice sounds. Here, we focused on two qualitatively different voice qualities and asked raters to 122 indicate how "husky" (linked to a rough or strained sounding voice) or "flat" a voice sounds. Latter 123 quality has been linked to abulia, or to being perceived as sounding indifferent. In short, Study 2 124 reports empirical data which allows exploring how emotional speech samples produced by abstainers 125 and controls are perceived by naïve listeners. If true that abstainers have difficulties expressing

126emotions in speech, listeners should find it more difficult to accurately judge emotional utterances 127from them than those of controls. Also, if true that abstainers' speech is less emotionally expressive 128and of a different voice quality, we expect to find rating differences between groups. Combined, 129Studies 1 and 2 will thus allow describing, for the very first time, how a history of alcohol abuse can 130impact on emotional speech production abilities and how these effects can impact on listeners' 131judgements about the speakers.

132

133STUDY 1

134MATERIALS AND METHODS

135Participants

136Fifteen long-term abstained alcoholics and the same number of age and education matched healthy
137controls were recruited. Independent samples t-tests showed that abstained alcoholics and controls did
138not differ in age (*t*(14)=.12, p=.903) and years of education (*t*(14)=1.50, *p*=.154). Participants in the
139alcoholic group had a past medical diagnosis and met the DSM-IV criteria for alcohol dependence.
140Each abstainer had abstained from alcohol for at least one year (range 1-18.1 years). None of them
141reported having any other addiction in the past (full participant information can be found in Table 1).
142All participants were right-handed native English speakers. They were recruited via newspaper, radio
143adverts and leafleting in Alcohol Anonymous and other self-help groups (alcoholics only).
144Participants gave full informed consent before the start of the experimental session and were
145financially compensated for their participation. The study was approved by the Ethical Committee of
146the Science and Health Faculty of the University of Essex.

147

148Assessments

We pre-screened participants for depression (Patient Health Questionnaire; PHQ-9, Kroenke 150et al., 2002) and anxiety (Generalized Anxiety Disorder 7-item (GAD-7), Spitzer et al., 2006). While 151the two groups did not differ on scores for depression (t(14)=1.59, p=.134), the scores for general 152anxiety disorder differed between groups (t(14)=-3.65, p=.003). Abstainers displayed higher general

153anxiety levels than healthy controls. We did not recruit participants who self-reported use of
154psychotropic medication or those who reported a history of diagnosed neurological problems. We also
155asked participants to fill out the Revised Life Orientation (LOT-R, Herzberg et al., 2006) monitoring
156individuals' differences in generalized optimism versus pessimism.

157

158 - place Table 1 about here -

159

160Procedure

161 All participants were tested individually. Before the start of the emotional speech recording session, all participants completed the questionnaires listed above. In the main emotional speech production task, participants were asked to intone 20 semantically neutral sentences (e.g., *"The book was green*") in one of six emotional (angry, disgust, fear, happy, sad and surprised) and a neutral tone of voice. For baseline recordings, all participants started with the neutral category. After this, participants were allowed to choose which category to express next. For each emotional category, 167 participants were presented with written scenarios that represented a situation in which this emotion would commonly be elicited. In addition, we also asked participants to describe a time when they had felt that particular emotion in the past. It has been shown that reliving and reacting emotional 170situations in this kind of task lead to changes in voice patterns in speakers (e.g., Velten-Technique, 1968). No exemplars of how a specific emotion should sound were given to participants. After the emotion induction procedure, participants were presented with the list of 20 semantically neutral sentences. Each participant was asked to repeat each sentence three times in a specific emotion to 174 ensure clear, artefact- and error-free recordings (only error- and artefact free recordings entered our 175statistical analysis). Therefore, each participant produced 420 utterances (6 emotions plus neutral x 20 sentences x 3 repetitions of each sentence). Sentences were recorded with Audacity, using a high- quality clip-on microphone. The recordings were digitized at a mono, 16 bit, 44,100 Hz sampling rate. Each testing session lasted approximately 40 minutes.

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181r	ESULTS
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Acoustic data was analysed using Praat software (Boersma and Weenink, 2013). Parameters Acoustic data was analysed using Praat software (Boersma and Weenink, 2013). Parameters References to the service of the service

192 Table 2 shows means and standard deviations for each extracted parameter for all emotional 193categories and both groups separately. To investigate whether the two groups used acoustical cues 194differently, we conducted several Analyses of Variance (ANOVAs) in which *speaker group* 195(abstainers/controls) was treated as between-subjects variable, *emotion* (anger, disgust, fear, 196happiness, sadness, surprise and neutral) as within-subjects variable, and each acoustic variable (pitch, 197duration, amplitude) served as dependent variable.

198

199

place Table 2 about here -

- 200
- 201Pitch

202Result revealed a significant main effect of *Emotion*, (F(6,168))= 38.885, p<.001, η^2 .581, suggesting 203that different emotions were expressed using different pitch as expressed in semitones. For instance, 204surprised was expressed using the highest mean pitch, followed by anger, happiness, fear, disgust and 205sadness. Neutral utterances were intoned with a lower mean pitch than all emotions (see Table 2). 206This main effect was qualified by a significant *Speaker Group* x *Emotion* interaction, F(6,168)=4.

896, *p*<.001, η^2 .149, confirming that the two groups differed in how they used pitch to express specific emotions. Post-hoc pairwise comparisons revealed that healthy controls used a higher pitch when expressing fear (*p*=.024) and surprise (*p*=.030) when compared to abstainers. We also looked at the effects for each group separately and compared emotional sentence production to neutral sentence production. This analysis indicated that abstainers spoke with an increased mean pitch when expressing anger (*p*=.001), disgust (*p*=.023), happy (*p*=.001) and surprise (*p*=.001), but not when expressing fear (*p*=.129) or sadness (*p*=.627). In contrast, healthy controls expressed all emotions with higher pitch when compared to neutral sentences (all *p*s<.001) except from sadness (*p*=.597).

To confirm that pitch use differences were not due to the groups having slightly different male/female 216ratios, we ran the same analysis for male and female participants separately. Contrasts again confirmed that male 217abstainers modulated pitch differently when comparing neutral and angry sounding sentences (p=.001) as well 218as neutral and happy sounding expressions (p=.002). In contrast, male control participants modulated pitch 219differently for neutral vs anger (p=.015), neutral vs fear (p=.001), neutral vs happiness (p=.007) and neutral vs. 220surprise (p=.001). Similarly, for female abstainers, only the contrasts between neutral and happiness (p=.007) 221and neutral and surprise (p=.029) reached significance, while a range of emotions were uttered with a different 222pitch than neutral for female controls (anger (p=.003), disgust (p=.001), fear (p=.001), happy (p=.001), surprise 223(p=001)). These patterns thus confirm pitch usage differences when expressing emotions by abstained 224alcoholics compared to healthy controls. ²

225Pitch Variability

226There was a significant main effect of *Emotion* for pitch variability (standard deviation of pitch as **227**expressed in semitones re: 16.35Hz), *F*(6,168)=19.755, *p*<.001, η^2 .414, showing a wider use of pitch **228**when expressing surprise followed by anger and followed by disgust, happiness, fearful and neutral. **229**Utterances intoned in a sad tone of voice showed the smallest pitch variability. There was also a **230**significant main effect for *Speaker Group*, *F*(1,28)=5. 595, *p*=.032, η^2 .153, showing that healthy **231**controls showed more varied use of pitch than abstainers. The two main effects did not interact.³

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233Mean Amplitude

234Result for mean amplitude only revealed a significant main effect of *Emotion*, *F*(6,168)=50.631,

235p<.001, Ω^2 = .64, showing that angry sentences were spoken in the loudest voice followed by surprise, 236happy, fear, disgust and neutral. Sadness was spoken more quietly than all other emotions. No main 237effect of *Speaker Group* (*p*=.621) or interaction between *Emotion* x *Speaker Group* (*p*=.084) was 238found.

239

240*Amplitude* Range

241Results revealed a different amplitude range use for different emotions, F(6,168)=50.631, p<.001, 242 Ω^2 =.69. As can be seen from Table 2, angry sentences were intoned using a wider amplitude range 243than sad sentences. The main effect of *Speaker Group* did not reach significance p=.093, $\Omega^2=.10$, but 244looking at the amplitude range means revealed that healthy controls tended to use a slightly wider 245amplitude range than abstainers (34.18 dB vs 32.35 dB).

246

247*Utterance* Duration

248For utterance length, only a main effect of *Emotion* was found, F(6,168)=5.583, p<.001, $\Omega^2=.75$. **249**Means showed that fear was spoken with a faster speech rate than disgust (1.35 seconds vs 1.51 **250**seconds).

251Leave-one-out Analysis

252Following conventions from other fields that report results from relatively small sample sizes, we ran 253so-called jackknifing analyses to confirm that the differences in pitch use between groups were not 254largely driven by one individual (c.f. Paulmann et al., 2010). We thus re-ran analyses for mean pitch 255as well as for pitch variability 14 times, always leaving out one abstainer at the time. F- and p-values 256were monitored. Results for the mean semitones analyses showed that statistical findings were stable 257for the interaction between speaker group and emotion (all F's > 4.31) and the main effect of group 258(all *F*s> 1.5, all *p*s>.084). Similarly, results for the analyses looking at the variability of semitones

259revealed stable effects confirming that results were unlikely due to be connected to only one260individual in the data set.

261

262Influence of Anxiety on acoustic variable modulation

263As shown in Table 1, a group comparison revealed that abstainers and controls differed with regard to 264their baseline anxiety levels. Thus, to investigate the potential influence of anxiety scores on acoustic 265measures Pearson's correlations were calculated for the abstainers. No significant correlations were 266found (all *p*'s>.05), suggesting that anxiety levels did not impact on production of emotions.

267

268Overall, results revealed that participants used different acoustic patterns for the different categories 269expressed mirroring previous results from untrained speakers (e.g., Paulmann et al., 2016). Group 270differences between healthy controls and abstainers were particularly apparent for pitch use. In 271particular, healthy controls used a higher pitch when expressing emotional as opposed to neutral 272prosody while the same pattern was not observed in abstainers. They failed to show a pitch increase 273when expressing fear and sadness. Moreover, healthy controls used a more varied pitch approach than 274abstainers. Finally, healthy controls also appeared to use a wider range of loudness though this effect 275failed to reach significance. Taken together, results showed differences between healthy controls and 276abstainers in modulating pitch parameters when intoning emotional sentences.

277 278study 2 279

Study 2 set out to explore whether sentences intoned by abstainers and healthy controls in 281Study 1 are perceived differently by naïve listeners. In particular, our goal was to investigate whether 282the emotional intention of speakers could be reliably determined. We also investigated if speakers 283differed with regard to voice quality attributes. In particular, we asked listeners to judge how much 284they felt the expressed emotion, how much they felt the speech sounded husky to them and how much 285it sounded inexpressive, or flat.

287MATERIALS AND METHODS

288Participants

289A group of 24 (11 male & 13 female) native English speakers were recruited through campus 290and online advertisement. The listener group had a mean age of 28 (range 19-62) and mean 291number of years in education was 17 (range 13 - 27). Exclusion criteria included a history of 292mental health (e.g. depression), neurological problems (e.g. stroke), or a history of substance 293abuse all of which were measured by self-reporting. None of the participant's self-reported 294any biological family members who had a known history of substance abuse. The listener 295group self- reported normal or corrected-to-normal vision, and no hearing impairments.

296

297*Materials*

298To avoid bias judgements in the selection of stimuli for the recognition study, a discriminant 299analysis was first performed to predict emotional category membership of all stimuli 300collected in Study 1 (c.f. Paulmann et al., 2016 for similar approach). In this analysis, 301acoustical parameters (pitch, intensity, and duration) were entered as independent variables 302while the intended emotional category (anger, disgust, fear, happiness, pleasant surprise, 303sadness, and neutral) served as dependent variables. Results revealed that based on these 304three acoustic parameters, 29.5% of abstained alcoholics' speech samples and 36.5% of 305healthy controls' utterances could be classified accurately. From these correctly classified 306utterances we decided to present 15 sentences for each of the seven categories meaning that 307210 sentences were randomly selected for Study 2. 105 sentences came from the correctly 308identified the healthy control group samples and 105 from the abstained alcoholics.

309Procedure

Participants were tested individually in booths at the University of Essex. Listeners were first asked to read and sign a consent form and then fill out a background questionnaire. Before 312the start of the study, listeners were informed of the procedure. They were told that they 313would be presented with spoken materials on a computer running Superlab software. Participants were instructed that they would hear utterances spoken by different speakers. Their first task was to identify the emotional category they believed the speaker was trying to convey. They were advised to answer as quickly and accurately as possible. On-screen 317 categories were labelled as "angry", "disgust", "fear", "happy", "sad", "surprise", and "neutral". Their second task was to make three assessments about the utterance: First, they were asked to indicate on a scale from 1 (not at all) to 7 (very much) how much they thought the speaker sounded as if he/she really felt the emotion, how much they felt the speaker 321sounded flat (explained as inexpressive), and how rough/husky the speaker sounded. A trial 322sequence was thus as follows: a fixation cross was presented for 200ms followed by the presentation of the utterance, followed by a seven box response screen. After participants 324 provided their emotional assessment, they were presented with the three rating scale screens, 325 which also contained the question at hand. A blank screen was presented for 500 ms as an inter-stimulus interval. After five practice trials, participants had the chance to ask the experimenter for help. The main experiment contained a total of 210 utterances which was divided into seven blocks that consisted of 30 trials each. Each block was followed by a short break. Testing time lasted around one hour and listeners were compensated £6 for their time.

330 331 332**RESULTS** 333 334*Statistical analysis*

335 The statistical package SPSS (version 21) was used to analyze the data. To investigate336whether utterances from controls were better recognized than those from abstainers, we conducted a 2

337(*speaker group*) x 7 (*emotion*) within-subjects ANOVA for which listeners' emotion recognition 338scores served as dependent variable. Rating of voice quality indicators were analyzed with separate 339within-subjects ANOVAs. All responses were averaged for each participant and emotion before 340carrying out the analyses. Effect size was measured using omega-square (Ω^2). According to Olejnik 341and Algina (2003) and treated effect size values between 0.0009 – 0.048 as small, values between 3420.048 and 0.138 as medium, and values above 0.138 as large.

343

344345*Emotion recognition accuracy*346

347 Figure 1 shows mean (and standard deviations, SD) recognition accuracy rates of utterances intoned by abstainers and healthy control speakers for each emotional category separately. Utterances expressed by healthy controls resulted in higher recognition rates for all categories. This was confirmed by the statistical analysis which revealed a main effect of *speaker group*, F(1,23)=63.838, 351p < .001, $\Omega^{2=}.74$, showing that listeners were more accurate at identifying emotions spoken by healthy controls as opposed to abstainers (42% v 31%). There was also a significant main effect of *emotion*, F(6,138)=31.242, P<.001, Ω^{2} .58. Neutral prosody was best recognised (53%), followed by utterances intended to express pleasant surprise (52%), sadness (49%), angry (44%), disgust (22%), fear (20%) and happiness (15%). Post-hoc comparisons revealed a significant difference between recognition rates for neutral utterances and utterances spoken in a disgusted, fearful and happy tone of voice (all 357ps<.001). A significant two-way interaction between *speaker* and *emotion* was also found *F*(6,138)=13.323, P<.001, Ω^2 =.37. Looking at each emotion separately, results revealed that listeners were significantly better at identifying utterances expressed in an angry (p<.001), fearful (p<.001) and surprised (p<.001) tone of voice when spoken by healthy controls compared to abstained alcoholics. 361 Place Figure 1 about here – 362

363 364Voice quality: Emotional Expressiveness

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Results showed a significant main effect of *speaker group*, F(1,23)=71.143, P<.001, $\Omega^2=.77$. 367Listener's perceived healthy controls' utterances as more emotionally expressive than abstained 368alcoholics (4.22 v 3.84). A significant main effect of *emotion*, F(6,138)=23.877, P<.001, $\Omega^2=.51$, 369showed that listeners perceived utterances spoken in a surprised tone of voice (4.69) as most 370expressive and neutral (3.51) utterances were rated as least expressive. Post-hoc comparisons 371revealed a significant difference between neutral utterances and all other emotional utterances in 372terms of how much the listeners thought the speaker felt the emotion (all *ps*<.01). Results also 373revealed a significant *emotion* x *speaker* interaction, F(6,138)=6.975, p<.001, $\Omega^{2^{\pm}}.03$, showing that 374utterances expressing anger, disgust, fear, happy or surprised prosody by controls were perceived as 375sounding more "felt" than the same emotions expressed by abstainers (*p*<.001).

376

377 Voice quality: Huskiness

The ANOVA revealed a significant main effect of *speaker group*, F(1,23)=8.095, p=.009, $379\Omega^{2^{\pm}}.26$. Listeners rated utterances spoken by abstainers as sounding rougher than utterances spoken by 380healthy controls (3.00 vs. 2.80). There was also a significant main effect of *emotion*, F(6,138)=9.673, 381p<.00, $\Omega^2=.30$. Listeners rated sad utterances as sounding most rough or husky (3.24) and surprise 382utterances as sounding the least rough (2.47). Post-hoc comparisons revealed that rating scores for 383fear (p=.016), happy (p=.001), sad (p=.033) and surprise (p=.001) sentences differed significantly 384from rating scores for neutral utterances. There was also a significant two-way interaction between 385*speaker group* x *emotion*, F(6,138)=2.231, p=.044, $\Omega^{2^{\pm}}.09$. Post-hoc comparisons by emotion 386revealed that sentences intoned in angry and neutral tone of voice by abstainers were rated as 387sounding significantly huskier than those uttered by healthy controls (p<.05).

388

389*Voice quality: Flatness*

390 The analysis revealed a significant main effect of *speaker group*, F(1,23)=75.362, p=.001, **391** $\Omega^{2^{\pm}}$.77. Abstainers' utterances were rated as sounding more flat than those spoken by controls (4.00

392vs. 3.52). A significant main effect of *emotion* also emerged, F(6,138)=32.956, p<.001, $\Omega^{2^{=}}.59$. Sad **393**utterances were rated as sounding most flat (4.79), while surprised sounding sentences were rates as **394**sounding least flat (2.80). Planned pairwise comparisons between neutral and emotional utterances **395**showed that all emotions were rated as sounding less flat in comparison to neutrally intoned **396**utterances (all *ps*<.01). The *speaker* x *emotion* interaction was also significant, F(6,138)=7.771, **397***p*<.001, $\Omega^{2^{=}}.25$. Post-hoc comparisons revealed that sentences intoned in an angry, disgust, fearful, **398**neutral or surprised tone of voice by abstainers were rated as significantly more flat than utterances **399**intoned by healthy controls (*p*<.05).

400 401 402

403 Overall, results of Study 2 showed that listeners blind to the group manipulation assessed 404randomly selected emotional speech exemplars as sounding significantly different. In particular, we 405found that naïve listeners found it harder to accurately recognize the intended emotions when uttered 406by abstainers in comparison to those intoned by healthy controls. Listeners also perceived exemplars 407spoken by abstainers to sound less emotionally expressive, more flat and rougher sounding than 408speech produced by healthy controls.

409

410GENERAL DISCUSSION

411 412

413The present investigation explored emotional vocal expressions in long-term abstinent alcoholics. In 414Study 1, it was shown that abstinent alcoholics control mean and variability of pitch differently than 415healthy controls when communicating emotions through tone of voice. In Study 2, it was shown that 416naïve listeners judged randomly selected samples spoken by abstainers as sounding less emotionally 417expressive than samples produced by controls. Crucially, the emotional intentions of abstainers were 418also more difficult to recognize. Taken together, these results suggest that emotional prosody 419production problems associated with alcoholism can persist even after individuals have (long) stopped

420drinking.

421

422Emotional Prosody Production Differences

423The data reported here uniquely lend empirical support to the notion that a history of alcohol abuse 424can have long term effects on emotional tone of voice production. The most prominent difference 425between long-term abstinent alcoholics and the control group was the way that mean and variability of 426 pitch was modulated when trying to express an emotion. Abstainers did not increase pitch when 427 expressing fear or sadness; moreover,, the results also confirmed that controls generally used a more 428varied pitch than abstinent alcoholics. The adequate modulation of pitch has repeatedly been shown to **429** play a vital role in communicating emotions through speech (Frick, 1985; Monnot et al., 2003; **430**Scherer, 2003; Scherer et al., 1972). In fact, low or monotonic pitch has been linked to depressive **431**speech, suggesting lacking affect (e.g., Moore et al., 2004). The results here suggest that although **432**abstinent alcoholics alter their pitch when expressing emotions, they do so less effectively than **433**controls. Thus, our data provide evidence that dry alcoholics' pitch production differs from "normal" **434**usage, suggesting a limited ability to express emotional prosody in these individuals. This is in line **435**with results reported for recently detoxified alcoholics (Monnot et al., 2003). Several accounts may **436**explain this production difference: First, it has been shown that alcoholism can lead to severe right **437**hemisphere brain changes (see Oscar-Berman & Marinkovic, 2003, for review). Interestingly, pitch-**438**related processes have repeatedly been linked to right hemisphere brain structures (e.g., Sidtis and Van **439**Lancker Sidtis, 2003) and lack of pitch control has been reported for patients with right hemisphere 440brain lesions (Ross & Monnot, 2008; Shapiro & Danly, 1985). Similarly, alcohol-related brain 441 changes have also been linked to the frontal lobes, limbic system, and the cerebellum (Oscar-Berman **442**& Marinkovic, 2003), often seen as key players in an emotional prosody network (c.f. Kotz & 443Paulmann, 2011). Thus, it can be speculated that alcohol-related brain changes contribute to the 444 effects observed here. Moreover, the role of the cerebellum has been tied to motor co-ordination and 445control over vocal tract muscles involved in pitch production in particular (Ackermann, Mathiak, **446**Riecker, 2007). Interestingly, cerebellar dysfunctions have additionally been shown to lead to harsh 447 sounding voice quality (Darley, Aronson, Brown, 1975), a phenomenon observed here, too. Finally,

448problems in expressing emotional prosody might also be linked to physical alterations of the vocal 449apparatus caused by heavy drinking. For instance, alcohol consumption can lead to inflammation of 450laryngeal mucosa which can affect vocal fold vibration patterns. This alteration may influence both 451pitch production as well as voice quality (e.g., making the voice sound harsh; c.f. Kreiman & Sidtis, 4522013). Similarly, some research suggests a strong link between smoking and alcoholism (e.g., 453Difranza and Gurrera, 1990) and voice production mechanisms are altered by smoking (e.g., Aronson 454and Bless, 2009). Future studies should thus aim to control for smoking history of participants. It is 455beyond the scope of the present investigation to pinpoint the underlying mechanisms of the pitch 456production differences between alcoholics and controls but the accounts summarized here merit 457testing in future studies.

458

459Perception of Emotional Prosody

460The first part of this investigation suggested that abstinent alcoholics can fail to properly control and 461execute their vocal apparatus leading to fluctuations in pitch use. Timing and loudness control was not 462affected as prominently. While differences in production are meaningful to explore in their own right, 463the more pressing question is whether the inability to use pitch adequately could actually lead to 464difficulties in listeners recognising the intended emotion. Arguably, not controlling and modulating 465pitch cues appropriately could lead to production of less "stereo-typical" emotion exemplars; in other 466words, making it more difficult for listeners to gauge the emotional intention. This was directly tested 467in Study 2.

Study 2 used the materials produced in Study 1. Acoustic analyses of these materials 469confirmed that different emotional expressions were characterized by varying acoustic profiles (c.f. 470Table 1) which for the most part mirrored those observed in previous studies using acted speech (e.g., 471Banse & Scherer, 1996; Paulmann & Uskul, 2014). Not surprisingly, recognition rates for emotional 472exemplars obtained here were largely lower than recognition rates obtained for materials intoned by 473actors (e.g., Banse and Scherer, 1996), but they were still above chance level (14%) and resembled 474recognition rates reported for materials spoken by untrained speakers (e.g., Paulmann et al., 2016).

475Exemplars were initially selected based on a discriminant analysis and only materials that were 476correctly identified by this analysis were used in Study 2. Still, results suggest that naïve listeners 477found it generally more difficult to decode emotions from abstainers' speech compared to utterances 478produced by controls. In particular, results suggest that emotional utterances expressing anger, fear, or 479surprise were most difficult to recognize when intoned by abstainers. Generally speaking, these 480emotions are also those expressed with *higher* pitch than neutral expressions. Thus, combined results 481suggest that inadequate use of pitch when expressing emotions in speech may lead to a failure in the 482listener to detect the intended emotion. Clearly, a difficulty in deciphering what a speaker is trying to 483express can potentially lead to social misunderstandings or possibly interaction breakdowns.

484 Next to finding it more difficult to judge the emotionality of speech produced by abstainers 485when compared to controls, listeners also judged speech samples differently on a variety of 486dimensions linked to the perception of voice quality. In particular, abstainers' utterances were rated as 487sounding huskier, more flat and, crucially, less emotionally expressive. Latter finding, that is the fact 488that abstainers speech was perceived as less emotionally expressive might again be linked to the 489differences in pitch (and possibly intensity) variability modulations observed in Study 1. It also 490directly links with the result that abstainers' emotional speech is more difficult to recognize. As 491discussed above, several explanations to account for voice quality differences seem plausible; 492however, cerebellar dysfunctions as well as changes of the mucosa lining the larynx seem to be 493among the most likely candidates at this point. Taken together, the present findings, for the first time, 494highlight how a history of alcohol abuse can affect emotional tone of voice production in the long-495term. We also showed that the expressive differences between abstainers and controls has effects on 496naive listeners, leading to lower recognition rates, lower emotional expressiveness scores and higher 497ratings of harshness and flatness of the voice.

498

499Future Directions

500To the best of our knowledge, this is the first investigation exploring the *long-term* effects of alcohol 501abuse on communicating emotions through the tone of voice. An inability to express emotions vocally

502can have severe impacts on social interactions. Knowing more about which factors contribute to 503abstainers' problems in conveying emotions non-verbally can potentially help to develop strategies 504that target how emotional tone of voice use can be improved in affected individuals. Here, we 505explored acoustic parameters which have long been known to play a prominent role in successful 506emotional prosody production. Analyses revealed that abstainers and controls differed with regard to 507their pitch use, while durational parameters (speech rate) seemed to be unaffected. A more detailed 508picture of which other parameters (e.g., frequency bands) are used differently will lead to a broader 509understanding of why emotional speech of abstainers lacks emotional expressiveness and is 510considered to be more difficult to recognize than speech by controls.

511 For therapeutic purposes, it will be important to explore whether observed pitch use 512differences stem from an inability to fully control the vocal apparatus (e.g., caused by brain damage to 513areas linked to motor control and/or emotional prosody processes), or through damage to the vocal 514folds or muscles surrounding them (Aronson and Bless, 2009). Ideally this will include a combination 515of neuroimaging and vocal production techniques that allow studying the mechanisms underlying 516emotional prosody production difficulties in alcoholics more systematically.

517 Finally, the current study tested eight female and seven male speakers who had abstained 518 from drinking alcohol for at least one year. Future studies should try to determine in how far gender 519 and length of abstaining can play a moderating role in emotional speech communication by testing 520 larger sample sizes and including abstaining length as a co-variate in the analysis.

521

522Conclusion

The ability to communicate emotions through voice is an important and necessary aspect of 524social relationships. In fact, prosody has been self-reported as the most common method of 525distinguishing emotions in real-life situations (Planalp, 1998). Knowing more about the long-term 526effects of alcohol abuse in emotional prosody production is thus crucial for abstainers to help with 527their interpersonal communication. If abstinent alcoholics and those with no alcohol abuse history 528differ in the way they express emotions in speech, it may be necessary to create social skills training

529programs that help mitigate conflicts between different parties before they blow out of proportion. 530The current investigation provides a first step in trying to understand how abstainers' differ in 531emotional tone of voice production and the effect that this has on listeners. Clearly, future work is 532needed to fully unravel the underlying mechanisms of this usage difference.

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636Figure Legend

637Figure 1: Accuracy (%) of mean emotional recognition responses for each speaker group.

- 638 Bars show correct responses for each emotional category (error bars represent
- 639 standard deviations).

641Table 1: Demographic and patient information for participants (mean, SD)

642*N*/*A* = not applicable; **= difference between was significant at p<.05; Scores 0-5 for the GAD-7 represent

643mild	Variable	Abstained Alcoholics	Healthy Controls
	Sex (F/M)	5/10	8/7
	Age NS Age Range	51.87 (12.98) 33 to 76	51.27 (13.32) 35 to 76
	Education (in years)	13.91 (3.42)	15.8 (3.56)
	Disease duration (in years) Disease duration range (in years)	13.7 (7.55) 5 to 27	N/A N/A
	Abstinence duration (in years) Abstinence range (in years)	9 (9.10) 1 to 18.1	N/A N/A
	Number of alcoholic drinks per week	N/A	2.33 (3.2)
	GAD-7 **	6.73 (4.53)**	2.6 (3.6)**
	PHQ-9 NS	4.93 (3.61)	3.07 (2.66)
	LOT-R NS	13.33 (5.01)	15.07 (4.25)

644 anxiety, 6-10 moderate, 11-15 moderately severe anxiety, 16-21 severe anxiety. PHQ-9 scores from 0-5

645 represents mild depression, 6-10 moderate depression, 11-15 moderately severe depression, 16-21 severe

646 depression. A score of over 7 on the GAD-7 represents clinical anxiety and over 9 on the PHQ-9 clinical

647 depression. For the LOT-R higher scores represent higher optimism. The number of years of education for each

648 group was worked out from the number of completed years in education from primary school.

649

Table 2. Means (SD) for each acoustic variable displayed per group. Originally, pitch was measured in Hertz 651and then converted using praat's function "convert Hz to semitones" using the formula 652(12*log2(F0mean/16.35). Duration was measured in seconds and amplitude in decibel. 653

6	5	л
0	Э	4

655Group	Emotion	Log F0 (SD)	Pitch range variability	Mean amplitude	Amplitude range (SD)	Utterance duration
656			(SD)	(SD)		
AA	Anger	839.33 68	311.97 01	68.55 (1.38)	34.27	1.46
657		(3.83)	(34.382)		(1.05)	(.05)
658	Disgust	6.6538.00	2.469.73	61.68 (1.12)	33.10	1.46
		(4.19)	(5.275)		(.85)	(.07)
	Fear	6.4537.80	-3.633.43	62.28 (1.34)	31.18	1.35
		(4.49)	(7.667.62)		(.94)	(.04)
	Happiness	8.0339.38	2.7910.65	64.40 (1.09)	33.25	1.46
		(5.10)	(6.166.56)		(.89)	(.05)
	Neutral	5.4036.75	-2.094.85	59.40 (.88)	31.04	1.37
		(4.03)	(8.629.11)		(.82)	(.05)
	Sadness	5.2236.58	-2.304.67	57.98 (.96)	30.66	1.46
		(4.03)	(8.5517)		(.95)	(.04)
	Surprise	9.4340.78	1312.6947	65.71 (1.27)	32.91	1.38
		(5.27)	(3.804.98)		(1.05)	(.06)
HC	C Anger	9.89 41.36	714.20 67	66.49 (1.38)	36.67	1.47
		(5.52)	(5.66.84)		(1.05)	(.05)
	Disgust	9.0040.36	7.8115.24	60.83 (1.12)	36.35	1.55
		(4.95)	(8.2342)		(.85)	(.07)
	Fear	10.7642.21	1.379.44	64.26 (1.33)	32.58	1.34
		(5.8060)	(7.067.33)		(.94)	(.04)
	Happiness	39.5638	4.4212.25	62.66 (1.09)	34.37	1.47
		(5.3214)	(6.7063)		(.89)	(.05)
	Neutral	6.2637.97	0.457.80	58.09 (.88)	33.47	1.47
		(3.99)	(6.8665)		(.82)	(.05)
	Sadness	36.528.16	1.227.76	56.83 (.96)	31.94	1.45
		(4.47)	(6.907.14)		(.95)	(.04)
	Surprise	1438.16.17	16.1220.11	66.15 (1.27)	33.90	1.43
	-	(4.47)	(26.6463)		(1.05)	(.06)