1	Practitioners' use of motivational interviewing in sport: A qualitative enquiry
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11 The purpose of this study was to explore the use of motivational interviewing (MI) in 12 sport contexts by experts in that approach. Specifically, to understand which aspects 13 of the MI approach are deemed valuable for working in sport, and begin to 14 understand how these aspects are best applied. Nine practitioners participated in 15 semi-structured interviews, and thematic analysis identified themes related to core 16 and sub-components of MI (e.g., relational spirit, technical microskills, applied tools 17 and the MI communication styles continuum). Additional themes relate to integrating 18 MI with other interventions, challenges of working with athletes (e.g., mandated 19 attendance, ambivalence about change) and unique aspects of working in sport 20 contexts (e.g., frequency, duration and location of contact points). Participants also 21 outlined essential ingredients for an MI training curriculum for practitioners in sport. 22 This counseling approach appears to have valuable relational and technical 23 components to facilitate the building of the therapeutic alliance, enhance athlete 24 readiness for change, and support delivery of action-orientated interventions in 25 applied sport psychology. 26 Key words: motivational interviewing; applied sport psychology; therapeutic

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alliance; ambivalence; integration

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#### Abstract

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#### Introduction

29 The relationship between sport psychology practitioners and their athlete 30 clients is consistently recognised as very important for the outcomes of sport 31 psychology consultancy, (e.g., Andersen & Speed, 2010; Petitpas, Giges, & Danish, 32 1999; Sharp, Hodge, & Danish, 2015). Nevertheless, what is required in the 33 discipline is greater clarity on how to cultivate and maintain these working alliances, 34 beyond broad descriptions of rapport building and verbal and non-verbal 35 communication. In this regard, repeated recommendations have been made for sport 36 psychology to learn from wider disciplines within psychology (Andersen & Speed, 37 2010: Petitpas, Giges, & Danish, 1999), with limited sources delineating specific 38 relational and technical communication skills for sport psychologists (e.g., Katz & 39 Hemmings, 2009; Longstaff & Gervis, 2016; Murphy & Murphy, 2010; Watson, 40 Hilliard, & Way, 2017).

41 One approach which seeks to maximise the working alliance, and is starting 42 to receive attention in applied sport psychology (Mack, Breckon, Butt, & Maynard, 43 2017; Mack, Breckon, O'Halloran, & Butt, 2019; Turner et al., 2019, Wood, Mack, & 44 Turner, 2020), is motivational interviewing (MI; Miller & Rollnick, 2013). MI is a 45 counseling therapy which was founded on the principles of client-centred 46 psychotherapy of Carl Rogers (1959), yet is different to traditional Rogerian 47 counseling, in that it is intentionally directional (Markland, Ryan, Tobin, & Rollnick, 2005). Guided by its underlying 'spirit'. MI primarily facilitates the building of an 48 49 interpersonal relationship between practitioner and client, and aims to resolve 50 ambivalence towards behavioral change. Initially applied as a pre-treatment to 51 action-orientated intervention work on substance addictions (Miller, 1983), MI was 52 conceived not from testing empirically-driven hypotheses, but phenomenologically

from intuitive clinical practice, as an alternative to the more confrontational styles of
therapy which were prominent at the time (Miller & Rose, 2009).

55 Breckon (2015) offers an extensive description of the core elements of MI: the 56 relational component (spirit) which consists of partnership, acceptance, compassion 57 and evocation; the technical component (microskills) which mobilises the spirit,

58 known by the acronym OARS - open-ended questions, affirmations, reflections,

59 <u>s</u>ummaries; the four+ processes (engaging, focussing, evoking, planning,

60 maintaining) within which the relational and technical components are actualized;

61 and the language of behavior change (change talk, sustain talk).

62 Psychotherapy research has repeatedly concluded that therapists who form 63 stronger alliances with their patients show better treatment outcomes than therapists 64 who form weaker alliances (e.g., Baldwin et al., 2007; Horvath & Symonds, 1991; 65 Martin, Garske, & Davis, 2000; Wilmots, Midgley, Thackeray, Reynolds, & Loades, 66 2019). MI acknowledges conceptual differences between relational and technical 67 components, and offers a philosophy of professional relationship development and 68 maintenance, and techniques to achieve those aims, in keeping with working alliance 69 theory (see Hatcher & Barends, 2006). Many of the therapist attributes and 70 techniques associated with strong alliances reported by Ackerman and Hilsenroth 71 (e.g., exploring, reflecting, providing accurate interpretations, and affirming; 2003) 72 can be found within the MI approach (e.g., Miller & Moyers, 2015, Table 1, p. 408; 73 Miller & Rollnick, 2013), not least the value of empathy and engagement with clients 74 (Miller & Rose, 2009). Similar attributes and techniques have been repeatedly 75 outlined as ideal for sport psychology practitioners (e.g., Sharp, Hodge, & Danish, 76 2015). What appears to be sparse in applied sport psychology literature is not the 77 importance of demonstrating engagement, forming working alliances and

78 communicating effectively with athletes (e.g., Sharp & Hodge, 2015), but explanation 79 of the fundamental processes or mechanics of achieving these things, i.e., the how 80 of alliance building and intervention delivery. This is a gap that MI can fill potentially, 81 particularly for students and neophyte practitioners in sport and exercise psychology. 82 One further contribution that MI may make to applied sport psychology could be a 83 framework to underpin the action-orientated approaches, such as cognitive-84 behavioral therapies and strategies, which are dominant in the discipline. This 85 integration was, in fact, the purpose of MI upon its conception, with the MI spirit 86 (ways of *being*) supporting the techniques (ways of *doing*) of other approaches 87 (Miller & Rose, 2009). An MI-CBT integration is becoming understood in other areas 88 of psychology (e.g., Naar & Safren, 2017), and this is perhaps where sport 89 psychology could seek guidance on how to effectively integrate these complimentary 90 approaches on a common factors, assimilative or theoretical level, as opposed to 91 eclectically combining tools and techniques from multiple approaches with little 92 regard for their underpinning theories (Norcross, Karpiak, & Lister, 2005). 93 Mack and colleagues (2017) identified a limited use and understanding of

94 core elements of MI by applied sport psychologists, but a significant role for MI in 95 sport psychologists' work - including the use of MI as a stand-alone or an integration 96 with other approaches. Subsequently, Mack et al. (2019) shared a single session 97 case study outlining the use of MI with one professional athlete. Therefore, the aims 98 of the current study were to provide an in-depth exploration of which components of 99 the MI approach underpin expert practitioners' work in sport, and to begin the 100 process of understanding the application of these components, for example to 101 enhance verbal communication, facilitate alliance formation and maintenance,

102 increase athlete readiness for intervention, or in conjunction with other

103 psychotherapeutic approaches.

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### Method

## 105 Participants and sampling

106 Nine practitioners took part in data collection. To gualify for inclusion, 107 participants were required to have extensive knowledge of, and experience in 108 applying MI, and be doing so in a sporting context. The Motivational Interviewing 109 Network of Trainers (MINT; www.motivationalinterviewing.org) provides training 110 internationally for practitioners wishing to become trainers in MI, and determines the 111 content and curriculum for MI training globally. MINT has a rigorous application 112 process for new members, and membership of MINT was therefore used as an 113 indication of knowledge and experience in MI, and was deemed essential for 114 inclusion in this study.

115 A purposeful sample (Patton, 2002) of 16 MI practitioners known within the 116 research team's network, and thought to be using MI in a sporting context, were 117 contacted via email to participate voluntarily in this study. Further, four practitioners 118 responded to a public message broadcast on the MINT eForum (self-recruited 119 sampling; Gomm, 2008) and six practitioners were recommended to the primary 120 researcher by practitioners from the initial round of sampling (snowball sampling: 121 Patton, 2002). This represents an exhaustive initial sample, on a global scale, of 122 practitioners thought to be applying MI in a sporting context. Of these 26 123 practitioners, 17 were eliminated due to failing to satisfy inclusion criteria, or being 124 unresponsive to repeated requests to participate. This gave a final sample size of 125 nine participants, based around the world (two in Australia; five in the U.S.A.; two in 126 mainland Europe). Participants came from a range of educational and training

127 backgrounds, including clinical psychology (n=1), health psychology (n=1), sport 128 psychology (n=3), counseling (n=2) and sport coaching (n=2). All participants were 129 members of chartered societies and governing bodies of their relevant fields (e.g., 130 Australian Psychological Society; Southern Association for Counselor Education and 131 Supervision; National Association of Social Workers). Finally, all participants were 132 applying MI in a sporting context, in roles such as head coach, sport psychologist, 133 addictions counselor, and MI trainer. The sample comprised of seven males and two 134 females, aged between 32 and 53 years ( $41.2 \pm 6$  years). Participants had between 135 4 and 23 years of experience  $(13.2 \pm 6.9 \text{ years})$  in their respective fields. Finally, 136 participants were working with a range of athletes, including amateur (e.g., club, high 137 school), college (e.g., National Collegiate Athletic Association (NCAA)), professional 138 (e.g., National Football League (NFL); Major League Baseball (MLB); Australian 139 Football League (AFL)), and Olympic level.

### 140 **Procedure**

141 Those recruited were sent participant information, participant consent forms 142 and a demographics questionnaire prior to their interviews. Voluntary, written, 143 informed consent was received from all participants. Ethical approval was provided 144 by the governing institution of the research team (HWB-2016-17-S&E-13, Sheffield 145 Hallam University). Data were collected in the form of semi-structured qualitative 146 interviews, which were conducted by the principal researcher. The semi-structured 147 nature of the interviews permitted the interviewer to deviate from the interview guide. 148 to explore novel concepts as and when they arose (Patton, 2002). Interviews were 149 conducted using internet-based conferencing software (Zoom; https://zoom.us/), as 150 this was a sample of international participants. All interviews were audio recorded on 151 a manual Dictaphone. Audio recordings were then transcribed (converted to written

form) verbatim, which initiates immersion in, familiarity with and reflection on the
collected data (Braun & Clarke, 2019), and can mark the beginning of the data
analysis process (Emerson & Frosch, 2004).

## 155 Interview guide

The interview guide was designed deductively, in that it was informed by existing MI theory, but with flexibility to ask spontaneous, probing questions. Each member of the research team contributed to the development of the final interview guide. Questions in the interview guide focused on key aspects of the application of MI, including the application and relevance (to sport contexts) of MI spirit, MI technical skills, MI processes, eliciting change talk, managing ambivalence and discord, and integrating MI with other approaches.

### 163 Data analysis

164 As latter participant interviews were being conducted, and former interviews 165 were being transcribed verbatim from audio recordings, the primary researcher 166 began to suspect that data saturation (e.g., Saunders et al., 2018) had been reached. 167 This was due to a repetition of responses given by participants in earlier interviews. 168 Therefore, additional participants were not initially sought prior to commencement of 169 data analysis. This impression of data saturation was strengthened as interview 170 transcription was completed, and after performing several initial sweeps of the 171 transcriptions to become familiar with the data, though without being pre-emptive 172 regarding what would eventually constitute themes (Saunders et al., 2018). Data 173 saturation was subsequently discussed and agreed upon within the research team 174 as data analysis progressed.

175 In order to gain a clear understanding of how MI is being applied in sport, a
176 deductive to inductive thematic analysis of the data was conducted in two phases

177 (Braun & Clarke, 2006; Braun & Clarke, 2019) by the primary researcher. In line with 178 the deductively-designed, a priori interview questions determined by broad MI core 179 components and language (e.g., Miller & Rollnick, 2013) an initial deductive sweep 180 of the data was performed. The purpose of this was to identify responses related to 181 the MI core components of spirit (e.g., partnership, empathy), microskills (e.g., 182 reflections, affirmations), four+ processes (e.g., engaging, focussing) and language 183 of change (e.g., change talk, sustain talk). In the second phase, transcripts were 184 analysed inductively to identify, analyse and report novel themes from the data 185 (Vaismoradi, Turunen, & Bondas, 2013) which did not fall immediately within the MI 186 core components, such as communication styles and traps to avoid, and applied 187 tools of MI.

The primary researcher extracted codes consisting of discrete, original 188 189 participant responses from interview transcripts, and grouped codes of similar 190 meaning to create sub-themes, using spreadsheet software (Microsoft Excel). A 191 similar process was executed on a sample of interview transcripts by other members 192 of the research team. Sub-themes were discussed, discrepancies were addressed 193 and codes were re-grouped within the research team, until consensus was reached 194 that the shared meaning of codes within each sub-theme was consistent, and had 195 been labelled appropriately (Braun & Clarke, 2019). A similar process took place to 196 group sub-themes in order to construct themes, and to label themes in ways which 197 both accurately captured theme content and would be most meaningful for the 198 reader. The research team included two practitioners who are trained in MI, and two 199 who are not, whose analysis of interview transcripts was therefore not lead by prior 200 MI knowledge. This assisted with reducing researcher bias in the data analysis.

201 Throughout the analysis, MI-specific language has been used where possible 202 to label themes and subthemes, to maintain clarity and consistency with existing MI 203 literature, and the MI practitioner training process. Novel themes which were 204 constructed were labelled accordingly with new terminology. In keeping with previous 205 articles (e.g., Sharp, Hodge, & Danish, 2019) themes and sub-themes are presented 206 briefly in Tables 1-4, in conjunction with thick descriptive quotes from participants to 207 provide detail and context for the reader. Quotes were chosen based on how 208 accurately they captured the shared meaning of the theme or sub-theme they 209 represent, and those which would provide the most meaning, context and clarity for 210 the reader. Consideration was given to the eight criteria for excellence in gualitative 211 research (worthy topic, rich rigor, sincerity, credibility, resonance, significant 212 contribution, ethics, meaningful coherence) in the design, implementation, analysis 213 and reporting of this research (Tracy, 2010). To give one example of this, to 214 represent the perspectives and contributions of the entire sample, quotations from all 215 nine participants, rather than a select few individuals, were chosen to add detail and 216 context to the themes for the reader (multivocality, contained within credibility; Tracy, 217 2010). Participants have been identified with a label in accordance with their 218 professional role (e.g., Psychologist 1).

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#### Results

Participants highlighted numerous aspects of the MI approach which feature prominently in their applied work in sport, including the four core components of MI, the applied tools of MI, sharing information and expertise with athletes in an MIconsistent manner, relational and technical traps to avoid, and the MI verbal communication styles continuum. Participants also described their consideration of structure and processes of integrating MI with other approaches or interventions, and several aspects of the MI approach which are relevant to working with athletes in
brief contact, or as a team. A number of challenges associated with working in sport
settings, and unique aspects of the sport environment, which give rise to
opportunities for implementing the MI approach, were also described. Finally,
participants shared insights on what are considered to be essential ingredients and
structure of MI training for sport psychologists.

## 232 Core components of MI (Table 1)

233 All nine participants commented on the four core components of MI (spirit; 234 technical skills; four processes; language of change; see Table 1), indicating that 235 these are paramount in their work with athletes, and felt these would be relevant 236 regardless of the context of their work. A summary of these can be seen in Table 1. 237 All participants indicated that the spirit of MI was essential to their work with athletes, 238 was something which drew them to the MI approach and something upon which they 239 placed great value. For example, Psychologist 2 said, "I use a lot of MI with athletes, 240 but one thing I always, always use is the spirit. To me, that's the most critical 241 component."

Participants spoke of the importance of each of the technical skills, primarily complexreflections and affirmations:

244 I think what the MI training did for me was really help me sharpen my use of

245 reflections, in particular complex reflections. I've really noticed a difference

246 when I've been working with clients in terms of how much quicker it is to

247 engage with the client now, and how we're getting better results than I was

248 *previously.* [Psychologist 2]

Psychologist 1 described affirmations as "something that is specific and that you're
observing that's positive about an internal quality that they have." All participants

reported their use of the original four processes model (engage, focus, evoke, plan) 251 252 and several spoke of a phase of maintenance or troubleshooting, which has been 253 proposed elsewhere for addition to the original model as a fifth process (maintain), 254 known as the four+ processes (Breckon, 2015). Participants were asked to elaborate 255 on how they specifically apply these processes with athletes. Two points in particular 256 were clear and recurring; firstly, that engaging is something which is ever-present, 257 regardless of the stage in the relationship or the intervention. And secondly, that the 258 processes do not take place in a linear, stepwise manner, but rather in a fluid, 259 flexible, non-linear manner:

260 Let's say suddenly the athlete comes up with some kind of sustain talk that

261 gives us a hint that maybe we are too far now in the process, do we really

262 have the right focus here, since here comes a lot of sustain talk? Should we

263 proceed to help this person resolve ambivalence, or should we maybe take it

a bit slower and just do a big mapping of the athlete's whole situation and see

265 what's the most important focus right now? Maybe we were a bit too quick

266 when we tried to move further on... it's not a step by step process.

267 [Psychologist 4]

268 Regarding the language of change, participants referred specifically to change talk 269 (athlete language in favour of behavior change), sustain talk (athlete language 270 against behavior change), ambivalence (athlete language which indicates mixed 271 feelings about behavior change) and resistance (athlete language which indicates a 272 lack of readiness for behavior change). All participants stated that they are 273 constantly listening to the language being used by their athletes regarding behavior 274 change, and become more attuned to this the more they practise MI. Participants are 275 consciously trying to evoke change talk from their athletes, as well as trying to

reinforce it upon hearing it. Several participants indicated that they are deliberate
and selective in their responses to athlete sustain talk, opting to stay strengthsorientated and frame their responses in a way that will evoke change talk rather than
reinforce sustain talk. It was acknowledged that change talk in particular tends to
come primarily in the form of 'preparatory' language:

281The form that I hear the most in terms of change talk is usually more of that282preparatory change talk, that desire to change, or 'I need to change' or 'I

should change', 'I should study more at night', 'I know I shouldn't be partying',

284 'I know I should go talk to the trainer about this injury', it's a lot of that.

285 [Counselor 1]

286 Participants generally stated that their work with athletes is primarily about applying 287 MI in sport as they would in other settings, as opposed to a sport-specific version of 288 MI being required. For example, Coach 2 would ask themselves, "how effective is 289 this, how can I use MI, the techniques or the spirit, how can MI help this conversation, 290 this coaching session be better?" Nevertheless, participants indicated that an 291 intimate understanding of elite sport environments and challenges is essential, as 292 well as athlete cultures, norms, pressures, risks and rewards. It was felt that 293 adaptations to the MI approach may have to be made in order to fit with these, and 294 opportunities to apply MI in sport settings may have to be actively sought. Another 295 participant described MI as "home base", and stated, "...if I'm confused at where we 296 are, or where we're going, I always just go back to MI" [Counselor 2]. To that end, 297 participants commented further on how MI enhances their work in sport settings, 298 including applied tools, sharing information and expertise, traps to avoid, challenges 299 of working with athletes, unique aspects of the sport context, brief MI interactions,

the communication styles continuum, using MI with teams, integrating MI with sportinterventions, and MI training.

## 302 MI Applied tools (Table 2)

Participants referred to several tools from the MI approach, the most common of which were scaling rulers, agenda setting, and elicit-provide (with permission) elicit (E-P-E; see discussion for description).

- 306 *I think that* [collaborative agenda setting] *does a couple of really useful*
- 307 things... you're getting a sense of what is most important for the athlete, and
- 308 often we make assumptions about 'ah yes they'd like to work on this first', or
- 309 'this is most important', but by agenda setting, we're essentially asking them
- 310 'ok what's most important for you right now?', and they're then giving us that

311 *feedback which is really valuable.* [Psychologist 2]

- 312 **MI-consistent sharing information and expertise (Table 2)**
- Participants reflected that the E-P-E format is a valuable and efficient tool for sharing key information with athletes in a respectful and collaborative manner, and that it can be used in conjunction with more instructional or educational approaches, to share information in an MI-adherent manner:
- 317 I think that it's much more effective if you offer it in that MI-consistent way, that
- 318 *E-P-E, asking what they know about imagery, cognitive rehearsal, asking if*
- 319 they've used it before and getting some input about that. Then saying 'would
- 320 you like to hear more about it' if they don't have much knowledge about it and
- 321 how it can work, then asking if it would be useful for them and in what way.
- 322 When you do that, you get greater buy-in. [Psychologist 2]

## 323 **Relational and technical traps to avoid (Table 2)**

Participants spoke of 'traps to avoid' from the MI approach, including the
'expert trap' (and associated 'righting reflex') and the 'premature focus trap'.
One participant gave a specific example of conflicting feelings and concerns
between them and an athlete who was playing through a potentially career-ending
injury, and highlights how priorities can be completely different between stakeholders.
This example contains the expert trap and righting reflex, sustain talk, acceptance
and equipoise (Miller & Rollnick, 2013):

331 I had this gut feeling of 'oh my gosh, I just want this guy to get an MRI, I want

332 this guy to get healthy so he can dominate at the next level' but he really felt

so much like 'if I go through this and tell my coach that I'm hurt, I'm losing

334 eligibility, I'm afraid I'm going to miss out on being drafted, if I rehab I may not

335 get the velocity on my fastball that I had before', so it's hard for me in those

instances when I feel like 'I know what's best for you', and I need to leave that

feeling at the door and be willing to sit with that sustain talk, sit with some of

338 that resistance to change, and honour it some, rather than push and go

339 'you've really got to get this fixed, you need to figure this out, you need to be

340 honest with your coach' because I'm not in his shoes, I'm so removed from

341 *being there.* [Counselor 1]

Participants also described differences between praising (i.e., attaching value or
making judgements about behavioral or performance outcomes) and affirming (i.e.,
enhancing self-efficacy, self-exploration and autonomy), and spoke generally of
always striving to affirm rather than praise. Nevertheless, one participant explained
being conscious of using both praise and affirmation in their role as a coach:

347 I use praise as a coach, and I think most coaches do, 'nice hitting, nice job

- 348 there', but one thing that motivational interviewing has caused me to do is ask
- 349 a question like 'how do you think that went?' and I go into MI from there, so an
- 350 affirmation that I'll give them would be along the lines of 'you're thinking about
- 351 *this more deeply' or 'you're taking this more seriously'...* [Coach 2]

## 352 MI communication styles continuum (Table 2)

Two participants, both of whom are coaches, commented on the directingguiding-following continuum of communication, and how they attempt to stay mostly in the guiding style. The first of these participants acknowledged that this is openly discussed between coaches during their coaching sessions:

- 357 I think it's important to have a guiding style, like 95% of the time... And I talk to
- 358 my colleagues about this as well, 'we have to be more guiding now', 'now is
- 359 time to be more directive'. Often if we have been directive we have to go
- 360 *quickly back to the guiding style.* [Coach 1]
- 361 The other participant acknowledged that there are times when they have to be

362 directive in their role, but limit this to when necessary, and described a conscious

363 process of "slipping in and out" of the MI approach [Coach 2].

364 Brief contact MI interactions (Table 2)

The unique settings and circumstances of sport contexts (see Table 3) give rise to conversations which participants stated could last as little as 30 seconds. This has led participants to recognise the need to be able to interact with athletes in a carefully considered way in these very brief moments:

369 Sometimes these conversations are two minutes long, but starting with that

370 open-ended question, 'what were you thinking here, what was the plan?', or if

371 I go out to the mound with a pitcher who is struggling, it's really trying to

372 understand better, instead of saying 'this is what you need to do, here's what
373 you should be doing'. [Coach 2]

## 374 Using MI with teams (Table 2)

Several participants acknowledged that this was perhaps an area to which they should give more consideration. Nevertheless, two participants were able to give specific examples of their use of MI with teams. Psychologist 5 spoke in detail of their use of MI during team sessions, for example a session to resolve conflict between players and a coach:

- 380 ... this team meeting, I spent 90% of it reflecting back to them. A lot of it was,
- 381 'so you don't feel like the coach is listening to you; it's frustrating that he's not
- 382 asking you all what you think and just telling you what to do; so he's frustrating
- 383 you because he's moving you to new positions and he's not telling you why',
- 384 those kinds of things. And it built engagement like I haven't seen.
- 385 [Psychologist 5]

## 386 Integrating MI with other interventions in sport (Table 2)

The suitability of MI for integrating with other approaches or interventions in sport was highlighted by all participants, who felt that as a minimum, the spirit, the technical skills and listening for change talk would probably be relevant in any circumstance:

- 391 I haven't really come across any mainstream approach that's incompatible
- 392 with motivational interviewing. People can find some way to weave it in there
- *in some form or fashion.* [Psychologist 3]

When it comes to the process of integrating MI into one's applied work, what appears to be essential is having an in-depth understanding of the different approaches being integrated: 397 No matter what intervention style I'm doing, I always have motivational 398 interviewing running in the background, and I was trained in person-centred 399 therapy and existential psychotherapy and then moved into the more CBT-ish kind of stuff, and it [MI] just fits really well. [Psychologist 3] 400 401 This participant also spoke of two options for integrating MI with an approach like 402 CBT or interpersonal therapy, either as preparation for another intervention, or 403 applied extemporarily when faced with, for example, ambivalence: 404 One is you just kind of do it as a precursor to CBT and then the other one is 405 you look at the common elements and you blend them together and I think 406 *vou could take a utilitarian approach.* [Psychologist 3] 407 Three participants shared more details of how they would integrate MI with another 408 approach for the duration of an intervention. Psychologist 2 described a framework 409 for underpinning action-orientated intervention work such as cognitive-behavioral 410 strategies: 411 I see MI as the kind of framework for working with the athlete, and then 412 cognitive behavioral strategies might be some of the tools that you use within 413 that framework, so your mindset of working with the athlete is very much 414 about the spirit of MI, you're using some of the techniques of MI and so forth, 415 and then you're using the CB strategies, and you're delivering them within that 416 framework. [Psychologist 2] 417 Psychologist 4 described how their work was 'topped and tailed' with more MI-

418 specific work, and how MI was used to support a middle phase of intervention419 delivery:

420 ... I think I always start from MI in my approach, to explore the situation and
421 the goal and so on... Then I'm combining my work with strategies from

422 cognitive behavioral coaching, for example using mindfulness... MI is always 423 helpful to strengthen the readiness and to strengthen the feeling of how 424 important this is, and to strengthen confidence also... I always have a follow-425 up session two or three months after the last session, and in that follow-up 426 session of course a lot of MI is the focus. [Psychologist 4] 427 Counselor 2 indicated both an MI-intensive period at commencement of the 428 relationship, and the ever-present nature of MI in their work: 429 I tend to be heavy on MI in the beginning, because I think the spirit is what 430 really creates the most fruitful relationship... after five or six sessions, we're 431 moving into mindfulness strategies or CBT. or for some a lot of traumatic 432 experiences come up, so we'll move into strategies to work through that. [MI] 433 is always interwoven, especially if emotions get high and an athlete needs a 434 break, I'll go right back to just basic reflections, that's 'home base'. [Counselor 435 2]

## 436 Challenges of working with athletes (Table 3)

437 Several participants spoke of the challenges of working with athletes,
438 including mandated attendance, coaches wanting to know the content of sessions,
439 stigma attached to mental health and psychology, athletes not being used to
440 answering questions or having opinions, and athletes being mistrusting of 'outsiders'.
441 The MI core components of spirt and technical skills were repeatedly highlighted as
442 primary strategies in overcoming many of these challenges.
443 *I have found that I have to lay a lot more groundwork in terms of establishing*

rapport and trust with athletes than I do with most of my other clients... they're
so consumed with their responsibilities to the team that I'm like an outsider... it
takes time to inspire trust. [Counselor 2]

## 447 Unique aspects of the sport context (Table 3)

448 Several participants acknowledged that interactions in sport take place in 449 settings which are very different to other contexts (e.g., healthcare), including locker 450 rooms, training grounds, gyms and corridors. Additionally, participants acknowledged 451 that contact with athletes can occur with reduced frequency and significantly reduced 452 duration compared with other settings. Examples of these include half time, time-453 outs and in-game situations, such as visiting a pitcher's mound during a baseball 454 game. Psychologist 4 labelled these conversations as "MI on the go". Participant 9 455 highlighted how most MI work takes place 'out of the moment', for example treatment 456 for alcohol addiction, but working in sport can involve working 'in the moment', in 457 situations which have literally just taken place, referred to here as 'hot' issues:

458 ... in baseball we're doing it often right in the moment... sometimes it's not
459 even after, it's in the midst of it, if it's a pitcher and I've visited the mound to
460 talk to him and he's struggling through something, and you're right in the
461 middle of it... we use this metaphor it's 'hot', it's a hot issue and they're feeling

462 *it.* [Coach 2]

## 463 MI training for sport psychologists (Table 4)

464 Participants cited several aspects of the approach as being essential for 465 training curricula for practitioners. Firstly, there was consensus from all participants 466 that the MI core components and their constituent parts were paramount, and would 467 need to be covered and understood in depth. Auxiliary components of the approach 468 which were mentioned include the righting reflex, elicit-provide-elicit, demonstrating 469 accurate empathy, maintaining practitioner equipoise (consciously deciding not to 470 use professional expertise to influence an athlete's decision in a direction the 471 practitioner views as optimal), and how to integrate MI with other strategies.

Practitioners indicated that this should be achieved through a combination of
context-specific methods, including experiential exercises, case studies, and video
samples. Further, Psychologist 2 commented on the sequence of training in MI and
other more action-orientated approaches, which potentially has implications for
training pathways:

- And then once you have that pure understanding of how this framework [MI]
  might work, then it'd be introducing the cognitive behavioral strategies,
  because I think if you do them first, I think that people would often just jump
  into suggesting those, and not within the framework. So my preference
  would be to build the MI before the [CB] strategies. [Psychologist 2]
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#### Discussion

483 The purpose of the current study was to explore the components of MI which 484 expert practitioners are applying in sport contexts, and begin to describe the 485 application of these with athletes. Findings revealed ways in which MI can enhance 486 the work of practitioners working in different roles in sport (e.g., psychologist, 487 counselor, coach). Participants have confirmed that the four core components of MI 488 (spirit; technical skills; four processes; language of change) are as pertinent to 489 working in sport as they are to working in any other setting, something which has 490 been questioned in previous research (Mack et al., 2017). Significant overlap can be 491 seen between sub-components of the MI spirit (see Table 1) and components of the 492 'real relationship' in sport psychology as outlined by Longstaff and Gervis (2016). 493 indicating that MI is one way for students, neophyte and established practitioners to 494 develop and maintain these relational aspects of their practice. 495 The MI technical skills mobilise this spirit, helping practitioners to build

496 engagement and demonstrate empathy (which are key predictors to success in

497 talking therapies; Miller & Rose, 2009), by showing that the practitioner is listening to 498 what the athlete is saying, doing their best to understand the athlete's perspective, 499 and prompting a raised consciousness of the actual words they are using, their 500 meaning and the potential directions of the conversation. In psychotherapy, empathy 501 is consistently shown to be correlated with client satisfaction and compliance with 502 treatment, and positive outcomes of treatment, and this was recently shown also to 503 be the case for athletic trainers working with NCAA athletes (David & Larson, 2018). 504 Sub-components of the MI technical skills (Table 1) are clearly linked with 'general 505 counseling skills' for developing relationships with athletes identified by Longstaff 506 and Gervis (2016). The MI four processes can provide practitioners with a conscious 507 structure for everything from a single consultancy or coaching session to long-term, 508 ongoing support, as has previously been suggested (Mack et al., 2019). It was also 509 highlighted that practitioners are considering a period of maintenance following the 510 action/intervention phase, which may constitute a fifth process (maintenance and 511 managing relapse) as proposed by Breckon (2015), and it may benefit practitioners 512 in sport to be cognizant of maintenance and lapse response when implementing 513 psychological interventions.

514 Practitioners spoke of constantly listening for opportunities to evoke, 515 acknowledge or strengthen change talk from their athletes, to increase momentum 516 towards change. The finding that athletes' change talk is usually preparatory (client 517 language which expresses perceived desire, ability, reasons or need for behavior 518 change) rather than mobilizing (client speech which indicates intention, obligation or 519 steps taken to change behavior; Miller & Rollnick, 2013) shows support for previous 520 findings on a lack of athlete readiness for change (Massey, Gnacinski, & Meyer, 521 2015) and that athlete resistance is a crucial but neglected aspect of sport

522 psychology consultancy which should be receiving greater attention (Gardner, 2017). 523 Barriers to 'gaining entry' to athletes and teams were identified a number of years 524 ago (Ravizza, 1988), and today there are still factors which can influence an athlete's 525 attitudes and openness to engaging with sport psychology (e.g., gender, previous 526 experience, stigma tolerance - see Martin, Zakrajsek, and Wrisberg (2012) for a 527 summary). Taken together, these findings indicate that sport psychology 528 practitioners need to be prepared to work with athletes who present initially as 529 ambivalent or discordant, and to work with this as it arises, responding to sustain talk 530 and ambivalence in a non-confrontational way (Apodaca et al., 2016). This has 531 previously been identified as something which is perhaps missing in applied sport 532 psychology in the UK (Mack et al., 2017), and may begin with a recognition that 533 sustain talk and ambivalence towards change are naturally-occurring aspects of the 534 change process (Miller & Rollnick, 2013; Miller & Rose, 2009). Athlete reluctance to 535 engage with sport psychology support has been acknowledged for at least 30 years 536 (e.g., Orlick, 1989), and yet strategies for overcoming this are yet to be widely 537 acknowledged and implemented within the discipline. The initial assumption can 538 often be that the 'blame' for a lack of engagement or motivation lies with the athlete 539 (Gardner, 2017), and practitioners are instead encouraged to examine their own 540 approach and behaviors to determine if they might be contributing to athlete 541 resistance (Tod, Hardy, Lavallee, Eubank, & Ronkainen, 2019). Such self-542 examination was described in a recent case study regarding an MI-rational emotive 543 behavior therapy (REBT) intervention with an athlete (Wood et al., 2020). Athlete 544 ambivalence and scepticism about sport psychology support arose after several 545 consultancy sessions, when the practitioner introduced the REBT phase of work 546 before client allegiance (Tod et al., 2019) had been achieved. Relational and

technical aspects of MI, combined with the practitioner's awareness of their role in
inducing athlete resistance, proved effective for addressing these issues, and
progressing the athlete to the point of readiness for intervention work. It has recently
been suggested that strategies for working with athlete resistance should be factored
into intervention guidelines (Latinjak, Hernando-Gimeno, Lorido-Méndez, & Hardy,
2019), which presents on way in which MI may support intervention delivery.

553 Participants commented on many other aspects of the MI approach beyond 554 the four core components. The need to share information or advice in an MI-555 consistent way (viewing the athlete as resourceful and knowledgeable; being mindful 556 of collaborating and supporting athlete autonomy) was highlighted repeatedly, with a 557 need to avoid the 'expert trap' and its inherent 'righting reflex' essential to forming 558 successful relationships. One tool for doing so which was often mentioned was the 559 elicit-provide-elicit (E-P-E) framework, which facilitates practitioners in gathering 560 information held by the athlete on a certain topic, then gaining permission to fill any 561 gaps in this knowledge, and finally checking with the athlete so see how they understand this new knowledge, and what they might do with it (Miller & Rollnick, 562 563 2013). The E-P-E framework has previously been approximated in applied sport 564 psychology literature; Petitpas et al. (1999) discuss the need for psychologists to 565 collaboratively solve problems with their athletes, by first taking time to understand 566 the athlete's issues, and then checking to see firstly whether the athlete will accept 567 information from the practitioner, and secondly if the athlete understands this 568 information once it is provided. Sachs (1999) extends this idea by suggesting an 569 additional step which takes into account the athlete's ideas for what might work for 570 them, or even strategies which they have previously (perhaps unsuccessfully)

attempted. The EPE framework can add value to sport psychology consultancy,when applied in a skilled, considered manner.

573 The differences between praising and affirming (practitioner statements which value a client positive attribute or behavior, and build self-efficacy; Miller & Rollnick, 574 575 2013) were discussed. Participants stated that where possible they seek to affirm 576 rather than praise, but occasionally (particularly in the role of a coach), there is a 577 need to step away from this MI-adherent strategy and offer praise which may help to 578 teach or reinforce the performance of a skill, or congratulate an athlete on their 579 performance. This ability to consciously 'slip in and out of' the MI approach also 580 appears relevant to the 'directing-guiding-following' continuum of communication 581 styles (Rollnick, Miller, & Butler, 2008), which was cited here as giving participants a 582 consciousness of which style they were adopting, and their reasons for this, and 583 helped them determine when it was appropriate to switch from the coach or expert-584 like style of directing back to the MI-consistent style of guiding. Being conscious of 585 affirming rather than praising, and of the flow of communication styles within a 586 conversation, appears beneficial for practitioners and has recently been further 587 supported elsewhere (Wood et al., 2020).

588 Participants' comments on integrating MI with other approaches have 589 significant implications for applied practice in sport psychology. It was stated that at 590 the very least, the MI spirit, technical skills and an awareness of athlete change talk 591 are valuable in any circumstance and regardless of other approaches being used. 592 This indicates that training in MI is a route to developing and maintaining one's 593 professional philosophy, communication strategies and self-reflection in striving to 594 cultivate meaningful professional relationships with athletes, and generate 595 momentum towards athlete behavioral change. It is likely for this reason that MI was described by participants in this study as 'home base'. It is noteworthy that all nine
participants spoke of having at least one other approach that they applied regularly
in their work with athletes, so MI was by no means regarded as a universal remedy
(cf. Miller & Rollnick, 2002).

600 Several ways of integrating MI with other approaches were indicated, 601 including: a precursor to an intervention deemed appropriate for the athlete's issues 602 or concerns; a strategy for working with ambivalence or discord, should these arise; 603 or a framework which can be used to underpin and facilitate the delivery of an 604 intervention or ongoing support from beginning to end. Regarding the latter, this is 605 likely a period of MI-intensive work at commencement of the relationship, followed by 606 delivery of the appropriate action-orientated intervention supported with relevant core 607 components from MI, and concluded with a second period of MI-intensive work for 608 review, maintenance, or possibly to assist reassessment and reformulation 609 processes. This comprehensive knowledge of the MI approach, and conscious 610 consideration of the steps for integrating MI into applied sport psychology with other 611 relevant and compatible approaches, represents a level of integration at least akin to 612 'assimilative integration', potentially even 'theoretical integration'. This is a step up 613 from 'technical eclecticism' (Norcross, Karpiak, & Lister, 2005), or a 'cherry picking' 614 or "scattergun" (Cecil & Barker, 2016, p. 63) approach, which has been proscribed 615 by the British Psychological Society as an unsuitable approach for trainee 616 practitioners (BPS, 2018). These deeper levels of integration can only be achieved 617 through greater understanding of the theories, common factors and techniques of 618 multiple approaches (Boswell, 2016), and are perhaps what practitioners in applied 619 sport psychology should be striving for. Research has already begun to describe

such integrations of MI with different cognitive behavioral therapies in applied sport
psychology (e.g., Turner et al., 2019; Wood et al., 2020).

622 Practitioners highlighted aspects of the sport context which create challenges 623 when working with athletes (Table 3). Several of these were in keeping with 624 previously identified factors which may prevent athletes from taking up or engaging 625 fully in sport psychology support (e.g., Mack et al., 2019; Martin, Zakrajsek, & 626 Wrisberg, 2012). MI appears to have several valuable tenets to assist practitioners in 627 navigating these challenges. The dynamic and unpredictable nature of consultancy 628 in the sport context also appears to create some unusual opportunities for contact 629 with athletes, often outside pre-set appointment times and in non-clinical locations 630 which would be typical of other contexts where MI has traditionally been applied. 631 These contact points can also be extremely brief, perhaps a passing conversation in 632 a corridor or changing room, and even in-game situations lasting as little as 30-60 633 seconds, when issues are 'hot' and performance may or may not be going according 634 to plan. This is absolutely the briefest of brief contact consultancy, and participants 635 were adamant that MI has a role here, by being conscious of embodying elements of 636 the MI spirit (e.g., evocation) and focusing on the MI communication microskills (e.g., 637 asking, reflecting, affirming).

638

## Implications for training in MI

Participants indicated aspects of the MI approach which would be essential for a training curriculum for practitioners working in sport settings (Table 4). The general consensus that practitioners are applying MI in the context of sport, as opposed to a sport-specific version of MI, indicates that a grounding in broad MI theory and training (i.e., Tables 1 and 2) is a suitable initiation for any practitioner wishing to add MI to their applied work in sport. It was proposed by one participant that students of sport psychology should be taught an approach like MI, with
relational and technical aspects to form the therapeutic alliance, *before* actionorientated interventions. This could minimise the risk of neophyte practitioners
prematurely applying the only intervention strategies they have learned so far,
regardless of athlete resources or readiness and without developing a sound alliance,
assessment and formulation (e.g., Cecil & Barker, 2016). This is perhaps something
for professional bodies, universities and supervisors of trainees to consider.

652

### Implications for future research

653 The training of practitioners in the MI approach opens avenues of possible 654 further research. It is of course important to investigate the impact of this training on 655 their applied practice, in terms of MI-consistency, professional relationship 656 development (from both practitioner and athlete perspectives), and impact on 657 desired outcomes, such as intervention goals and sport performance. But, only once 658 competence and consistency in applying the MI approach has been achieved, 659 reported and evidenced, can its impact in sport be truly measured. Such an 660 investigation would likely further inform best practice guidelines, help to identify 661 sport-specific adaptations of the approach (e.g., MI with teams; brief-contact MI with 662 athletes), and contribute to the development of a model for integrating MI with other 663 interventions in sport.

664

#### **Strengths and limitations**

Several sampling methods were employed to ensure the search for
participants for this study was as exhaustive as possible, producing a global sample
of practitioners who are a) working regularly in sport with amateur, international and
professional athletes, and b) proficient in the MI approach, as indicated by their
membership of MINT. The research team has attempted to show rather than tell

670 (Tracy, 2010) the reader participants' responses, using their own words and the671 established language of the MI approach.

672 There are limitations to this study, which also need to be acknowledged. It is 673 debatable if this study has identified specific adaptations to the MI approach for use 674 in sport psychology, for example during moments of brief contact with athletes. It is 675 possible that this may only be achieved through action research or case studies, to 676 identify the nuances of adapting this approach to this specific context. Additionally, 677 the participants in this study have not provided evidence of their competence in 678 using MI, nor their fidelity to the approach. Their comments are based on their recall 679 and their self-assessment of their applied work. This opens their testimonies to 680 questions of bias and accuracy, as is the case with any qualitative research of this 681 nature. Recent research on an MI-based intervention in sport (Wood et al., 2020) 682 has begun to address this limitation by audio recording practitioner-athlete 683 consultations, assessing for practitioner MI competence and fidelity, and providing 684 verbatim extracts to support practitioner assertions and reflections.

685

#### Conclusion

686 This study has offered the most in-depth exploration to date of the 687 components of MI being applied by MI-proficient practitioners in sport settings, and 688 determined that the approach has much to offer psychologists, coaches, and other 689 practitioners working in the sport context, for whom the practitioner-athlete 690 relationship is fundamental to their role and the success of their work. This includes 691 the MI core components and tools, communication styles, traps to avoid and 692 integrating MI with action-orientated interventions. More research is needed on 693 sport-specific adaptations to the approach, including working with teams and brief 694 contact interactions. MI is a viable option for neophyte and established practitioners

- to develop their professional philosophies, sharpen relational and communication
- skills for building and maintaining working alliances, and enhancing their self-
- 697 reflection.

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876	Table Titles
877	Table 1
878	Core Components and Sub-Components of MI Being Applied in Sport
879	Table 2
880	Auxiliary Aspects of MI Being Applied in Sport
881	Table 3
882	Sport Context Which Enables Opportunities for the Application of MI
883	Table 4
884	Essential Ingredients for MI Training in Sport Context

Core Components of MI	Sub-components
Spirit	Partnership
	Build athlete autonomy
	Acceptance
	Unconditional regard
	Evocation
	Compassion
	Accurate empathy
	Equipoise
Microskills	Open Questions
	Affirmations
	Reflections (simple and complex)
	Summarising
Language of change	Preparatory change talk
	Mobilising change talk
	Sustain talk
Four+ Processes	Engage
	Focus
	Evoke
	Plan
	Maintain

886 Core Components and Sub-Components of MI Being Applied in Sport

887

Theme	Sub-themes
MI applied tools	Elicit-Provide-Elicit
	Agenda mapping
	Values sort
	Scaling rulers (importance; confidence;
	readiness)
	Goal setting
MI-consistent sharing information and	Consider the therapeutic alliance
expertise	Dialogue not monologue
	Collaboration
	Athlete autonomy
	Athlete as expert
	MI-adherent
	Elicit-Provide-Elicit
Relational and technical traps to avoid	Expert trap
	Righting reflex
	Premature focus trap
MI communication styles continuum	Affirming not praising
MI communication styles continuum	Directing
	Guiding
Duist south at MI internetions	Following
Brief contact MI interactions	MI spirit is essential
	MI is adaptable to brief contact
	Short, intentional interactions
	Moment-to-moment scenarios
	Know when to direct/instruct
Using MI with teams	MI processes
	Reflections
	'Global' affirmations
	Accurate empathy
Integrating MI with other interventions in	Spirit
sport	Microskills
	Change talk
	'Home base'
	Precursor
	Common factors
	Underpinning framework
	Follow-up
	Cognitive behavioral strategies

# 890 Auxiliary Aspects of MI Being Applied in Sport

Theme	Sub-themes
Challenges of working with athletes	Mandated attendance
	Confidentiality
	Stigma towards psychology support
	Heteronomy (athletes are unaccustomed
	to being asked for their
	opinions/answers)
	Athlete mistrust of 'outsiders'
	'Quick fix' mentality within sport
	Performance-driven environment
	Deficit view of athlete issues
	Practitioner equipoise towards athlete change
	Managing discord in the relationship
	Athlete ambivalence towards change
Unique aspects of sport context	Reduced frequency of contact
	Limited duration of contact
	Non-clinical locations
	'In the moment' contact
	'Hot' issues

894 Sport Context Which Enables Opportunities for the Application of MI

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Theme	Sub-themes
MI training content	Core components of MI
-	Traps to avoid
	Elicit-Provide-Elicit
	Accurate empathy
	Equipoise
	MI integration with other approaches
	Sport culture, norms, pressures
MI training design	Multi-method
	Experiential
	Sport-specific materials

# 898 Essential Ingredients for MI Training in Sport Context