

Automatic identification of bird females using egg phenotype

Running title: **Automatic identification of bird females**

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ABSTRACT

Individual identification is crucial for studying animal ecology and evolution, and in birds, is often achieved by capturing and tagging. However, these methods are insufficient for identifying individuals/species that are secretive or difficult to catch. Here, we employ an automatic analytical approach to predict the identity of bird females based on the appearance of their eggs, using the common cuckoo (*Cuculus canorus*) as a model species. We analysed 192 cuckoo eggs using digital photography and spectrometry. Cuckoo females were identified from genetic sampling of nestlings, allowing us to determine the accuracy of automatic (unsupervised and supervised) and human assignment. Finally, we used a novel analytical approach to identify eggs that were not genetically analysed. Our results showed that individual cuckoo females lay eggs with a relatively constant appearance and that eggs laid by more genetically distant females differed more in colour. Unsupervised clustering had similar cluster accuracy to experienced human observers, but supervised methods were able to outperform humans. Our novel method reliably assigned a relatively high number of eggs without genetic data to their mothers. This is therefore a cost-

27 effective and minimally invasive method for increasing sample sizes which may facilitate research
28 on brood parasites and other avian species.

29

30 **Keywords:** machine learning, individual assignment, spotting pattern, colour, genotyping,
31 parental analysis, brood parasitism, common cuckoo

32

33 **INTRODUCTION**

34 Identification of individuals is important in animal ecology and biology research, particularly when
35 investigating variation among or within individuals in a population. Traditionally, capture-mark-
36 recapture techniques have been used to monitor individuals during their lifetime (Lindberg, 2012).
37 This method has been extended by employing more sophisticated methods such as attaching
38 GPS (global positioning system) and radio transmitters or RFID (radio frequency identification)
39 tags (Krause *et al.*, 2013) that allow researchers to investigate the spatial-temporal activity of
40 animals in more detail. However, these methods still require capturing and tagging which is
41 usually time-consuming, expensive, and may reduce animal welfare (Weinstein, 2018).
42 Therefore, there have been efforts to develop cost-effective indirect approaches to identify and
43 monitor individuals within a species.

44 These indirect approaches rely on the fact that individuals differ from each other visually and/or
45 acoustically and this variation may be used for their identification. Indeed, it has been shown that
46 e.g. face (Hou *et al.*, 2020) and body pattern data (Ferreira *et al.*, 2020) captured from
47 photographs may allow discrimination of individuals. Similarly, sounds produced by animals also
48 seem to serve as a good individual fingerprint (Petrušková *et al.*, 2016; Stowell *et al.*, 2019).
49 Recently, applying artificial intelligence techniques that automate the analysis of various types of

50 data such as pictures or audio recordings has made these methods reliable and applicable for
51 various animal taxa (Christin, Hervet, & Lecomte, 2019).

52 However, for many species, identification of all individuals in a population is still not
53 straightforward e.g. because it is difficult to catch them or due to their secretive behaviour. Here,
54 we focus on one group of animals that are especially challenging to study – avian brood parasites.
55 There are more than a hundred obligate brood parasites that never build their own nests and
56 instead lay their eggs into nests of other species (Davies, 2010). Brood parasites and their hosts
57 have been the focus of considerable research into co-evolutionary arms races (Soler, 2017) but
58 since they only lay eggs and then usually do not return to host nests (but see Šulc *et al.*, 2020),
59 and because egg laying is fast (Jelínek *et al.*, 2021), direct observation of parasitism in nature is
60 difficult which makes identification of parasitic females problematic. As a consequence, many
61 important aspects of females' life history strategy are still poorly understood (Soler, 2017).

62 Since it has been demonstrated in several bird species (including brood parasites) that individual
63 females lay eggs with a relatively constant appearance compared to other females (e.g. Øien,
64 Moksnes, & Røskaft, 1995; Høltje *et al.*, 2016), there is the potential to use egg appearance to
65 identify individual females. This method has already been applied for the identification of parasitic
66 eggs in conspecific brood parasites (e.g. Lyon, 2003). However, later studies that estimated
67 accuracy of parasitic egg identification showed ambiguous results for some species and for others
68 this method did not work at all (reviewed in Petrželková *et al.*, 2017). One of the reasons why
69 many studies found low accuracy of identification might be that closely related females lay similar
70 eggs. Indeed, it has been shown that egg appearance, namely egg colour (Morales *et al.*, 2010),
71 spotting pattern (Gosler, Barnett, & James Reynolds, 2000) and egg size (Christians, 2002) are
72 highly heritable traits which might complicate female identification especially in inbred
73 populations. Another explanation might be that previous studies did not use the most informative
74 measures of egg variability.

75 In this study, we focus on eggs of the common cuckoo (*Cuculus canorus*, hereafter cuckoo)
76 because we still have little information about the breeding biology and evolution of individual host-
77 specific races (Gibbs *et al.*, 2000; Fossøy *et al.*, 2011) in this brood parasite. Moreover, there has
78 been recent population decline (Hewson *et al.*, 2016) and a low-cost and minimally invasive
79 method of female identification would greatly facilitate conservation of this enigmatic species.
80 Using egg appearance to identify cuckoo females has already been attempted, but was
81 unsuccessful (Moksnes *et al.*, 2008). However, this study assessed cuckoo eggs from a human
82 perspective, with people sorting the eggs based on photographs. To date, there have been no
83 attempts to use more objective quantification methods for egg classification in the cuckoo. These
84 objective methods, such as spectrophotometry for measuring colours (including the ultraviolet
85 part of the spectrum), and image analysis of photographs for quantifying spotting pattern, size
86 and shape of eggs are now available, and may allow more accurate classification that can be
87 carried out in an automated manner (Gómez, Gordo, & Minias, 2021).

88 Here, we employ a detailed egg examination and novel analytical approach to analyse a wide
89 range of phenotypic features of cuckoo eggs to predict maternal identity. We also performed
90 human assessment based on photograph sorting to compare the reliability of both methods with
91 the true identity acquired from molecular analyses. Finally, since it has been suggested that
92 similar looking eggs laid by different females may belong to closely related individuals, e.g. mother
93 and daughter (Moksnes *et al.*, 2008), we will for the first time investigate the relationship between
94 the genetic distance of individual cuckoo females and the phenotypic distance of their eggs.

95 **MATERIALS AND METHODS**

96 *(a) Study system and data collection*

97 All data were collected in the fishpond area between Mutěnice (48°54'N, 17°02'E) and Hodonín
98 (48°51'N, 17°07'E) in South Moravia, Czech Republic from May to July 2016 and 2017. Here we
99 searched for and regularly checked the great reed warbler (*Acrocephalus arundinaceus*, hereafter

100 GRW) and Eurasian reed warbler (*Acrocephalus scirpaceus*, hereafter RW) nests, two common
101 hosts of the cuckoo. Most great reed warbler (hereafter GRW) nests were found during the
102 building stage. The rest of the GRW and all Eurasian reed warbler (hereafter RW) nests were
103 found in different stages of breeding by systematic searching. If possible, all GRW nests were
104 checked every day from the nest building stage until clutch completion and approximately every
105 third day during incubation. All RW nests were checked approximately every second day during
106 laying stage and extensively during incubation.

107 When a cuckoo egg was found in a host nest, we immediately measured its colour and took a
108 photo (see below) to avoid colour change during the incubation period (Hanley *et al.*, 2016). When
109 the eggshell was dirtied (e.g. by faeces or vegetation), we cleaned it with a wet cloth before
110 measuring and photographing. In the cases of multiply parasitized nests, we removed the newly
111 laid cuckoo egg(s), transferred them to an incubator (HEKA-Kongo; HEKA-Brutgeräte, Rietberg,
112 Germany) and incubated them artificially to prevent sample losses caused by the cuckoo nestlings
113 (Honza, Vošlajerová, & Moskát, 2007). The removed cuckoo eggs were either incubated until
114 hatching and chicks placed into non-parasitized host nests (for other experiments) or we froze
115 the eggs before hatching for the future genetic analysis (see *Genotyping and kinship analysis*
116 section). We took a blood sample from all 10-day old cuckoo nestlings from their ulnar or medial
117 tarsometatarsal vein (approx. 25 µl). Finally, we mist-netted 36 and 17 adult cuckoo males and
118 females, respectively, and collected their blood samples from the ulnar vein (approx. 25 µl). All
119 blood samples were stored in 96% ethanol until later genetic analysis.

120 We performed genealogical analysis based on samples collected in 2016 and 2017 (GenBank
121 project accession No. PRJNA733884). However, here we only analysed the appearance of
122 cuckoo eggs laid in 2017 because we were able to take higher quality photographs in 2017. In
123 2017, we found 203 cuckoo eggs in total (121 and 82 in the GRW and RW nests, respectively).

124 We photographed and measured the colour of 192 of them. Among these photographed cuckoo
125 eggs, genetic samples were collected from 109 nestlings or embryos.

126 *(b) Measurements of egg appearance*

127 To obtain background colour we measured reflectance using JAZ Spectrometer (Ocean Optics,
128 Dunedin, FL, USA) in the range 300–700 nm. We took nine measurements (each covering
129 approximately 1 mm²) at three different parts of the egg (sharp pole, middle part and blunt pole).
130 Since we focused on background colour, we avoided measuring dark spots. For each egg, we
131 used the measurement with the highest reflectance that best corresponded to the colour of the
132 background (Šulc *et al.*, 2019).

133 Spotting pattern was calculated from digital images taken by a Canon EOS 700D with prime
134 Canon EF 40 mm lens. All photos were taken in RAW format under diffuse sunlight conditions, at
135 the same angle and from the same distance and were referred to a grey standard (X-Rite Colour
136 Checker Grey Scale Chart) with known reflectance. Exposure settings were adjusted accordingly
137 with lighting conditions, yet the ISO value was set constant at 200 and aperture *f*/8. Image
138 calibration, pattern analysis, analysis of shape and measurements of size were performed in
139 ImageJ software (Schneider, Rasband, & Eliceiri, 2012) using the Multispectral Image Calibration
140 and Analysis (MICA) Toolbox (van den Berg *et al.*, 2020). A scale bar was included in each photo,
141 meaning that all images were equally rescaled to the scale of the smallest image (30 pixels/mm).
142 For pattern investigation we applied a granularity analysis approach (van den Berg *et al.*, 2020)
143 that creates a bandpass ‘energy’ spectrum across a range of spatial frequencies. The pattern
144 energy at each frequency band was measured as the standard deviation of the filtered image (for
145 details, see (Šulc *et al.*, 2019; van den Berg *et al.*, 2020). Since pattern energy cannot distinguish
146 between dark spots on light background and light spots on dark background, we also calculated
147 the ‘skew’ of the pattern, which quantifies the asymmetry of the pattern luminance distribution. A
148 negative value of skew implies there are more spots than background colour, while a positive

149 skew implies there is more background colour than spots. Skew was also measured at each
150 granularity band. Since the calculation of the skew is not implemented in the MICA Toolbox, we
151 provide the code in the Supporting Information (Appendix S1). All colour measurements and
152 photos were taken by a single person (M.Š.) to ensure high consistency of the data.

153 *(c) Genotyping and kinship analysis*

154 The genealogical analysis was performed on DNA samples isolated from the blood of adults (36
155 males and 17 females) and nestlings (n=165) or embryonic tissues (n=47) using a Tissue
156 Genomic DNA mini kit (Geneaid Biotech Ltd, New Taipei, Taiwan) following the manufacturer's
157 protocol. We estimated kinship relationships from nuclear SNPs and mitochondrial DNA
158 haplotypes enabling us to exclude highly implausible maternal (or maternal-sibling) relationships
159 in the inferred genealogy. Kinship relationships were estimated using Colony (Jones & Wang,
160 2010) based on >1000 nuclear SNPs. The input data file that went into the pedigree analysis in
161 Colony can be found in Supporting Information (Appendix S2).

162 To acquire the SNP dataset, we genotyped all samples with the ddRAD (double digest restriction-
163 site associated DNA) technique (Peterson *et al.*, 2012) following the protocol of (Piálek *et al.*,
164 2019). Two prepared libraries were sequenced on an Illumina HiSeq4000 system (2 lanes, 150
165 cycles P/E) in the EMBL Genomic Core Facility, Heidelberg, Germany. The obtained RAD-tags
166 were processed in Stacks v2.4 (Rochette, Rivera-Colón, & Catchen, 2019) and mapped on the
167 *Cuculus canorus* genome GCA000709325.1 (<https://www.ncbi.nlm.nih.gov>) with Bowtie2
168 assembler v2.2.4 (Langmead & Salzberg, 2012). Only loci with 95% or higher presence of
169 individuals were scored and further filtered based on Hardy–Weinberg equilibrium, linkage
170 disequilibrium and minimum minor allele frequency (0.4) in PLINK v1.9 (Purcell *et al.*, 2007) which
171 resulted in a dataset with 1620 markers.

172 For the mitochondrial haplotype analysis, we sequenced a 411-bp portion of the left-hand
173 hypervariable control region (Gibbs *et al.*, 2000; Fossøy *et al.*, 2011, 2012). Mitochondrial
174 sequence data were assembled and manually checked in Geneious v10.2.6 (Kearse *et al.*, 2012)
175 and haplotypes were estimated based on a distance matrix with up to 1% tolerance (approx. 4
176 mutations) for genotyping errors.

177 Kinship analysis assigned the offspring (n=109) to 31 clusters containing 1–12 eggs each. Since
178 human errors might have created incorrect genetic assignments (e.g. due to confusion of
179 samples), all assigned cuckoo eggs were checked against four additional criteria; 1) laying date
180 – cuckoo females cannot lay eggs more often than every second day (Wyllie, 1981), 2) host
181 species – cuckoos preferentially parasitize a single host (Nakamura, Miyazawa, & Kashiwagi,
182 2005), 3) laying area – cuckoos lay their eggs in a spatially restricted laying area (Nakamura *et al.*,
183 2005), and 4) visual check of cuckoo egg appearance – individual cuckoo females lay eggs
184 with a constant egg appearance (Moksnes *et al.*, 2008). Four eggs violated two of these criteria
185 and we suspected them to be assigned incorrectly (for details, see Fig. 8 in Supporting
186 Information, Appendix S3). Therefore, we excluded them from the dataset of genetically assigned
187 eggs (final n=105) and included them into the dataset of photographed eggs without genetic
188 samples (unlabelled dataset, final n=87). For all subsequent analyses dealing with egg phenotype
189 (see below) except the same-different analysis, we removed females to which only one egg has
190 been genetically assigned (n=10), meaning that we used a final dataset of 95 eggs laid by 20
191 females (labelled dataset). Singleton females were removed as supervised random forest learning
192 cannot be done without at least two eggs per female, and thus we kept the sample size the same
193 across the other clustering methods to enable comparability.

194 *(d) Human assessment*

195 We printed 95 photographs of cuckoo eggs that were standardized in their colour and size (Fig. 1–
196 5 in Supporting Information, Appendix S3) using the MICA Toolbox (van den Berg *et al.*, 2020).

197 We then asked twelve people to sort these photographs and create groups of pictures
198 representing individual females according to similarity in egg appearance. Firstly, we asked them
199 to sort these pictures into an unknown number of groups and, secondly, we asked them to sort
200 these pictures into 20 groups corresponding to the real number of females identified by genetic
201 assessment. For the assessments, we asked 1) five people with no experience with egg
202 appearance from wild animals, 2) three students of avian ecology that had experience with egg
203 appearance from wild birds but not cuckoo eggs and 3) four people (co-authors of this manuscript)
204 that had years of experience with cuckoo eggs. All participants received no other information
205 about the eggs. Cluster similarity between the human assessments compared to the real data
206 was determined using the adjusted Rand index, which provides a corrected-for-chance measure
207 of the similarity between two data clusterings, implemented using the 'cluster_similarity' function
208 from the R package clustereval (Ramey, 2012).

209

210 *(e) Automatic assessment*

211 We developed an automatic method based on the similarities/differences of cuckoo egg
212 phenotypes. In the first step, we collected colour, pattern and dimension data from calibrated
213 photographs and spectrophotometry data for all cuckoo eggs. Initially, we conducted Principal
214 component analysis (PCA) on different aspects of the egg photographs, in order to avoid the use
215 of correlated variables in the models. PCA components used in the final dataset were selected
216 based on scree plot inspection.

217 *Spectral data:* PCA was carried out using binned, scaled spectral data created in the R package
218 *pavo* (Maia *et al.*, 2019), and two spectral PCA components were used in the final dataset. We
219 also used two other spectral measures extracted from *pavo*: the mean brightness (B2 variable;
220 mean relative reflectance over the entire spectral range) and the position of the ultraviolet peak

221 (UV variable; defined as a wavelength within the range of 300–360nm where reflectance reached
222 the highest point).

223 *Shape data:* the variables entered into the PCA were length, maximum width, volume, ellipse
224 deviation and surface area (Troscianko, 2014). Three shape PCA components were selected for
225 inclusion into the final dataset.

226 *Pattern data:* the variables entered into the PCA were 12 pattern energies measured at a range
227 of scales (from 1 to 0.0221 in steps of 1/square root of 2) across the whole egg (van den Berg *et*
228 *al.*, 2020), and 12 pattern energy skew values measured at the same range of scales across the
229 whole egg. We also included a measure of total pattern energy across the whole egg. Finally, we
230 divided up each egg into three segments and measured the total pattern energy in each segment
231 as well as the standard deviation between segments, to get a measure of how variable the
232 patterning was across the egg. Three pattern PCA components were selected for inclusion into
233 the final dataset.

234 *Luminance data:* we analysed luminance from photographs, including both the spots and
235 background areas of the eggs. We divided the egg up into three segments and took the average
236 luminance and the standard deviation of luminance across each segment, as well as the standard
237 deviation of luminance across all three segments. One luminance PCA component was selected
238 for inclusion into the final dataset.

239 In total, the final dataset contained 11 egg phenotypic traits that were used for clustering analysis.

240 *(f) Within- and between-female variability in egg appearance*

241 To create a metric of within-female variance, we calculated the standard deviation for each
242 phenotypic trait within one female, and then took a mean value across all traits, giving an average
243 variability value for each female.

244 To create a metric of between-female variance, we calculated the average value of each
245 phenotypic trait (n=11) for each female (i.e. created an “average” egg) and then calculated the
246 standard deviation for each phenotypic trait across all females. We then averaged these standard
247 deviations to create a measure of between-female variability across all traits. All trait values were
248 scaled to ensure comparability across different traits.

249 To test whether within-female variance is lower than between-female variance, we conducted a
250 one-sample t-test where the within-female variance metric (n=20) is compared with the test value
251 (the between-female variance value).

252 We also quantified individuality using Beecher’s information statistic which can enable
253 comparison across different studies of individual identity signals (Linhart *et al.*, 2019), using the
254 R package *IDmeasurer*. We compared the real data with a control statistic where the ID labels
255 were shuffled.

256

257 *(g) Unsupervised learning*

258 Firstly, we carried out hierarchical clustering to attempt to cluster the eggs via visual similarity
259 without any training or further information (e.g. number of females present). All variables were
260 scaled for this analysis. To assess the accuracy of this method, we specified the real number of
261 groups (20) and assessed the cluster similarity between the predictions of the hierarchical model
262 for these groups compared to the real data using the adjusted Rand index, as before.

263 *(h) Supervised learning*

264 *Female clustering:* We used a random forest model with a ‘leave-one-out’ cross-validation
265 approach (Stone, 1974). For each egg in the dataset, the model was trained using a dataset
266 consisting of all other eggs, and then tested using the focal egg. The model attempted to classify

267 each egg to a given female, and the accuracy of the model was assessed using the classification
268 accuracy value, and through cluster similarity values, as before (taking the average of 1000 runs,
269 as random forest modelling is a stochastic process). We also fitted a random forest model to the
270 full dataset to allow us to assess the importance of the different variables included in the model
271 (using the mean decrease in accuracy).

272 *Same/different analysis:* We used an approach where a random forest model was trained to label
273 pairs of eggs as 'same' or 'different'. The training set used 4000 'same' rows, where the two eggs
274 were from the same female and 4000 'different' rows, where the two eggs were from different
275 females.

276 As above, we used a 'leave-one-out' cross-validation approach. For each egg in the dataset, the
277 model was trained using a same/different training dataset generated from all other eggs. In the
278 test phase, we compared the target egg on all other eggs. We calculated whether the target egg
279 was successfully labelled (i.e. it was consistently matched to eggs from the same female) or
280 whether it was erroneously labelled (i.e. it was consistently matched to eggs from another female).
281 The entire process (i.e. the training and testing process on the full dataset) was repeated 100
282 times to allow us to calculate a reliability metric i.e. what percentage of the matches made were
283 true positives.

284 For the unlabelled dataset, we ran the training component as above. For the testing phase, we
285 tested each of the unlabelled eggs on all the other eggs, calculating how many times in each of
286 100 runs the target egg was matched with a cluster of eggs that were from the same female. If
287 the percentage was greater than 95%, we considered this egg as a candidate for being from this
288 female. To corroborate this conclusion, we used non-phenotypic data: laying dates, laying locality
289 and host species.

290 *(i) Phenotype-genotype similarity*

291 Nine of the 30 labelled females were caught, and they were genotyped via blood sampling as
292 described above. Thus, we were able to calculate genetic similarities among these females
293 (Supporting Information, Appendix S4) which was done in Geneious 10.2.6
294 (<https://www.geneious.com>). To compare the genetic similarities between these females with
295 phenotype similarities of their eggs, we created a trait distance matrix by taking means of the
296 phenotypic parameters from their egg data, and then using Euclidean distance as the distance
297 metric. We compared the genetic distance matrix with the trait distance matrix using a Mantel test,
298 a statistical test of the correlation between two matrices, implemented in the vegan package in R
299 using the Kendall method (as this is most appropriate for a small dataset). We also split the
300 phenotype data into different components (spectral, pattern and shape) and calculated the
301 phenotype-genotype similarities for each of these components separately, to test whether
302 different aspects of the egg phenotype are differentially correlated with the female genotypes.

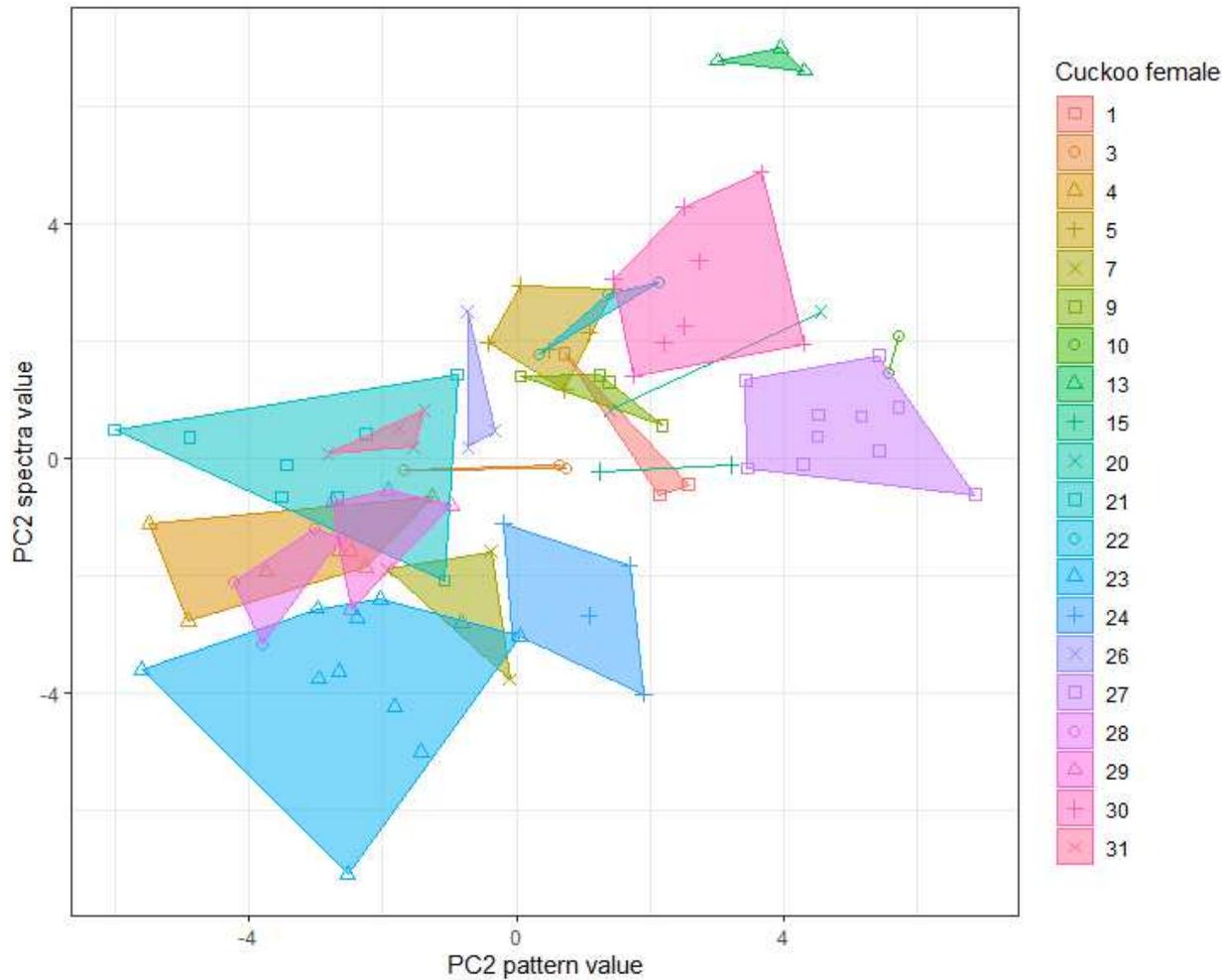
303 All code used for measuring egg appearance and carrying out analyses performed in R (R
304 Development Core Team, 2018) is provided in Supporting Information (Appendix S5).

305 **RESULTS**

306 *(a) Within- and between-female variability in egg appearance*

307 Some females laid eggs with very low variability in their appearance (e.g. female 13 – within-
308 female variance=0.33, Fig. 2 in Supporting Information, Appendix S3) and others, on the contrary,
309 had relatively high variability (e.g. female 29 – within-female variance=1.31, Fig. 4 in Supporting
310 Information, Appendix S3). The mean within-female variance was 0.85 (SD=0.30). Overall,
311 between-female variance (mean of trait standard deviations=1.83, n=11 traits; SD=1.02) was
312 higher than within-female variance (one sample t-test, $t=14.87$, $df=19$, $p<0.001$). Beecher's
313 information statistic $H_s = 1.97$ for this dataset, considering only significant variables. (This
314 compares to a control $H_s = 0.56$, where the ID labels were randomly shuffled). Variability in the
315 egg appearance is also visible in Fig. 1 where the two most informative variables in the random

316 forest analysis (PC2 for pattern and PC2 for spectral data), are plotted (for more information about
317 the variables, see below and Table 2).



318
319 *Figure 1 Values for individual eggs on the two most important PC variables (according to the*
320 *random forest model), grouped by cuckoo female ID based on the genetic assignment. PCA2*
321 *pattern variable indicates egg skew and PC2 spectra variable indicates blueness/greenness of*
322 *eggs (for details, see Table 2).*

323 *(b) Human assessment*

324 Participants with some experience of working with bird eggs performed better at the grouping task
325 than those with no experience, though there is no clear evidence that specific experience of

326 working with cuckoo eggs is beneficial (Table 1; for all results of individual people, see Supporting
327 Information, Appendix S4).

328

329 *Table 1. Cluster similarities of egg sorting performed by humans both without knowledge (when*
330 *they did not know the number of females) and with a known number of females.*

Group	No knowledge	Known number of females
No experience (n=5)	0.225 (0.066)	0.241 (0.041)
Non-specific experience (n=3)	0.502 (0.170)	0.496 (0.057)
Specific experience (n=4)	0.417 (0.050)	0.456 (0.158)

331 *Mean cluster similarity (and SD in brackets) is presented for each category.*

332

333 *(c) Unsupervised learning*

334 Clustering using unsupervised hierarchical learning gave a cluster similarity value of 0.452; similar
335 to that of experienced human observers, but better than inexperienced observers (Table 1).

336 *(c) Supervised learning (random forest analysis)*

337 *Female clustering*

338 Clustering using supervised random forest analysis (with a leave-one-out protocol) led to good
339 classification, with a mean of 77.08/95 (81.1%) of eggs correctly assigned to their genetic parent.
340 The cluster similarity had a mean of 0.61 (SD=0.03), higher than both experienced human
341 assessment and unsupervised learning.

342 We assessed variable importance (Table 2) using a full model including all data. PC2 for pattern
343 was the most important variable for classification, and the variables loading onto this PC were

344 predominantly those for the 'skew' of the pattern. PC2 for spectra was also important, with this
 345 variable being influenced by the 'blueness/greenness' of the egg.

346

347 *Table 2 The importance of individual variables in egg clustering using random forest analysis.*

Variable	Mean decrease in accuracy	Main PCA loadings
PC2_pattern	28.42	Skew values at pattern energy scales 1, 0.707, 0.5, 0.3536, 0.25, 0.1768, 0.125, 0.08839, 0.0625, 0.04419
PC2_spectra	26.80	426, 447, 468, 489, 510, 531nm
PC3_shape	23.81	Length, max width
PC1_shape	21.37	Length, max width, volume, surface area
PC1_spectra	19.79	342, 552, 573, 594, 636, 678, 699nm
UV_shape	19.36	-
PC2_shape	16.91	Ellipse deviation
PC1_luminance	15.42	Luminance sections 1, 2 and 3, standard deviation sections 1, 2 and 3
PC3_pattern	15.18	Pattern energy scales 1, 0.7071, 0.5, 0.3536, 0.04419, 0.03125, 0.0221
Brightness	12.90	-
PC1_pattern	11.23	Pattern energy scales 0.3536, 0.25, 0.1768, 0.125, 0.08839, 0.0625, total pattern energy, total pattern energy in segment 2

348 *Variables with larger mean decrease in accuracy are more important for classifying the data*
 349 *(mean decrease in accuracy is a measure of how much the accuracy of the random forest*

350 decreases due to the exclusion/permutation of a single variable). The main PCA loadings are
351 those that were greater than +/- 0.25.

352

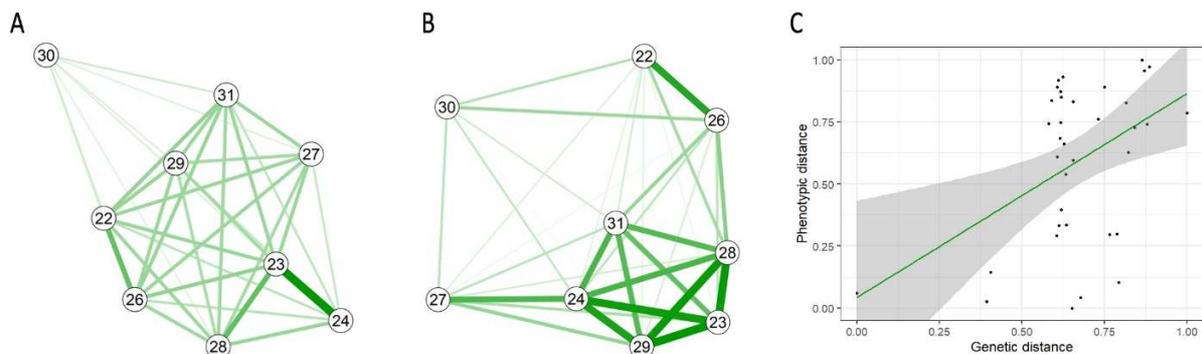
353 *Same/different analysis*

354 40 labelled eggs (out of 105) passed the reliability criterion, being assigned to a unique female on
355 95% or more of the 100 runs. 39 of these (97.5%) were assigned to the correct female; only one
356 was consistently erroneously assigned to the incorrect female. In this case, an egg from female
357 29 (e92) was matched with eggs from female 23.

358 Out of 87 unlabelled eggs, the model was able to reliably (on 95% of runs) identify 25 as belonging
359 to a labelled female (8 eggs assigned to female 5, 5 eggs to female 27, 3 eggs to female 13, 2
360 eggs to female 29, 21 and 23, and 1 egg to each of females 4, 28 and 30). For visual comparison,
361 see figures 1–5 in Supporting Information (Appendix S3).

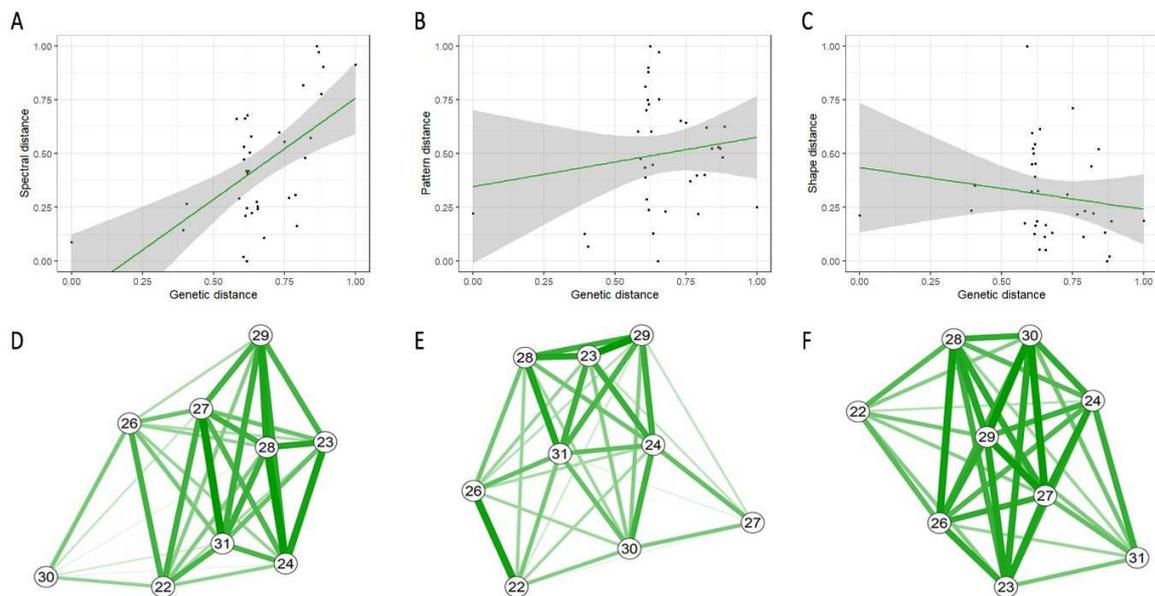
362 *(d) Phenotype-genotype similarity*

363 The average genetic similarity between 36 pairs of nine cuckoo females was 99.38% (± 0.03 SD).
364 The most genetically similar were females 23 and 24 (genetic similarity=99.50%) where female
365 23 was the mother and female 24 her daughter. There was no significant relationship between
366 female genetic distance and their overall egg phenotype distance (Mantel test $r=0.1968$, $p=0.10$,
367 Fig. 2).



368 *Figure 2 Phenotypic distances of nine average eggs laid by nine genotyped common cuckoo*
 369 *females (A) and their genetic distances (B). Thicker green lines denote higher phenotypic and*
 370 *genetic similarity. Correlation between phenotypic and genetic distances (C).*

371 When considering each aspect of phenotype distance separately, both pattern/luminance and
 372 shape distance metrics did not correlate with genetic distance ($r=0.03$, $p=0.39$ and $r=-0.23$, $p=0.93$
 373 respectively, Fig. 3). However, spectral distance did correlate with genetic distance ($r=0.36$,
 374 $p=0.04$, Fig. 3).



375 *Figure 3 Correlation between spectral (A), pattern/luminance (B) and shape (C) distances,*
 376 *respectively and genetic distances. Individual phenotypic distances of average eggs laid by nine*
 377 *genotyped common cuckoo females: spectral (D), pattern/luminance (E) and shape (F) distances.*

378

379 **DISCUSSION**

380 The results of our study support the 'constant egg-type hypothesis' predicting that individual
 381 cuckoo females lay eggs with a constant appearance (Moksnes *et al.*, 2008). This is apparent

382 from the photos of cuckoo eggs (Fig. 1–5 in Supporting Information, Appendix S3) and supported
383 by the fact that the within-clutch variation of cuckoo eggs is significantly lower than between-clutch
384 variation. This has also been observed in other bird species and several adaptive explanations
385 have been proposed for this phenomenon (reviewed in Gómez *et al.*, 2021), such as easier
386 recognition of the parasitic egg by hosts (Øien *et al.*, 1995), recognition of an individual's own
387 clutch in colonially-breeding birds (Hauber *et al.*, 2019) or signalling female quality (Moreno &
388 Osorno, 2003). Therefore, there is the potential to use egg appearance to identify individual bird
389 females and our study shows that automatic analyses may be a more accurate method than
390 human assessments.

391 The unsupervised hierarchical clustering method showed very similar results to experienced
392 human classifiers, while supervised random forest analysis showed considerably better results:
393 81% of cuckoo eggs were assigned correctly. This suggests that in some cases, automatic egg
394 assignment to females should be used rather than human assessment. Detailed consideration of
395 the clusters created by humans and the automatic methods showed that the same females were
396 problematic for both clustering methods (all sorting results can be found in Supporting Information,
397 Appendix S4), probably reflecting phenotypic overlap between some individuals (Fig. 1). Our
398 results showed that one of the pattern characteristics (skew), blueness of colour and finally egg
399 size were the most important parameters for improving clustering accuracy. The slight
400 improvement in clustering accuracy for the automatic methods over human assessment may
401 reflect the use of features that humans are not able to see (e.g. the reflected ultraviolet radiation).

402 The greatest benefit of the methods we present is the possibility to reliably assign unlabelled eggs
403 to individual females. Same-different analysis that uses both genetic and phenotypic information
404 of the labelled dataset showed 97.5% (39 of 40 cases) accuracy of egg assignment. Moreover,
405 the one wrongly assigned egg (although looking similar to the other eggs of the assigned female)
406 would be the only one posteriori suspected to be an incorrect assignment because it was laid into

407 the nest of another host species, in another locality and on the same day as another egg laid by
408 the same female (Supporting Information, Appendix S4).

409 Using this method, we were able to assign 25 eggs (out of 87) to nine known females. The
410 reliability is supported by the fact that all these 25 eggs meet all additional criteria and their
411 appearance, host species and locality where they were laid and laying date perfectly matches
412 with other eggs laid by the assigned cuckoo females (Supporting Information, Appendix S4). Our
413 method seemed to work well especially for females that laid very distinctive eggs and therefore
414 we may expect better results of the method in species where between-clutch variation
415 substantially exceeds the within-clutch variation. It must also be noted that the accuracy of the
416 assignment will increase with the relative number of (genetically and phenotypically) analysed
417 samples in the study area that are used for the training dataset, because broad sampling will
418 reduce the chance that an unsampled egg that has been laid by a completely new female will be
419 assigned to an existing (incorrect) female. Finally, we recommend applying other available
420 information (e.g. laying date and laying area) to eliminate potential incorrect assignments.

421 A previous study suggested that closely related cuckoo females may lay eggs that are
422 indistinguishable from each other (Moksnes *et al.*, 2008). Our results partially agree because
423 humans (even experienced ones) and the unsupervised automatic clustering method failed to
424 distinguish eggs of three most closely related pairs of cuckoo females (females 23 vs 24 – mother
425 and daughter, 23 vs 28 and 22 vs 26, respectively: Supporting Information, Appendix S3).
426 Moreover, detailed comparison between genetic distances of nine laying females and phenotypic
427 distances of their eggs showed the background colour of eggs was more similar between more
428 related females. However, genetic distances between females did not correlate with pattern and
429 shape distances of their eggs. Therefore, although it has been shown that all investigated egg
430 features – colour, spotting pattern and also size – have high heritability (Gosler *et al.*, 2000;
431 Christians, 2002; Morales *et al.*, 2010), our results indicate that the background colour of cuckoo

432 eggs might be the most heritable. This also supports the idea that egg colour seems to be vital
433 for egg recognition in brood parasitic systems (Spottiswoode & Stevens, 2010; Honza *et al.*,
434 2014). However, since several studies reported that hosts use spotting pattern (de la Colina *et*
435 *al.*, 2012) or egg size (Marchetti, 2000) when recognizing and eliminating parasitic eggs, we still
436 expect relatively high heritability of these egg traits in brood parasites. We suspect that the
437 insignificant relationship between genetic distance and phenotypic distance in spotting pattern
438 and size reflects our limited sample size. A larger sample size, including more mother-daughter
439 pairs, is needed to truly estimate heritability values of individual egg traits (de Villemerueil,
440 Gimenez, & Doligez, 2013). The lack of significant correlation between egg shape and genetic
441 similarity may also be explained by the fact that egg size often reflects the size of laying females
442 (Larsson & Forslund, 1992), which depends on the genetic contribution of both parents and
443 therefore might differ more even in closely related females. Moreover, cuckoos are raised by host
444 parents that vary in their provisioning care (Požgayová *et al.*, 2018), which may also influence the
445 body size of cuckoo females in adulthood. Finally, there is a positive relationship between food
446 availability and egg size (reviewed in Christians, 2002). Consequently, since egg size and shape
447 may differ even in closely related females, these traits may be very useful for identification.
448 Indeed, some human participants (and also supervised clustering analysis) distinguished eggs of
449 the three closely related females correctly, presumably because of differences in size and shape
450 (see Supporting Information, Appendix S4).

451 **CONCLUSION**

452 We conclude that although individual cuckoo females laid eggs with constant appearance, egg
453 phenotype alone cannot be used to identify individual cuckoo females. This might be caused by
454 the fact that closely related females lay eggs similar to each other. However, here we present a
455 novel supervised method that substantially increased our sample size which consequently helped
456 us to precisely estimate laying areas of cuckoo females (Koleček *et al.*, 2021). In future, we plan

457 to use this method to reveal more about the ecology and evolution of cuckoos, e.g. to investigate
458 the number of eggs laid by individual females or host selection. We encourage researchers
459 investigating inter- and intra-specific brood parasitism to use this low-cost and ethically more
460 appropriate method of individual identification. As it seems that the phenomenon of higher
461 between-female variation and lower within-female variation in egg appearance is common in
462 birds, identification of laying females using our method has the potential to be of widespread use,
463 both for brood parasitic species and also for other species where e.g. females are difficult to catch.

464 **Data accessibility**

465 The dataset supporting this article has been uploaded as part of the electronic Supporting
466 Information (Appendices S1–S5). All ddRAD reads in a form of alignments (BAM) were deposited
467 into the GenBank SRA (Sequence Read Archive) under project accession No. PRJNA733884.

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481

482 **Ethical note**

483 This study was carried out with the permission of the regional nature conservation authorities
484 (JMK: 38506/2016; MUHOCJ: 14306/2016/OŽP). The fieldwork adhered to the animal care
485 protocol (039/2011 AVČR and 3030/ENV/17-169/630/17) and to the Czech Law on the Protection
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492 **Author contributions**

493 M.Š. and A.E.H. conceived the ideas and designed methodology; M.Š., G.Š., P.P., M.P., V.B. and
494 M.H. collected data; M.Š., A.E.H., J.T., L.P. and R.P. analysed data; M.Š. led the writing of the
495 manuscript. All authors contributed to the drafts and gave final approval for publication.

496 **Preprint version**

497 This manuscript has been previously submitted to bioRxiv as a preprint (Sulc *et al.*, 2020).
498 Sulc, M., A. E. Hughes, J. Troscianko, G. Stetkova, P. Prochazka, M. Pozgayova, L. Pialek, et
499 al. 2020. Automatic identification of bird females using egg phenotype. bioRxiv.

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642

643

SUPPORTING INFORMATION

644 Additional Supporting Information may be found in the online version of this article at the
645 publisher's web-site.

646

647 Appendix S1. Code for ImageJ software used for analyzing egg pattern, including pattern energy
648 and skew.

649 Appendix S2. Data used for pedigree analysis in Colony software.

650 Appendix S3. Standardized photographs of all cuckoo eggs used in all phenotype analyses.

651 Appendix S4. All data about cuckoo eggs and analyses performed. This includes phenotype and
652 laying information about all cuckoo eggs, results of human and automatic clustering and genetic
653 distances of individual adult cuckoo females.

654 Appendix S5. Statistical code for R software.

655