

# Gridless optical networking field trial: flexible spectrum switching, defragmentation and transport of 10G/40G/100G/555G over 620-km field fiber

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**Abstract:** We present results from the first gridless networking field trial with flexible spectrum switching nodes and 620 km of installed fibre links. Signals at 10G, 12.25G, 42.7G, DP-QPSK 40G, DP-QPSK 100G and 555G are generated, successfully transported and switched using flexible, custom spectrum allocation per channel. Spectrum defragmentation is demonstrated using integrated SOA-MZI wavelength converters. Results show error-free end-to-end performance (BER<1e-9) for the OOK channels and good pre-FEC BER performance with sufficient margin to FEC limit for the 40G and 100G coherent channels as well as for the 555G super-channel.

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## 1. Introduction

Future transport networks will need to deal with a mix of providers' traffic representing services (i.e. 10Gb/s legacy channels), core traffic (i.e. at 100G, 400 Gb/s and beyond), as well as alien traffic, which could be variable bit rate and format channels. Hence, optical nodes will need to allocate resources in a flexible and efficient manner to support a mix of super-channels and lower speed channels. The nodes' complexity will largely depend on the network segment (i.e. inner core, metro), and should also facilitate transparent interoperability between segments. In addition, metro segments might carry legacy 10 Gb/s requiring dispersion compensated (DC) links whereas inner core segments might just use coherent compensation techniques (e.g. DSP) to support high speed and super-channels. To address increasing traffic growth, advances in modulation formats enable a 100G channel to fit in a standard 50-GHz WDM slot. However, this may not be the case for higher bit-rate channels. For instance, super-channels at 400 Gb/s [1], 1Tb/s [2] and beyond [3,4] will occupy broader spectrum, which neither fits within the existing ITU grid nor is supported by conventional optical network infrastructures. Moreover, simultaneously supporting a combination of high-capacity super-channels and lower bit rate channels is critical [5].

Flexible and gridless optical networking is proposed to address such diverse requirements so as to switch and transport mix line rate technologies ranging from 10 Gb/s (25GHz spectrum) for better spectral efficiency to 555 Gb/s (650GHz spectrum). However, transporting mixed signal bit rates and modulation formats in such a flexible manner could lead to spectral fragmentation and increased blocking [6]. To overcome this, a super-channel or multiple lower bit rate channels need to be moved to a different spectral region. Wavelength conversion could provide a vital network function for such spectrum defragmentation optimizing spectral efficiency. To represent this evolving network scenario, we report results from the first, to the best of our knowledge, gridless optical networking field trial with adaptive and flexible spectrum inner-core node as well as flexible spectrum switching nodes placed in different geographical locations, connected by several field fibre links totaling 620 km. We have successfully demonstrated flexible switching and transport of mixed traffic including a high-speed super-channel at 555 Gb/s (650 GHz bandwidth), coherent 100G and 40G (50 GHz), 40G OOK NRZ (100 GHz), 40G OOK RZ (150 GHz), 12.25 and 10 Gb/s NRZ (25 GHz) signals. Also, the flexible-architecture inner-core node demonstrates adaptive architecture reconfiguration as in [7], mixed channels' switching and spectral defragmentation using wavelength converters based on cross-gain modulation (XGM) in a semiconductor-optical-amplifier Mach-Zehnder Interferometer (SOA-MZI).

## 2. Gridless network scenario

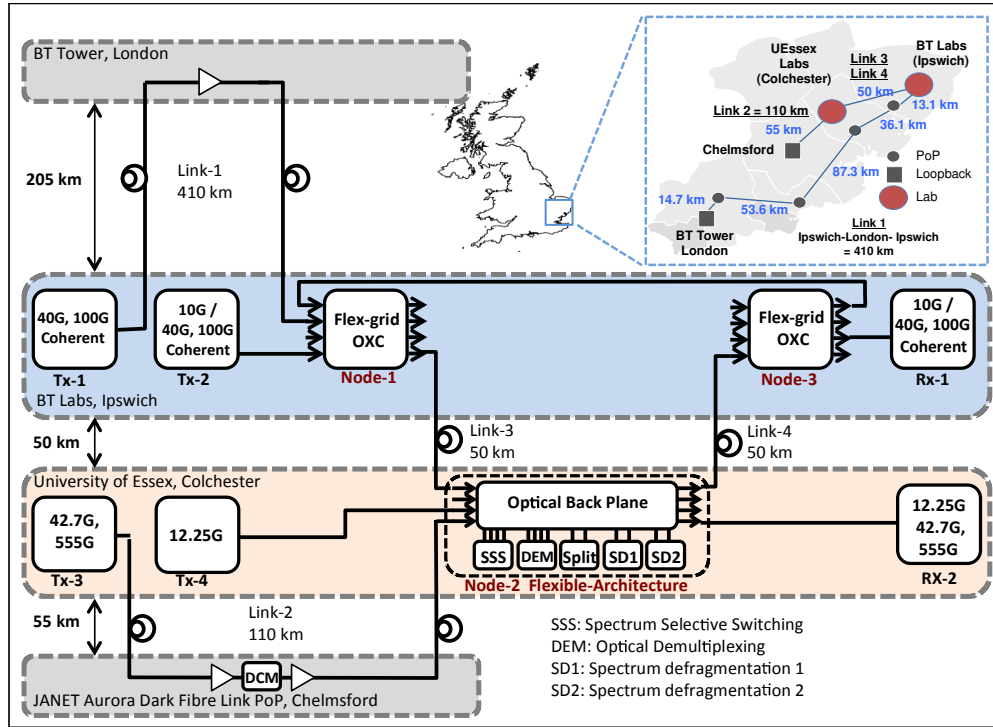


Fig. 1. Gridless networking scenario and field trial map.

As shown in Fig. 1, the field trial gridless network is comprised of 3 optical nodes placed in different geographical locations and connected by 4 field fibre links with total 620-km of installed standard single mode fibre (SSMF). Links 2 – 4 are links of conventional design with in-line DCMs, whereas link 1 is a new DCM-less link of total 410km, which has 5 in-line amplifiers located in BT exchanges from Ipswich to London BT Tower, and looping back to Ipswich. The 3 optical nodes implement flexible spectrum switching whereby signals are switched all-optically with a custom bandwidth allocation per channel. Node-2 is a flexible-architecture node [7] that supports on-demand architecture reconfiguration to provide multiple functions including spectrum switching and wavelength conversion for defragmentation. Hence, the field trial optical network represents a potential future flexible network, with different types of optical nodes with varying level of network functionality, e.g. in Core and Metro, with both conventional in-line DCM design and new DCM-less design.

At Tx-1 and Tx-2, coherent 40G (DP-QPSK) and coherent 100G (dual carrier, DP-QPSK) are generated by commercial WDM transponders [8]. The coherent 100G and 40G signals from Tx-1 are transmitted over Link-1 (410-km DCM-less) to Node-1. Also, a coherent 100G, 40G and a standard 10G NRZ channels from Tx-2 are input to Node-1 but without prior transmission. At Node-1 channels are combined using flexible spectrum switching, with a custom bandwidth allocation per channel, and transmitted over Link-3 (50-km dispersion compensated) to Node-2. Meanwhile, in Tx-3 channels 1x555 Gb/s, 3x42.7 Gb/s OOK NRZ, 1x42.7 Gb/s OOK NRZ are generated and transmitted over Link-2 (110-km dispersion compensated) to Node-2. Also, Tx-4 generates channels 3x10Gb/s OOK NRZ. Node-2 provides a flexible-architecture platform whereby modules (subsystems) are interconnected through a backplane (3D-MEMS) to form optical nodes with on-demand functionality and able to reconfigure on the fly (20ms per 3D-MEMS cross-connection) according to traffic requirements, e.g. spectrum defragmentation when and where required. All input signals to Node-2 have to be transported over Link-4 to Node-3. However, there is contention between

wavelengths, as shown in Fig. 2(b). Thus, Node-2 implements spectrum defragmentation and the signals are successfully switched, using flexible spectrum switching, to Node-3. In Node 3, the signals originally generated by Tx-1 and Tx-2 are dropped and input to the receiver Rx-1 for performance evaluation. Signals originally generated by Tx-3 and Tx-4 are spectrum-switched at Nodes 2, 3 and 1 and sent back to Node-2. At Node-2 they are dropped and input to the receiver (Rx-2) for performance evaluation.

### 3. Experimental setup and results

As shown in Fig. 2(a), the 555 Gb/s signal is generated from a 10.675-GHz 2-ps MLL pulse train followed by super-continuum generation in a HNLF, band-pass filtering and frequency-time transformation in dispersive medium (274.23-ps/nm to achieve a 23.4-ps delay between adjacent sub-carriers). Then, the signal is intensity modulated in a LiNbO<sub>3</sub> MZM with a 42.7-Gb/s signal, which is composed of four electrically multiplexed pseudo-random bit sequences (PRBS) of length  $2^7-1$ ,  $2^9-1$ ,  $2^{10}-1$  and  $2^{11}-1$ , at 10.675 Gb/s each. Thus, adjacent sub-carriers are modulated with a different PRBS. Additional 42.7 Gb/s and 12.25 Gb/s OOK signals are generated using LiNbO<sub>3</sub> MZM at Tx-3 and Tx-4 respectively. Table 1 lists the channels generated with their respective parameters, routes and bandwidth allocation. Nodes 1 and 3 are flexible spectrum switching nodes using an LCoS-based spectrum selective switch (SSS) implemented with a WaveShaper [9]. The SSS switches programmable C-band spectrum slots from 10-GHz up to 5-THz with a 1-GHz resolution. The flexible-architecture Node-2 is implemented with a 96x96 3D-MEMS optical backplane that interconnects signal-processing modules such as the SSS and two SOA-MZI wavelength converter configurations at 12.25 Gb/s [10] and 42.7 Gb/s [11], as shown in Fig. 2(c). In Nodes 1, 2 and 3, signals are allocated a customized spectral bandwidth per channel. For instance, a 650-GHz spectrum slot is allocated for the 555 Gb/s; 50 GHz for the 100 Gb/s DP-QPSK and 40 Gb/s DP-QPSK; 100 GHz for the 40 Gb/s NRZ; 150 GHz for the 42.7 Gb/s RZ; 50 GHz for the 12.25 Gb/s at  $\lambda/8'$  and  $\lambda/8''$  and 25 GHz for the remaining 12.25 Gb/s and 10 Gb/s signals.

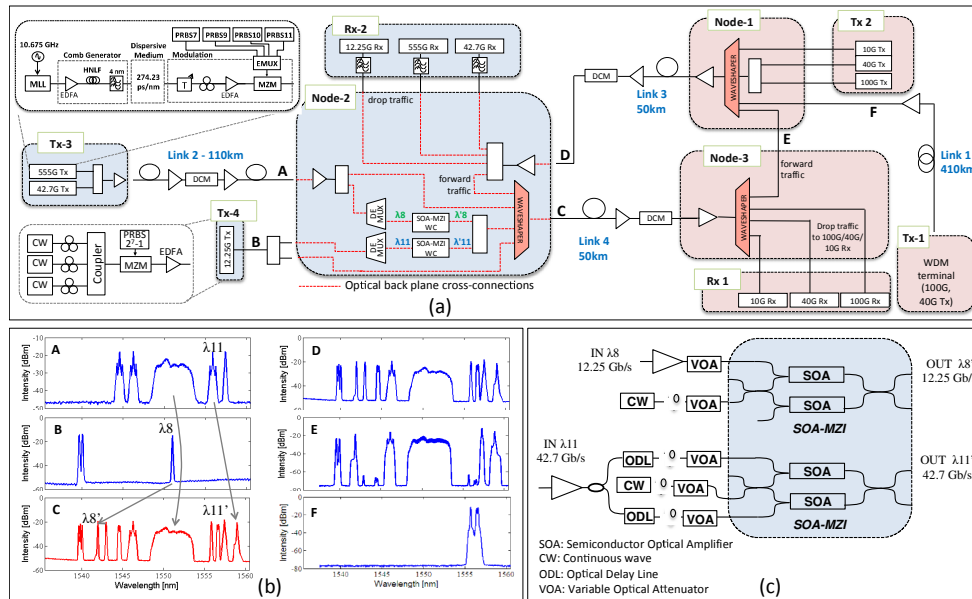


Fig. 2. (a) Field trial gridless networking setup, (b) spectra at different points in the setup; A, B and C illustrate spectrum defragmentation, (c) setup used for SOA-MZI wavelength converters.

**Table 1. Summary of the traffic in the gridless optical network field trial**

Wavelength circuit	$\lambda_1$	$\lambda_2$	$\lambda_3$	$\lambda_4$	$\lambda_5$	$\lambda_6$	$\lambda_7$	$\lambda_8/\lambda_8'$	$\lambda_9$	$\lambda_{10}$	$\lambda_{11}/\lambda_{11}'$	$\lambda_{12}$	$\lambda_{13}$
Source node	Tx-1		Node-1, Tx-2			Node-2, Tx-4			Tx-3				
Destination node	Node-3, Rx-1					Node-2, Rx-2							
Intermediate nodes	Node-1, Node-2		Node-2			Node-3, Node-1			Node-2, Node-3, Node-1				
Wavelength [nm]	1556.55	1555.75	1544.53	1542.94	1539.77	1539.57	1539.97	1550.92 / 1541.75	1546.12	1550.92	1555.75 / 1558.98	1557.36	1544.53
Bit Rate [Gb/s]	100	40	100	40	10	12.25	12.25	12.25	42.7	555	42.7	42.7	42.7
Modulation format	DP-QPSK 2 carrier	DP-QPSK	DP-QPSK 2 carrier	DP-QPSK	NRZ	NRZ	NRZ	NRZ	RZ	DMT-NRZ	RZ	NRZ	RZ
Bandwidth [GHz]	50	50	50	50	25	25	25	50	150	650	150	100	150
Links on route	1, 3, 4		3, 4			4, 3			2, 4, 3			2	
Route Length [km]	510		100			100			210			110	

The performance of coherent 100G and 40G is measured over the field trial network switching and transport; it is also compared with the point-to-point transmission. Error free performance is achieved with long-term stability as shown in Fig. 3(a) with the measured Pre-FEC BER values over the time. Figure 3(b) shows the spectrum of the 555 Gb/s signal at the receiving input to Node-2 and the end-to-end performance shows BER below  $10^{-4}$ , which is a comfortable margin to the FEC limit of  $2 \times 10^{-3}$ . Figure 3(c) shows BER measurements of 42.7 Gb/s RZ and NRZ channels at various points in the experimental setup. Transmission over Link-2 introduces a 0.3-dB penalty to the RZ channel and a 1.6-dB penalty to the NRZ channel. Spectrum switching at Node-2 adds a penalty of 0.4 dB and 1 dB to the RZ and NRZ respectively. Although the combined length of Links 3 and 4 is less than the total length of Link-2, the penalty they introduce is much higher at 2 dB for the 42.7G RZ and an error floor (BER <  $1e-9$ ) for 42.7G NRZ. This is due to the relatively high loss (~28 dB) of each of the links (Link-3, Link-4), caused by additional loss from patch panel connections, and associated OSNR degradation when amplifying the weaker signals at the receiving end. As expected, the RZ format is more robust than the NRZ [12]. The performance of the wavelength converters is evaluated by means of bit error rate measurements and results are presented in Fig. 3(d). The power penalty at BER =  $10^{-9}$  is 1.5 dB for the 10-Gb/s SOA-MZI converter and 6 dB for the 42.7-Gb/s SOA-MZI converter.

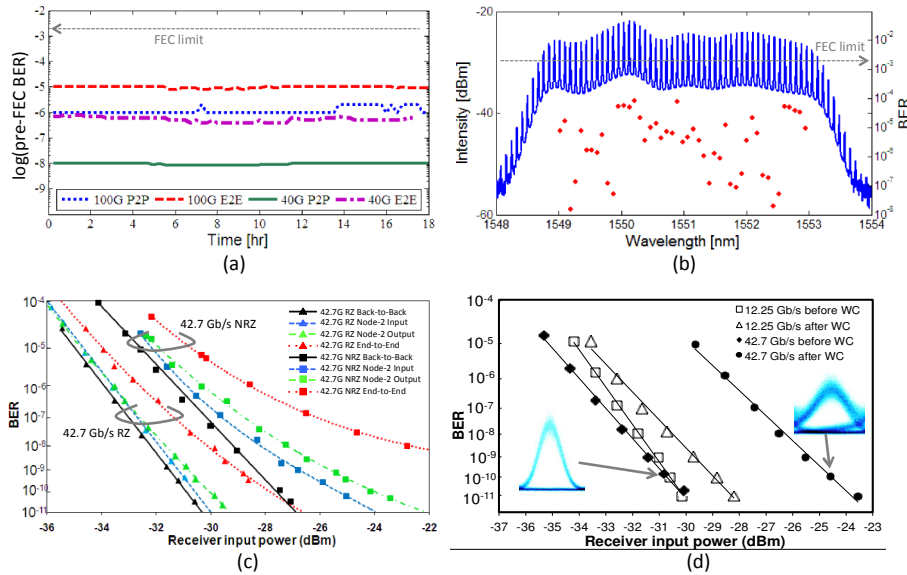


Fig. 3. (a) BER of coherent 40G and 100G channels (P2P: 410km, E2E: Gridless network 510 km), (b) 555 Gb/s signal at Node 2 and its end-to-end BER, (c) Performance of 42.7 Gb/s RZ ( $\lambda_9$ ) and 42.7 Gb/s NRZ ( $\lambda_{12}$ ) at several points in the setup (d) BER performance of 12.25-Gb/s and 42.7-Gb/s SOA-MZI wavelength converters.

#### 4. Channel filtering and spacing

Flexible allocation of bandwidth per channel requires considering individual spectral requirements (i.e. bit-rate and modulation format) and the filter shape of the devices used for (de)multiplexing. In an all-optical network successive filter stages may result in a reduced end-to-end bandwidth, which may cause signal distortions with an associated power penalty [13]. On the other hand, if the allocated bandwidth is too wide for the transported channel spectral resources are wasted. In order to evaluate the effect of narrow filtering a 42.7 Gb/s RZ channel was passed through a co-centered filter. The filter bandwidth is decreased from 160 GHz down to 40 GHz while the spectrum, eye and sensitivity of the output signal are observed. Results are presented in Fig. 4(a). As the filter bandwidth is reduced the edges of the signal spectrum are attenuated. This gradually closes the eye and introduces an increasing power penalty. A 0.9-dB penalty is observed at 100 GHz filter bandwidth increasing rapidly for narrower bandwidths.

Additional considerations are required if channels are to be tightly packed e.g. 10G at 12.5-GHz spacing [14]. Here, highly selective filters are required to reduce inter-channel crosstalk. Figure 4(b) shows the performance of a 10G channel with an adjacent 10G channel at varying channel spacings demultiplexed using a WaveShaper as a filter with 10-GHz bandwidth. There is a flat region where the SNR ( $Q^2$ ) shows little variation, from 50 GHz down to around 25 GHz. The penalty at 20-GHz spacing is 1 dB and increases rapidly for narrower channel spacings. Such degradation greatly depends on the selectivity of the filter used for channel (de)multiplexing; thus, it may be improved by using steeper filters. However, packing channels closer together also increases the interaction between them and may give rise to non-linear impairments such as XPM and FWM, which also constrain channel spacing.

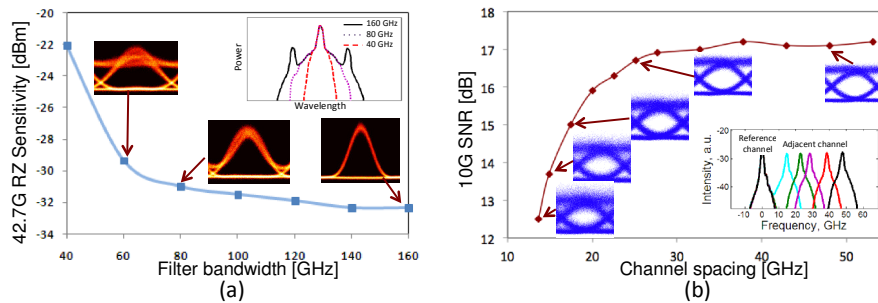


Fig. 4. (a) Filtering effects on 42.7 Gb/s RZ signal and (b) 10G SNR performance for varying channel spacings.

#### 5. Conclusion

This paper presents results from the first gridless optical networking field trial with geographically scattered flexible-spectrum-switching nodes linked by 620-km of field installed fibres, and spectrum defragmentation functionality. We have successfully demonstrated flexible spectrum switching and transport of mixed traffic with different bit rates and modulation formats including 555G, coherent 100G and 40G, as well as intensity modulated and wavelength converted 10G and 40G signals with good end-to-end BER performance. All channels are switched and transported using custom spectrum slots to support varying bandwidth requirement and optimize utilization (e.g. 555Gb/s on a 650 GHz slot, 3 adjacent 10Gb/s signals with a 25GHz spacing).

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