The Tits alternative for generalized triangle groups of type (3, 4, 2)

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May 4, 2006

Abstract

A generalized triangle group is a group that can be presented in the form $G = \langle x, y \mid x^p = y^q = w(x, y)^r = 1 \rangle$ where $p, q, r \geq 2$ and w(x, y) is a cyclically reduced word of length at least 2 in the free product $\mathbb{Z}_p * \mathbb{Z}_q = \langle x, y \mid x^p = y^q = 1 \rangle$. Rosenberger has conjectured that every generalized triangle group G satisfies the Tits alternative. It is known that the conjecture holds except possibly when the triple (p, q, r) is one of (2, 3, 2), (2, 4, 2), (2, 5, 2), (3, 3, 2), (3, 4, 2), or (3, 5, 2). In this paper we show that the Tits alternative holds in the case (p, q, r) = (3, 4, 2).

Keywords: Generalized triangle group, Tits alternative, free subgroup. **MSC:** 20F05, 20E05, 57M07.

1 Introduction

A generalized triangle group is a group that can be presented in the form

$$G = \langle x, y \mid x^p = y^q = w(x, y)^r = 1 \rangle$$

where $p, q, r \geq 2$ and w(x, y) is a cyclically reduced word of length at least 2 in the free product $\mathbb{Z}_p * \mathbb{Z}_q = \langle x, y | x^p = y^q = 1 \rangle$ that is not a proper power. It was conjectured by Rosenberger [15] that every generalized triangle group G satisfies the Tits alternative. That is, G either contains a non-abelian free subgroup or has a soluble subgroup of finite index.

If 1/p + 1/q + 1/r < 1 then G contains a non-abelian free subgroup [2]; if $r \ge 3$ then the Tits alternative holds, and in most cases G contains a non-abelian free subgroup [8]. (These results are also described in the survey article [9] and in [10].) The cases r = 2, $1/p + 1/q + 1/r \ge 1$ have had to be treated on a case by case basis. The Tits alternative was shown to hold for the cases (3, 6, 2), (4, 4, 2) in [13], and for the cases (2, q, 2) $(q \ge 6)$ in [1],[3],[4],[6],[14]. Thus the open cases of the conjecture are (p, q, r) = (2, 3, 2), (2, 4, 2), (2, 5, 2), (3, 3, 2), (3, 4, 2), and <math>(3, 5, 2). In this paper show that the conjecture holds for the case (3, 4, 2):

Main Theorem. Let $\Gamma = \langle x, y | x^3 = y^4 = w(x, y)^2 = 1 \rangle$ where $w(x, y) = x^{\alpha_1} y^{\beta_1} \dots x^{\alpha_k} y^{\beta_k}$, $1 \leq \alpha_i \leq 2$, $1 \leq \beta_i \leq 3$ for each $1 \leq i \leq k$ where $k \geq 1$. Then the Tits alternative holds for Γ .

Benyash-Krivets and Barkovich [5], [6] have proved this result when k is even, and for this reason we focus on the case when k is odd.

2 Preliminaries

We first recall some definitions and well-known facts concerning generalized triangle groups; further details are available in (for example) [9].

Let $G = \langle x, y | x^{\ell} = y^m = w(x, y)^2 = 1 \rangle$ where $w(x, y) = x^{\alpha_1} y^{\beta_1} \dots x^{\alpha_k} y^{\beta_k}$, $1 \leq \alpha_i < \ell, 1 \leq \beta_i < m$ for each $1 \leq i \leq k$ where $k \geq 1$. A homomorphism $\rho: G \to H$ (for some group H) is said to be *essential* if $\rho(x), \rho(y), \rho(w)$ are of orders $\ell, m, 2$ respectively. By [2] G admits an essential representation into $PSL(2, \mathbb{C})$.

A projective matrix $A \in PSL(2, \mathbb{C})$ is of order *n* if and only if $tr(A) = 2\cos(q\pi/n)$ for some (q, n) = 1. Note that in $PSL(2, \mathbb{C})$ traces are only defined up to sign. A subgroup of $PSL(2, \mathbb{C})$ is said to be *elementary* if it has a soluble subgroup of finite index, and is said to be *non-elementary* otherwise.

Let $\rho: \langle x, y \mid x^{\ell} = y^m = 1 \rangle \to PSL(2, \mathbb{C})$ be given by $x \mapsto X, y \mapsto Y$ where X, Y have orders ℓ, m , respectively. Then $w(x, y) \mapsto w(X, Y)$. By Horowitz [12] $\operatorname{tr} w(X, Y)$ is a polynomial with integer coefficients in $\operatorname{tr} X, \operatorname{tr} Y, \operatorname{tr} XY$, of degree k in $\operatorname{tr} XY$. Since X, Y have orders ℓ, m , respectively, we may assume (by composing ρ with an automorphism of $\langle x, y \mid x^{\ell} = y^m = 1 \rangle$, if necessary), that $\operatorname{tr} X = 2\cos(\pi/\ell)$, $\operatorname{tr} Y = 2\cos(\pi/m)$. Moreover (again by [12]) X and Y can be any elements of $PSL(2, \mathbb{C})$ with these traces. We refer to $\operatorname{tr} w(X, Y)$ as the *trace polynomial* of G. The representation ρ induces an essential representation $G \to PSL(2, \mathbb{C})$ if and only if $\operatorname{tr} XY$ is a root of $\operatorname{tr} w(X, Y)$. By [12] the leading coefficient of $\operatorname{tr} w(X, Y)$ is given by

$$c = \prod_{i=1}^{k} \frac{\sin(\alpha_i \pi/\ell) \sin(\beta_i \pi/m)}{\sin(\pi/\ell) \sin(\pi/m)}.$$
(1)

Now if X, Y generate a non-elementary subgroup of $PSL(2, \mathbb{C})$ then $\rho(G)$ (and hence G) contains a non-abelian free subgroup. Thus in proving that G contains a non-abelian free subgroup we may assume that X, Y generate an elementary subgroup of $PSL(2, \mathbb{C})$. By Corollary 2.4 of [15] there are then three possibilities: (i) X, Ygenerate a finite subgroup of $PSL(2, \mathbb{C})$; (ii) tr[X, Y] = 2; or (iii) trXY = 0. The finite subgroups of $PSL(2, \mathbb{C})$ are the alternating groups A_4 and A_5 , the symmetric group S_4 , cyclic and dihedral groups (see for example [7]). The Fricke identity

$$tr[X,Y] = (trX)^{2} + (trY)^{2} + (trXY)^{2} - (trX)(trY)(trXY) - 2$$

implies that (ii) is equivalent to $trXY = 2\cos(\pi/\ell \pm \pi/m)$. These values occur as roots of trw(X,Y) if and only if G admits an essential cyclic representation. Such a

representation can be realized as $x \mapsto A, y \mapsto B$ where

$$A = \begin{pmatrix} e^{i\pi/\ell} & 0\\ 0 & e^{-i\pi/\ell} \end{pmatrix}, \quad B = \begin{pmatrix} e^{\pm i\pi/m} & 0\\ 0 & e^{\mp i\pi/m} \end{pmatrix}.$$

We summarize the above as

Lemma 1 Let $G = \langle x, y | x^{\ell} = y^m = w(x, y)^2 = 1 \rangle$. Suppose $G \to PSL(2, \mathbb{C})$ is an essential representation given by $x \mapsto X, y \mapsto Y$, where $\operatorname{tr} X = 2\cos(\pi/\ell)$, $\operatorname{tr} Y = 2\cos(\pi/m)$. If G does not contain a non-abelian free subgroup then one of the following occurs:

- 1. X, Y generate A_4, S_4, A_5 or a finite dihedral group;
- 2. $trXY = 2\cos(\pi/\ell \pm \pi/m);$
- 3. trXY = 0.

Case (2) occurs if and only if G admits an essential cyclic representation.

3 Proof of Main Theorem

Throughout this section Γ will be the group defined in the Main Theorem.

Lemma 2 If Γ admits an essential cyclic representation then Γ contains a nonabelian free subgroup.

\mathbf{Proof}

Let $\rho: \Gamma \to \mathbb{Z}_{12}$ be an essential representation. Then $K = \ker \rho$ has a deficiency zero presentation with generators

$$\begin{array}{ll} a_1 = yxy^{-1}x^{-1}, & a_2 = y^2xy^{-2}x^{-1}, & a_3 = y^3xy^{-3}x^{-1}, \\ a_4 = xyxy^{-1}x^{-2}, & a_5 = xy^2xy^{-2}x^{-2}, & a_6 = xy^3xy^{-3}x^{-2}. \end{array}$$

and with relators

$$W'(a_i, \dots, a_6, a_1, \dots, a_{i-1})W'(y^2 a_i y^2, \dots, y^2 a_6 y^2, y^2 a_1 y^2, \dots, y^2 a_{i-1} y^2) \quad (1 \le i \le 6)$$

where W' is a rewrite of W.

Let $S = \{ [a_i, a_j], a_i(y^2 a_i y^2) (1 \le i, j \le 6) \}$, and let L, N respectively be the normal closures of S and $S \cup \{a_6\}$ in K. Noting that

$$\begin{aligned} y^2 a_1 y^2 &= a_3 a_2^{-1}, & y^2 a_2 y^2 &= a_2^{-1}, & y^2 a_3 y^2 &= a_1 a_2^{-1}, \\ y^2 a_4 y^2 &= a_2 a_6 a_5^{-1} a_2^{-1}, & y^2 a_5 y^2 &= a_2 a_5^{-1} a_2^{-1}, & y^2 a_6 y^2 &= a_2 a_4 a_5^{-1} a_2^{-1}, \end{aligned}$$

we have that $K/L \cong \mathbb{Z}^4$ and $K/N \cong \mathbb{Z}^3$, and hence that $N/N' \neq 0$.

Let $\phi : K \to K$ be given by $a_i \mapsto y^2 a_i y^2$ $(1 \leq i \leq 6)$. It is clear from the presentation of K that ϕ is an automorphism of K; furthermore $\phi(N) = N$. In the

abelian group K/N, $\phi(a_i) = y^2 a_i y^2 = a_i^{-1}$ $(1 \le i \le 6)$. That is, ϕ induces the antipodal automorphism $\alpha \mapsto -\alpha$ on K/N. By Corollary 3.2 of [13], K contains a non-abelian free subgroup. \Box

We will write the trace polynomial of Γ as $\tau(\lambda) = \operatorname{tr} w(X, Y)$, where $\operatorname{tr}(X) = 1$, $\operatorname{tr}(Y) = \sqrt{2}$, $\lambda = \operatorname{tr}(XY)$. By Lemmas 1 and 2 we may assume that $\operatorname{tr} XY = 0$ or X, Y generate A_4, S_4 , or A_5 . But Y has order 4 so X, Y cannot generate A_4 or A_5 . If X, Y generate S_4 then the product XY has order 2 or 4 so $\operatorname{tr} XY = 0, \pm \sqrt{2}$. Suppose $\operatorname{tr} XY = -\sqrt{2}$. It follows from the identity

$$\mathrm{tr}XY + \mathrm{tr}X^{-1}Y = (\mathrm{tr}X)(\mathrm{tr}Y)$$

that $\operatorname{tr} X^{-1}Y = 2\sqrt{2}$. Replacing X by X^{-1} in Lemma 1 shows that Γ contains a non-abelian free subgroup. Thus we may assume that the only roots $\lambda = \operatorname{tr} XY$ of τ are $\lambda = 0, \sqrt{2}$. Using (1) the leading coefficient of τ is given by $c = \pm (\sqrt{2})^{\kappa}$ where κ denotes the number of values of *i* for which $\beta_i = 2$. Hence $\tau(\lambda)$ takes the form

$$\tau(\lambda) = (\sqrt{2})^{\kappa} \lambda^s (\lambda - \sqrt{2})^{k-s} \tag{2}$$

where $s \ge 0$. Moreover, Theorem 2 of [6] implies that the Main Theorem holds when k is even, so we may assume that k is odd.

Let

$$A = \begin{pmatrix} e^{i\pi/3} & 0\\ 1 & e^{-i\pi/3} \end{pmatrix}, \quad B = \begin{pmatrix} e^{i\pi/4} & z\\ 0 & e^{-i\pi/4} \end{pmatrix}.$$

Then trA = 1, tr $B = \sqrt{2}$, tr $AB = z - (\sqrt{6} - \sqrt{2})/2$. Consider the representation ρ : $\langle x, y \mid x^3 = y^4 = 1 \rangle \rightarrow PSL(2, \mathbb{C})$ given by $x \mapsto A, y \mapsto B$. Then tr $\rho(x^{\alpha_1}y^{\beta_1}\dots x^{\alpha_k}y^{\beta_k}) = \tau(z - (\sqrt{6} - \sqrt{2})/2)$ whose constant term (by (2)) is

$$\pm (\sqrt{2})^{\kappa} ((\sqrt{6} - \sqrt{2})/2)^{s} ((\sqrt{6} + \sqrt{2})/2)^{k-s}$$

which simplifies to

$$\pm (\sqrt{2})^{\kappa} ((\sqrt{6} + \sqrt{2})/2)^{k-2s}$$

Now the constant term in $tr(A^{\alpha_1}B^{\beta_1}\dots A^{\alpha_k}B^{\beta_k})$ is equal to

$$2\cos\left(\frac{(4\sum_{i=1}^k \alpha_i + 3\sum_{i=1}^k \beta_i)\pi}{12}\right).$$

Thus $(\sqrt{2})^{\kappa}((\sqrt{6}+\sqrt{2})/2))^{k-2s} = 2\cos\left(\frac{(4\sum_{i=1}^{k}\alpha_i+3\sum_{i=1}^{k}\beta_i)\pi}{12}\right)$ and since k is odd, this only happens if $\kappa = 0$ and $k-2s = \pm 1$. It follows that

$$4\sum_{i=1}^{k} \alpha_i + 3\sum_{i=1}^{k} \beta_i = 1, 5, 7, 11 \text{ mod } 12.$$
(3)

Since $\kappa = 0$ there is no value of *i* for which $\beta_i = 2$ and hence Γ maps homomorphically onto the group

$$\bar{\Gamma} = \langle x, y \mid x^3 = y^2 = \bar{w}(x, y)^2 = 1 \rangle$$
(4)

where $\bar{w}(x,y) = x^{\alpha_1}y \dots x^{\alpha_k}y$. If \bar{w} is a proper power then $\bar{\Gamma}$ contains a non-abelian free subgroup by [2]. Thus we may assume that \bar{w} is not a proper power, and so (4) is a presentation of $\bar{\Gamma}$ as a generalized triangle group.

We will write the trace polynomial of $\overline{\Gamma}$ as $\sigma(\mu) = \operatorname{tr} \overline{w}(\overline{X}, \overline{Y})$, where $\operatorname{tr}(\overline{X}) = 1$, $\operatorname{tr}(\overline{Y}) = 0$, $\mu = \operatorname{tr}(\overline{X}\overline{Y})$. It follows from (3) that $\sum_{i=1}^{k} \alpha_i \neq 0 \mod 3$ so $\overline{\Gamma}$ admits no essential cyclic representation. By Lemma 1 we may assume that $\mu = 0$ or $\overline{X}, \overline{Y}$ generate A_4, S_4, A_5 or a finite dihedral group, in which case $\overline{X}\overline{Y}$ has order 2, 3, 4, or 5 and hence $\mu = 0, \pm 1, \pm \sqrt{2}, (\pm 1 \pm \sqrt{5})/2$. Moreover \overline{X} is of order 4 in $SL(2, \mathbb{C})$ so $\overline{X}^{-1} = -\overline{X}$ and thus $\operatorname{tr}(\overline{X}^{-1}\overline{Y}) = -\mu$ and $\operatorname{tr}\overline{w}(\overline{X}, \overline{Y}) = (-1)^k \operatorname{tr}\overline{w}(\overline{X}^{-1}, \overline{Y})$, so $\sigma_w(\mu) = \pm \sigma_w(-\mu)$. Thus μ and $-\mu$ occur as roots of σ with equal multiplicity. By (1) the leading coefficient of σ is ± 1 so

$$\sigma(\mu) = \pm \mu^{u_1} (\mu^2 - 1)^{u_2} (\mu^2 - 2)^{u_3} (\mu^2 - (3 + \sqrt{5})/2)^{u_4} (\mu^2 - (3 - \sqrt{5})/2)^{u_5}$$

where $u_1, u_2, u_3, u_4, u_5 \ge 0$ and $u_1 + 2u_2 + 2u_3 + 2u_4 + 2u_5 = k$. Since $tr(\bar{X}\bar{Y})$ is a polynomial with integer coefficients in $tr\bar{X} = 1, tr\bar{Y} = 0$ we have that $u_5 = u_4$ so

$$\sigma(\mu) = \pm \mu^{u_1} (\mu^2 - 1)^{u_2} (\mu^2 - 2)^{u_3} (\mu^4 - 3\mu^2 + 1)^{u_4}$$
(5)

and $u_1 + 2u_2 + 2u_3 + 4u_4 = k$. Let

$$\tilde{A} = \begin{pmatrix} e^{i\pi/3} & 0\\ 1 & e^{-i\pi/3} \end{pmatrix}, \quad \tilde{B} = \begin{pmatrix} i & z\\ 0 & -i \end{pmatrix}$$

Then $\operatorname{tr} \tilde{A} = 1$, $\operatorname{tr} \tilde{B} = 0$, $\operatorname{tr} \tilde{A} \tilde{B} = z - \sqrt{3}$. Now the constant term in $\sigma(z - \sqrt{3})$ is $(-\sqrt{3})^{u_1} \cdot 2^{u_2}$. But the constant term in $\operatorname{tr} (\tilde{A}^{\alpha_1} \tilde{B} \dots \tilde{A}^{\alpha_k} \tilde{B})$ is $2 \cos((2 \sum_{i=1}^k \alpha_i + 3k)\pi/3) = \pm \sqrt{3}$ so $u_1 = 1, u_2 = 0$ and thus $k = 1 + 2u_3 + 4u_4$.

Lemma 3 If $\sqrt{2}$ is a repeated root of $\sigma(\mu)$ then Γ contains a non-abelian free subgroup.

Proof

Let $q: \Gamma \to \overline{\Gamma}$ denote the canonical epimorphism. By hypothesis, there is an essential representation $\rho: \overline{\Gamma} \to PSL(2, \mathbb{C}[\mu]/(\mu - \sqrt{2})^2)$. Indeed, we can construct ρ explicitly via:

$$\rho(x) = \begin{pmatrix} e^{i\pi/3} & \mu \\ 0 & e^{-i\pi/3} \end{pmatrix}, \qquad \rho(y) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

Composing this with the canonical epimorphism

$$\psi: PSL(2, \mathbb{C}[\mu]/(\mu - \sqrt{2})^2) \to PSL(2, \mathbb{C}[\mu]/(\mu - \sqrt{2})) \cong PSL(2, \mathbb{C})$$

gives an essential representation $\tilde{\rho} = \psi \circ \rho : \overline{\Gamma} \to PSL(2, \mathbb{C})$ with image S_4 , corresponding to the root $\sqrt{2}$ of the trace polynomial.

Let \overline{K} denote the kernel of $\tilde{\rho}$, V the kernel of ψ , and K the kernel of the composite map $\tilde{\rho} \circ q : \Gamma \to PSL(2, \mathbb{C})$. Then V is a complex vector space, since its elements have the form $\pm (I + (\mu - \sqrt{2})A)$ for various 2×2 matrices A, with multiplication

$$[\pm (I + (\mu - \sqrt{2})A)][\pm (I + (\mu - \sqrt{2})B)] = \pm (I + (\mu - \sqrt{2})(A + B)).$$

Now \bar{K} is generated by conjugates of $(xy)^4$ and $\rho((xy)^4) = -I + (\mu - \sqrt{2})M$ where $M = \begin{pmatrix} 2\sqrt{2} & -2(1+i\sqrt{3}) \\ 2(1-i\sqrt{3}) & -2\sqrt{2} \end{pmatrix}$. Since M is non-zero, \bar{K} (and hence K) maps onto the free abelian group of rank 1. Let N be a normal subgroup of K such that $K/N \cong \mathbb{Z}$.

Note that K is the fundamental group of a 2-dimensional CW-complex X arising from the given presentation of Γ . This complex X has 24 cells of dimension 0, 48 cells of dimension 1, and $24(\frac{1}{4} + \frac{1}{3} + \frac{1}{2}) = 26$ cells of dimension 2. Here, 24/4 = 6 of the 2-cells (call them $\alpha_1, \ldots, \alpha_6$, say) arise from the relator y^4 , 24/3 = 8 ($\alpha_7, \ldots, \alpha_{14}$, say) arise from the relator x^3 , and 24/2 = 12 ($\alpha_{15}, \ldots, \alpha_{26}$, say) arise from the relator $w(x, y)^2$. Moreover, $\alpha_1, \ldots, \alpha_6$ are attached by maps which are 2nd powers. Let \hat{X} be the regular covering complex of X corresponding to the normal subgroup N of K and let $\hat{\alpha}_i$ denote a lift of the 2-cell α_i . Then each of $\hat{\alpha}_1, \ldots, \hat{\alpha}_6$ is a 2-cell attached by a map which is a 2nd power.

Let GF_2 denote the field of 2 elements. Now $H_2(\hat{X}, GF_2)$ is a subgroup of the 2-chain group $C_2(\hat{X}, GF_2)$ and since K/N freely permutes the cells of $\hat{X}, C_2(\hat{X}, GF_2)$ is a free $GF_2(K/N)$ -module on the basis $\hat{\alpha}_1, \ldots, \hat{\alpha}_{26}$. Let Q be the free $GF_2(K/N)$ submodule of $C_2(\hat{X}, GF_2)$ of rank 6 generated by $\hat{\alpha}_1, \ldots, \hat{\alpha}_6$. Since these 2-cells are attached by maps which are 2nd powers, their boundaries in the 1-chain group $C_1(\hat{X}, GF_2)$ are zero. Thus Q is a subgroup of $H_2(\hat{X}, GF_2)$. Since the rank of Q is greater than $\chi(X) = 2$, Theorem A of [13] implies that K, and hence Γ , contains a non-abelian free subgroup \Box

Lemma 4 If $(1+\sqrt{5})/2$ is a repeated root of $\sigma(\mu)$ then Γ contains a non-abelian free subgroup.

Proof

The proof is similar to that of Lemma 3. In this case $\tilde{\rho}$ has image A_5 , corresponding to the root $(1+\sqrt{5})/2$. The complex X has 60 0-cells, 120 1-cells, and $60(\frac{1}{4}+\frac{1}{3}+\frac{1}{2})=65$ 2-cells (so $\chi(X) = 5$). Moreover, 60/4 = 15 of the 2-cells (call them $\alpha_1, \ldots, \alpha_{15}$, say) are attached by maps which are 2nd powers. As before, the free $GF_2(K/N)$ submodule, Q, of $C_2(\hat{X}, GF_2)$ of rank 15 generated by $\hat{\alpha}_1, \ldots, \hat{\alpha}_{15}$ is a subgroup of $H_2(\hat{X}, GF_2)$. Since the rank of Q is greater than $\chi(X)$, Theorem A of [13] again implies that K contains a non-abelian free subgroup. \Box

By Lemmas 3 and 4 we may assume $u_3, u_4 \leq 1$ so $k \leq 7$. A computer search reveals that if k = 3 or 7 then there is no word w(x, y) such that $\tau(\lambda)$ is of the form (2). If k = 5 then (up to cyclic permutation, inversion, and automorphisms of $\langle x | x^3 \rangle$ and $\langle y | y^4 \rangle$) the only word w(x, y) with $\tau(\lambda)$ of the form (2) is $w = xyxyx^2y^3x^2yxy^3$. In this case, a computer search using GAP [11] shows that Γ contains a subgroup of index 4 which maps onto the free group of rank 2. If k = 1then either $\Gamma = \langle x, y | x^3 = y^4 = (xy)^2 = 1 \rangle$ or $\Gamma = \langle x, y | x^3 = y^4 = (xy^2)^2 = 1 \rangle$. In the first case $\Gamma \cong S_4$, and in the second Γ can be written as an amalgamated free product

$$\Gamma = \langle \ x, y^2 \ | \ x^3 = y^4 = (xy^2)^2 = 1 \ \rangle \underset{\langle \ y^2 \ | \ y^4 \ \rangle}{*} \langle \ y \ | \ y^4 \ \rangle$$

in which the amalgamated subgroup has index 3 in the first factor and index 2 in the second, and thus Γ contains a non-abelian free subgroup. This completes the proof of the Main Theorem.

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