

## **Intra-Party Democracy and Responsiveness to Rival Parties' Policies\***

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## **Intra-Party Democracy and Responsiveness to Rival Parties' Policies**

**Objective:** We address whether intraparty democracy conditions political parties' responsiveness to rival parties' policy shifts.

**Method:** We estimate parameters of a spatial econometric model of parties' policy positions in eleven established democracies.

**Results:** Internally democratic parties respond to shifts in rival parties' policies, and internally undemocratic parties do not respond rival parties' policy shifts.

**Conclusion:** We argue that this occurs because intra-party deliberation provides a channel through which rival parties influence their competitors' policies. Because rank-and-file party members are influenced by deliberative processes more than party leaders, and the policy goals of internally democratic parties' policies are heavily influenced by their party members – deliberative processes lead democratic parties to respond to shifts in rival parties' policy positions. This work has important implications for our understanding of parties' election strategies, intra-party politics, and how policies diffuse between parties competing in the same election.

**Keywords:** party policy positions, intra-party democracy, spatial model, diffusion

Spatial theory suggests that parties respond to the median voter and that rival parties' policy positions will also influence parties' positions (e.g., Downs, 1957; Cox, 1990; Roemer, 2001). Several studies present empirical evidence that support these expectations, namely, that parties respond to the median (Adams et al., 2004, 2006; Downs, 1957; Erikson et al., 2002; McDonald and Budge, 2005; Budge et al., 2012; Soroka and Wlezien, 2010), and that parties are also responsive to rival parties' policy positions (Adams and Somer-Topcu, 2009a, Williams, 2015; Williams and Whitten, 2015; see also Williams et al., 2015).

Lehrer (2012) and Schumacher et al. (2013) extend this research by introducing the notion that the responsiveness to the median is *conditional* on party-level characteristics (see also Adams et al., 2006; Ezrow et al., 2011):<sup>1</sup> specifically, the internal organization of political parties conditions how they respond to public opinion. Political parties are *more responsive to their core supporters* when their internal organization is *more democratic*, and, on the other hand, more receptive to the median voter at the expense of their supporters when their organization is undemocratic (Lehrer, 2012; Schumacher et al., 2013). In the following, we refer to parties with internal democracy as “democratic parties” and to parties without it as “undemocratic parties”.

We combine research on party responsiveness and party organization with the research on deliberation (e.g., Dryzek, 1990, 2000, 2010; Manin, 1987; Cohen, 1989; Habermas, 1996; Benhabib, 1996; Bohman and Rehg, 1997; Elster, 1998; Parkinson and Mansbridge, 2012) to develop a novel insight about how *political parties respond to rival political parties* in multi-party systems. As an ideal type, deliberation is a process of public reasoning, in which a fair and equal setting invites all actors to participate in a decision-making and discourse process for identifying preferences, positions, or policies all can agree on (Bohman, 1998; Fung, 2003; Goodin and Dryzek, 2006; Rosenberg, 2007; Goodin, 2008; Dryzek, 2010; Niemeyer, 2011). This concept is based on Dahl's (1971: 4) idea of inclusiveness that varies with “the proportion of the population entitled to participate on a more or less equal plane in controlling and contesting the conduct of the government.” Ultimately, this process makes it possible to reach consensus on, or at least a better understanding of pertinent political principles (see also Böhmelt et al., 2016a).

In light of our understanding of deliberation, we argue that *democratic parties are more likely to mirror deliberative ideas and, thus, respond more strongly to rival political parties* than undemocratic parties. We argue that this is due, in part, to the information that influences core supporters, who are the same constituency that ultimately influences the policy platforms of democratic parties. Intra-party democracy not only determines whether rank-and-file members can hold party elites accountable (Lehrer, 2012; Schumacher et al., 2013), but it also facilitates the process of *deliberative participation and decision-making*, which promotes the free flow of ideas, focuses the intra-party debate, and provides opportunities for learning and emulation that ultimately

influence party policy platforms (see Böhmelt et al., 2016a). It is then more likely that outside influences such as rival parties' policy positions become part of the deliberative discourse of a party and, eventually, affect its position.<sup>2</sup>

We find empirical support for our argument that intra-party democracy enhances responsiveness to rival parties, using spatial econometric methods on data from eleven Western European democracies between 1977 and 2010. The results are robust to a series of additional empirical tests and specification changes in the research design. This research has crucial implications for our understanding of political representation and parties' election strategies. While political representation, from one point of view, is enhanced when parties adopt clear and distinct policy positions that reflect their sub-constituents' interests (Mair, 2008), from another angle, parties that adopt rival parties' policies are likely to represent more than one sub-constituency (see also Ezrow et al., 2011). Our results imply that there are a number of factors – for example, the internal organization of political parties, the median voter, and rival parties – that affect how parties represent citizens' political preferences (Müller 2000; Powell 2000). Second, we contribute to the literature on parties' election strategies (see, e.g., Alvarez, Nagler, and Bowler, 2000; Budge, 1994; Budge et al., 2012; Dow, 2001, 2011; Erikson and Romero, 1990; Kedar, 2005; Laver, 2005; Somer-Topcu, 2009), by showing that parties are more responsive to rival parties if they are (internally) democratic.

To this end, our work contributes to the literature on comparative political communication. As elaborated in the introduction to this special issue, scholars have long called for greater attention to comparative political communication, i.e., to think carefully about how institutional, political, economic, and cultural context structures what is said, to whom, and with what effect. Only recently, however, have scholars been able to take advantage of unique data sources and better analytic techniques to effectively pursue this. While conventional wisdom and most of the literature mostly focuses on communication from elites to the public or from elites to the media to the public, our work is instead about how parties “communicate” or learn from one other. However, we not only demonstrate both theoretically and empirically that this occurs, but that whether and how it happens depends on the institutional context, i.e., intra-party (democratic) structures.

### **Theoretical Argument**

We develop our theory along the following lines. First, parties in multi-party systems compete under uncertainty in terms of how voters and other parties will respond to a new party policy position (Budge, 1994). Second, party elites can address this uncertainty by collecting information from polls, focus groups, or private conversations. However, rank-and-file members rarely have access to this information (Cross and Blais, 2012:

150), and instead they act on more public information from the media and from discussing policy with others. The importance of the latter point, namely, deliberation, has been researched extensively (see Bohman, 1998; Fung, 2003; Goodin and Dryzek, 2006; Rosenberg, 2007; Goodin, 2008; Dryzek, 2010; Niemeyer, 2011). Finally, democratic parties' policy positions reflect rank-and-file members' interests and information because these members influence the leadership, while undemocratic parties' policy positions instead reflect party elites' interests and their information (Müller and Strøm, 1999; Lehrer, 2012; Schumacher et al., 2013; Cross and Katz, 2013). In turn, we claim that in undemocratic parties, party elites choose policy positions that will be influenced by a number of factors other than rival parties' positions as they rely on information from polls, focus groups, or private conversations. In democratic parties, rank-and-file members without access to that information can hold the leadership accountable and thereby have more influence over it. Thus, *deliberative processes* open a channel through which rival parties' policy positions can affect a focal party's policy. Rank-and-file members in democratic parties and, therefore, their parties will be more responsive to rival parties' positions, while undemocratic parties will be less receptive to rival's policies.

In the following, we discuss in detail how intra-party democracy empowers party members at the expense of party elites, and how this gives rise to a more deliberative environment in which rival parties' policy positions are likely to influence party policy.

### *Uncertainty and Undemocratic Parties*

Our argument applies to multi-party systems as there are higher levels of uncertainty in these systems about election outcomes and government formation due to the larger number of competitors. Conversely, elections and government formation in two-party systems are relatively more predictable using public opinion polls, since competition for votes and office is competition for the political center ground (Downs, 1957; Roemer, 2001). In multi-party systems, votes matter, yet distance to rival parties matters in coalition bargaining as well (Schofield, 1993), which makes choosing a (nearly) optimal policy position more complicated.

To cope with this uncertainty, party elites who are interested in winning elections and participating in government (Robertson, 1976; Cross and Blais, 2012: 143) seek information, independent of rival parties' policy positions, on how voters and rival parties might respond to alternative policy positions they could adopt. To obtain information on voters' responses to these alternative policy positions, parties frequently present their new policy ideas to a sample of voters, e.g., in surveys or focus groups. These voters report their feelings and beliefs about alternative policies, and parties then make inferences about the new policies' electoral prospects.<sup>3</sup> In terms of predicting government formation after elections, party elites rely on private conversations between several parties'

elites. In these discussions, they lay out their parties' goals, bliss points, and potential concessions they are willing to make to form a government.<sup>4</sup> By possessing this information, party elites are able to make informed decisions because they can assess alternative policy positions' electoral consequences and implications for government formation (see also Cross and Blais, 2012: 150). This implies that party elites are influenced most prominently by surveys, focus groups, and post-election coalition calculations.

Whether party elites' knowledge is used to formulate policies, however, critically hinges on who chooses the party leader – and this is the point where it matters whether a party is democratic or not.<sup>5</sup> If the party leadership is chosen by party elites, their selectorate is relatively small and *undemocratic* because a vast share of the membership does not participate in the selection process. As Lehrer (2012) argues, undemocratic parties are not constrained by their members' policy preferences to pursue office or electoral goals when setting policy positions (see also Schumacher et al., 2013). Party elites can directly implement their private information independent of rival parties' policies that they anticipate will help them in the next election. A different mechanism applies to democratic parties.

#### *Democratic Parties and Deliberation*

If the party leadership is chosen with the participation of rank-and-file members, e.g., at a party conference to which local party branches send a majority of delegates or in a one-member-one-vote election, a party leadership's selectorate is more democratic. Müller and Strøm (1999: 18) argue that a democratic leadership's selectorate “may promote policy orientation at the expense of office benefits, since leaders must show greater concern for the policy preferences of their followers” (see also Aldrich, 1983; Bäck, 2008; Lehrer, 2012; Schumacher et al., 2013; Meyer, 2013). While party elites can set the policy position in undemocratic parties without membership constraints, rank-and-file members effectively set the policy position in democratic parties, because they can constrain leaders by holding them accountable.

We argue that internally more democratic parties are more deliberative in that party members' demand for policy positions is shaped by a process of deliberation, in contrast to party elites' demand that is shaped by the information they obtain elsewhere (see above). Deliberation is a process of public reasoning, in which the fair and equal setting induces citizens to look beyond their immediate self-interest to justify their preferences in terms that all can in principle accept (Bohman, 1998; Fung, 2003; Goodin and Dryzek, 2006; Rosenberg, 2007; Goodin, 2008; Dryzek, 2010; Niemeyer, 2011). By persuasion, deliberation can lead to consensus on pressing political aspects (Böhmelt et al., 2016a).

Intra-party democracy is associated with more deliberation as it moves party leadership campaigns from the party backroom, where party elites with similar preferences for office and votes debate policies, to the public sphere (Cross and Blais, 2012: 136). First, deliberation counteracts the domination of specific, narrowly defined interests (e.g., votes, office, or party core ideology), opening up room for other-regarding, generalizable interests and (Dryzek, 2000). Ideas, concepts, and policy positions from other parties may not be an exception here. In fact, deliberation should make it more likely that these “outside positions” actually become part of the intra-party discourse and, eventually, influence the focal party’s position.<sup>6</sup> Second, deliberation also focuses on the general political debate (i.e., rival parties’ arguments and media reports), brings together information, and provides opportunities for social learning, all of which can make it more likely that the focal party becomes more receptive to other ideas, including from rival parties (Niemeyer, 2004; Parkinson and Mansbridge, 2012).

In sum, these arguments suggest that deliberation may help open the party to external voices, although they may not particularly be open to dialogue in many instances (see Meadowcroft, 2004). Hence, in a more democratically organized party, deliberation takes place and there is a higher chance of all points of view being considered – including rival parties’ policy positions (see Böhmelt et al., 2016b). Finally, if a working consensus is achieved in a deliberative, more democratic party, it is less likely that the party elites can just ignore what has gone on at the “base” (Meadowcroft, 2004; Lehrer, 2012; Schumacher et al., 2013). The above considerations lead to the following expectation:

*Democratic Party Hypothesis:* Internally democratic parties are more responsive to rival parties’ policy shifts than internally undemocratic parties.

## **Research Design**

### *Parties’ Policy Positions and Rank-and-File Participation*

To test the hypothesis, we assembled a data set of 379 parties in eleven countries between 1977 and 2010. We only consider parties competing in party systems with at least three effective parliamentary parties (Gallagher and Mitchell, 2008). The unit of analysis is the party-election year. Both our dependent variable and our central explanatory variable rely on measurements of parties’ policy positions. For these measures, we use the left-right scale at elections as captured by the Manifesto Research on Political Representation (MARPOR, Budge et al., 2001; Klingemann et al., 2006; Volkens et al., 2015). There are multiple reasons for using this measure. First, the left-right dimension is a widely applicable classification scheme of policy preferences across time and space, which has been measured for parties as well as voters. Second, the issues addressed by the left-right scale are the underlying conflicts of Western societies and, hence, their base of political competition (Huber and Powell, 1994;

Powell, 2000; McDonald and Budge, 2005). Third, the MARPOR data, which are based on specially trained coders' classifications of parties' manifesto statements, correlate well with other measures of party positions such as expert placements, citizens' perceptions, or parliamentary voting (Hearl, 2001; McDonald and Mendes, 2001; Laver, Benoit, and Garry, 2003; see also Marks et al., 2007). Finally, MARPOR data's broad coverage of countries across time enables us to study patterns of party competition using a relatively large data set. Our dependent variable is a party's rescaled<sup>7</sup> MARPOR score.

Our central explanatory variables are *Intra-Party Democracy* and rival parties' policy positions. First, we measure *Intra-Party Democracy* as rank-and-file members' ability to select the party leader. In particular, we rely on data by Katz and Mair (1990), Cross and Blais (2012), and Pilet and Cross (2014).<sup>8</sup> Whenever these sources indicate that a party leader is chosen by either a one-member-one-vote election or a party conference to which local party branches send delegates to, we code a party as democratic. In all other cases, which include MPs or a small group of party elites choosing the party leader, we classify the party as being undemocratic.<sup>9</sup> The next section discusses our spatial-econometrics approach in detail, before we explain how we measure other parties' influences on the focal party's policy position and the control variables.

#### *Methodology and Measurement of Rival Parties' Policy Positions*

Following recent developments in the empirical literature on party competition (Williams, 2015; Williams and Whitten, 2015; Williams et al., 2015; Böhmelt et al., 2016b), we estimate spatial temporal autoregressive models or “spatial lag models” (Franzese and Hays, 2007, 2008). They are able to capture the spatial dependence among party positions directly. Our baseline model is defined by:

$$y_t = \phi y_{e-1} + \rho_0 W y_{e-1} + \rho_1 [W y_{e-1} * \text{Intra-Party Democracy}] + \beta X_{t-1} + \varepsilon,$$

where a party's policy position at time  $t$  (i.e., election  $e$ ),  $y_t$ , is a function of its policy position at the previous election,  $y_{e-1}$ , a vector of controls with data from the year before the election took place,  $X_{t-1}$  (specified below), and  $\varepsilon$  is the error term.  $W y_{e-1}$  is a by  $W$  weighted function of other parties' MARPOR policy positions at the last election, i.e., the spatial lag. In our setup, we refer to  $E$  as the number of elections in our sample for a specific party.  $W$  is a matrix with  $E \times N \times N$  sub-matrices along the block diagonal in which  $w_{ij}$  specifies the connection between parties  $i$  and  $j$ , and  $w_{ii} = 0$ . For the spatial lag,  $W$  assigns all domestic competitors of the focal party a value of 1 and 0 otherwise.<sup>10</sup>  $W y_{e-1}$  is the product of this connectivity matrix and the policy positions of all other parties in the previous election. We do not row-standardize the connectivity matrix (Plümper and Neumayer, 2010) as we assume that the amount of attention the focal party pays to other parties does depend on the number of rival parties (Williams, 2015; Williams and Whitten, 2015; Williams et al., 2015; Böhmelt et al., 2016b).



When a spatial connectivity matrix is not row-standardized, however, the interpretation of marginal effects becomes more complex as the spatial lag tends to increase with the number of domestic rivals. Plümper and Neumayer (2010: 430f) argue that a meaningful marginal effect is obtained when multiplying the spatial lag's coefficient by the average number of rivals a party has. Here, a party has 6.6 rivals on average and we have modified the marginal effects reported below accordingly.

Finally, to directly test the “heterogeneity in responsiveness” (Neumayer and Plümper, 2012: 833-835) to rival parties' policy positions, we interact the spatial lag with *Intra-Party Democracy*. Ultimately, we focus on three variables as our core explanatory items (Golder et al., 2006; Neumayer and Plümper, 2012): *Intra-Party Democracy*,  $Wy_{e-l}$ , and their multiplicative specification.

### *Control Variables*

We include a series of control variables that primarily address alternative determinants of party policy positions and may be correlated with intra-party democracy. First, the median voter position generally influences party positions (e.g., Adams and Somer-Topcu, 2009a; Williams, 2015). We, therefore, include a measure for the (running) median voter (Tuckey, 1977) that is based on survey data. Respondents are asked to place themselves on a left-right scale. We use these respondents' answers, recode them to a 1-10 scale that matches the rescaled MARPOR scale, and compute from them the election year median for the countries in our sample. Whenever available, we rely on Eurobarometer surveys (Schmitt and Scholtz, 2005); in case these are not available, we employ national election studies (e.g., from the Comparative Study of Electoral Systems). The Eurobarometer data enable us to include a one-year lagged measure of the median position as these surveys are conducted every year; information obtained from the national election studies are based on contemporary data.<sup>11</sup>

Second, intra-party democracy is linked to party members' ability to pull party policy toward their ideal position (Lehrer, 2012; Schumacher et al., 2013). To control for this effect, we add party supporters' median position to our models.<sup>12</sup> Using the same survey data as above, we computed Tuckey's (1977) running median of all survey respondents' self-placements on the left-right scale who indicated to vote for the corresponding party.

Third, Ward et al. (2011) argue that economic openness and globalization constrain parties' ability to take radical policy positions. For addressing this mechanism, we add the one-year lagged economic component of Dreher's (2006) Globalization Index. We also interact it with our measure of the median voter to capture Ward et al.'s (2011) argument that the strength of the economic constraint depends on the position of the median voter.

Fourth, we include party as well as year fixed effects. These binary variables are needed to rule out that the effects we report are due to common exposure, i.e., the “reverse Galton's problem” (Buhaug and Gleditsch, 2008:

216) – clustering that results from other processes than spatial competition. The year fixed effects address common shocks that all parties face in a given year (e.g., an economic recession). The party fixed effects control for time-invariant party-specific characteristics. Finally, the lagged dependent variable allows parties' past behavior to affect the current policy position.<sup>13</sup> Together, the lagged dependent variable, the lagged covariates, as well as the fixed effects help to ensure that reported effects are due to a genuine spatial competition process.

Given this setup, we expect the coefficient on the spatial lag for undemocratic parties,  $\rho_0$ , to be small in magnitude and statistically insignificant. Conversely, we expect that the joint marginal of effect of  $\rho_0$  and  $\rho_1$ , i.e., the coefficient on the interaction between the spatial lag and *Intra-Party Democracy*, to be positive and statistically significant.

[Table 1 here]

### Empirical Results

The findings are summarized in Table 1. The average instantaneous marginal effects of interest (i.e., the marginal effects of the spatial lag as well as the joint effects of its interaction variables) cannot directly be derived from this table. Instead, we calculated them and display these in Figure 1 (next to the corresponding 90 percent confidence intervals). This graph indicates average marginal effects as discussed in Plümper and Neumayer (2010: 430f). The left estimate reveals that the effect of rival parties' policy positions at the previous election does not assert a statistically significant effect on parties' policy positions in undemocratic parties. The right estimate demonstrates that rival parties' past positions affect parties' positions when democratic. This provides us with initial evidence in favor of the *Democratic Party Hypothesis*.

[Figure 1 here]

Note that Figure 1 *cannot* establish whether the effects for democratic and undemocratic parties are statistically significantly different from one another. This is because the Type I error rate of comparing two 90 percent confidence intervals is approximately 0.02, which is too conservative for comparing the difference in effects given our sample size (Payton et al., 2003). Instead, we refer to Table 1 that reports coefficients together with standard errors on  $Wy_{e-1} * \text{Intra-Party Democracy}$ , which indicate that the difference in effect size is indeed statistically significant ( $p=.083$ ). This is additional support for the *Democratic Party Hypothesis*.

In terms of substantive relevance, consider the average democratic party competing in multi-party systems with its 6.6 neighbors. If all of its rivals position themselves one unit further to the right (i.e.,  $Wy_{e-1}$  increases by 6.6 units), *ceteris paribus*, then democratic parties are predicted to shift instantaneously by 0.15 units (90 percent confidence interval in [0.07; 0.24]) in the same direction.<sup>14</sup> Considering that the political space is fairly crowded

with parties, such a shift is certainly meaningful and again in support of the *Democratic Parties Hypothesis*.

The effects of the additional variables we add to the model are all in line with our theoretical expectations. First, intra-party democracy on its own is not linked to a more leftist or rightist policy position as shown by its statistically insignificant coefficient. Second, the median voter position exerts a substantively and statistically significant effect on parties' choice of policy positions. Third, more rightist party supporters draw parties to the right, yet not statistically significantly so. Moreover, in contrast to Lehrer (2012), we do not report that internal democracy emphasizes this effect (see also Schumacher et al., 2013). The difference in results between our study and Schumacher et al. (2013) or Lehrer (2012) is likely to be driven by differences in the underlying sample data, and model specification.<sup>15</sup> Fourth, a party's past policy position determines its current position quite strongly and this effect is statistically significant. This suggests that parties do not change their ideology radically over time, but remain fairly consistent (Budge, 1994). Fourth, the effect of *Economic Globalization* is positively linked to more rightist policy positions in both models. This variable also lowers the effect of the median voter on parties' positions as the negative and statistically significant effect of the multiplicative specification, *Median Voter Position\*Economic Globalization*, indicates (Ward et al., 2011).

### Robustness Checks

Above, we argue that rank-and-file members respond to rival parties' policy positions. Yet, it is also possible that rank-and-file members orient toward the focal party's direct ideological neighbors or those parties that belong to the same ideological bloc (Adams and Somer-Topcu, 2009a; Williams, 2015). To control for these possibilities we computed an m-STAR model that enables us to estimate the effects of multiple spatial lags at the same time (Hays, Kachi, and Franzese, 2010). In particular, we add two more spatial lags and interact them with *Intra-Party Democracy*.<sup>16</sup> The first additional spatial lag is based on the connectivity matrix  $W^{Bloc}$ , which assigns a value of 1 to its elements if a domestic competitor belongs to the same ideological bloc as the focal party (0 otherwise).<sup>17</sup> Second, the elements of  $W^{Neighbor}$  receive a value of 1 if two parties have consecutive ranks when ranking domestic parties at election  $e-1$  from left to right, and 0 otherwise. All other aspects of modelling are unchanged. Table 2 displays the results.

[Table 2 here]

Comparing the coefficient of  $W_{e-1}$  in Table 1 with Model 2 (Table 2) shows that there is virtually no difference when the additional spatial lags are included. Figure 2, which is based on the same approach underlying Figure 1, but based on Model 2, clarifies this. This graph also shows that neither parties from the same ideological

bloc nor neighboring parties' positions affect the focal party's position – irrespective of whether parties are democratic or not. Our conclusions for the *Democratic Party Hypothesis* are thus unchanged.

[Figure 2 here]

As mentioned above, our core analyses rely on a mixture of contemporary data (i.e., data from the election year) and data from the year before an election took place. To assess whether this design choice affects the robustness of our findings, we also estimated our main model using contemporary data only. As Model 3 (Table 2) indicates, however, the findings are substantially identical. Hence, the *Democratic Party Hypothesis* is supported even using contemporary data only.

Moreover, to rule out that outliers drive our results, we computed the residuals from the baseline model and re-estimate it while excluding cases that are more than 2.5 standard deviations away from the mean residual. Model 4 (Table 2) displays the results when omitting these outliers. Again, though, the changes that occur when excluding potential outliers are small and do not affect our conclusion about the *Democratic Parties Hypothesis*.

[Figure 3 here]

Finally, we argue above that parties either allow party members to choose the party leadership or not, and depending on this we label parties as democratic or undemocratic. However, among democratic parties there is variance in whether the entire membership or only its delegates participate in leadership selection (Kenig, 2009).<sup>18</sup> We make this distinction in Model 5 in Table 2. Figure 3 shows the corresponding marginal effects of rival parties' policy position on the focal party positions for undemocratic parties, democratic parties that let their members participate via delegates (Party Conference), and for one-member-one-vote participation (Entire Membership). The effects for undemocratic parties and democratic parties that select their party leader in party conferences are virtually identical to the baseline model. The estimated marginal effect for parties that let their entire membership participate in leadership election is not statistically significant. Though we acknowledge the need to investigate this finding more closely, we note that this is beyond the scope of this paper. Moreover, we suspect that it is due to the lower number of cases in this category as only 64 of the 300 democratic observations in our sample let the entire membership choose the party leadership. Interestingly, however, the point estimate for one-member-one-vote systems resembles the estimate of the baseline model well, and thus provides some evidence that the *Democratic Parties Hypothesis* is likely to be supported by a richer dataset. Furthermore, the *Democratic Parties Hypothesis* describes the remaining two categories (i.e., undemocratic parties and parties that choose their leaders in party conferences) correctly.

## **Conclusion**

Internally democratic parties respond to shifts in rival parties' policies in multi-party systems, while undemocratic parties do not. These findings are important for our understanding of party competition because they highlight the conditions under which parties are responsive to their competitors (e.g., Adams and Somer-Topcu, 2009a; Williams, 2015). Furthermore, our findings on intraparty organization and responsiveness to rival parties' policies is important for the literature on political representation. Through deliberation among their members, democratic parties -- which are thought to take clear policy positions to cater to their specific constituencies -- are nevertheless responsive to their rivals (Mair, 2008). Finally, we contribute to the research that examines the relationship between intra-party politics and parties' policy positions (Schofield and Sened, 2005; Lehrer, 2012; Schumacher et al., 2013; Meyer, 2013).

There are several important research questions that remain. We do not study whether party responsiveness to rival parties is an effective strategy, namely, whether it helps parties to gain votes in elections (Adams and Somer-Topcu, 2009b) or whether it helps them participate in government (Bäck, 2008). There are party system implications which also remain unexplored. If all parties in a country adopt similar internal rules, this could have numerous implications for how they respond to citizen preferences compared to countries that have parties that employ a variety of internal decision-making rules. In short, the study highlights some potential unexplored paths through which party systems, as a whole, represent citizen preferences (Ezrow, 2007). Finally, there are research questions to explore with respect to policy diffusion (e.g., Elkin and Simmons, 2005). Undemocratic parties neither respond to their neighboring parties' policy positions nor to their ideological bloc members' positions (although we note that they respond to the median voter). Thus it is worth exploring the conditions under which undemocratic parties are influenced by their rivals. They may be responsive to governing parties' policies or to parties that perform well in elections.

While several important questions remain, we nevertheless conclude that democratic parties respond to their rival parties' policies.

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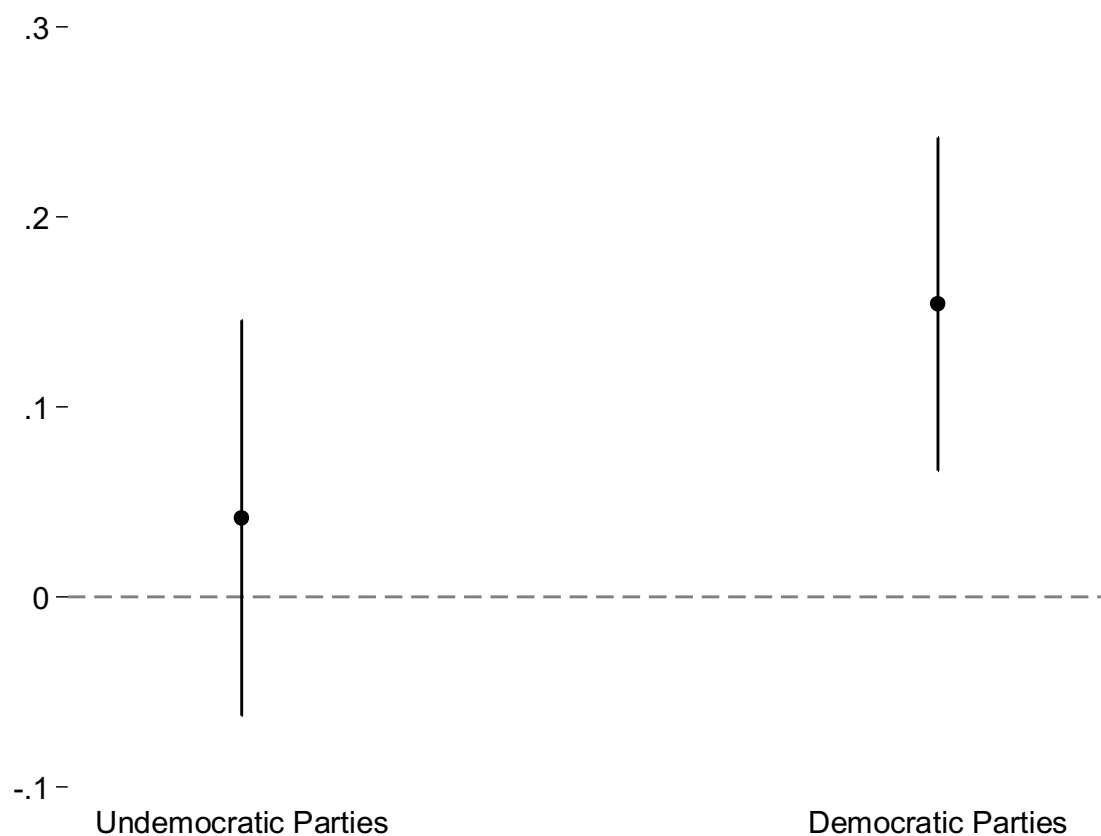
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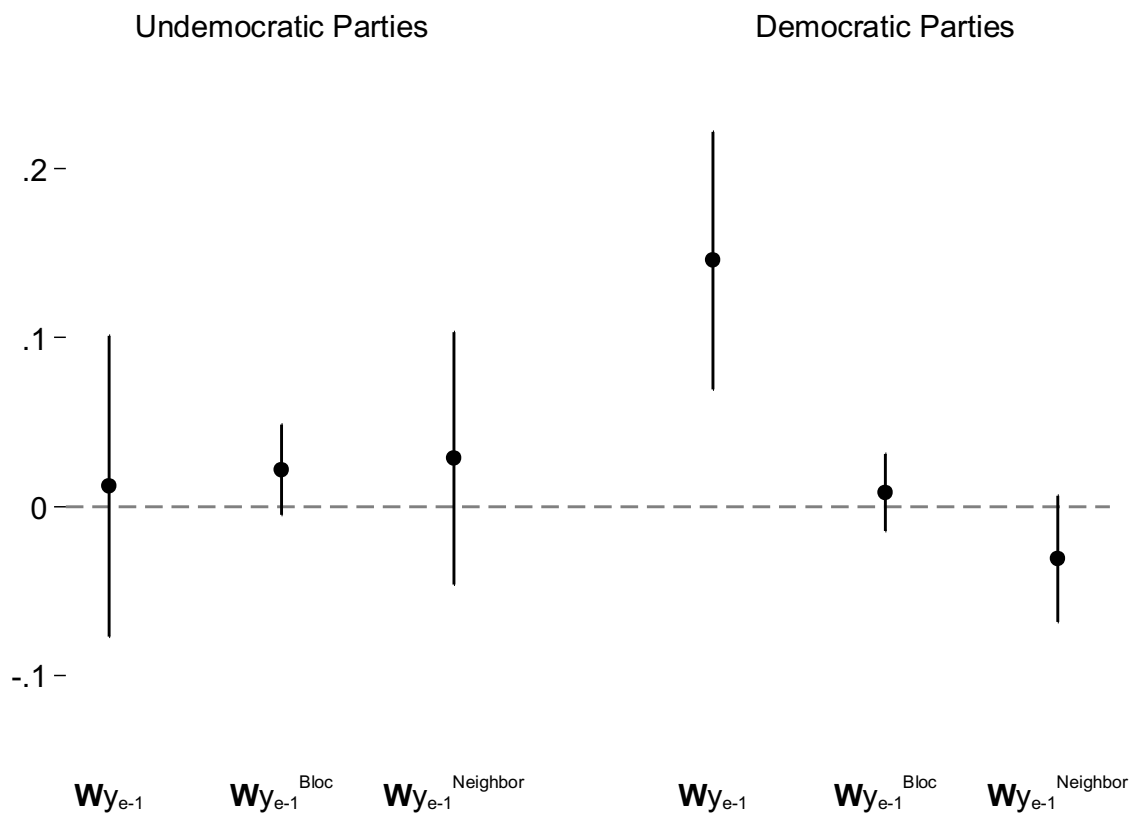
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**Figure 1.** *Marginal Effects of Spatial Lag on Focal Party Policy Position*



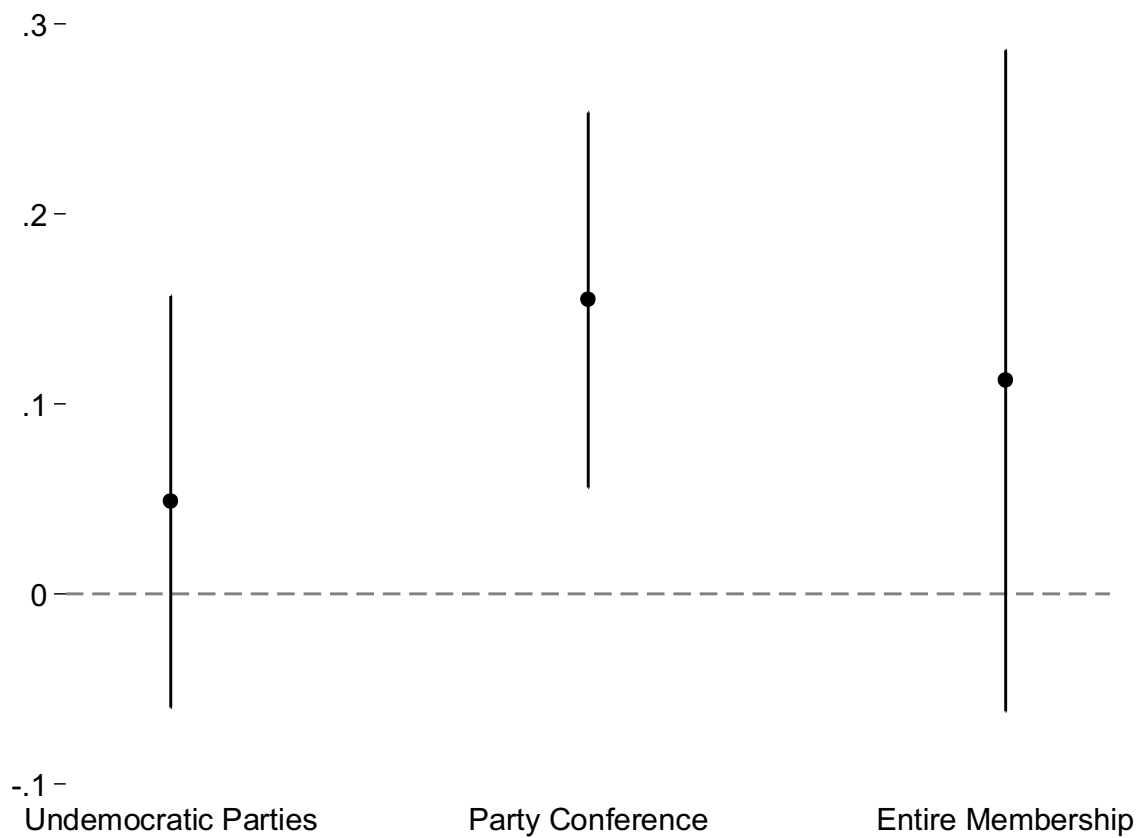
*Notes:* Graph displays point estimates and 90 percent confidence intervals (vertical bars). Estimates pertain to short-term effects. Calculations are based on Plümper and Neumayer (2010: 430f) and Table 1.

**Figure 2.** *Marginal Effects of Different Spatial Lags (Model 2)*



*Notes:* Estimates are based on Model 2 in Table 2. Points and lines show short-term effects. All lines are 90% confidence intervals. Effects are average marginal effects as suggested by Plümper and Neumayer (2010: 430-431).

**Figure 3.** *Marginal Effects of Different Spatial Lags (Model 5)*



*Notes:* Estimates are based on Model 5 in Table 2. Points and lines show short-term effects. All lines are 90% confidence intervals. Effects are average marginal effects as suggested by Plümper and Neumayer (2010: 430-431).

**Table1.** *Effects on Parties' Policy Positions*

	Party Policy Position
	Model 1
$W_{y_{e-1}}$	0.006 (0.010)
Intra-Party Democracy	-1.339 (1.440)
$W_{y_{e-1}} * \text{Intra-Party Democracy}$	0.017* (0.010)
Median Voter Position <sub>t-1</sub>	2.813*** (0.989)
Intra-Party Democracy *Median Voter Position <sub>t-1</sub>	0.166 (0.266)
Party Supporter Position <sub>t-1</sub>	0.018 (0.081)
Intra-Party Democracy *Party Supporter Position <sub>t-1</sub>	-0.060 (0.064)
Party Position <sub>e-1</sub>	0.110* (0.064)
Economic Globalization <sub>t-1</sub>	0.160** (0.072)
Median Voter Position <sub>t-1</sub> *Economic Globalization <sub>t-1</sub>	-0.029** (0.014)
Constant	-12.056** (5.319)
Observations	376
Year and Party Fixed Effects	Yes
Adjusted R <sup>2</sup>	0.765

\*\*\* p<0.01, \*\* p<0.05, \* p<0.1; Standard errors in parentheses.



**Table 2. Effects on Parties' Policy Positions - Robustness Checks**

	Model 2 m-STAR	Model 3 Contemporary Data	Model 4 Outliers Excluded	Model 5 Democracy Levels
$W_{y\ e-1}$	0.002 (0.010)	0.006 (0.010)	0.007 (0.009)	0.007 (0.010)
Intra-Party Democracy	-0.530 (1.663)	-1.954 (1.456)	0.491 (1.296)	
Intra Party Dem.: Party Conference				-0.009 (1.778)
Intra Party Dem.: Entire Membership				-3.082 (2.359)
$W_{y\ e-1}$ *Intra-Party Democracy	0.021** (0.011)	0.018* (0.010)	0.015* (0.009)	
$W_{y\ e-1}^{Bloc}$	0.018 (0.015)			
$W_{y\ e-1}^{Bloc}$ *Intra-Party Democracy	-0.011 (0.018)			
$W_{y\ e-1}^{Neighbor}$	0.017 (0.031)			
$W_{y\ e-1}^{Neighbor}$ *Intra-Party Democracy	-0.035 (0.035)			
$W_{y\ e-1}$ * Party Conference				0.016 (0.0112)
$W_{y\ e-1}$ *Entire Membership				0.010 (0.015)
Party Supporter Position	0.026 (0.089)	0.086 (0.081)	-0.037 (0.072)	0.021 (0.034)
Intra-Party Dem. *Party Supporter Position	-0.061 (0.071)	-0.081 (0.067)	0.013 (0.058)	
Party Conference * Party Supporter Position				0.088 (0.082)
Entire Membership *Party Supporter Position				0.023 (0.100)
Median Voter Position	2.741*** (1.002)	3.457*** (0.877)	1.994** (0.920)	3.261*** (1.025)
Intra-Party Democracy *Median Voter Position	0.066 (0.295)	0.283 (0.268)	-0.212 (0.240)	
Party Conference *Median Voter Position				-0.095 (0.315)
Entire Membership *Median Voter Position				.486 (0.490)
Party Position Last Election	0.109 (0.067)	0.101 (0.067)	0.139** (0.057)	0.114* (0.065)
Economic Globalization	0.149** (0.073)	0.234*** (0.067)	0.084 (0.067)	0.175*** (0.074)
Median Voter Position *Economic Globalization	-0.026* (0.014)	-0.043*** (0.013)	-0.015 (0.013)	-0.032*** (0.014)
Year and Party Fixed Effects	Yes	Yes	Yes	Yes
Observations	376	373	369	376
Adjusted R-squared	0.764	0.767	0.808	0.767

\*\*\* p&lt;0.01, \*\* p&lt;0.05, \* p&lt;0.1; Standard errors in parentheses.

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<sup>1</sup> Other studies also argue that party responsiveness to the median is conditional, albeit not necessarily conditional on party-level characteristics. Ezrow and Hellwig (2014, 2015), for example, contend that parties may be less responsive to the median in countries that have high levels of economic globalization or corporatism.

<sup>2</sup> Rival parties' positions are likely to be relevant in multi-party systems only, as competition for government is identical to competition for proximity to the median voter in two-party systems (Downs, 1957; Roemer, 2001).

<sup>3</sup> Although there is no systematic data collection on parties' usage of focus groups or private polling firms, there is ample anecdotal evidence highlighting the link between focus groups and party policy in several countries; including: Ireland (Sheahan, 2016), Germany (Lehmann, 2016), Austria (ORF, 2014), and the UK (BBC, 2014). Finally, there is related evidence in the US (e.g., Jacobs and Shapiro, 1995; Murray and Howard, 2002). Greer's (1991) work on partisan realignments shows in this context how polling helps candidates and parties avoid "realigning" mistakes when staking out issue positions.

<sup>4</sup> A famous example for this is the German "pizza connection", i.e., a series of informal dinners by young CDU and Bündnis 90/Die Grünen members of parliament (MPs) at an Italian Restaurant in the mid-1990s (Finger and Lerch, 2011). Although the formation of a joint coalition has never been the defining aspect, the information shared at these meetings helped attending MPs to agree on joint policy positions that sometimes ran counter to their parties' positions (Fischer, 2008).

<sup>5</sup> Arguably, choosing policy stances also depends on who writes, edits, and approves a party's manifesto and how corresponding committees are chosen. We focus on party leadership selection, because we argue that party leaders have a significant say in writing party manifestos. Even if they do not immediately participate in writing and editing manifestos, party leaders affect the general policy stances as well as the emphasis on certain policies and their framings (Poguntke and Webb, 2005; Cross and Blais, 2012). More importantly, however, theoretical arguments (Müller and Strøm, 1999: 18) as well as empirical evidence (Lehrer, 2012; see also Meyer, 2013; Schumacher et al., 2013) suggest that manifestos are tailored to the needs of party leaders' intra-party selectorate. Put differently, even if party rules grant the party leader full autonomy in writing the party platform, the selectorate will hold her accountable for the manifesto, and thus the leader caters the manifesto to the selectorate's expectations (Lehrer, 2012).

<sup>6</sup> This is particularly relevant in the context of deliberation that makes participants change their policy goals from fulfilling self-interest to finding the optimal solution for society (Cohen, 1989; see also Goeree and

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Yariv, 2011). And since other parties are likely to represent other groups within society, their arguments are relevant to finding the best solution for society that can affect party policy.

<sup>7</sup> The original “rile”-score ranges from -100 to 100. We rescaled it linearly to 1 to 10 to match the scale used for measuring the median voter position (see below).

<sup>8</sup> We use the data from Pilet and Cross (2014) first. Missing values are filled up with Cross and Blais’ (2012) data. Eventually, we use Katz and Mair’s (1990) data for any remaining missing values.

<sup>9</sup> According to Kenig’s continuum of party leader selectorates 20 percent of cases in our sample represent undemocratic parties.

<sup>10</sup> Below, we also examine other spatial connectivity matrices.

<sup>11</sup> 45% of cases use contemporary data. In the section on sensitivity analysis, we show that no substantive changes evolve by using contemporary data only.

<sup>12</sup> Ideally, we would use data on party members’ self-placements which, however, are hardly available in the surveys we rely on (e.g., most of the Eurobarometer surveys). In those Eurobarometer waves that ask for party membership (Schmitt and Scholtz, 2005), we find that the correlation between party members’ and party supporters’ self-placements is  $r=0.91$  ( $p=0.000$ ). We are thus confident that party supporters’ self-placements are a reliable proxy for party members’ self-placements.

<sup>13</sup> As a result, we compute instantaneous, i.e., short-term effects. We refrain from computing long-term effects (Plümper et al., 2005), because they rely on the assumption that once a party has shifted its position all parties’ policy positions remain stable for multiple elections – an assumption we do not wish to justify.

<sup>14</sup> This is based on the method to compute marginal effects of spatial lags discussed above.

<sup>15</sup> Our dataset does not only cover more parties, elections, and countries than both Lehrer (2012), and Schumacher et al. (2013), there are also methodological differences. Both studies measure party supporter positions – as we do – as the left-right self-placements of survey respondents who intend to vote for a particular party. Yet, both studies do not include a measure on rival parties’ positions in their empirical models. As a result, a positive correlation between supporter positions and party policy need not imply that parties follow their supporters. It may also capture rival parties shifting, for instance, to the right, which makes the focal parties shift to the right as well, which in turn makes more rightist voters express an intention to vote for the focal party. Since we control for rival parties’ policy shifts, we can disentangle voter responsiveness from rival party responsiveness. Our estimates are, thus, more reliable than those in previous studies.

<sup>16</sup> For clarity, we now label the spatial connectivity matrix used in the previous section  $W^{All}$ .

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<sup>17</sup> We follow Adam and Somer-Topcu's (2009a) definition of ideological blocs. Based on the MAPOR coding, we treat parties that belong to the Ecological, Socialist, or Social Democratic party families as "left." Conservative, Christian Democratic or Nationalist parties are considered members of the "right bloc." Finally, liberal parties form the "centrist bloc."

<sup>18</sup> Among undemocratic parties there is no variance as the membership has no say in any of its forms.