| 1 | A Novel EEG Paradigm to Simultaneously and Rapidly Assess the Functioning of |
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| 2 | Auditory and Visual Pathways |
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| 16 | Running Head |
| 17 | A Novel Auditory-Visual EEG Paradigm |
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Abstract

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Objective assessment of the sensory pathways is crucial for understanding their development 27 across the lifespan and how they may be affected by neurodevelopmental disorders (e.g., 28 29 autism) and neurological pathologies (e.g., stroke, multiple sclerosis, etc.). Quick and passive 30 measurements, for example using electroencephalography (EEG), are especially important when working with infants and young children, and with patient populations having 31 communication deficits (e.g., aphasia). However, many EEG paradigms are limited to 32 measuring activity from one sensory domain at a time, may be time consuming, and target only 33 34 a subset of possible responses from that particular sensory domain (e.g., only auditory 35 brainstem responses or only auditory P1-N1-P2 evoked potentials). Thus, we developed a new 36 multisensory paradigm that enables simultaneous, robust, and rapid (6-12 minute) 37 measurements of both auditory and visual EEG activity, including auditory brainstem responses 38 (ABRs), auditory and visual evoked potentials, as well as auditory and visual steady-state 39 responses. This novel method allows us to examine neural activity at various stations along the 40 auditory and visual hierarchies with an ecologically valid continuous speech stimulus, while an unrelated video is playing. Both the speech stimulus and the video can be customized for any 41 population of interest. Furthermore, by using two simultaneous visual steady-state stimulation 42 rates, we demonstrate the ability of this paradigm to track both parafoveal and peripheral visual 43 44 processing concurrently. We report results from twenty-five healthy young adults, which 45 validate this new paradigm.

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47 **Keywords**: auditory, visual, evoked potentials, steady-state responses, Cheech

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50 New and Noteworthy

| 51 | A novel electroencephalography (EEG) paradigm enables the rapid, reliable, and non-invasive |
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| 52 | assessment of neural activity along both auditory and visual pathways concurrently. The |
| 53 | paradigm uses an ecologically valid continuous speech stimulus for auditory evaluation and can |
| 54 | simultaneously track visual activity to both parafoveal and peripheral visual space. This new |
| 55 | methodology may be particularly appealing to researchers and clinicians working with infants |
| 56 | and young children, and with patient populations with limited communication abilities. |
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Introduction

71 To understand sensory development across the lifespan and the impact of 72 neurodevelopmental disorders (e.g., autism spectrum) or neurological pathologies and insults 73 (e.g., multiple sclerosis, stroke, etc.) on sensory systems, the ability to objectively measure the functioning of sensory pathways is critical. Reliable objective and passive measures are 74 especially important when working with individuals with limited communication abilities (e.g., 75 infants, individuals with aphasia, etc.). Furthermore, from a research and potentially a clinical 76 77 standpoint, the ability to objectively, non-invasively, and quickly assess the functioning of visual 78 and auditory pathways can provide important information about an individual that is not readily 79 available through behavioral testing. For instance, this information may be used to link 80 individual differences in a child's sensory development with his or her cognitive development, or 81 to guide research and development of individualized clinical interventions. In our case, we 82 developed the paradigm described herein to examine sensory development in normal-hearing 83 children and children with cochlear implants. In the current manuscript, we present data from 84 healthy young adults as validation of the methodology.

The objective of the current paradigm was to record numerous clinically important 85 auditory and visual neural responses simultaneously and guickly, while the participant watched 86 87 an unrelated video. While several non-invasive neuroimaging techniques could be used to achieve this goal, we chose electroencephalography (EEG) for its many practical advantages. 88 EEG has excellent (sub-millisecond) temporal resolution, which is essential to examine neural 89 activity along the auditory hierarchy from the brainstem to the cortex and to track auditory and 90 91 visual steady-state responses. Furthermore, EEG is safe and has been used for decades in 92 clinical settings. It is portable and relatively inexpensive to use, unlike magnetoencephalography (MEG) and functional magnetic resonance imaging (fMRI). In 93 addition, EEG poses no contra-indications unlike fMRI, with which many metallic medical 94

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devices or implants may be incompatible due to safety risks. Finally, EEG can measure activity
from deep brain structures, particularly the auditory brainstem, unlike functional near-infrared
spectroscopy (fNIRS) which is limited to superficial cortical regions. Thus, for the purposes of
our objective, the above-mentioned strengths of EEG outweighed its primary weakness, that is,
poor spatial resolution.

100 Many EEG protocols are limited to collecting a subset of neural responses in one sensory modality at a time. Furthermore, especially in the auditory modality, there has been 101 increased interest in assessing relationships between the early brainstem EEG activity to the 102 103 later cortical responses, and eventually to speech perception, within individuals. Paradigms that have been designed for this purpose are either time consuming (due to the longer inter-stimulus 104 105 interval needed for the later responses juxtaposed with the large number of sweeps required for 106 reliable early brainstem responses, if recording both types of responses simultaneously) or are 107 unable to record the early and later responses simultaneously (e.g., Bidelman 2015; Bidelman 108 et al. 2013; Krishnan et al. 2012; Musacchia et al. 2008; Woods et al. 1993). A paradigm 109 recently developed by Slugocki et al. (2017) simultaneously measured both subcortical and 110 cortical responses (including P3a and mismatch negativity (MMN)) to auditory stimuli; however, the recording time was relatively long (approx. 40 minutes) and the stimuli were created using 111 amplitude-modulated tones. Another group developed an EEG paradigm to simultaneously 112 113 record potentials including the auditory N1, MMN, P300, and N400 in about 5 minutes, using both tone and speech stimuli (Sculthorpe-Petley et al. 2015); however, this paradigm was 114 115 limited to cortical potentials in response to auditory stimuli only. In contrast, the EEG paradigm described herein, allows for the rapid recording of both auditory and visual responses 116 117 simultaneously, using a specially engineered continuous speech stimulus and an interspersed visual stimulus. The continuous speech stimulus allows for the examination of auditory EEG 118 119 activity from brainstem to cortex and under more naturalistic conditions, compared to other

frequently used stimuli like clicks, tones, and consonant-vowel syllables. The visual stimulus
 permits assessment of transient evoked and steady-state visual responses.

122 It is important to mention that this paradigm significantly builds upon previous work conducted by our group (Miller et al. 2017). Previously, a continuous speech stimulus was used 123 124 and demonstrated the feasibility of simultaneously obtaining auditory evoked responses along the auditory pathway, from the brainstem to the cortex. This set the foundation for the current 125 methodology. Novel aspects of the EEG paradigm presented in this report include the following: 126 First, in conjunction with the continuous speech stimulus, unrelated visual flicker stimuli were 127 used to obtain both auditory and visual EEG responses simultaneously. Second, in the current 128 implementation, the use of a silent video, which engages attention and is unrelated to the 129 130 auditory and visual stimuli, makes the paradigm suitable to different populations (e.g., young 131 children). This is a critical validation step, to determine which responses can be reliably 132 observed - even when the auditory and visual stimuli are not necessarily attended.

To introduce the current methodology, we provide an overview of the auditory and visual responses that the EEG paradigm was designed to measure, including the time course for each response, how the response can be elicited, and the putative neural generators for each response. The following sections therefore illustrate the scope of our approach and motivate many technical details of the design and analysis, described next. It also serves as a brief tutorial for readers unfamiliar with auditory and visual EEG. (For a thorough discussion of these topics, see Halgren 1990; Hall 2007; Luck 2014.)

140 Auditory Responses

141 The stimulus used in the current EEG paradigm was designed to enable the 142 simultaneous recording of the auditory brainstem response (ABR), the middle latency response

(MLR), the long latency response (LLR), as well as the auditory steady-state response (ASSR),
all in the context of naturalistic, intelligible, and continuous spoken language.

The stereotyped ABR consists of seven positive peaks (Waves I to VII) that occur within 145 10 ms following the onset of a brief sound; Wave V generally has the largest amplitude of these 146 peaks (Jewett and Williston 1971). Often, the ABR is elicited with a click stimulus (e.g., Jewett 147 and Williston 1971; Pratt and Sohmer 1976), but tone pips (e.g., Suzuki et al. 1977; Weber and 148 Folsom 1977; Woldorff and Hillyard 1991), chirps (e.g., Bell et al. 2002a; Dau et al. 2000; 149 Elberling and Don 2008), and brief speech sounds (e.g., consonant-vowel syllables, Krizman et 150 al. 2010) can elicit ABRs as well. The ABR reflects the neural response to sound ascending the 151 auditory pathway (for a review, see Moore 1987), from the eighth cranial nerve (Wave I) 152 153 (Hashimoto et al. 1979; Moller et al. 1982; Moller et al. 1981; Starr and Hamilton 1976) to the 154 lateral lemniscus and inferior colliculus (Waves IV and V) (Moore 1987; Starr and Hamilton 1976). 155

156 The MLR is the next set of waveforms as the acoustic representation ascends along the 157 auditory pathway. The MLR comprises two negative peaks interleaved with two positive peaks (Na, Pa, Nb, and Pb), which occur from approximately 15 to 60 ms after sound onset (Geisler et 158 al. 1958; Goldstein and Rodman 1967). Some studies have also reported waves N_0 and P_0 , 159 160 which occur earlier, around 8-9 and 12-14 ms, respectively (Mendel and Goldstein 1969; Picton et al. 1974; Yoshiura et al. 1996). Clicks, tones, and chirps can elicit the MLR (e.g., Bell et al. 161 2002b; Mendel and Goldstein 1969; Picton et al. 1974). Taken together, source modeling 162 (Pelizzone et al. 1987; Rupp et al. 2002; Scherg and Von Cramon 1986; Yoshiura et al. 1996; 163 164 1995), intracranial (Celesia 1976; Lee et al. 1984; Liegeois-Chauvel et al. 1994), and lesion 165 (Kileny et al. 1987; Kraus et al. 1982) studies have shown that the MLR is primarily generated in supratemporal cortex. Additionally, sub-cortical activity likely contributes to at least the earlier 166 MLR components, especially the Na (Hashimoto 1982; Kileny et al. 1987). 167

The LLR is the final set of auditory evoked potentials observed in the cascade. A 168 stereotyped LLR includes the P1¹, N1, P2, and N2 components, which typically span 169 approximately 50 to 300 ms, following sound onset (Davis and Zerlin 1966; Davis 1939; 170 Vaughan and Ritter 1970). A variety of sounds, including clicks (e.g., Arslan et al. 1984), tones 171 172 (e.g., Davis and Zerlin 1966), and speech sounds (e.g., Kraus et al. 1993), can be used to elicit the LLR. The primary and non-primary auditory cortices, with contributions from the association 173 174 and possibly frontal cortices, are the putative generators of the LLR (Hari et al. 1980; Kanno et 175 al. 2000; Naatanen and Picton 1987; Picton et al. 1999; Scherg and Von Cramon 1985; Shahin et al. 2007; Vaughan and Ritter 1970). 176

177 A fourth measure of auditory function that our paradigm was designed to elicit is the 178 ASSR (also known as the 40 Hz Response) (Galambos et al. 1981). Transient stimuli (e.g., clicks or tones) that repeat at a constant rate (often ~40 Hz, or every ~25 ms, in the auditory 179 180 domain), or amplitude-modulated tones or noise can lead to a sinusoidal-shaped event-related 181 potential (ERP), also known as a steady-state response (for a review, see Korczak et al. 2012; 182 Picton et al. 2003)². Generally, the ASSR is analyzed in the frequency domain (via a Fourier 183 transform) or time-frequency domain (e.g., via wavelet decomposition), so that amplitude peaks at the stimulation frequency and its harmonics are clearly visible (e.g., Artieda et al. 2004; 184 Stapells et al. 1984). Regarding the neural generators of the ASSR, taken together, MEG and 185 186 EEG studies have demonstrated that both the auditory brainstem and auditory cortex contribute to the 40-Hz ASSR (e.g., Makela and Hari 1987; Ross et al. 2002; Schoonhoven et al. 2003; 187 188 Coffey et al. 2016; Herdman et al. 2002).

189 Visual Responses

190The current paradigm was also designed to elicit onset visual evoked potentials (VEPs)191and the steady-state visual evoked potential (SSVEP). The onset VEP generally consists of the

192 P1, N1, and P2 components, which can be evoked by a variety of visual stimuli, including 193 flashes, checkerboard, or grating stimuli. The VEP complex is evident from approximately 50 to 194 250 ms after visual stimulus onset (e.g., Clark et al. 1994; Jeffreys and Axford 1972). Many studies have localized the P1 and N1 to extrastriate regions (e.g., Clark et al. 1994; Di Russo et 195 196 al. 2002; Gomez Gonzalez et al. 1994), but both striate and extrastriate regions may contribute, at least to the P1 (Aine et al. 1995; Di Russo et al. 2005; Vanni et al. 2004). The generators of 197 the visual P2 are not well understood and likely involve multiple cortical sources (Clark et al. 198 199 1994). However, the P2 has been shown to peak over the vertex following both auditory and visual stimuli, suggesting that neurons in amodal cortical regions may contribute to both auditory 200 201 and visual P2 responses (Perrault and Picton 1984).

202 Unlike onset VEPs, which are transient onset responses, SSVEPs are brain responses 203 to a flickering visual stimulus that has a constant flicker rate, such as sinusoidally-modulated 204 flashes of light (Regan 1966; Van Der Tweel and Lunel 1965) or checkerboards in which the 205 black checks change to white and vice versa at a constant rate (e.g., Burkitt et al. 2000; Thorpe 206 et al. 2007). SSVEPs have a spectral amplitude distribution that remains stable over time and 207 reflects the visual stimulus' flicker rate (and its harmonics); thus, instead of analyzing SSVEPs 208 in the time domain, they are generally analyzed in the frequency domain, using a Fourier transform, or in the time-frequency domain (e.g., using a wavelet approach) (for reviews, see 209 210 Norcia et al. 2015; Regan 1977; Vialatte et al. 2010). One observation critical to the design of the current paradigm is that multiple visual stimuli with different flicker rates can be presented 211 212 concurrently to "frequency-tag" neural activity, in other words, to isolate SSVEPs for each separate stimulus/rate presented (e.g., Andersen et al. 2008; Ding et al. 2006; Itthipuripat et al. 213 214 2013; Keitel et al. 2010; Muller et al. 2003; Regan and Heron 1969).

216 **Expected Findings**.

We anticipated that the ABR, MLR, LLR, and ASSR, as well as the VEP and SSVEP, would be reliably detected in all individuals. Previous studies which used long-duration auditory stimuli (Krishnan et al. 2012; Picton et al. 1978a; b) showed that the resulting LLR does not have canonical P1-N1-P2 morphology, but rather it has a broad P1, followed by an N1 and a sustained negativity. Thus, since the present paradigm employs continuous speech stimuli, we expected similar non-canonical morphology as shown in the aforementioned studies.

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Materials and Methods

224 Participants

225 Twenty-six healthy young adult volunteers participated in this study; however, due to 226 technical problems during one participant's session, data from 25 participants were analyzed (14 females, Age Range: 18 to 28 years, Mean Age: 21 years; one participant did not provide 227 his age). Participants were right-handed, fluent English speakers, who self-reported normal 228 hearing, normal or corrected-to-normal visual acuity, normal color vision, no history of any 229 230 neurological illnesses, and no known problems with speech or reading. All participants gave informed written consent before commencing the study, and the UC Davis Institutional Review 231 232 Board approved all procedures described herein.

233 Visual Stimuli

As illustrated in **Figure 1A**, the visual stimuli included a cartoon played in the middle of the screen, surrounded by two concentric checkered rings. The cartoon serves as an engaging event and is designed to help control a participant's fixation. For this study, we used a variety of cartoon clips and videos of animals that were gleaned from the internet and compiled and edited using Final Cut Pro software (Apple, Inc.). These video clips were selected for subsequent

239 testing of elementary school-aged children. We took care to avoid or edit out portions of 240 cartoons which involved characters talking, to prevent any attempts to audio-visually integrate 241 the cartoon and the Cheech. The inner ring comprised eight equally-spaced checks, which 242 flickered sinusoidally at a rate of 7.5 Hz. The outer ring comprised 16 equally-spaced checks, 243 which flickered sinusoidally at 12 Hz. To prevent the flickering from being overly bothersome to participants, the rings flickered for 2.5 seconds, and then stopped for 1-3 seconds (randomly 244 jittered, rectangular distribution), before the flickering began again. In each ring, alternate 245 checks flickered in counter-phase, as depicted in Figure 1A. Additionally, within each ring and 246 between every flickering check was a "blank" check or gap, the same color as the background, 247 248 which did not flicker; this was done to prevent multiple checks stimulating a given location on the retina, as eye movements naturally occur during cartoon viewing. In this way, since no 249 250 adjacent checks flicker out of phase, no retinal location receives phase-opposed stimulation 251 over time during small eye movements.

252 The visual stimuli were created using MATLAB (The MathWorks, Inc.,

253 https://www.mathworks.com/), and the cartoon and flickering rings were embedded into six 2-254 minute videos (AVI files). Participants sat 32 inches (~81.28 cm) from the monitor. The spacing between checks (angular distance), as well as the radial spacing between rings was chosen 255 256 such that it was approximately half the cartoon width – again to avoid multiple checks stimulating the same retinal location. The cartoon extended ~2.7° (visual angle) to the left and 257 258 right of screen center (~5.5° total cartoon width), and ~1.8° (visual angle) above and below 259 screen center (~3.66° total cartoon height). The inner edge of the inner ring was adjacent to the border of the cartoon (see Figure 1), and the inner ring's outer edge subtended a visual angle 260 of ~5.8° from screen center, while the inner edge of the outer ring was ~10.1° from screen 261 center. The outer ring was made larger than the inner ring to approximately account for cortical 262 magnification (Cowey and Rolls 1974; Daniel and Whitteridge 1961). The individual checks of 263

264 the outer ring extended to varying visual angles from screen center, according to the boundaries 265 of the screen edge. For example, at the corners of the screen (longest distance from screen 266 center), the outer ring extended to a visual angle of $\sim 20.5^{\circ}$, whereas at the shortest distance from screen center (top or bottom of the screen, directly above or below screen center, 267 respectively), the outer ring extended to a visual angle of $\sim 11.3^{\circ}$. The cartoon was rendered at 268 269 147 x 98 pixels and combined in MATLAB with the flickering ring stimuli which extended 960 x 600 pixels. Upon stimulus delivery via Presentation software (Neurobehavioral Systems, Inc., 270 271 https://neurobs.com), which doubled the video size, the cartoon resolution was 294 x 196 pixels and the full video resolution was 1920 x 1200 pixels. 272

We originally manipulated the color/luminance of the checks to determine the paradigm's 273 274 sensitivity to changes in color/luminance. In one block (three 2-minute videos per block), the 275 checks were black and white against a gray background (RGB color components: [0 0 0]; [255 276 255 255]; [128 128 128], respectively); in the other block, the checks were red and green, against a mustard yellow background (RGB color components: [255 0 0]; [0 255 0]; [128 128 0], 277 278 respectively). Block order was counterbalanced across participants. The flickering involved 279 luminance changes between black and white or color changes between red and green (the gray and yellow intervening gaps did not change). Rather than using abrupt luminance or color 280 281 transitions, a sinusoidal function was applied, such that the speed of the transition depended on 282 the screen's refresh rate (60 Hz) and the flicker rate. Thus, for the inner ring (7.5 Hz flicker), the 283 color/luminance transition occurred gradually across eight frames (i.e., screen refreshes), while for the outer ring (12 Hz flicker), the transition occurred across five frames. During each block, 284 there were 90 2.5-second intervals, in which the checkered rings were flickering. The EEG data 285 286 were time-locked to these flicker onsets to compute the visual onset responses and SSVEPs. 287 The two visual color conditions (black-white, red-green) were not isoluminant, thereby

confounding interpretations about any differences observed between color conditions. Thus, we
 collapsed across black-white and red-green trials for EEG data analysis.

290 Auditory Stimuli

Figure 1B shows an example of the auditory stimuli. A detailed characterization of 291 these auditory stimuli (termed CHirp-spEECH, "Cheech") can be found in the patent listing 292 293 (Miller et al. 2017). Unlike fully natural speech, Cheech possesses acoustic properties that robustly drive early (ABR) as well as middle and late auditory EEG responses. As implied in its 294 name, Cheech incorporates auditory chirp stimuli; chirps are transient sounds that increase 295 296 rapidly in frequency over time. Furthermore, Cheech takes advantage of the observation that 297 upward frequency-modulated chirps yield more synchronized brainstem responses than 298 traditional stimuli such as clicks, by compensating for the traveling wave delay (across 299 frequencies) along the basilar membrane (Dau et al. 2000; Elberling et al. 2007; Shore and 300 Nuttall 1985). In Cheech, we replace some of the glottal pulse energy with chirp energy, 301 thereby yielding stronger speech EEG responses. Briefly, 49 unique sentences (sampled at 22,050 Hz) from the Harvard/IEEE Corpus (1969) were selected and concatenated into a two-302 minute WAV file of continuous speech. Next, the pitch of the voicing was flattened to 82 Hz 303 using Praat (Boersma and Weenink 2001, http://www.praat.org/). A second sound was then 304 305 created with trains of chirps temporally coinciding with each voiced period, such that the individual chirps were aligned in time with individual glottal pulses in the speech (i.e., every 306 other glottal pulse). Voiced periods with coinciding chirps occurred whenever the speech 307 envelope <40Hz for energy between 20-1000Hz (containing the highest voiced power) 308 309 surpassed a threshold (appx. 28% of overall speech RMS) long enough to contain four chirps 310 total. Finally, the speech and chirps were frequency multiplexed in alternating, interleaved bands one octave wide and added together (speech energy occupied [0 250], [500-1000], 311 [2000-4000] Hz, and chirp energy occupied [250-500], [1000-2000], [4000 10,000] Hz). In this 312

313 way, chirps align acoustically and perceptually with the natural voicing, creating a single perceptual speech object. Chirps occurred at a rate of 41 Hz within each voiced period, to elicit 314 315 the ASSR at 41 Hz. Furthermore, the chirps were isochronous throughout the WAV file (due to 316 the flattened pitch), so that each chirp occurred at multiples of ~24 ms, relative to the first chirp 317 in each experimental block. Within each voiced period, the second chirp was omitted, in order to measure an MLR that occurred in response to the onset of the voiced period (which coincides 318 319 with the first chirp). The voicing periods occurred on average 501 ms apart (range: 146 to 1195 320 ms apart) in the present study. The resulting stimulus is highly intelligible speech, albeit with a robotic monotone quality; the rapid interspersed chirps are audible as a rattling character in the 321 322 voicing, but they blend perceptually with the speech and do not distract from its linguistic 323 content.

Across both 6-minute blocks, there were 1,422 voicing onsets (711 per block) and 11,694 chirps (5,847 per block). As we demonstrate here, using Cheech in conjunction with EEG, robust measurements of auditory responses along the entire auditory pathway, from the brainstem to auditory cortex, can be obtained (Miller et al. 2017). Specifically, ABRs can be created by time-locking and signal averaging the continuous EEG data, relative to the onset of each chirp. By time-locking the EEG data to the onsets of the voicing periods, MLRs, LLRs, and ASSRs can be measured.

In this study, the two-minute WAV file of Cheech was repeated three times within each of the two blocks. The Cheech was presented in the free-field at a level of 65 dB(C) SPL, using an internal Realtek HD sound card, a NuForce Icon stereo amplifier, and finally played through an Auvio 400016 speaker (passive speaker, three drivers in speaker chassis) that was positioned 1.27 m directly in front of the participant and above the computer screen. Previously, Cheech has been presented monaurally (Miller et al. 2017), but we chose to use the free-field approach because of our intention to use this paradigm in children with cochlear implants. A

sample stimulus video, which includes the Cheech audio, can be accessed online here:

<u>https://figshare.com/articles/Novel_EEG_Paradigm_J_Neurophysiology_Methods_Backer_et_al</u>
 <u>2019pptx_pptx/8214449</u>.

341 Electroencephalography (EEG) Recording

EEG data were recorded using a BioSemi ActiveTwo system (BioSemi B.V., 342 343 Netherlands, biosemi.com), a 32-channel cap, and ActiView2 software installed on a Dell laptop. The scalp electrode montage (based on the International 10/20 System) included: FP1/2, AF3/4, 344 Fz/3/4/7/8, FC1/2/5/6, Cz/3/4, T7/8, CP1/2/5/6, Pz/3/4/7/8, PO3/4, Oz/1/2. Additional 345 electrodes were taped to each earlobe and each mastoid. EEG data were sampled at a rate of 346 347 16,384 Hz in order to obtain ABRs, which require sub-millisecond resolution; an anti-aliasing low-pass filter at 3,334 Hz (5th order sinc) was applied before A-to-D conversion. Before 348 beginning the recording, electrode offsets (relative to the Common Mode Sense (CMS) 349 electrode) for all channels were set to < 20 μ V. 350

During EEG recording, the visual and auditory (Cheech) stimuli were simultaneously 351 presented using Presentation software, from a Dell laptop to a 24-inch HP Z Display monitor 352 and to the speaker inside the testing room. The EEG recording lasted for a total time of 12 353 minutes (6 minutes per block). Participants were instructed to focus on the cartoon, with no 354 explicit instruction to ignore the flickering visual or the Cheech stimuli. As mentioned previously, 355 the inter-stimulus interval for the visual flicker stimuli was jittered from one to three seconds, 356 357 while the cartoon was played continuously; however, the Cheech was looped without any silent 358 periods. Thus, there were periods within each block, in which the participants experienced only Cheech and no visual flickers (auditory-only); at other times, both the flickers and the Cheech 359 360 were concurrent (audio-visual) (Figure 1C). All auditory events (i.e., during both the auditory-

only and audio-visual periods) were used in computing the auditory EEG responses reportedhere.

363 EEG Data Analysis

364 *Preprocessing.*

EEG data were preprocessed in MATLAB, using EEGLAB (Delorme and Makeig 2004), ERPLAB Toolbox (Lopez-Calderon and Luck 2014), and custom MATLAB code. First, raw data (BDF files) were imported into EEGLAB using the BioSig plugin (version 2.88,

368 <u>https://sourceforge.net/projects/biosig/</u>).

During EEG acquisition, experimental events were synchronized with the EEG data 369 370 using parallel port codes, sent from the presentation computer, using a StarTech IEEE 1284 371 parallel port card, to the Biosemi acquisition box. For the visual stimuli, a single port code was sent via Presentation software at the onset of each 2-minute video; but the time stamp 372 corresponding to the onsets of the flicker interval within each video were added post-hoc, 373 374 relative to each video onset, using custom MATLAB code. Since the videos were created in MATLAB, the exact frames corresponding to the start of the flicker interval were known in 375 advance. Using Presentation's detailed logging feature for videos, we obtained information 376 about the onset time for each frame, the uncertainty about each frame's onset time (usually 1-2 377 378 ms), and the number of frames dropped for each participant (usually no frames dropped). Furthermore, using a photodiode and oscilloscope, we checked the timing between the delivery 379 of the EEG port code at the start of each video and the actual start of the video to ensure 380 reliable timing. 381

For the auditory stimuli, Presentation sent one port code at the beginning of each WAV file, as well as port codes for all of the Cheech events of interest (i.e., voicing onsets and chirps). These were all embedded as metadata within the WAV files and were sent by

385 Presentation at appropriate latencies as the WAV files played. It is important, particularly for ABR analyses, to ensure accurate sub-millisecond precision between the port code times and 386 387 when the Cheech events of interest actually played; however, in our system, comparing the sound output and port output using an oscilloscope, the port code timing variability was too 388 389 great for ABR analyses (~1 ms jitter). In addition, port codes were frequently missed due to the unusually high load for the parallel port in sending codes every ~24 ms. Many acquisition 390 391 systems would not suffer these limitations, as they provide an additional data channel dedicated 392 to timing pulses aligned precisely with the stimuli (further described in the Discussion). However, in our own system, a crucial step involved developing MATLAB code to correct these 393 394 timing issues, particularly for the ABRs. Thus, we conducted a cross-covariance analysis between the recorded port codes in the EEG data and the intended port codes embedded in the 395 396 WAV file metadata (taking into account the difference in sampling rates between the WAV files 397 and EEG recordings). This yields large covariance peaks at the onset of each WAV file, which we then used as a temporal reference to replace all recorded port codes with reconstructed 398 399 ones from the WAV files themselves. Thus, the event timing used in the analyses has 400 essentially no variability due to port code timing errors. An analysis of the timing difference 401 between the recorded port codes and the reconstructed port codes revealed that 8.95% of the original, recorded auditory port codes were jittered by more than 0.2 ms. 402

One further temporal correction was necessary, due to the fact that experimental devices may differ slightly in how they measure time. Thus, over long recording periods, the stimulus presentation computer or sound card can nominally drift out of sync from the EEG acquisition device. Put in another way, reported time runs slightly slower or faster for different devices. This required us to compress time for the target port codes by a very small percentage (0.003%) to accommodate the difference.

409 Due to divergent analyses after the addition/correction of port codes, the various410 preprocessing pipelines are described separately below.

411 Auditory LLRs and Visual Responses.

Following port code addition/correction, the data were resampled to 512 Hz, and each 412 block's data was concatenated. Next, the data were inspected visually across the entire 413 414 recording, noisy data segments were removed, and bad channels were noted. Each participant's data were referenced to the average earlobes, and band-pass filtered from 0.5 to 415 100 Hz, using an 8th order, zero-phase Butterworth filter. The DC offset of contiguous segments 416 417 of data was removed prior to filtering, in order to minimize edge effects at boundaries. One 418 participant's data was also filtered using a Park's-McClellan notch filter (order of 180) to remove 419 60 Hz noise. The filtered data were then processed with EEGLAB's Independent Component Analysis (ICA) function, which used the Infomax algorithm (Bell and Seinowski 1995); the 420 421 reference (earlobe) channels, as well as any bad channels, were excluded from ICA. The 422 components were visually inspected, and only eye blink components were removed from the 423 data; twenty-three participants had one component removed, and two participants had two components removed. For the data used to create visual onset ERPs, as well as the auditory 424 long latency responses, the data were down-sampled further to 256 Hz. Next, any bad 425 426 channels identified previously were spatially interpolated using a spline function; ten participants had no bad channels, six had one bad channel, five had two bad channels, two participants had 427 three bad channels, and two participants had five bad channels. 428

Filtering and Epoching. The next steps occurred in the same order for each participant's data,
however, the filter and epoch settings differed depending on the type of response that was
being extracted, as detailed below. Following interpolation of bad channels, the data were
filtered with an appropriate zero-phase, 8th order Butterworth filter to obtain the desired

433 passband for each response type. For the VEP onset response and the auditory LLR, the data 434 were low-pass filtered with a 30 Hz cutoff frequency, resulting in a passband of 0.5 to 30 Hz. The SSVEP was analyzed in the frequency domain (using a Fourier transform). For the SSVEP 435 response, a band-pass filter was applied with cutoffs at 1 and 40 Hz. Next, using ERPLAB 436 437 Toolbox, information about the time-locking events of interest (e.g., flickering onsets) was obtained, and the data were epoched and baselined to the pre-stimulus data. The epoch limits 438 439 differed according to response type as follows: Auditory LLR: -50 to +500 ms; VEP Onset Response: -100 to +500 ms; SSVEP: -500 to +2500 ms. In general, the baseline length was 440 selected to be proportional to the post-stimulus epoch length. For the VEP and SSVEP, the 441 baseline length corresponded to 20% of the post-stimulus epoch time. A relatively short pre-442 stimulus baseline (50 ms) was used for the Auditory LLR due to the continuous nature of the 443 444 Cheech, to minimize contamination of previous auditory responses on the current epoch's 445 baseline. Both the Auditory LLR and VEP Onset Response epochs included 500 ms of poststimulus data, to ensure analysis of all transient ERP components, as well as the sustained 446 negativity observed in the LLR. The SSVEP epoch included data samples for the entire 447 448 duration of the flickering visual stimulus, which lasted 2.5 seconds. All visual response analyses 449 were time-locked to the start of each 2.5-second flickering stimulus, and the LLRs were timelocked to the voicing onsets in the Cheech, specifically the first chirp in each voiced period. 450

Voltage Threshold Artifact Rejection. Next voltage threshold artifact rejection was done, based on the whole epoch length in all channels except for T7/8, earlobe, and mastoid channels; this excluded any epochs with deflections exceeding $\pm 80 \,\mu$ V from further analysis. This thresholding procedure resulted in the following across-subjects mean percent and mean number of accepted epochs and the across-subjects range of number of accepted epochs, for each response type and visual condition: Auditory LLR: 97% accepted, 1369 mean epochs,

457 1185-1422 epochs; Visual Onset: 98%, 174 epochs, 152-180 epochs; SSVEP: 96%, 171
458 epochs, 139-180 epochs.

459 MLRs and ASSRs.

Following port code correction/addition, noisy segments within the continuous data were 460 removed, corresponding to the same latencies as those excluded for the auditory LLRs and 461 462 visual response analyses. Next, the data were resampled to 1024 Hz, referenced to the average earlobes, and filtered using a zero-phase, band-pass (0.5 to 200 Hz), 8th order 463 Butterworth filter (DC offset was removed prior to filtering). One subject's data were also notch-464 465 filtered to remove 60 Hz noise, as previously described. The Independent Component weight 466 matrix calculated for the visual response/auditory LLR analysis stream was applied to the 467 current analysis as well, and the same eye blink component(s) that were removed for the visual/LLR data were also removed from each subject's MLR/ASSR dataset. Next, any bad 468 channels were spatially interpolated (same channels as for the visual/LLR data), and the data 469 were high-pass filtered with a cut-off frequency of 15 Hz using a zero-phase, 8th order 470 471 Butterworth filter, resulting in a passband of 15 to 200 Hz for the MLR/ASSR data. At this point, the auditory port codes were shifted in time to account for the time it takes for sound to travel 472 1.27 m, from the speaker to the participant (\sim 3.7 ms). Next, ERPLAB was used to extract 473 474 information about the voicing onsets, to which the MLRs and ASSRs were time-locked, and the data were epoched and baselined to the pre-stimulus data. The epoch time limits were as 475 follows: MLR: -5 to +60 ms; ASSR: -150 to +1100 ms. For the MLR, these epoch time limits 476 were originally chosen to encapsulate the entire MLR; recall that there was a 48.8-ms gap 477 478 between the voicing onset/chirp to which the MLR was time-locked and the next chirp. Like the 479 LLR and ABR, a relatively short baseline period (5 ms) for the MLR was chosen to minimize 480 contamination from residual neural activity due to the continuous auditory stimuli. The ASSR baseline length (150 ms) was selected as a compromise between the long duration of the post-481

stimulus epoch length and the need to minimize contamination of the baseline due to the
continuous Cheech stimuli. Finally, voltage threshold artifact rejection was done, as previously
described, excluding any epochs with deflections exceeding ±80 µV from the ERP averages.
This resulted in the following mean percent and mean number of accepted epochs, and range
across subjects: MLR: 97% of epochs accepted on average, 1369 mean epochs, 1180-1422
epochs; ASSR: 92% of epochs accepted, 1294 mean epochs, 967-1419 epochs.

488 <u>ABRs.</u>

489 For the ABRs, following port code addition/correction, the EEG data files for each block were then concatenated into one file. Noisy data segments, corresponding to the same 490 491 latencies as those excluded for the other visual and auditory data, were removed. The data 492 were referenced to the average earlobes, and any bad channels were spatially interpolated with a spline function. These bad channels were the same as those identified in the other auditory 493 and visual data. Next, the data were filtered, using a band-pass (100 to 1500 Hz) Butterworth 494 495 filter (order of 8), and the chirp port codes were shifted in time to account for sound travel time from the speaker to the participant. ERPLAB toolbox was then used to obtain chirp onsets, 496 epoch the data to time-lock to them (epoch limits: -2 to 24 ms) and to baseline the data to the 497 pre-stimulus period. The epoch limits were chosen because there was a minimum of ~24 ms 498 499 between chirps, and a brief pre-stimulus baseline (2 ms) was used to minimize contamination from residual brainstem activity due to the continuous Cheech stimuli. Threshold artifact 500 detection was conducted to identify and exclude epochs in which activity exceeded $\pm 35 \,\mu$ V, in a 501 subset of channels. ICA was not done for the ABR ERPs, since the ABR passband of 100 to 502 503 1500 Hz removes most, if not all of the eye blink artifact. Because the ABR signal is small in 504 comparison to muscle activity and since the ABR peaks at the vertex (Cz), channels near the forehead and temples (which tend to have the most muscle activity) including FP1/2, AF3/4, 505 F7/8, as well as any bad channels and the earlobe and mastoid channels, were excluded from 506

threshold artifact detection; this was done to preserve as many epochs as possible, with clean
EEG signals in the central channels of interest, for creating the ABRs. This resulted in the
preservation of an average of 90% of epochs (mean = 10428 epochs, range = 6967-11640
epochs) across subjects.

511 Statistical Analyses.

512 Custom MATLAB code was used for statistical analysis of the EEG data. Since the goal 513 of this study was to validate the EEG paradigm at the single-subjects level, statistics were run 514 on each individual subject's data, using a bootstrapping approach based on Zhu et al. (2013). 515 This allowed us to quantify the number of subjects that exhibited significant responses to the 516 auditory and visual stimuli. The bootstrapping algorithm differed slightly for different responses, 517 as described below.

518 ABRs, MLRs, LLRs, and VEPs.

For each subject, the preprocessed, epoched data were imported into MATLAB. For the 519 ABRs and MLRs, the data epochs were shortened to -2 to +15 ms and -5 to +53 ms. 520 521 respectively. For the ABR, this was done primarily to speed-up computation time of the statistical analysis, since the components of interest occurred within 15 ms. Furthermore, the 522 523 original MLR epoch included additional time points to +60 ms; however, because the next chirp always occurred at approx. +48.8 ms, the original MLR included the ABR Wave V to the 524 525 subsequent chirp. Thus, the MLR was truncated to +53 to encompass the Pb and exclude the 526 subsequent Wave V. Pre-stimulus time points were included in the ERP Bootstrapping analysis 527 and are shown in the results figures; this was done for transparency and to ensure that no 528 robust responses were observed due to the continuous nature of especially the auditory 529 stimulus. To generate an estimate of the actual data, a subset of epochs was randomly selected 530 with replacement and the average of this data subset was computed, resulting in an ERP in

each of the 32 scalp channels (excluding earlobe and mastoid channels). This was repeated
100 times, and the grand average of these 100 draws was computed.

To create the null distribution, a subset of actual data epochs was randomly selected 533 with replacement and the amplitude values comprising each epoch were randomly scrambled in 534 535 time. The mean of these scrambled data epochs was computed. These steps (i.e., draw, scramble, average) were repeated 100 times, and the grand average of these 100 draws was 536 computed. This full process was iterated 1,000 times to generate the null distribution. Since 537 creating the null distribution is computationally expensive, we limited the creation of the null 538 539 ERPs to only one or two channels as follows: Cz for ABRs, Fz and Cz for MLRs and LLRs, and Oz for VEPs. These channels were chosen based on a priori knowledge of the scalp regions 540 541 where auditory and visual evoked responses generally reach their peak amplitudes (Luck and 542 Kappenman, 2011). The null ERPs were then filtered, using the same filter parameters as done 543 on the actual data, and then baselined to the pre-stimulus period. Each subject's data was used 544 to generate their own null ERPs.

545 Each individual's null distribution was used to statistically test their own actual data. To control for multiple comparisons across channels and time points, the maximal absolute null 546 value across channels and time points was recorded, resulting in a vector with 1,000 maximal 547 548 null values. This vector was then sorted in descending order. Next, the absolute value of each actual data point was compared to the sorted maximal null vector, to determine the proportion of 549 null samples that were larger than the absolute value of the actual data point (i.e., resulting in its 550 p-value). This was repeated for each subject and response. Because the maximal null vector 551 552 comprised 1,000 samples, the minimum p-value possible was 0.001, which was used as the 553 threshold for the single-subject results.

554 To determine the number of participants with significant responses for each ERP component, we first plotted the group average ERPs and found the peak latency for each 555 observed positive and negative deflection in Channel Cz for ABR, Fz for MLR and LLR, and 556 channel Oz for VEP. Next, using custom MATLAB code, an automated procedure scanned 557 558 individual subjects' data to ascertain the number of data samples that reached a p-value of 0.001 within a window around the group mean peak for that particular component. The window 559 560 was defined as the group-mean latency ± 1, 3, or 20 ms for the ABR, MLR, or LLR/VEP responses, respectively. These window durations were chosen in accordance with a priori 561 knowledge of the duration of each component peak and confirmed via inspection of the group-562 averaged ERPs in the present study. Thus, we selected the window durations to account for 563 the increase in peak width from the ABR to MLR to LLR. Since both the VEP and LLR are 564 565 cortical responses with relatively broad peaks (compared to ABRs and MLRs), we chose a 566 window size of ± 20 ms for consistency in the analysis of both types of cortical responses. For sustained cortical responses (i.e., LLR sustained negativity and VEP late negativity), the window 567 was defined according to the duration of the group-average sustained response. A single-568 569 subject significant response was defined as follows: the number of data samples that deflected 570 in the correct direction (positive or negative) and reached a p-value of 0.001 had to exceed one-571 third of the number of total data samples in the specified window.

572 SSVEPs and ASSRs.

573 First, an estimate of the real data was obtained by drawing randomly with replacement, a 574 subset of data epochs. Next, each selected epoch was converted to the frequency domain via a 575 Fast Fourier Transform (FFT) applied from 50 to 1050 ms of the ASSR epochs (i.e., 1 Hz 576 resolution) and from 500 to 2500 ms of the SSVEP epochs (i.e., 0.5 Hz resolution, since the 577 inner ring flickered at 7.5 Hz). For the ASSR, we extracted the data samples starting at 50 ms 578 to avoid the transient portion of the onset response, which arises primarily from subcortical

579 structures and comprises broadband spectra that overlaps with the ASSR. Likewise, for the 580 SSVEP, we used the data samples starting at 500 ms to avoid the visual onset response, 581 whose spectral energy overlaps with that of the flicker rates. The single-sided FFT was computed for each epoch and scaled to the number of data samples on which the FFT was 582 583 performed. The mean single-sided FFT (complex-values) was computed across the subset of data epochs drawn, and the absolute value was taken to obtain magnitude. These magnitude 584 values were then converted to dB (arbitrary units). This procedure was repeated 100 times (i.e., 585 number of draws), and the grand average magnitude was calculated across these 100 draws to 586 obtain the estimated magnitude of the actual data in each of the 32 scalp electrodes. This was 587 repeated for each participant's data. 588

589 To create the null distribution for each participant, the same steps were followed for the 590 actual data. However, after computing the FFT for each epoch, the magnitude component of 591 the FFT was preserved, but the phase was randomized from 0 to 2*pi. In theory, this should 592 provide an accurate estimate of the noise floor in the data (Zhu et al. 2013). Thus, phase-593 randomized FFTs were obtained, using the actual data's magnitude component and the random phase vector. Next, just like the actual data, the phase-randomized FFTs were converted to the 594 595 single-sided spectra, scaled, and averaged across epochs. The magnitude component was 596 extracted and converted to dB (arbitrary units). The grand average dB magnitude was 597 computed across 100 draws, and this whole procedure was repeated 1,000 times to create the null (phase-randomized) distribution. Due to the computational cost of creating the null 598 599 distribution, this was limited to channels Fz and Cz for the ASSRs and to channel Oz for the SSVEPs. Like the ERP analysis, these channels were chosen based on a priori knowledge of 600 601 the scalp regions where auditory and visual evoked potentials generally reach their peak 602 amplitudes (Luck and Kappenman, 2011). For data visualization, the signal-to-noise ratio

(SNR) was obtained by subtracting the mean of the null distribution (i.e., the noise floor, in dBunits) from the mean of the estimated actual data (in dB units), for each participant.

Statistics were performed at the single-subjects level. For each channel (Fz and Cz, or 605 606 Oz) and frequency of interest (i.e., ASSR: 41 Hz (f₀), 82 Hz, 123 Hz, 164 Hz; SSVEP: 7.5 Hz 607 (Inner ring f_0), 12 Hz (Outer ring f_0), 15 Hz (Inner ring harmonic), 24 Hz (Outer ring harmonic)), the actual data value was compared to the distribution of null values at that frequency to 608 determine its p-value. A Bonferroni-corrected threshold was computed as 0.05/(f*c), where f is 609 the number of frequencies of interest (i.e., 4) and c is the number of channels examined (i.e., 2 610 611 for ASSR, 1 for SSVEP), resulting in thresholds of 0.00625 for ASSR and 0.0125 for SSVEP. 612 These Bonferroni-corrected thresholds were used to determine the number of subjects with a 613 significant response at each frequency of interest.

614 Number of Epochs for Bootstrapping.

615 The number of epochs drawn for each response type was initially based on the minimum number of epochs available after artifact rejection across subjects, so that all subjects could be 616 617 included in all analyses. These epoch numbers were further reduced, to accommodate the minimum number of artifact-free trials that we expect (and have obtained) from young children 618 participating in the same EEG paradigm. For all visual responses (VEP, SSVEP), 50 epochs 619 620 were drawn (randomly, with replacement) for each iteration of the bootstrapping analysis. For 621 all auditory responses (ABR, MLR, LLR, and ASSR), 500 epochs were selected (randomly, with 622 replacement).

Assessing Relationships among Auditory and Visual EEG Responses.

As a supplementary analysis, we conducted across-subjects correlations to determine if the various auditory and visual EEG responses varied in a systematic way. To do this, we converted the amplitude estimates of a subject's true data for each EEG response into z-scores,

627 relative to the mean and standard deviation of each subject's null distribution. For each ERP 628 response, each subject's null distribution mean and standard deviation were computed across all time points and channels for which the null was computed. For the steady-state responses, 629 each subject's null distribution mean and standard deviation were computed using the one or 630 631 two channels for which the null was created, but separately for each frequency of interest. By converting the data to z-scores, we could directly compare different responses with different 632 633 magnitudes or measurement units (see also Zhu et al. 2013). For the ERP responses (ABR, MLR, LLR, VEP), each individual's peak z-score was obtained for each component, using the 634 same windowing procedure as described for quantifying the number of subjects with a 635 significant ERP response. Data from one channel were used from each EEG response: Cz for 636 ABR, Fz for MLR, LLR, and ASSR, and Oz for VEP and SSVEP. For any observed sustained 637 638 potentials, each individual's z-scores across the time range of the group-mean sustained 639 potential were averaged. Next, the negative components' z-scores were multiplied by -1, and the z-scores corresponding to each component within a given response were averaged (e.g., 640 P1, N1, and sustained negativity for LLR). This was done to create an aggregate z-score for 641 642 each EEG response. Similarly, for the ASSR and SSVEP, the z-scores corresponding to each 643 frequency of interest were averaged.

Using MATLAB, Pearson correlations (two-tailed) were conducted across-subjects for
each possible pair of auditory responses (ABR-MLR, ABR-LLR, ABR-ASSR, MLR-LLR, MLRASSR, and LLR-ASSR) and between the visual responses (VEP-SSVEP). Also, to assess if the
frequencies of interest were correlated within the ASSR and SSVEP responses, pairwise
Pearson correlations were computed on the z-scores of each frequency of interest (ASSR: 4182 Hz, 41-123 Hz, 41-164 Hz, 82-123 Hz, 82-164 Hz, 123-164 Hz; SSVEP: 7.5-12 Hz, 7.5-15
Hz, 7.5-24 Hz, 12-15 Hz, 12-24 Hz, 15-24 Hz).

651

652

Results

653 ERP Responses (ABR, MLR, LLR, VEP)

654 **Table 1** contains a summary of the number of subjects showing a significant response655 for each observed component, along with peak amplitude and latency measurement results.

Examination of the ABR data revealed two negative peaks interleaved with two positive 656 deflections (Figure 2). The first negative peak, which we have labeled "n₀", occurred around 3.5 657 ms and was maximal over fronto-central channels (significant for 24 subjects). Next, we 658 659 observed a positive peak at 6.5 ms, which was maximal over the vertex, consistent with the 660 timing and topography of Wave V (significant for all 25 subjects). Another negative peak followed around 9 ms (significant for all 25 subjects), and a positive peak at 13 ms, which were 661 662 maximal over frontal sites, suggesting a neural generator in/near auditory cortex. These peaks' 663 timings are consistent with the N₀ and P₀ components, respectively (Mendel and Goldstein 1969; Picton et al. 1974; Yoshiura et al. 1996), indicating the transition between the ABR and 664 MLR. Of the four deflections observed, the P₀ was by far the weakest in terms of amplitude and 665 number of subjects with a significant response (i.e., 16 subjects; see Table 1). 666

Analysis of the MLR data (Figure 3) showed that at the group level, all MLR components 667 668 were evident (Na, Pa, Nb, Pb), along with ABR Wave V, which had a slightly later, broader peak than in the ABR analysis. This shift in latency is likely due to the different bandpass filters 669 670 applied to the ABRs (100-1500 Hz) and MLRs (15-200 Hz), such that low-frequency activity dominates the MLR representation of ABR Wave V. As shown in Figure 3B, the MLR 671 components peaked over frontal sites. At the single-subjects level in channel Fz, the majority of 672 673 participants had significant MLR components, but the Na and Pb were the most robust in terms 674 of amplitude and number of subjects with a significant response (22 and 20 subjects, 675 respectively). In channel Cz, there were 17, 15, 11, and 19 subjects showing significant Na, Pa,

Nb, and Pb responses, respectively. Furthermore, examination of the single-subject data in Fz
(p threshold of 0.001) revealed that all 25 subjects had at least one significant MLR component,
24 had at least two significant components, and 22 had at least three significant MLR
components.

680 The LLR data revealed a P1 that peaked at \sim 80 ms and was relatively broad in latency, followed by an N1 that peaked at ~170 ms and a sustained negativity that was evident from 681 about 225 to 425 ms after voicing onsets in the Cheech (Figure 4). All three components had 682 fronto-central topography, suggestive of auditory cortex neural generators. Currently, it is 683 684 unclear if the observed sustained negativity reflects truly sustained activity and/or overlapping N1's due to the continuous nature of the auditory stimulus. However, the topography of the 685 686 sustained negativity is very similar to the N1 topography. In channel Fz, all 25 subjects had a 687 significant P1, 21 had a significant N1, and 23 had a significant sustained negativity. In channel Cz, the results were similar, but slightly weaker; 25, 19, and 22 subjects had a significant P1, 688 689 N1, and sustained negativity, respectively.

As illustrated in **Figure 5**, analysis of the VEP data revealed the visual P1, N1, and P2 690 components, followed by a late negativity over posterior sites, which started around 420 ms and 691 continued to the end of the epoch period. A subsequent examination of the group data, which 692 693 used a longer epoch (to 3 seconds beyond flicker onset), showed that this posterior negativity continued until 770 ms after flicker onset. Inspection of the group-average scalp topographies 694 revealed that the visual P1 and N1 peaked over posterior sites, whereas the P2 showed a broad 695 scalp distribution that was maximal over midline sites across the scalp. At the single-subjects 696 697 level, a majority of participants had significant responses for each of the four components 698 identified. The P1 was the strongest in terms of number of subjects showing a significant 699 response (22 subjects), followed by the P2 and sustained negativity (20 subjects), and finally 700 the N1 (17 subjects). Furthermore, examination of the results in channel Oz (p-threshold of

0.001) revealed that all 25 subjects showed at least two VEP components significantly, and 19
had at least three components.

703 Steady-State Responses (ASSR, SSVEP)

Table 2 contains a summary of the number of subjects showing a significant response
 for each frequency of interest, along with the group raw magnitude and signal-to-noise ratio
 (SNR) results.

707 Inspection of the ASSR data revealed large peaks at the stimulation frequency (41 Hz) 708 and its three harmonics (82 Hz, 123 Hz, 164 Hz), as displayed in **Figure 6**. At the group level, 709 the scalp location of maximum amplitude differed among the ASSR frequencies, with the lowest 710 frequency (41Hz) peaking fronto-centrally and the highest frequency (164Hz) peaking at the 711 vertex, possibly reflecting differential contributions from the ascending auditory pathway, in line 712 with Herdman et al. (2002) and Coffey et al. (2016). In the present study, the ASSR (and SSVEP) raw magnitudes were first estimated by converting each epoch's time waveforms to the 713 frequency domain via a Fourier transform and then averaging across the frequency spectra, 714 within a bootstrapping algorithm. Similarly, the noise floor was modeled in similar fashion, with 715 the added step of randomizing phase (but preserving magnitude) before averaging frequency 716 spectra, as described in the Methods section. These raw magnitude and noise floor data were 717 718 used for statistical thresholding at the four frequencies of interest in channels Fz and Cz and 719 were used to compile the data in Table 2. All 25 subjects had significant ASSRs at 41, 123 and 720 164 Hz, and 24 of 25 subjects had significant responses at 82 Hz; this pattern of results was 721 observed in both Fz and Cz.

As shown in Figure 6B, the noise floor estimate accurately modeled the 1/f shape of the noise floor in the actual ASSR data. However, the noise floor estimate was uniformly lower than the raw magnitude estimate (red vs. blue line in Figure 6B), resulting in the floor of the signal-to-

725 noise ratio (SNR) hovering around 1.75 dB (black line) instead of 0 dB. This is suggestive of 726 broad-spectrum, weakly phase-locked neural activity that is driving the noise floor of the actual ASSR data above what would be expected by chance (i.e., random phase across epochs). To 727 728 further probe this issue, we used a similar bootstrapping procedure to estimate the actual ASSR 729 data, but the epochs were averaged in time for each draw, and subsequently across 100 draws, 730 before converting it to frequency space. By averaging in time first, any weakly phase-locked 731 activity should be attenuated due to destructive interference. The resulting group average raw 732 magnitude spectrum is depicted in Figure 6B (gray line). Indeed, its noise floor is much lower than both the noise floor estimate and original raw magnitude spectrum, while the peaks of both 733 734 raw magnitude spectra reached nearly identical values in channel Fz. This corroborates the 735 notion that weakly phase-locked activity contributes to the raw magnitude spectrum (and noise 736 floor estimation) averaged in the frequency domain.

Examination of the SSVEP data revealed peaks at the stimulation rates (7.5, 12 Hz) and 737 738 their first harmonics (15, 24 Hz), that were maximal over parieto-occipital sites (Figure 7). At 739 the individual subjects level, 21, 24, 22, and 19 subjects had significant neural responses at 7.5, 740 12, 15, and 24 Hz, respectively, in channel Oz as shown in Table 2. Furthermore, 20 participants had significant neural responses at both 7.5 and 12 Hz (stimulation fundamental 741 frequencies), and the other 5 subjects had significant responses at either 7.5 or 12 Hz. 742 743 However, like the ASSR, the noise floor estimate accurately reflected the 1/f shape of the noise floor of the SSVEP magnitude spectrum, but it was uniformly ~1.2 dB lower than the apparent 744 745 noise floor in the actual SSVEP magnitude spectrum, obtained by averaging in frequency space. Thus, we also computed SSVEP magnitude spectra, by averaging data in the time 746 747 domain first before converting to the frequency domain (Figure 7B, gray line). Like the ASSR, 748 the apparent noise floor of the time-averaged SSVEP spectrum dropped below that of the 749 estimated noise floor, again suggesting the contribution of weakly phase-locked neural activity

to the raw magnitude spectrum and noise floor estimation, which were averaged in thefrequency domain.

752 Summary of ERP and Steady-State Response Results

In summary, the paradigm was successful in eliciting multiple auditory and visual responses across the subjects tested. For the ABR, LLR, ASSR, and SSVEP there was strong convergence across subjects regarding which components were reliably detected. For the MLR and VEP, the pattern was more heterogeneous; while nearly all subjects showed at least two or three of the components within the MLR or VEP, these components were not necessarily the same across participants. Nevertheless, these results demonstrate the robustness of our novel paradigm.

760 Assessing Relationships among Auditory and Visual EEG Responses

761 We created individual aggregate z-scores for each of the auditory and visual responses, by finding the z-score at the single-subject component peaks or for sustained responses, 762 averaging the z-scores across a pre-defined time range (i.e., 227-426 ms for LLR sustained 763 764 negativity; 422-496 for VEP late negativity). All ERP components described in the previous section were included in these aggregate z-scores as follows: ABR: n₀, Wave V, N₀, P₀; MLR: 765 766 Na, Pa, Nb, Pb; LLR: P1, N1, sustained negativity; VEP: P1, N1, P2, late negativity. For the ASSR and SSVEP, the individual z-scores were averaged across the four frequencies of 767 768 interest to create aggregate z-scores. All aggregate z-scores for each participant are shown in Figure 8. 769

First, we conducted across-subjects pairwise Pearson correlations across the four
auditory responses (ABR, MLR, LLR, ASSR). Next, an across-subjects correlation was
computed between the VEP and SSVEP z-scores. Finally, we performed correlations within the
ASSR and SSVEP z-scores (all 25 subjects included), to determine if the magnitude at the

frequencies of interest were systematically related. None of the correlations were significant
after controlling for multiple comparisons, except for the correlation between the MLR and
ASSR (r = 0.61, p = 0.001, uncorrected) – which was likely driven by one participant. Therefore,
we did not observe any reliable systematic relationships among the different auditory and visual
EEG responses.

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Discussion

780 We have developed a novel EEG paradigm to simultaneously record neural activity from visual cortex, and from both subcortical and cortical auditory structures using a continuous 781 782 speech stimulus, in an unprecedentedly brief amount of time (6-12 min). To determine the 783 efficacy of this new paradigm, we have reported data from a group of healthy young adults. 784 Overall, most participants had significant responses for each of the components examined, despite the conservative null distributions and the stringent p-value thresholds used for 785 786 statistical testing. The ABR, LLR, and ASSR tended to be the most robust responses, such that 787 all 25 participants had a significant response for the following components: ABR Wave V and N₀, Auditory P1, and ASSR (41, 123, and 164 Hz). In terms of the number of participants who 788 showed significant responses, the SSVEP was next, followed by the VEP, and finally the MLR. 789 Furthermore, as described in the Results section, the pattern of results was most 790 791 heterogeneous for the VEP and MLR. While all 25 participants showed a significant response 792 for at least one MLR component and at least two VEP components, these were not always the same MLR or VEP components across participants. This heterogeneous pattern partly reflects 793 794 our stringent statistical criteria and highlights the importance of examining the data, especially 795 the MLR and VEP, at the single-subjects level, particularly if this paradigm is used with clinical 796 populations. It also points to potential attributes of the audio and video stimuli that may be 797 further optimized to yield even more consistent responses across all subjects.

798 Based on an inspection of the ERP waveforms at the group level, the present paradigm 799 generally elicited the canonical responses, in terms of waveform morphology. The only exceptions were the ABR, for which we did not observe the early waves before Wave V, and the 800 801 non-canonical LLR (which was expected). The ABR result is in contrast to a previous 802 implementation of the Cheech approach by our group, in which the early waves were observed. using monaural delivery of the auditory stimuli via an insert earphone (Miller et al. 2017). Thus, 803 804 the lack of early waves in the present implementation may reflect the fact that the Cheech was presented in the free-field. Furthermore, for the LLR, we observed the P1, N1, and a sustained 805 negativity, but not the P2 component, in response to voicing onsets within the continuous 806 807 Cheech. Notably, this morphology has been previously observed in studies using long-duration sounds (Krishnan et al. 2012; Picton et al. 1978a; b), suggesting that this morphology is typical 808 809 when employing long-duration or continuous auditory stimuli. The present paradigm also 810 successfully evoked neural activity at the auditory stimulation rate (41 Hz) and its first three 811 harmonics (82, 123, and 164 Hz), in addition to neural activity at the visual stimulation rates (7.5 812 and 12 Hz) and their first harmonic (15 and 24 Hz). Taken together, these results demonstrate 813 that it is feasible to obtain all responses simultaneously, despite stimulating both auditory and 814 visual systems concurrently.

815 One advantage of obtaining a variety of responses simultaneously within individual 816 participants is that it allows for the assessment of relationships among the various responses. Thus, in the present study, we conducted a series of correlations to understand if and how 817 818 different EEG responses' amplitude (converted to z-scores) related to one another. Overall, we did not observe any robust across-subjects correlations among the different EEG responses. 819 820 This generally suggests that the examined responses reflect different processing operations 821 and/or different neural generators. Furthermore, assuming some inter-subject variability in EEG 822 recording quality, the lack of uniform relationships across responses indicates that recording

quality variability is unlikely to induce false across-subjects correlations among the different
EEG responses. Moreover, by examining all responses simultaneously, the lack of systematic
relationships among the EEG responses within subjects cannot be due to changes in for
example, brain state or alertness, across time, as is the case for serial recording paradigms.
Taken together, these points highlight the importance of assessing all responses simultaneously
for a thorough evaluation of the auditory and visual systems – which the current EEG paradigm
enables.

830 Previously, a variety of paradigms have been developed to record EEG activity at 831 multiple processing levels, using auditory stimuli. Here, we compare these previous paradigms 832 to the current one, in terms of the responses recorded, whether the different responses were 833 recorded simultaneously or serially, the type of stimuli used, and the EEG recording duration.

First, regarding the responses recorded, previous paradigms have mostly recorded the 834 subcortical (usually frequency-following response (FFR)) and cortical (i.e., LLR) activity 835 836 (Bidelman 2015; Bidelman and Alain 2015; Bidelman et al. 2013; Bidelman et al. 2014a; Bidelman et al. 2014b; Krishnan et al. 2012; Musacchia et al. 2008). Woods et al. (1993) 837 analyzed the ABR, MLR, and LLR; similarly, Shiga et al. (2015) developed a paradigm to 838 examine the FFR, MLR, and LLR (MMN). Slugocki and colleagues (2017) measured a variety 839 840 of subcortical and cortical responses, including FFR, 40- and 80-Hz ASSR, LLR, MMN, and P3a. Finally, Sculthorpe-Petley et al.'s (2015) paradigm measured only cortical responses, 841 including LLR (N1), MMN, P300, N400, and Early Negative Enhancement (reflects recognition 842 of hearing one own's name; Holler et al. 2011; Tateuchi et al. 2012). In contrast, the present 843 844 paradigm enables the recording of subcortical and cortical auditory activity (ABR, MLR, LLR, 845 ASSR), as well as cortical visual activity (VEP, SSVEP).

846 With respect to how both auditory subcortical and cortical responses were recorded. 847 various approaches have been used. Subcortical responses occur earlier and thus necessitate 848 smaller inter-stimulus intervals (ISIs) and higher EEG acquisition sampling rates than cortical 849 responses. Many studies have recorded brainstem and cortical responses sequentially in 850 separate blocks (e.g., Bidelman and Alain 2015; Bidelman et al. 2013; Bidelman et al. 2014a; Bidelman et al. 2014b; Musacchia et al. 2008) or in interleaved clusters (Bidelman 2015); these 851 852 approaches usually involve using shorter ISIs for the brainstem blocks/clusters than the cortical 853 blocks/clusters. Other studies have recorded auditory brainstem and cortical responses simultaneously, using fixed ISIs (e.g., Krishnan et al. 2012; Shiga et al. 2015; Slugocki et al. 854 855 2017) or variable ISIs (e.g., 40 to 200 ms; Woods et al. 1993) to accommodate both types of responses. 856

857 Regarding the types of auditory stimuli used, previous studies have employed amplitude-858 modulated tones (Shiga et al. 2015; Slugocki et al. 2017), tone pips in the midst of broadband 859 masking noise (Woods et al. 1993), iterated rippled noise stimuli (Krishnan et al. 2012), and 860 synthetic vowel or consonant-vowel stimuli (e.g., Bidelman 2015; Bidelman et al. 2013; 861 Musacchia et al. 2008). Sculthorpe-Petley and colleagues' (2015) paradigm used tones in one half of the recording, and continuous speech (sentences) in the other half. In the present study, 862 863 the use of chirps embedded into continuous speech (Cheech) allows for the simultaneous 864 recording of subcortical and cortical activity in response to a naturalistic stimulus.

With respect to EEG recording duration, the fastest of these studies was that by Petley-Sculthorpe et al. (2015), which approximated only 5 minutes; however, only cortical responses were recorded. For paradigms involving recording both subcortical and cortical responses, Bidelman's (2015) clustering approach took approximately 28 minutes, while the paradigm described in Shiga et al. (2015) lasted around 38 minutes. Likewise, Slugocki and colleagues' (2017) paradigm involved about 40 minutes of recording time. In contrast, the paradigm

described herein involves 12 minutes maximum of recording time – which is considerably faster
than these other approaches devised to collect both subcortical and cortical auditory responses.

873 In fact, the present data were collected in only 12 minutes, mainly to allow for enough 874 trials for the originally planned black-white versus red-green comparison (6 minutes per color 875 condition). We have used a 10-minute black-white-only version of this paradigm to collect data in young children, which is ample time to yield reliable ERPs for most children, even after noisy 876 data segments and epochs were removed (unpublished data from our laboratory). The brief 877 time required makes this paradigm ideal for individuals who are unable to sit through a long 878 879 study (e.g., toddlers), and allows for short study sessions, which is advantageous to both busy participants and researchers. 880

Furthermore, in the present EEG paradigm, participants watched cartoon clips during the presentation of the visual flicker and auditory Cheech stimuli. This was done to render the paradigm infant/child friendly. Also, because this task requires no behavioral responses, it can be used in infants and young children, as well as in individuals with limited communication abilities. That said, the paradigm can also easily be adapted into an active task, for instance to investigate top-down attention effects on the various auditory and visual responses recorded.

887 Along these lines, one caveat of the present implementation is that although participants were instructed to watch the cartoon clips, they were not explicitly told to ignore the flicker or 888 889 Cheech stimuli. Thus, it is important to acknowledge that participants' attention likely wandered 890 to the flicker or Cheech stimuli at times, and consequently, it is important to acknowledge the 891 known effects of attention on the measured responses. Selective attention to or away from a particular auditory stimulus has been shown to have little to no effect on the ABR (Hackley et al. 892 893 1990; Woldorff and Hillyard 1991), a small effect on the MLR, particularly after 20 ms (Hackley et al. 1990; Woldorff et al. 1987), and the most robust effect on the LLR, particularly the N1 and 894

895 P2 components (Hillyard et al. 1973; Picton et al. 1971). Similarly, attending (or not) to a visual 896 stimulus affects the VEP, especially from the P1 and later (e.g., Clark and Hillyard 1996; Gomez 897 Gonzalez et al. 1994; Mangun et al. 1993). Furthermore, there is evidence that selective attention can enhance the 40-Hz ASSR to the attended sound's stimulation rate (Bharadwaj et 898 899 al. 2014; Tiitinen et al. 1993) (but see Mahajan et al. 2014; Muller et al. 2009) and the SSVEP to the attended visual stimulus' stimulation rate (Andersen et al. 2015; Morgan et al. 1996). Thus, 900 901 these findings indicate that selective attention has a stronger effect on the neural response to a stimulus as it ascends from sub-cortical to cortical processing regions. 902

903 Since attention was not explicitly manipulated in the current paradigm, future studies can use this paradigm in conjunction with an attention manipulation, to quantify how selective 904 905 attention modulates the recorded responses. Furthermore, in the present study, participants 906 watched a cartoon while also perceiving flickering visual stimuli. Thus, it is possible that if 907 participants generally devoted more visual attention to the cartoon than the visual flickers, then 908 they would elicit smaller VEPs and SSVEPs than if these stimuli had been actively attended. 909 This explanation may account for the fact that the VEP and SSVEP measures were significantly 910 detected in fewer subjects overall than the ABR, LLR, and ASSR. Despite this caveat, we observed the VEP components and SSVEP responses in a majority of participants; this 911 912 suggests that even if reduced attention to the flickers has an effect on the visual responses, it 913 does not eradicate them in the present paradigm.

Furthermore, this paradigm is flexible, in that the visual and auditory stimuli can be customized according to one's particular research questions. For example, including audio-only and visual-only periods of stimulation, along with concurrent auditory and visual stimulation, one could directly examine how activity may be modulated by the stimulus context in an individual. Moreover, the concurrent auditory and visual stimuli also present opportunities for the examination of complex interactions between the auditory and visual systems. For example,

920 this use of multisensory stimulation may provide new and important data on one's ability to deal 921 with multiple and competing sensory information. However, the multisensory nature of this 922 paradigm is not limited to competing or distracting visual stimuli. Alternatively, future 923 implementations of this paradigm could use congruent and incongruent talking face stimuli that 924 coincide with the Cheech, to study neural mechanisms involved in audiovisual integration of speech. Regarding feasibility in terms of accurate EEG trigger timing, careful attention to timing 925 926 is important when implementing the current EEG paradigm. First, when implementing the 927 present paradigm, it is important to quantify timing differences between the trigger codes and stimuli and to determine if any auditory trigger codes are being missed, using an oscilloscope. 928 929 Next, if timing inconsistencies are observed, there are various ways to address this. One way would be to adjust triggers and add missing triggers post-hoc, using the approach that we have 930 931 developed; our MATLAB code for this approach has been posted on GitHub at 932 https://github.com/MillerLab-UCDavis/Cheech-Toolbox. There are also other ways to obtain 933 accurate trigger timing; for example, one could play a third audio channel that contains only 934 trigger pulses and send that signal to the EEG system directly, or one could use a third-party 935 device designed for accurate trigger timing, such as the Cedrus StimTracker. Therefore, 936 obtaining precise trigger timing when implementing the present EEG paradigm is feasible.

937 There are various potential applications of this paradigm to clinical research, even 938 beyond assessing auditory function in the context of hearing loss. For example, its utility may be investigated in patients with multiple sclerosis (MS), as previous studies have indicated 939 940 usefulness of auditory evoked potentials (especially ABRs and MLRs) for detecting neurological abnormalities in some cases (e.g., Celebisoy et al. 1996; Japaridze et al. 2002; Soustiel et al. 941 942 1996). Moreover, this paradigm would additionally allow for the assessment of visual cortical 943 responses in these patients, especially since visual disturbances are one of the most common reported signs in patients with MS (Milner et al. 1974). In another context, the multisensory 944

nature of the paradigm can be harnessed to investigate interactions between auditory and visual
processing in children with hearing loss (Backer et al. 2017) or in children with autism spectrum
disorder or auditory and language processing disorders. These are just a few examples of
many potential applications.

Future research involving this paradigm, especially that involving clinical populations, will 949 provide valuable information regarding the generalizability of the present results. We should 950 make clear that the technique is free and unrestricted for non-commercial research and 951 educational use, in accordance with the University of California's "Principles Regarding Rights 952 953 to Future Research Results" guidelines (see Principle #3 at https://www.ucop.edu/researchpolicy-analysis-coordination/ files/Principles%20Guidelines.pdf). For more information or to 954 955 inquire about a license for commercial use or commercial applications, please contact the UC 956 Davis Office of Research at innovation Access@ucdavis.edu.

In conclusion, we have demonstrated the use of a new EEG paradigm to concurrently stimulate and record subcortical and cortical auditory activity, as well as parafoveal and peripheral cortical visual activity, in about 6-12 minutes. In light of the short recording time and the flexibility to customize the auditory and visual stimuli depending on the study's population and goals, this EEG paradigm may be useful for both basic and clinical research objectives.

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967 Acknowledgements

968 The authors are grateful to Todd LaMarr, Ludmila Ciochina, Surah Alsawaf, Danielle Fujino, and

Alaina Porter for their assistance with data collection. Thanks to the House Ear Institute for

- 970 providing the Harvard/IEEE recordings.
- 971 Please note Laurel A. Lawyer's present affiliation: Department of Language and Linguistics,
- 972 University of Essex, Colchester, UK. Andrew S. Kessler's present affiliation: Verathon Inc.,
- 973 Division of Imaging and Scanning Solutions, Bothell, WA.
- 974

975 Grants

- 976 Funding from the National Institutes of Health, National Institute on Deafness and Other
- 977 Communication Disorders (Grant DC014767 awarded to DPC), the Child Family Fund for the
- 978 Center for Mind and Brain (awarded to LMM and DPC), and the UC Davis Science Translation
- and Innovative Research (STAIR) grant (awarded to LMM) supported this research.

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981 Disclosures

- 982 As noted in the manuscript, the chirp-speech approach is patent-pending and owned by the
- 983 Regents of the University of California, with Lee M. Miller as inventor. The University of
- 984 California encourages the use of this technique for non-commercial educational and research
- 985 purposes. For more information or to inquire about a license for commercial use or commercial
- 986 applications, please contact the UC Davis Office of Research at
- 987 innovation Access@ucdavis.edu. No financial or other conflicts of interest (e.g., licensing
- 988 agreements) exist.

989 Footnotes

¹ In many reports, the terms "Pb" (MLR component) and "P1" (LLR component) are used
interchangeably, due to overlapping time courses. However, the Pb and P1 may have different
neural generators and developmental trajectories (Ponton et al. 2002), suggesting that they may
index different aspects of auditory processing.

² Acoustic periodicity can also elicit another type of auditory response, the frequency following response (FFR) (for reviews, see Krishnan 2007; Skoe and Kraus 2010), which is traditionally thought to have neural generators in sub-cortical structures (Chandrasekaran and Kraus 2010), but recent studies suggest additional contributions from the auditory cortex (Coffey et al. 2016), if stimulus frequency is relatively low (Bidelman 2018). However, further discussion of the FFR is beyond the scope of the present report.

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Tables

1294

Table 1. ERP Results. Summary of single-subject results and group peak measurements (amplitude and latency) for each component. The "number of subjects" column indicates the number of subjects (out of 25) that showed a significant response for each component (using a p-threshold of 0.001). For the sustained components, the reported amplitude reflects an average across time (~225-425 ms for LLR Sustained Negativity; ~420-500 ms for VEP Late Negativity), instead of the peak amplitude.

| ERP Response | Component | Number of Subjects | Peak Amplitude: Mean (µV) | Peak Amplitude: Standard Error (µV) | Peak Latency: Mean (ms) | Peak Latency: Standard Error (ms) |
|-----------------|-------------------------|-----------------------|---------------------------------|--|-------------------------------|--|
| ABR (Cz) | n ₀ | 24 | -0.25 | 0.015 | 3.45 | 0.086 |
| | Wave V | 25 | +0.40 | 0.024 | 6.51 | 0.076 |
| | N ₀ | 25 | -0.29 | 0.014 | 8.95 | 0.098 |
| | P ₀ | 16 | +0.12 | 0.015 | 13.0 | 0.105 |
| MLR (Fz) | Na | 22 | -0.44 | 0.047 | 17.8 | 0.29 |
| | Ра | 17 | +0.23 | 0.046 | 25.0 | 0.31 |
| | Nb | 17 | -0.23 | 0.052 | 33.4 | 0.43 |
| | Pb | 20 | +0.31 | 0.042 | 44.1 | 0.32 |
| LLR (Fz) | P1 | 25 | +1.77 | 0.11 | 80 | 2.3 |
| | N1 | 21 | -0.66 | 0.11 | 173 | 2.6 |
| | Sustained Negativity | 23 | -0.64 (average) | 0.08 (SE of average) | 323 | 11.4 |
| VEP (Oz) | P1 | 22 | +3.65 | 0.39 | 106 | 2.3 |
| | N1 | 17 | -2.31 | 0.47 | 177 | 3.0 |
| | P2 | 20 | +2.54 | 0.45 | 250 | 2.7 |
| | Late Negativity | 20 | -1.62 (average) | 0.31 (SE of average) | 468 | 4.7 |

Table 2. Steady-State Results. Summary of single-subject results, as well as the group
magnitudes and signal-to-noise ratios (SNRs) at each frequency of interest of the ASSR and
SSVEP. The "number of subjects" column indicates the number of subjects (out of 25) that
showed a significant response for each component (using Bonferroni-corrected p-thresholds).
These results were derived from the magnitude spectra and noise floor estimates that were
averaged in frequency space across epochs and draws, within the bootstrapping algorithm.

| Steady- State Response | Frequency (Hz) | Number of Subjects | Raw Magnitude: Mean (dB, arbitrary units) | Raw Magnitude: Standard Error (dB, arbitrary units) | SNR: Mean (dB) | SNR: Standard Error (dB) |
|------------------------------|-------------------|-----------------------|---|--|-------------------|--------------------------------|
| ASSR (Fz) | 41 | 25 | -18.8 | 0.90 | 16.4 | 0.82 |
| | 82 | 24 | -25.6 | 1.18 | 12.7 | 1.22 |
| | 123 | 25 | -27.6 | 0.67 | 13.2 | 0.63 |
| | 164 | 25 | -28.5 | 0.55 | 14.7 | 0.62 |
| SSVEP (Oz) | 7.5 | 21 | -11.5 | 0.65 | 3.8 | 0.50 |
| | 12 | 24 | -13.5 | 0.64 | 3.4 | 0.43 |
| | 15 | 22 | -10.9 | 1.08 | 7.3 | 0.90 |
| | 24 | 19 | -17.4 | 0.91 | 4.1 | 0.67 |

Figure Captions

Figure 1. Stimuli and Experimental Design. A) Examples of the Black/White (left) and
Red/Green (right) stimuli are displayed. B) A spectrogram of the Cheech is shown on the left
for one of the sentences. On the right, a zoomed-in view of a chirp train is illustrated, to
demonstrate that the second chirp in each train was omitted for recording a clear auditory MLR.
C) An overview of the stimulus presentation is depicted.

Figure 2. ABR Results. A) Single-subject ABRs in channel Cz are shown, thresholded at p < 0.001, with non-significant data samples set to an amplitude of 0 μ V. **B**) Group-average ABRs derived from the bootstrapping procedure are shown. The top panel shows the group-average time waveform, while the group-average scalp topographies of the significant deflections are displayed below. In this figure and in Figures 3, 4, and 5, the gray shaded box in the group time waveform plot depicts the group average (plus standard error of the mean) range of amplitudes that were not significant, using a p-threshold of 0.001.

Figure 3. MLR Results. A) Single-subject MLRs in channel Fz are shown, thresholded at p < 0.001, with non-significant data samples set to an amplitude of 0 μ V. B) The group-average MLR time waveform is shown, along with the scalp topographies of the significant MLR components.

Figure 4. LLR Results. A) Single-subject LLRs in channel Fz are shown, thresholded at p < 0.001, with non-significant data samples set to an amplitude of 0 μ V. **B**) The group-average LLR time waveform is displayed, along with the scalp topographies of the auditory P1 and N1/sustained negativity.

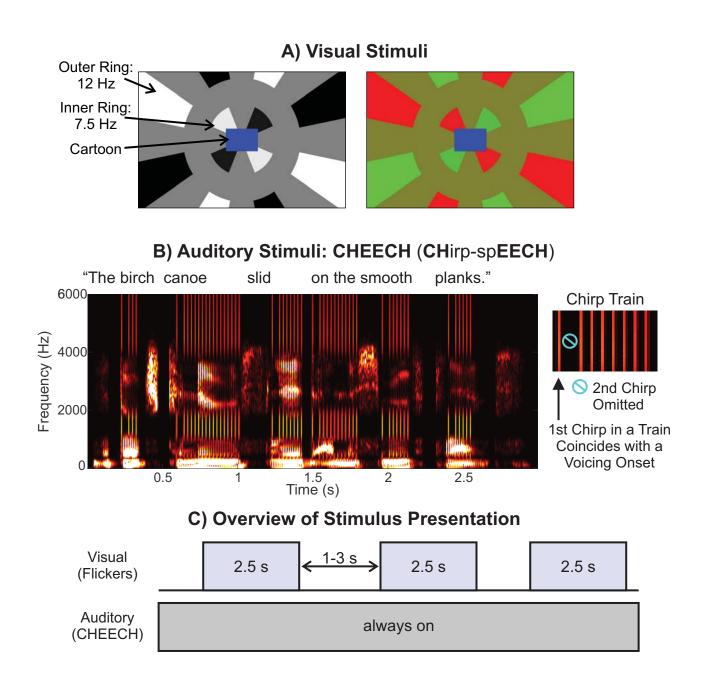
Figure 5. VEP Results. A) Single-subject VEPs in channel Oz are displayed, which have been thresholded at p < 0.001, with non-significant data samples set to an amplitude of 0 μ V. **B**) The

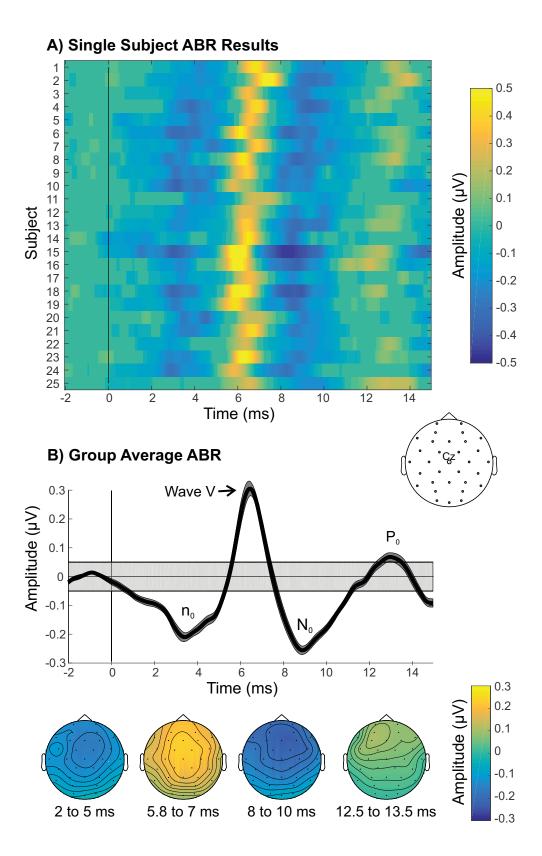
group-average VEP time waveform is shown, along with the scalp topographies of thesignificant VEP components

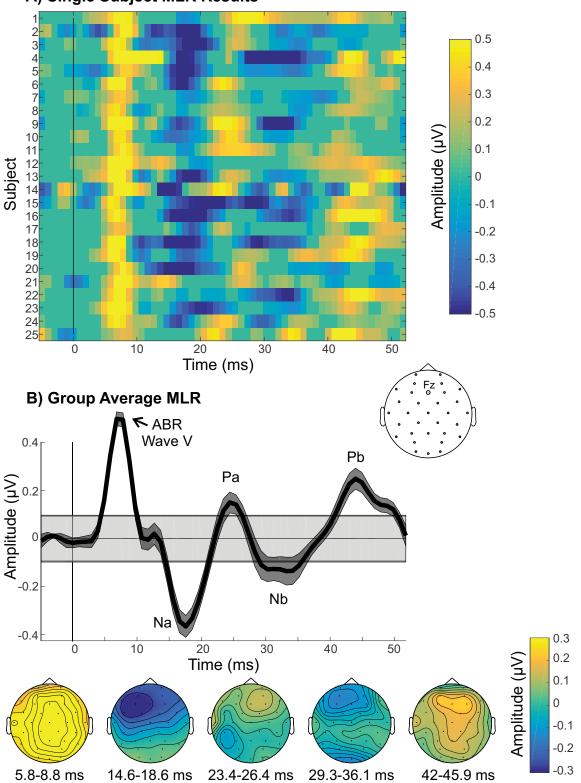
1338 Figure 6. ASSR Results. A) Single-subject data, indicating signal-to-noise ratio of the 41 Hz 1339 ASSR and the first three harmonics (82, 123, and 164 Hz), are displayed, for channel Fz. Non-1340 significant responses were set to 0 dB; note that only one participant had a non-significant ASSR: Subject 16 at 82 Hz. B) Group-average raw ASSR magnitude and the signal-to-noise 1341 ratio, along with the noise floor estimate, are depicted; these responses were created by 1342 averaging data in the frequency domain. Additionally, the raw magnitude computed by 1343 1344 averaging data in the time domain before converting to the frequency domain, is plotted. Below are the group-average scalp topographies of the raw ASSR magnitude (averaged in the 1345 1346 frequency domain) at the four frequencies of interest. Abbreviations: SNR, signal-to-noise ratio; 1347 n.s., not significant.

1348 Figure 7. SSVEP Results. A) Single-subject responses, indicating signal-to-noise ratio at 7.5 1349 Hz (inner ring), 12 Hz (outer ring), 15 Hz (inner ring harmonic), and 24 Hz (outer ring harmonic) 1350 are displayed, for channel Oz. Non-significant SSVEP responses were set to 0 dB. B) Groupaverage raw SSVEP magnitude and the signal-to-noise ratio, along with the noise floor 1351 estimate, are depicted; these responses were created by averaging data in the frequency 1352 1353 domain. Additionally, the raw magnitude computed by averaging data in the time domain before converting to the frequency domain, is plotted. Below are the group-average scalp 1354 topographies of the raw SSVEP magnitude (averaged in the frequency domain) at the four 1355 frequencies of interest. Abbreviations: SNR, signal-to-noise ratio; n.s., not significant. 1356

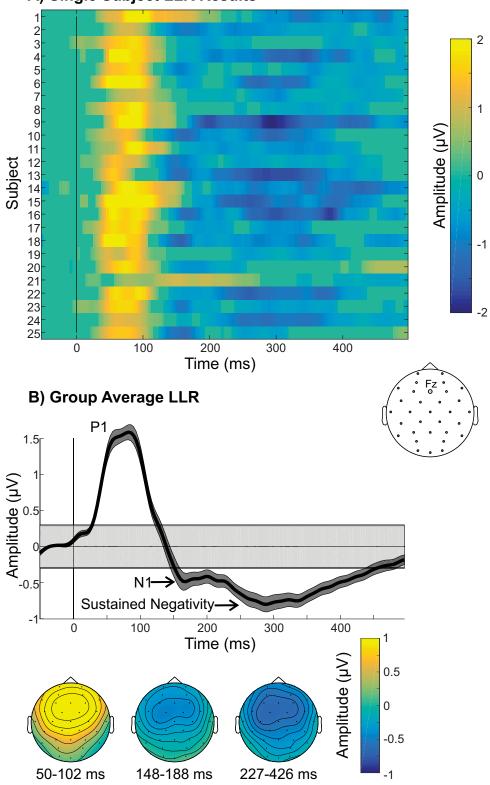
Figure 8. Z-Scored Single-Subject Data. Aggregate z-scores for each EEG response are
plotted for each subject.



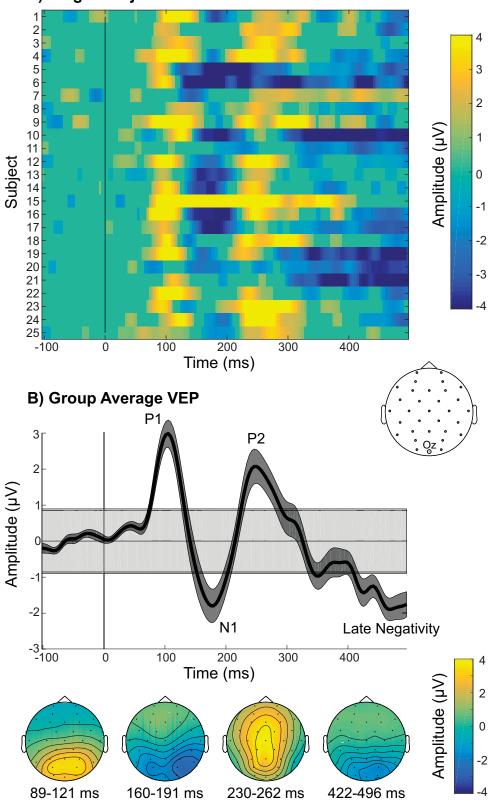




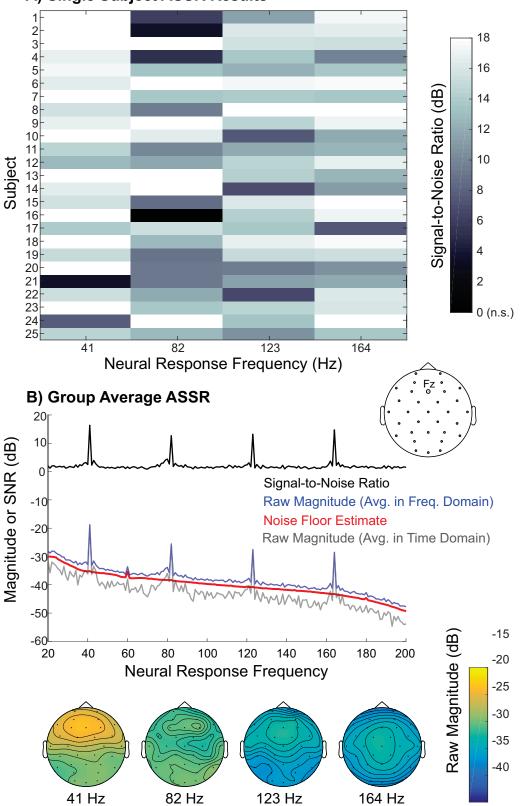
A) Single Subject MLR Results



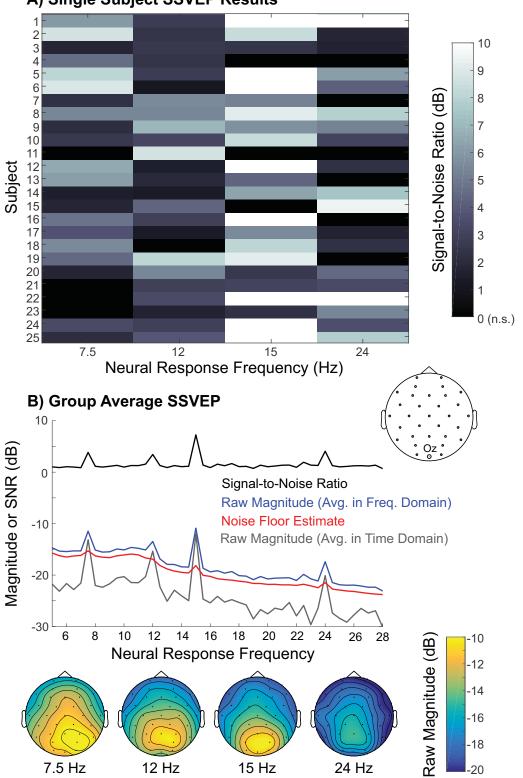
A) Single Subject LLR Results



A) Single Subject VEP Results



A) Single Subject ASSR Results



A) Single Subject SSVEP Results

