

1 **An Evaluation of Service Provision and Novel Strength Assessment on**
2 **Patient Outcomes in a UK based Pulmonary Rehabilitation Setting**

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4 This study's purpose was to (i) assess the impact of a 7-week Pulmonary
5 Rehabilitation (PR) programme upon patient outcomes; incremental shuttle walk
6 test (ISWT), COPD assessment tool (CAT), Clinical COPD Questionnaire (CCQ)
7 and the Hospital Anxiety and Depression Scale (HADS); (ii) assess the impact of
8 COPD severity on ISWT and psychological functioning and quality of life
9 measures following PR; (iii) assess the feasibility of incorporating individually
10 prescribed one repetition maximum (1RM) training loads into the existing
11 strength training programme.

12 Patients were people with COPD enrolled onto one of three versions (locations
13 A, B & C) of a 7-week PR programme, which consisted of group exercise
14 sessions and a social plus education element. Two locations incorporated
15 individually prescribed training loads.

16

17 Minimal Clinically Important Changes (MCIC) are reported for the ISWT across
18 all locations. Statistically significant changes in both CAT and the CCQ were
19 found, with MCIC's evident for CAT score overall and individually at location B.
20 MCIC's were not found for the CCQ. No statistically significant or MCIC were
21 evident for the HADS. MCIC's were present only in patients with mild to
22 moderate severity for the ISWT. For the CAT, moderate, severe and very severe
23 patients with COPD experienced MCIC's. MCIC's and statistically significant
24 increases in 1RM strength were seen at both locations.

25 These findings evidence an effective PR service. Basic strength exercise
26 programming and assessment are feasible and should be implemented in PR
27 services to maximize patient outcomes.

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29 **Keywords:** pulmonary rehabilitation; copd; service provision

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4 **Introduction**

5 Chronic obstructive pulmonary disease (COPD) is recognised as a leading
6 respiratory disease with three million deaths worldwide each year with increasing
7 mortalities [1]. Pulmonary Rehabilitation (PR), one of the established COPD treatment
8 strategies is considered critical for individuals living with chronic respiratory diseases.
9 It typically includes exercise programs alongside educational elements [2,3]. PR has
10 been shown to be successful in improving exercise tolerance and health-related quality
11 of life, and has been shown to reduce hospital admissions rates in patients with COPD
12 [4,5]. Exercise training is reported as the cornerstone of PR programs [6] and
13 incorporates many types of exercise including aerobic endurance, high intensity interval
14 training, whole body and localized resistance training [7-9]. Patients with COPD are
15 commonly associated with muscular atrophy, peripheral muscle weakness, low levels of
16 activity and comorbidities indicated with inactivity [10]. Studies have shown that
17 exercise interventions [7] and in particular resistance exercise, including free weights
18 and elastic bands [11-13] can improve not only muscle strength and exercise capacity,
19 but also quality of life in patients with COPD [14,15]. Resistance exercise is therefore
20 integral to PR programs [16].

21 Although shown to be effective PR programs are typified by patient non-
22 completion, low exercise adherence and poor continuance following discharge [17].
23 Resistance training and exercise prescription in healthy populations are founded upon
24 key programming variables: (1) repetition maximum (RM); (2) number of sets; (3)
25 choice of exercise; (4) order of exercises; and (5) rest periods and are necessary for safe
26 and accurate assessment and progression of training [18]. Assessment of patients and

1 programme outcomes should be incorporated wherever possible [19]. There is a
2 necessity to identify peripheral muscle weakness prior to PR to prescribe appropriate
3 resistance loads [20], particularly as strength has marked decrements in patients with
4 COPD, especially in severe COPD [21]. Despite knowledge of these fundamental
5 principles, only 27% of current UK PR services implement baseline strength training
6 assessment, with no indication of post training assessment or individual load
7 prescription [22]. Further, the variable application of strength assessment and
8 subsequent prescription indicates the provision of ineffective strength training by
9 clinical experts [23]. The incorporation of pre/post assessment and progressive,
10 individualized strength training in PR settings will aid PR services [24]. This could lead
11 to improved patient outcomes and even promote future exercise adherence. Negative
12 outcomes regarding program adherence, program continuance and the long term
13 benefits of PR programs have been documented [22,25]. Further to this patients have
14 cited a need for programme value and exercise acceptability [26]. Therefore provision
15 of effective, acceptable patient valued programs, i.e. improved exercise-associated
16 confidence and competence, is key. Strength can be measured in patients using a diverse
17 range of methods; however the application of a one repetition maximum (1RM)
18 estimation formula remains a simplistic measurement to implement [20,27,28]. Since
19 individuals living with COPD experience ventilatory limitations during whole-body
20 endurance training [29], designing exercises which isolate specific muscle groups
21 diminishes the ventilatory load and increases the effectiveness of PR. The exercise
22 program and assessment in the current study focusses on isolated upper and lower limb
23 movements, as quadriceps and biceps have been suggested as the muscles group most
24 affected by the patients' low physical activity levels [7,30]. Understanding which
25 patients are most likely to benefit from PR has clinical importance [31]. Investigation of

1 baseline characteristics such as severity of lung function, skeletal muscle dysfunction
2 and inspiratory muscle strength have produced conflicting findings with regard to
3 predicting patient outcomes and PR effectiveness [32-35].

4 The primary purpose(s) of this service evaluation, in a cohort of patients with
5 COPD, using only the usual parameters obtained as part of an ongoing PR programme
6 were to: (i) assess the impact of a 7-week PR programme upon patient outcome
7 measures; incremental shuttle walk test (ISWT) distance, and psychological functioning
8 and quality of life measures including the COPD assessment tool (CAT), the Clinical
9 COPD Questionnaire (CCQ) and the Hospital Anxiety and Depression Scale (HADS)
10 respectively; (ii) assess the impact of COPD severity on ISWT, psychological
11 functioning and quality of life measures; and (iii) assess the feasibility of incorporating
12 individually prescribed one repetition maximum (1RM) training loads into the existing
13 strength training programme. A further aim of this study is to contribute to current
14 understanding about factors influence adherence to PR programmes of this kind. The
15 primary hypothesis was that the 7 week PR programme would lead to significant
16 improvements in; ISWT distance, 1RM and all psychological functioning and quality of
17 life measures. The secondary hypothesis was that improvements in ISWT distance,
18 psychological functioning and quality of life outcomes would differ in patient groups.

19

20 **Materials and methods**

21 *Patients and design*

22 This between-within design study examined a cohort of patients with COPD, using
23 only outcome measures obtained as a matter of routine practice at the start and end of a
24 PR programme. Participants were patients with COPD who enrolled onto one of three

1 versions of a 7-week PR programme between September 2016 and November 2017
2 (patients attended the programme version closest to their home address). Patient
3 diagnosis and classification were based upon Global Initiative for Chronic Obstructive
4 Lung Disease (GOLD) 2017 guidelines [36]. Patients were diagnosed upon spirometry
5 (the presence of a post-bronchodilator FEV1/FVC < 0.70) and symptoms indicating
6 COPD i.e. dyspnea, chronic cough or sputum production. Patients were a real world
7 cohort with a range of comorbidities and accompanying pharmacological treatments.

8

9 *Programme versions*

10 A local healthcare provider ran three near identical 7-week PR programmes in
11 different geographical locations. In accordance with BTS Guidelines [37], these
12 consisted of group exercise sessions (14 hours) and a ‘social plus education’ element
13 (14 hours; occurring after each exercise session where patients socialised and talked
14 with invited experts on specific topics e.g. inhaler use).

15 The group exercise component of the programme comprised a twice-weekly,
16 one hour circuit-style exercise class, whereby patients completed a 10 minute warm up,
17 followed by a 48 minute circuit of 12 exercise ‘stations’, followed by a short active cool
18 down. Each exercise within the circuit had a duration of 2 minutes, whereby patients
19 completed as many repetitions of the exercise as they were able to. A 2-minute rest
20 period followed each exercise, with continuation of exercise during this rest period
21 when patients felt able and motivated to do so.

22 Although patients started at different points within the circuit, the order of
23 stations was: walking; wall push-off; heel raises; cycling or step-ups; side arm raises;
24 squats; bicep curls (programme versions A and C) / leg extensions (programme version
25 B); sit to stand; ball throw; star jacks; marching on the spot and upright row. Monitoring

1 of exercise intensity and exercise progression utilised the Borg scale as per American
2 College of Sports Medicine (ACSM) guidelines [38,39].

3 The only difference between programmes (A, B, C) was one specific exercise
4 completed within the group exercise sessions. Patients attending version A completed
5 bicep curls, whereby each participant was individually prescribed an optimal training
6 load. At the first PR session the patients completed 2 sets of 6 repetitions at 50% 1RM
7 as per American Thoracic Society (ATS) guidelines [38,39]. Patients were then
8 encouraged to increase repetitions by 1 at the next exercise session until a load
9 difficulty of 10 repetitions per set was completed. The weight was then increased by
10 0.5-1kg and repetitions reduced back to 2 sets of 6 [40]. Patients attending version B
11 completed leg extension exercise instead of bicep curls, again following an
12 individualised prescribed and progressive training load. Patients attending version C
13 completed bicep curls at self-selected training loads, whereby they were free to choose
14 any resistance theraband (yellow, red or blue), number of reps and sets, within each
15 session.

16 ***Predicted 1RM calculation***

17 At locations A (biceps) and B (quadriceps), Epley's prediction protocol and
18 equation was used to calculate patient 1RM in order to reduce the risk of injury or
19 fatigue to the patient [41,42]. The health professional initially estimated a suitable
20 weight for the patient to lift, aiming for 10% of the patient's body weight and taking
21 their overall condition into consideration. The exercise technique was demonstrated and
22 the patient was asked to lift the selected weight as many times as possible. If the patient
23 was able to lift the allocated weight more than 10 times, a heavier weight was then
24 lifted, until this was not possible.

25

1 *Measures*

2 Both at the start and the end of the 7-week programme, a health professional
3 collected data as described below. The outcome measures used within the analyses were
4 routinely collected by the service. Questionnaires were completed in a random order,
5 either before or after the walking test. Patients completed their own questionnaires,
6 unless in the case of literacy or sight issues, whereby a member of staff would read the
7 questions to the patient. Predicted 1RM (as described above) was additionally measured
8 at these time points.

9 *The Incremental Shuttle Walk test (ISWT)*

10 The ISWT is a validated walking test and is sensitive to changes after PR [43,44].
11 The test is a maximal externally paced incremental exercise test and assesses exercise
12 capacity. Using instructions standardised from an audio recording, patients walk back
13 and forth between markers paced 10-metres apart, whereby the walking speed is
14 increased slightly each minute. The ISWT score is a record of how far the patient has
15 walked in metres before a participant could no longer complete a shuttle in the time
16 allowed (i.e. more than 0.5 m away from the cone when the beep sounded). Higher
17 scores indicate greater functional capacity, and the minimal clinically important change
18 (MCIC) for the ISWT is between 35.0 and 36.1 metres [45]. A practice test was
19 conducted as recommended [44]. Patients then rested for 30 minutes before repeating
20 the test.

21 *COPD Assessment Tool (CAT)*

22 The CAT is a validated patient-completed questionnaire that assesses the impact of
23 COPD on an individual's health status [46]. Previous PR research has used the CAT to
24 assess health changes associated with PR programmes. Scores range from 0 – 40,

1 whereby higher scores indicate poorer health status, and the MCIC in the CAT is 2
2 points [47].

3 *The Clinical COPD Questionnaire (CCQ)*

4 The CCQ is a self-administered 10-item questionnaire that measures quality of life in
5 patients with COPD. It is designed to evaluate treatment whilst incorporating both the
6 clinician's and patient's goals [48]. Higher scores indicate worse quality of life, and a
7 score decrease of 0.4 or more is considered to be clinically significant [49].

8 *The Hospital Anxiety and Depression Scale (HADS)*

9 The HADS is a self-administered 14 item questionnaire of which, 7 items assess
10 anxiety and 7 assess depression [50]. The HADS is used to assess anxiety and
11 depression in clinical settings and is recommended for use in PR [41]. Higher scores
12 indicate more severe anxiety or depression, with scores higher than 10 indicating
13 probable presence of disorder [51]. The MCIC in each of the HAD subscales is 1.7
14 points [52].

15

16 *Statistical analyses*

17 Data from all patients was included for analyses of adherence to the programmes. A
18 series of Mann-Whitney U tests (as data was non-normally distributed; for interval scale
19 variables at the start of the programmes: Age; CAT; CCQ; ISWT; HADS Anxiety;
20 HADS Depression) and chi-square tests (for nominal variables: Gender; COPD severity
21 status; Smoking status; Programme Location) were used to examine potential
22 differences in baseline characteristics between adherers (patients who attended at least
23 75% of the exercise component of the programmes) and non-adherers. To additionally
24 explore the possibility that baseline characteristics might statistically *predict* adherence,

1 a series of binary logistic regressions were performed (a series of single regressions was
2 used in order to avoid potential suppressor effects within a single, multiple logistic
3 regression model).

4 Only data from adherers was included for analysis of outcomes. For each
5 variable other than 1RM (ISWT, CAT, CCQ & HADS), changes from start to end of the
6 programme across each location were first assessed though interpreting magnitudes of
7 change as indicated by descriptive statistics, in relation to MCIC values.

8 Presence of statistically significant effects of time and of time-by-location
9 interactions were then tested for using a series of two-way mixed (between, within)
10 ANOVA tests.

11 The factor of 'programme location' was then collapsed and data was grouped by
12 severity status of COPD. First, magnitudes of change (as indicated by descriptive
13 statistics) were assessed in relation to MCIC values. Presence of statistically significant
14 effects of COPD severity categories were then tested for via a series of one-way
15 ANCOVAs on end of programme values, whereby start values were included as the
16 covariates.

17 As most of the psychological and quality of life measures (ISWT, CAT, CCQ &
18 HADS) were not normally distributed across all levels, median values are given in
19 Tables 2 and 3, in addition to mean values. However, parametric tests were used to
20 examine the data, as ANOVA tests are relatively robust to violations of the assumption
21 of normal distributions, particularly with sample sizes over 20 [53], and because there
22 are not adequate non-parametric equivalent tests to ANCOVA.

23 For the measure of 1RM, descriptive values were assessed in relation to MCIC
24 values, and Wilcoxon ranked sign tests were used to assess the statistical significance of
25 changes from start to end of programme at each of locations A (biceps) and B

1 (quadriceps). MCIC were calculated using an distribution based approach according to
2 Vaidya et al. [54]. The calculated 1RM MCICs within the current study were 0.324kg
3 for biceps (location A) and 2.47kg for quadriceps (location B).

4 An alpha level of 0.05 was used to indicate statistical significance. As not all
5 patients completed all measures at each time-point, sample size analysed varies between
6 measures.

7 All analyses were performed using SPSS (Version 25.0 Armonk, NY:
8 IBM CORP).

9

10 **Results**

11 *Patients*

12 Data was recorded for 322 patients. Table 1 presents mean and frequency descriptive
13 statistics for age, sex and severity of COPD by programme version (detail about the
14 programmes is given in 'The Programmes' section). Severity of COPD condition was
15 only recorded for 106 patients. The proportion of patients of differing COPD severity
16 did not significantly differ between locations ($X^2(6) = 8.90, p = 0.18$).

17

18 **Table 1. HERE**

19

20 *Adherence*

21 233 patients (72%) successfully adhered to the programmes (79% adherence at
22 location A; 79% at location B; 62% at location C). At the start of the programmes there
23 were small but statistically significant differences between adherers and non-adherers
24 for the variables of smoking status ($X^2(2) = 7.442, p = 0.024, \text{Cramer's } V = 0.16$),

1 whereby 81% of non-smokers adhered, compared to only 66% of smokers and 59% of
2 ex-smokers; and programme location status as reported on above ($X^2(2) = 10.818, p =$
3 0.004 , Cramer's $V = 0.18$). There were no statistically significant differences for the
4 variables of Age, CAT, CCQ, ISWT, HADS Anxiety, HADS Depression, COPD status
5 and gender ($p > 0.05$).

6 Smoking status predicted 2.9% (Cox & Snell $R^2 = 0.029$) – 4.1% (Nagelkerke $R^2 =$
7 0.041) of adherence likelihood, and this was statistically significant ($X^2(2, n = 262) =$
8 7.642 , Wald = 7.255 , $p = 0.027$). Smokers were only 47% (95% CI for Exp. B [$0.259,$
9 0.859]) as likely, and ex-smokers were only 34% (95% CI or Exp. B [$0.117, 1.016$]) as
10 likely as non-smokers to adhere to the programme.

11 Programme location also predicted 3.3% – 4.7% of adherence likelihood to a
12 statistically significant extent ($X^2(2, n = 326) = 10.663$, Wald = 10.579 , $p = 0.005$). On
13 average, patients at Locations A (95% CI for Exp. B [$1.26, 4.24$]) and B (95% CI [$1.24,$
14 4.12]) were twice as likely to adhere compared to patients at location C.

15 Finally, HADS Anxiety at the start of the programme predicted 1.9% – 4.7% of
16 adherence likelihood to a statistically significant extent ($X^2(1, n = 225) = 4.317$, Wald =
17 4.492 , $p = 0.038$). For each one-point increase in HADS Anxiety score was associated
18 with a 12% decreased likelihood (95% CI for Exp. B [$0.779, 0.99$]) of adhering to the
19 programme.

20 No other variables (age, COPD status, gender, and each of CAT, CCQ, HADS
21 depression and ISWT as measured at the start of the programme) predicted adherence to
22 a statistically significant extent ($p > 0.05$).

1

2 ***IRM***

3 Both biceps (location A) and quadriceps (location B) surpassed the calculated
4 MCICs. Wilcoxon ranked sign tests found that at each of location A ($Z = -4.540$,
5 $p < 0.001$, $n = 47$) and location B ($Z = -4.245$, $p < 0.001$, $n = 42$) there were statistically
6 significant trends of increased Epley's IRM scores from the start to end of PR.

7 ***ISWT, quality of life and psychological functioning measures***

8 Inspection of the mean values shown in Table 2 indicates that the changes in ISWT
9 were clinically important across all locations. A nearly clinically important change in
10 CAT was found across the total sample (only 0.1 short of the critical change value),
11 with a clinically important change reported at programme location B. Overall reported
12 changes in CCQ were 75% of the magnitude considered clinically important. Changes
13 in HADS anxiety and depression scores were negligible, with little variation between
14 programmes.

15 A series of mixed two-way ANOVAs found statistically significant
16 improvements from start to end of the PR programmes for the measures of ISWT
17 ($F_{1,168} = 81.93$, $p < 0.001$, $\eta^2 = 0.33$), CCQ ($F_{1,198} = 6.80$, $p = 0.01$, $\eta^2 = 0.03$), CAT ($F_{1,203} =$
18 20.55 , $p < 0.001$, $\eta^2 = 0.09$). There were no statistically significant effects for time for
19 HADS anxiety and depression, ($p > 0.05$). There were no statistically significant time by
20 location interactions effects across the outcome measures ($p > 0.05$).

21 ***COPD severity status***

22 Inspection of the mean change values in Table 3 indicates that clinically important
23 improvements were seen for the mild and moderate severity patients for the ISWT. For
24 CAT, mild and severe and very severe COPD patients experienced clinically important

1 improvements. For CCQ, HADS anxiety and HADS depression none of the COPD
2 categories showed clinically important mean improvement.

3 A series of one-way ANCOVAs found that after controlling for start of the
4 programme scores, there was not a statistically significant effect for starting COPD
5 severity status on end of programme values for ISWT ($p= 0.061$), CAT ($p= 0.263$),
6 CCQ ($p= 0.651$), HADS anxiety ($p= 0.938$) or HADS depression ($p= 0.692$).

7

8 **Table 2 HERE**

9 **Table 3 HERE**

10

11 **Discussion**

12 Overall the findings from the current study indicate the provision of an effective PR
13 service as evidenced by statistical and clinically important changes in both incremental
14 shuttle walk test (ISWT) distance and quality of life outcomes. Further the feasibility of
15 incorporating individualised load prescription based off a 1RM assessment was possible
16 and effective.

17 Contextually the findings from this service evaluation are consistent with a recent
18 national audit within the UK [22]; that PR programmes are effective at improving
19 outcomes measures such as the ISWT. Statistically significant and Minimally Clinically
20 Important Changes (MCIC) are reported for the incremental shuttle walk test (ISWT)
21 across all locations. These findings are similar to those seen following similar length PR
22 programmes for the ISWT and provide evidence for an effective PR service [45,55].

23 Secondary findings show that MCIC's were present only in patients with mild to
24 moderate severity for the ISWT. It is perhaps intuitive that mild to moderate COPD
25 patients experienced the greatest improvement in ISWT as physical activity and

1 capacity levels decline with severity of disease [56] and in part may be related to a
2 reduced capacity for improvement within the severe to very severe patient categories.
3 However, Altenburg and colleagues demonstrated a better response in exercise capacity
4 in more severe patients following an intensity tailored PR programme [32], suggesting
5 that with correct exercise prescription, improvements can be experienced by all.

6
7 Evaluation of psychological functioning, health status, quality of life and depressive
8 symptoms is common place within National Health Service (NHS) practice and
9 important to establish the benefit or treatment and practice upon a patient. For the CAT,
10 moderate, severe and very severe patients with COPD experienced clinically important
11 improvements. MCIC's were not evident for the CCQ and HADS anxiety and
12 depression scores for any COPD classification. The CAT and CCQ are tools that
13 evaluate health status and quality of life respectively, and positive changes would be
14 expected following an improvement in exercise capacity, which is consistent with the
15 findings of others [55,57-59]. Higher rates of depression and anxiety have been found
16 in patients with COPD compared to the normal population and so it has been postulated
17 that PR may improve anxiety and depression [60,61]. This service evaluation saw no
18 changes within HADS score, which is in contrast to other studies, which have evidenced
19 improvements [61-63], albeit differences between studies including; in-patient
20 rehabilitation, length of rehabilitation and specific tool to measure the construct may
21 account for this. The simplest explanation however, may be, that patients initially
22 reported low levels of anxiety and depression, nearly as much as half as those reported
23 by Garuit et al. [61]. Given the 'ceiling' effect for initial values, effective PR service
24 and opportunity to socialize with other patients, improved depressive scores were
25 unlikely. It is suggested that a more disease specific patient reported outcome measure

1 (PROMs) be used as an alternative, or potentially measures to assess exercise
2 enjoyment or exercise self-efficacy - both predictors of continuance [64]. This may hold
3 more value for behavior change, programme adherence and exercise / activity
4 continuance. Similarly, the use of focus groups or individual questioning of existing
5 patient may better inform effective PROMs use and should form part of a patient
6 programme exit process.

7 Tertiary findings were that across both implemented locations (A: biceps and B:
8 quadriceps), there were clinically important and statistically significant increases in one
9 repetition maximum (1RM) strength. Increases at both locations was not unexpected as
10 theraband (elastic) resistance training has previously been shown to be as effective as
11 free weights for improving strength in various populations including patients with
12 COPD [12,65].

13 There is a growing body of evidence to support the application of strength
14 training and its effectiveness in PR programs [7,11,13]. Here we have shown that it is
15 possible to use a simplistic clinically appropriate methodology [66-68] to establish 1RM
16 and incorporate this within a PR programme. This in itself holds value for the service
17 and others that wish to implement such an approach. This small 'pilot' application can
18 be used as the basis for both structured upper and lower limb strength training programs
19 that would lead to improved isolated limb strength. The use of basic programming
20 principals [18] will impact upon service outcome measures (strength and functionality),
21 but could also help combat the major issue of programme continuance [17]. Based on
22 the findings of Cook et al. that patients need to see the value within a programme to
23 'buy in' and adhere [26], value will arguably most easily be seen through personally
24 experienced improvement. Stone and colleagues also identified that any patient who
25 experienced an exercise test as part of their initial consultation for PR were more than

1 three times likely to complete their PR programme [69]. Additionally strength training
2 has been shown to be an effective therapy for depressive symptoms [70], which may
3 hold importance due to the common relationship between depression and COPD
4 [71,72]. In order to fully quantify the benefits of individually prescribed training loads
5 (a limitation here) robust, patient preference-based MCIC's need to be established for
6 upper and lower limb strength in COPD. As such we calculated 1RM MCIC's using a
7 distribution based approach in order to facilitate this for future clinicians and
8 researchers. In context, the improvements in 1RM leg strength seen in the current study
9 are comparable to those seen by Daabis et al. [59], who utilised an 8 week 3x per week
10 combined resistance and endurance training programme. It would have been valuable to
11 have also evaluated the impact of COPD severity upon strength improvement, as this
12 could potentially enable a much needed targeted approach for PR services [31]. This
13 was not however possible due to incomplete data collection and is a requirement for
14 further assessment and evaluation.

15 Although only predicting small percentages of adherence likelihood, the
16 findings that HADS Anxiety, smoking status and programme location influenced the
17 likelihood of adherence within the current study alludes to the potential importance of
18 targeting future interventions more efficiently, so to reduce dropout rates and wasted
19 resource. For example, perhaps amendments can be made to align some programmes
20 more closely with the needs of patients who experience greater anxiety. The findings
21 that smoking status [73-76] and anxiety [75,77] influenced adherence are consistent
22 with many other studies. Future research and programmes should continue to collect
23 data that enables analyses of factors that influence adherence, so to increase
24 understanding and useful guidance across the sector.

25

1 **Conclusion**

2 This service evaluation evidences an effective PR service that leads to positive
3 physiological and quality of life outcomes that are evidenced by MCIC's. Basic exercise
4 programming and assessment are feasible, led to significant improvements in 1RM
5 strength and should be implemented in PR services to maximize patient outcomes. This
6 may have further reaching effects upon patient adherence and continuance, which
7 requires further study. Where time effective and economically viable approaches to PR
8 services are key to both patient and provider, correctly administered strength training
9 may benefit all.

10

11 **Acknowledgements**

12 We would like to thank Alan Gooding for the early discussions relating to strength
13 assessment and training in PR.

14 **Disclosure statement**

15 In accordance with Taylor & Francis policy and my ethical obligation as a researcher, I
16 am reporting that Ruth Barlow, Hannah Bannister and Rebecca Stuart, have a potential
17 personal conflict as they are employed by Provide, the provider of the pulmonary
18 rehabilitation service, in which the evaluation took place. I have disclosed those
19 interests fully to Taylor & Francis, and I have in place an approved plan for managing
20 any potential conflicts arising from that employment.

21

22

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Tables

Table 1. Descriptive statistics of sample by programme version

	Programme version A	Programme version B	Programme version C	Total
n	76	79	78	233
Sex	44 males; 32 females	35 males; 44 females	55 males; 23 females	134 males 99 females
Mean Age (years) ± SD	70.1 ± 8.7	72.3 ± 7.4	72.7 ± 8.2	71.7 ± 8.1 Range: 45 – 90
COPD Severity	n= 43 Mild: 8 Moderate: 13 Severe: 19 Very severe: 3	n= 21 Mild: 5 Moderate: 8 Severe: 5 Very severe: 3	n= 42 Mild: 2 Moderate: 14 Severe: 18 Very severe: 8	n= 106 Mild: 15 Moderate: 35 Severe: 42 Very severe: 14

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Table 2. Mean \pm SD values by location and time-point

Measure	Location and time-point								
	Location A		Location B		Location C		Total		
	Start	End	Start	End	Start	End	Start	End	
1RM (kg)	<i>Mean \pm SD</i>	8.7 \pm 3.1 (biceps)	10.5 \pm 3.8	11.5 \pm 5.7 (quadriceps)	17.0 \pm 10.9				
	<i>Median (IQR)</i>	8.0 (4.5)	10.1 (4.7) **	9.7 (9.2)	15.0 (19.4) **				
	<i>n</i>	47		42					
ISWT (m)	<i>Mean \pm SD</i>	199.8 \pm 131.7	246.6 \pm 127.3	172.61 \pm 98.4	210.7 \pm 115.1	211.1 \pm 129.6	254.8 \pm 139.1	196.7 \pm 123.2	239.9 \pm 129.3
	<i>Median (IQR)</i>	185.0 (150.0)	250.0 (122.0) **	155.0 (110.0)	200.0 (132.5) **	180 (180.0)	250.0 (210.0) **	180.0 (150.0)	230.0 (150.0) **
	<i>n</i>	62		46		63		171	
CAT §	<i>Mean \pm SD</i>	21.5 \pm 7.6	20.1 \pm 8.2	21.4 \pm 7.6	19.0 \pm 7.4 **	17.6 \pm 7.7	15.9 \pm 6.5	20.2 \pm 7.8	18.3 \pm 7.6
	<i>Median (IQR)</i>	21.5 (11.0)	19.5 (12.8)	22.0 (11.3)	19.5 (11.3)	18.0 (9.0)	16.5 (8.8)	20.0 (11.0)	18.0 (11.0)
	<i>n</i>	68		70		68		206	
CCQ	<i>Mean \pm SD</i>	2.70 \pm 1.21	2.50 \pm 1.12	3.00 \pm 1.43	2.61 \pm 1.26	2.23 \pm 1.00	2.08 \pm 1.15	2.64 \pm 1.25	2.40 \pm 1.20
	<i>Median (IQR)</i>	2.75 (1.58)	2.50 (1.50)	2.7 (1.70)	2.50 (1.70)	2.20 (1.20)	2.10 (1.30)	2.50 (1.50)	2.20 (1.60)
	<i>n</i>	64		69		68		201	
HADS Anxiety	<i>Mean \pm SD</i>	5.90 \pm 3.57	5.78 \pm 3.63	6.00 \pm 4.14	5.56 \pm 3.79	4.10 \pm 3.22	3.84 \pm 3.14	5.33 \pm 3.75	5.06 \pm 3.62
	<i>Median (IQR)</i>	5.00 (5.00)	5.78 (5.00)	6.00 (6.00)	5.56 (6.25)	3.00 (5.00)	3.00 (5.00)	5.00 (5.00)	5.00 (6.00)
	<i>n</i>	69		70		70		209	
HADS Depression	<i>Mean \pm SD</i>	6.86 \pm 3.45	6.81 \pm 3.53	6.09 \pm 3.74	6.20 \pm 3.59	4.76 \pm 2.92	4.53 \pm 3.25	5.89 \pm 3.48	5.84 \pm 3.58

Median (IQR)	7.00 (5.00)	7.00 (6.00)	5.50 (5.25)	6.00 (6.00)	4.00 (4.00)	4.00 (5.00)	5.00 (5.00)	6.00 (6.00)
<i>n</i>	69		70		70		209	

§ indicates variable was normally distributed

Mean / Median in **bold** text indicates the appropriate value to assess in relation to minimal clinically important change.

** Indicates clinically important change from start to end of programme

Table 3. Mean \pm SD Changes in outcomes measures by COPD severity classification

Measure	COPD Severity				
	Mild	Moderate	Severe	Very Severe	
Δ ISWT (m)	Mean \pm SD	49.0 \pm 46.5 **	60.7 \pm 67.3 **	19.3 \pm 64.1	30.0 \pm 35.7
	95% CI	15.7, 82.3	34.6, 86.8	-5.1, 43.7	7.3, 52.7
	Median (IQR)	45.0 (87.5)	50.0 (80.0)	25.0 (57.5)	20.0 (50.0)
	<i>n</i>	10	28	29	12
Δ CAT	Mean \pm SD	-2.5 \pm 5.6	-1.7 \pm 5.5	-2.0 \pm 6.1	1.9 \pm 4.9
	95% CI	-6.0, 1.1	-3.8, 0.5	-4.0, 0.0	-1.0, 4.7
	Median (IQR)	-1.5 (12.5)	-2.0 (5.5) **	2.5 (7.0) **	2.0 (10.0) **
	<i>n</i>	13	28	37	14
Δ CCQ	Mean \pm SD	-0.01 \pm 0.46	-0.17 \pm 0.84	0.04 \pm 1.40	-0.11 \pm 0.69
	95% CI	0-0.28, 0.27	-0.50, 0.17	0.04, 0.53	-0.53, 0.31
	Median (IQR)	-0.20 (0.97)	-0.10 (0.90)	-0.20 (0.83)	0.00 (1.50)
	<i>n</i>	13	26	34	13
Δ HADS Anxiety	Mean \pm SD	-0.64 \pm 2.92	-0.82 \pm 3.21	-0.38 \pm 2.99	0.36 \pm 1.65
	95% CI	-2.33, 1.05	-2.07, 0.42	-1.38, 0.62	-0.59, 1.31
	Median (IQR)	0.00 (2.50)	0.00 (3.50)	0.00 (2.50)	1.00 (2.00)
	<i>n</i>	14	28	37	14
Δ HADS Depression	Mean \pm SD	-0.29 \pm 2.61	-0.64 \pm 2.26	-0.05 \pm 2.77	0.43 \pm 1.50
	95% CI	-1.80, 1.22	1.52, 0.24	-0.98, 0.87	-0.44, 1.30
	Median (IQR)	-0.50 (3.75)	-1.00 (3.50)	0.00 (3.00)	0.00 (2.00)
	<i>n</i>	14	28	37	14

§ indicates variable was normally distributed

Mean / Median in bold text indicates the appropriate value to assess in relation to minimal clinically important change.

** Indicates clinically important change from start to end of programme