# How to build a fast and accurate **Code-Modulated Brain-Computer** Interface

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## Abstract

Objective. In the last decade, the advent of code-modulated brain-computer interfaces (BCIs) has allowed the implementation 14 of systems with high information transfer rates (ITRs) and increased the possible practicality of such interfaces. In this paper, we evaluate the effect of different numbers of targets in the stimulus display, modulation sequences generators, and signal 16 17 processing algorithms on the accuracy and ITR of code-modulated BCIs. Approach. We use both real and simulated EEG data, to evaluate these parameters and methods. Then, we compared numerous different setups to assess their performance 18 and identify the best configurations. We also evaluated the dependability of our simulated evaluation approach. Main results. 19 Our results show that Golay, Almost Perfect, and deBruijn sequence-based visual stimulus modulations provide the best 20 results, significantly outperforming the commonly used m-Sequences in all cases. We conclude that artificial neural network processing algorithms offer the best processing pipeline for this type of BCI, achieving a maximum classification accuracy of 22 94.7% on real EEG data while obtaining a maximum ITR of 127.2 bits/min in a simulated 64-target system. Significance. We 23 used a simulated framework that demonstrated previously unattainable flexibility and convenience while staying reasonably 24 realistic. Furthermore, our findings suggest several new considerations which can be used to guide further code-based BCI development.

Keywords: Brain-Computer Interface, Code Modulated Visual-Evoked Potentials, Canonical Correlation, Artificial Neural 27 Networks, m-Sequence, Almost Perfect Autocorrelation, DeBruijn, Golay Sequence. 28

## 301. Introduction

31  $_{46}$  to the user which alternates in appearance at regular intervals. 33 scalp [1]. Components of the EEG elicited by exogenous 47 ERP-based BCIs are more comfortable to use, while SSVEP 34stimuli, known as event-related potentials (ERPs), are 48BCIs offer better performance in terms of accuracy and speed 35 commonly used in brain-computer interfaces (BCI) to identify 49 of the BCI [8][9]. 36 control commands, allowing BCI users to communicate 50 37 without motor or peripheral interaction [2]. These benefits 51 based on code-modulated visual evoked potentials (c-VEPs) 38 have led to the accelerated pursuit of high-performance BCI 52 have gained relevance more recently as a proposed solution to 39 systems in the last two decades [3][4][5][6].

41evoked by out-of-sequence or unexpected time-dependent 55[3][8][10][11]. 42 external events, such as P300 event-related potential-based

43 systems, or by the response to frequency-dependent visual or 44 auditory stimuli, like steady-state visual-evoked potentials Electroencephalographic (EEG) data is acquired by 45 (SSVEPs) [7]. Both types of BCI operate by presenting stimuli

In addition to the ERP and SSVEP types of BCI, systems 53 the issues of visual fatigue and sub-optimal information Modern BCIs are frequently based on the neural potentials 54 transfer rates in users of several ERP and SSVEP-based BCIs

By modulating the display of an array of visual stimuli with 56 57a predetermined pseudorandom binary sequence (PRBS) at 58 relatively high and uniform frequencies such as 60 and 120 Hz 59[3][12], BCIs based on c-VEP offer the potential for much 60 more pleasant operation [10]. Although there are several 61 methods for generating a PRBS, there is no definite optimal 62 choice for BCI applications. Numerous types of PRBS have 63 been implemented in this context, for example, m-Sequences 64[3] [8] [12] [13], Almost Perfect Autocorrelation [14] 65 sequences, and Gold sequences have all been attempted [15]. 66 The structure of the interface display used with c-VEP BCIs 67 is similar to the interface typically used with other VEP-based 68BCIs and commonly consists of a rectangular arrangement of 69 multiple square target cells surrounded by complementary 70 non-target cells. By adopting the principle of equivalent 71 neighbors [16] and the comments by Bin et al. [17] regarding 72 the VEP field of view, it is reasonable to display all these 73 stimulus sources in a compactly arranged grid on a display 74 screen.

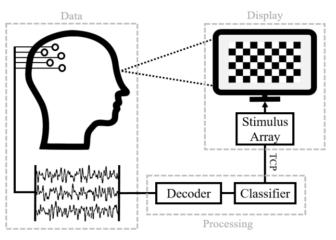


Figure 1. The workflow overview diagram of the c-VEP BCI system, composed of three stages executed cyclically: data acquisition, signal processing, and visual stimulation.

In this paper, we work with a high-speed c-VEP BCI Besides reducing visual fatigue, several different c-VEP 98 framework using both a real EEG dataset and a simulated EEG 75 76 BCIs have been proposed which typically outperform the 99 dataset. We evaluate the effect of multiple PRBS types, signal 77 information transfer rates (ITRs) obtained with SSVEP-based<sup>100</sup> processing algorithms, and numbers of stimuli in the 78 systems. For example, in 2009 Bin et al. [17] obtained an<sup>101</sup> presentation setup on the overall performance of the c-VEP 79 information transfer rate of 58±9.6 bit/min with an SSVEP-102BCI system, measured through accuracy and ITR. We also 80 based BCI, but later in 2010 proposed a c-VEP system that<sup>103</sup> compare various attributes between the experimental and the 81achieved a much higher average ITR of 108±12 bits/min [3].<sup>104</sup>simulated EEG datasets to assess the dependability of the 82Two years later, this high ITR score was further improved by<sup>105</sup> simulation approach.

83 Spüler et al. [7], reaching 144 bit/min with a BCI that also

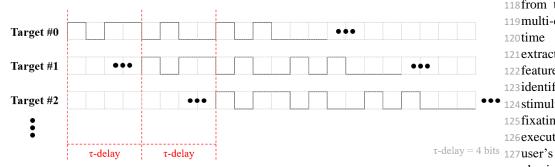
84 incorporated error-related potentials, allowing online<sup>106</sup>2. Methods

85 adaptation. In 2018, Wei et al. [14] proposed a novel c-VEP

86BCI structure based on grouped stimulus targets, yielding an<sup>107</sup>2.1 Theory of Operation

87 even higher ITR of 181.05 bits/min.

108 Figure 1 shows the workflow overview diagram of a typical Several parameters influence the efficacy of a c-VEP BCI 109 c-VEP BCI system. It is composed of three stages executed 88 89 They include but are not limited to, the type of PRBS used to 110 cyclically: data acquisition, signal processing, and visual 90 present the stimuli, the feature extraction process used within 111 stimulation. The interface first presents multiple command 91the signal processing pipeline, and the number of targets<sub>112</sub>options to the user. Each of these commands displayed is 92 presented to the user. Many different variants of these 113 modulated, over time, by the PRBS. For example, commands 93 parameters have been investigated by c-VEP BCI researchers 114 may be toggled between two different states (e.g. visible vs. 94However, to date, there is no clear consensus on which 115 hidden, or greyed out vs. highlighted) according to the digit of 95 parameters are most effective in allowing the construction of 116 each bit in the PRBS. For the signal processing stage, as is 96a fast and accurate c-VEP BCI. 117 generally the case [5], the system acquires the c-VEP data



118 from the user, processes the 119 multi-channel signals in the spatial domains, 120 time and 121 extracting the relevant 122 features, to finally perform the 123 identification as to which 124 stimulus source the user is 125 fixating on, and ultimately 126 execute the command of the without interest

Figure 2. Visualization of an example of the modulation behavior of the first three stimulus 128 physical interaction with the targets, showing a consecutive circular shift of 4 bits of the same pseudorandom binary 129 machine. sequence

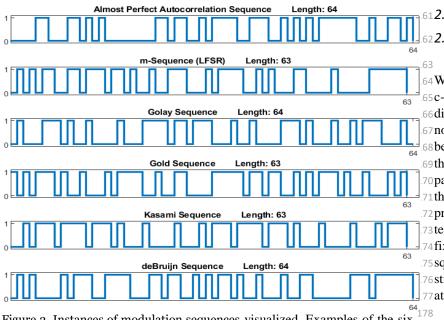


Figure 3. Instances of modulation sequences visualized. Examples of the six distinct PRBS evaluated in a 64-bit setup, from top to bottom: APA, m-<sup>179</sup>experimental EEG data utilized an almost Sequence, Golay, Gold, Kasami, and DeBruijn sequences.

In this study we supersede the data acquisition and display<sub>182</sub>60Hz and a sampling rate of 1000Hz. The electrode 130 131 stages by employing an offline BCI, that is, by using pre-183 impedances were  $<10k\Omega$  and EEG electrodes were placed at 132 recorded real and pre-generated synthetic EEG, allowing us to184 positions P3, Pz, P4, PO7, POz, PO8, O1, Oz, and O2 in the 133 focus on the processing pipeline. Specifically, we utilized at 85 international 10/20 system for EEG electrode placement. 134 signal simulation program (developed in MATLAB R2019b)

135 for EEG signal simulation, inspired by the open-source186 2.2.2 Simulated Approach 136 framework developed by Lindgren et al. in 2018 [18]. While

137 for the real EEG, we used a dataset provided by Wei *et al.*<sup>187</sup> 138[14].

139 141 consecutively shifted PRBS, in which the 0/1 value of the 142 momentary bit toggles a particular stimulus on and off, as T 143 suggested by Wei et al. [19]:

144 
$$M(t)_{Tar_i} = PRBS\left(t + (i * \tau_{delay})\right)$$
 (eq. 1)

145 where M is the binary value of target i  $(Tar_i)$  at time  $t_i^{197}$  volume, respectively, with internal signal-to noise-ratios of 1 146 considering a  $\tau$  lag of 4 bits between consecutive targets, and 198 and 0.8, as suggested in [18]. We also added heuristically-147 PRBS(x) defines digit x of the stimuli modulation sequence 199 sourced eye movement and eye blink noise artifacts, which in 148 in the BCI setup. 200 the simulation were set to occur at random times within the

To evaluate the effect of different configurations on the201 trial with probabilities of 30% and 10% respectively, and have 149 150 system performance we explored multiple options for three202 random lengths of between 0 (i.e. non-existent) and 2 seconds, 151key parameters from the typical c-VEP BCI setup and 203 as proposed by Tangermann et al. [21]. This signal was passed 152 processing pipeline. First, we used three distinct numbers of 204 through a 2-45Hz bandpass filter to limit the data to the 153 targets (16, 32, and 64 targets). This choice of numbers of 205 frequency bands of interest, resulting in the final simulated 154 available targets was based on the comments made by Baseler 206 EEG. 155 et al. [20] describing the negative impact on performance from

156an increase of targets presented to users in a BCI. Second, we<sup>207</sup> To calibrate the proportions between the noise components 157 evaluated 6 of the most widely used types of PRBS for<sup>208</sup> and the purely evoked responses, we incorporated a global 158 modulation of the stimuli (detailed in section 2.3). Finally, we<sup>209</sup> signal-to-noise ratio (SNR) coefficient into the simulator. We 159 implemented 6 decoding algorithms that are commonly used<sup>210</sup> tuned the SNR to maximize the resemblance of the 211 performance results between both approaches and those 160 in the context of BCI feature extraction (section 2.4).

# .612.2 Data Acquisition

# 622.2.1 Experimental Approach

The experimental dataset, provided by .64 Wei et al [14], consisted of two independent .65c-VEP data subsets recorded from 12 66 different c-VEP BCI users (8 females) with 67 normal or corrected to normal vision, 68 between the ages of 21 and 26 years old. In .69the training subset, users fixated on one 70 particular target from the array, defined as 71 the reference target, for 20 trials, effectively 72 providing 240 training trials in total. In the 73 testing subset, each of the 12 participants 74 fixated on each target from an array of 16 .75 square targets, for 5 useful cycles of the 76 stimuli modulation sequence each, totaling 77 at 960 distinct trials.

The setup for the acquisition of the real 180perfect autocorrelation (APA) sequence of 181 length 64 bits with frequency modulation of

Using the EEG simulator program, we generated an 188 extensive synthetic EEG dataset for use in our analysis, As shown in *Figure 2*, the behavior of each target stimuli

90 data, with a total of 240 and 1920 trials respectively, mirroring

The generation of the artificial EEG signals consisted of a 194 composite of three elements: the purely code-modulated 195 responses to the PRBS stimulation, white and pink noise 196 originating uniformly from the scalp surface and the head 212 obtained by Wei et al [14] using an identical 213 setup in simulation. Once tuned, the global 214SNR coefficient was set to a unitary value 215to later analyze the performance of our BCI 216 with a range of SNR values (from 0.2 to 2 217dB) and observe how influential this 218 simulation parameter is. These results are 219 shown in Figure 9 and detailed in section 3. 220 Finally, the modulation frequency and 221the sampling rate were set to 60Hz and 2221000Hz, respectively, and the simulated 223EEG electrodes were placed in the same 224 positions as those from the experiments, 225 following the international 10/20 system for 226 EEG electrode placement: P3, Pz, P4, PO7, 227 POz, PO8, O1, Oz, and O2. To achieve this 228in simulation, the signal generation is based 229on a linear superposition model that uses a 230 leadfield matrix encoding the electrical 231 propagation of the head model [18]. We 232 work with a physiologically realistic non-233 specific brain model, which projects 234 uniform brain volume data to the surface 235 electrodes, constrained by the cortical 236 surface normal. The conductivity 237 parameters of the model consist of scalp, 238 skull, and brain mesh layers, with 239 normalized conductivities of 1, 1/15, and 1, 240 respectively, per Oostendorp et al. [22]. 241Finally, this head model also contains the

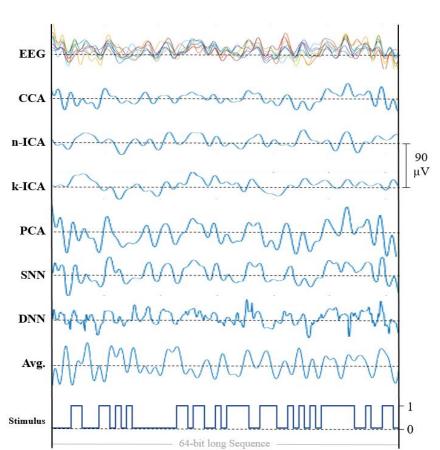


Figure 4. Comparison of single-cycle signals. From top to bottom: Raw EEG signal, pre-processed signal outputs of the six decoding algorithms, the signal average from 20 cycles, and an APA modulation sequence with a length of 64 bits.

242 dipole sources located at the front of the head representing the 262 where the exponents of each x term (also referred to as *taps*), 243 users' eyes, from which the previously mentioned eye noise<sup>263</sup> represent the non-zero bit positions influencing the feedback 264 for the next state in the recursive arrangement. In this case, the 244 artifacts are set to originate.

## 2452.3 Modulation Sequences

246 259 can be used recursively to generate both of these PRBS:

260 
$$f(x)_{LFSR} = x^4 + x^3 + x^2 + 1$$
 (eq.2)

261 
$$f(x)_{deBruin} = x^4 + 2x + 2$$
 (eq.3)

4

265 polynomial degree of n=4 results in a PRBS of 15 (2<sup>n</sup>-1) and  $26616(2^n)$  bits in length, respectively.

Each of these MS is commonly used in cryptography and 267 Six different PRBS were selected. These modulation<sub>268</sub> spectrometry [23], neurological research [8] [24], and 247 sequences (MS) were chosen because they all are269 communication systems [25] due to their semi-random 248 deterministically generated and have desirable statistical 270 statistical properties [26] [27]. Furthermore, DeBruijn 249 properties, such as low autocorrelation, despite the non-linear<sub>271</sub> sequences have been beneficial for neural decoding processes 250 influence that these characteristics have on VEPs [3]. The<sub>272 in</sub> the wider neuroscientific context ([4]). While m-Sequences 251 sequences used in this study were: Linear-feedback shift<sub>273</sub> are perhaps the most frequently implemented type of MS in 252 register (LFSR, also known as m-Sequences), deBruijn<sub>274</sub> the context of c-VEP BCI research ([3] [8] [12] [13]), Golay 253 Almost Perfect Autocorrelation, Golay, Gold, and Kasami<sub>275 and APA sequences have also been successfully implemented</sub> 254 sequences. Examples of each of these MS are shown in  $Figure_{276 \text{ in novel c-VEP}}$  paradigms ([14]). Although to a lesser extent, 2553. The sequences are generated through brute computational 277 Gold sequences have also previously been explored by 256 methods and/or distinct recursive algorithms. This is the case<sub>278</sub> Thielen et. al. [15] in another VEP-based paradigm consisting 257 for LFSR and DeBruijn sequences where, for instance, the 279 of asynchronously evoked Broad-band VEPs, due to the 258 following primitive polynomials (mod 2 and 3 respectively)<sub>280 optimal</sub> cross-correlation properties of such sequences. 281 Similarly, while Kasami sequences have had a highly limited 282 presence in BCI research, these demonstrated inconclusive 283 results in the context of c-VEP modulation when studied in 2842017 by Isaksen et al. [28].

#### 2862.4 Decoding Algorithms

Multiple decoding algorithms were implemented to assess26 Principal component analysis increases the interpretability 287 288 their effectiveness at decluttering an EEG signal through 270 f the signal X, by identifying a projection of the data such that 289 feature extraction. 328 the new dimensions of the data projected are organized by

In our evaluation of the c-VEP processing pipeline, we use 329 decreasing variance. The dimensionality of the signal can then 290 291 six of the most commonly used decoders for EEG feature330 be reduced by sub-selecting components from the new 292 extraction [8] [13] [29] [30]. The feature algorithms 31 projected dimensions [31]. We select and further process only 293 implemented are Canonical correlation analysis (CCA),332 the one principal component with the largest corresponding 294 principal component analysis (PCA), independent component 333 Eigenvalue, here denoted as  $W_{l}$ :

295 analysis with maximum kurtosis (k-ICA) and maximum 296 negative entropy criterion (n-ICA), shallow (SNN), and deep<sub>334</sub> 297 neural networks (DNN).

We used these algorithms to perform a translation from the 335 which in our testing corresponds to the single projected 298 299 multidimensional raw EEG signal to a one-dimensional 336 component of the data that represented 75-90% of the total 300 processed feature signal. Each of the algorithms is described 337 variance in the case of simulation, and 35-50% for 301 in the following sections. The resulting processed one-338 experimental data, indiscriminate of the particular stimulus 302 dimensional feature set was then passed to the classification 339 source or modulation sequence. 303 phase, thus identifying which c-VEP target stimuli the user

304 was attending to in a given trial (described in *section 2.5*).

#### 3052.4.1 Canonical Correlation Analysis

306

307 
$$y[n] = \sum_{i=1}^{C} x_i[n] w_{xi}$$
 (eq. 4)

3091, 2,..., C) from the multichannel input signal, which, once 310 multiplied by the *i*-th weight from the set of weights Wx,

311 results in the one-dimensional spatially-filtered signal y. And<sup>350</sup> 312 the set of weights Wx is calculated from the CCA:

313 
$$\max_{W_x, W_y} \frac{W_x^T X \hat{X}^T W_y}{\sqrt{W_x^T X X^T W_x \cdot W_y^T \hat{X} \hat{X}^T W_y}} \qquad (eq.5)$$

315 coefficients that maximize the correlation between the 357[29][32]. 316 unprocessed EEG input X and an averaged multichannel signal<sub>358</sub>

318as follows:

319 
$$X = [X_1 \ X_2 \ X_3 \ \cdots \ X_N] \quad (eq. 6)$$

320 
$$\bar{X} = \frac{1}{N} \sum_{i=1}^{N} X_i$$
 (eq.7)

$$\hat{X} = [\bar{X} \ \bar{X} \ \bar{X} \ \cdots \ \bar{X}] \qquad (eq.8)$$

324 lengthwise N number of times.

$$\max_{W_1} \frac{W_1^T X^T X W_1}{W_1^T W_1}$$
 (eq. 9)

#### 3402.4.3 Independent component analysis

By assuming that the input X is an initial linear combination  $_{342}$  of real independent sources, denoted as S, we can use The CCA algorithm was utilized to create the spatial filter: 343 Independent Component Analysis (ICA) to extract a single 344 feature set. These algorithms seek the linear transformation  $_{345}$  matrix A that transforms the EEG signals X into a new 346 component space Y that approximates S. This is achieved by 347 maximizing the statistical independence between each of the 308 where  $x_i[n]$  represents the n-th element of the *i*-th channel ( $i=_{348}$  output components. Specifically, each recorded signal trial X 349 is assumed to be a linear mixture of sources:

$$\boldsymbol{X} = \boldsymbol{A} \times \boldsymbol{S} \qquad (eq. 10)$$

Where S denotes the original sources and A denotes the 351 352 linear mixing matrix. An estimate of the sources Y can then be 353 found by inverting the mixing matrix:

$$Y = A^{-1} \times X \qquad (eq. 11)$$

ICA has been demonstrated to produce a useful 355 314 where the terms Wx and Wy denote the linear canonical<sub>356</sub> decomposition of EEG signals in numerous BCI applications

However, when using an ICA method the dimensionality of 317 replicated for congruency, denoted here as  $\hat{X}$ , and computed<sub>359</sub> the EEG signal set is not reduced, but maintained. Therefore, 360 it is necessary to define some method to select one of the C361 independent components of a test trial available for eventual 362 use in classifying the c-VEPs. To accomplish this, we measure 363 the Pearson correlation coefficient between all independent  $_{364}$  components and all T reference templates produced for the 365 current BCI setup, hence performing  $T \times C$  computations. 366 Whichever component maximizes the Pearson correlation 367 coefficient with any of the reference templates is selected as 368 the feature of interest for the subsequent classification stage. 322 where the X input signal is collected from N stimulus cycles 369 The generation of said templates is elaborated upon in section 323 and  $\overline{X}$  represents the averaged signal before being replicated 3702.5, while a discussion on the benefits and caveats of this 371 procedure is included in section 4.2.

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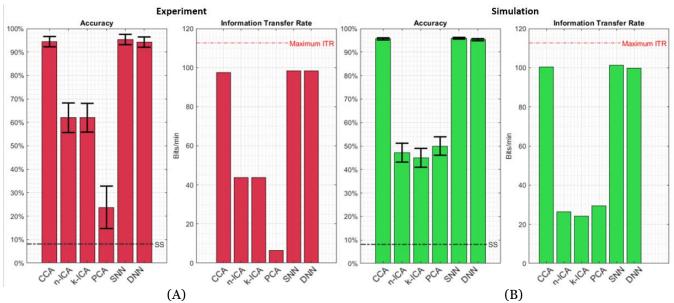


Figure 5. Comparison of experimental and simulated analysis. Results obtained from both experimental (A) and simulated (B) approaches with the same configuration: APA sequence modulation with a 16-target BCI. The left plots of (A) and (B) show the accuracies [%] with error bars and statistical significance line with a p-value < 0.01 of a random 16-target classifier. The right plots of (A) and (B) show the ITR for each decoder [bits/min]. The maximum ITR (red horizontal line) is achieved when the accuracy reaches 100%, resulting in an ITR of 112.5 bits/min.

### 3722.4.4 Artificial neural networks

373

399 of times. This initial reference template is obtained by Although the incorporation of artificial neural networks<sub>400</sub> averaging the EEG data from all the trials collected for the 374(ANNs) into c-VEP BCIs is not the most common practice, 401 training subset, in the case of the experimental approach; and  $_{402}$  from the average of all signals generated as the training subset  $_{403}$  using the simulator, for the simulated approach. Since all 377 different multi-layer perceptrons for their computationally<sub>404</sub> stimulus targets are modulated by the same circularly-shifted <sup>378</sup>light signal processing capacity and their high accuracies [35]<sub>405</sub>PRBS, each resulting reference template can be associated 379 While one consists of 4 hidden layers, with 9, 18, 18, and  $9_{406}$  with each individual target. 380 hidden neurons in each layer respectively; the other network 407

381 is shallow, consisting of a single 10-neuron hidden layer. 382 383 and with the same inputs and the summed channels of the  $_{410}$  the feature set indicates which of the *T* target positions the BCI 384 outputs with which the CCA-based filter was also generated, 411 user is attempting to select, classifying it as such. Lastly, each 385 but staying independent from the CCA method. That is to say 412 of the 18 simulated configurations possible, as well as the 386 the training inputs consist of the unprocessed EEG signal X of  $_{413}$  decoding algorithms on the real data, were assessed by  $_{414}$  calculating the accuracy [%], with its variance, and the 388 of the signal  $\hat{X}$  (see eq. 8), averaged along the *C* channels 415 information transfer rate (ITR) expressed in bits/min. 389 resulting in a one-dimensional signal, here denoted as *H*:

390 
$$H = \frac{1}{C} \sum_{i=1}^{C} \hat{x}_i \qquad (eq. 12)$$

## 3912.5 Classification and Evaluation

The classification procedure consisted of matching the<sub>423</sub> on their significance is contained in Section 4. 392 393 processed one-dimensional feature set extracted from the  $EEG_{a24}$ 394 signals using each of the previously described decoding 395 algorithms to one template from a set of T reference templates, 396 where T is the number of classes (or targets) in the particular 397 setup. All the necessary templates are obtained from the

Once all templates are available after the training, the 408 testing phase can be performed. In this phase, whichever We trained both ANN structures using sigmoid activation<sub>409</sub> reference template has the highest correlation coefficient with

398 consecutive circular shift of one reference template, T number

416 Beyond evaluating all configuration parameters in the 417 simulated framework, we are interested in assessing the 418 reliability of the framework itself. To carry out this evaluation, 419 we statistically compare the power spectra and the grand 420 average ERPs obtained from both approaches, as well as the 421 SNR-accuracy relationship in simulation. The following 422 section describes the results of all analyses, while a discussion

#### 4253. Results

479 APA, and DeBruijn modulation sequences achieve the most The accuracy and ITR of the offline classification tests<sub>480</sub> promising results in all cases, frequently without being 426 427 carried out on the experimental set are presented in *Figure*<sub>481</sub> significantly distant from each other. 4285(A), while those results achieved by the simulator in  $an_{482}$ Additionally, Linear-feedback shift register (m-429 identical setup (16 targets with APA modulation) are 483 Sequences), Gold, and Kasami sequences demonstrated 430 contained in Figure 5(B). Also included in both accuracy  $_{484}$  substantially poorer performances in almost all scenarios. In 431 graphs within *Figure 5* is the variance and statistical  $_{485}$  particular, Kasami sequences resulted in an average 432 significance level (p < 0.01), the latter of which is indicated  $_{486}$  decrement from the highest accuracy of -50.9%, -44.3%, and

433 by a dashed horizontal line at 8.13% and represents the 487-48.5% throughout each of the 64, 32, and 16-target simulated 434 accuracy level that we expect less than 1% of a set of random<sub>488</sub> configurations, respectively. 43516-target classifiers to obtain, as assessed against a binomial 436 distribution (see [36]). This figure 437 shows nearly equal scores obtained 438through both approaches using 439CCA, SNN, and DNN, reaching 440 accuracies of 94.38%, 95.34%, and 44194.22%, in the respective 442 experiments; and 95.63%, 95.94%, Number of Targets 443 and 95.31%, in the respective 444 simulations. Note, while both ICA 445 and the PCA algorithm return 446 much lower scores relative to the 447 rest of the decoders in experiments 448 and simulation, it must be noted 449that both approaches achieve 450 significantly different scores, 451 which is discussed in Section 4.2. 452 Proceeding with the evaluation 453through purely simulated means, 454 Figure 6 condenses the scores 455 obtained by each of the possible 456BCI setups. From the upper 457 portion of this figure, which 458 contains the accuracies, variance, 459 and significance level of each Number of Targets 460 instance, there is a noticeable 461 decline in the scores as the number 462 of targets is increased. On the other 463 hand, as can be observed from the 464 information transfer rates shown in 465 the lower section of Figure 6, this 466 negative tendency is partially 467 counteracted by this same increase 468in targets, resulting in the net 469 relative increase of ITR with a 470 higher target number.

Concerning the modulation 471 472 sequence used, Golay sequences 473 produced all of the highest 474 accuracies in the 16-target setups, 475 while Almost Perfect sequences 476 provide the best results of 32 and 47764-target configurations above Simulation Analysis on Accuracy [%]

47880%. However, it is worth pointing out that all three Golay,

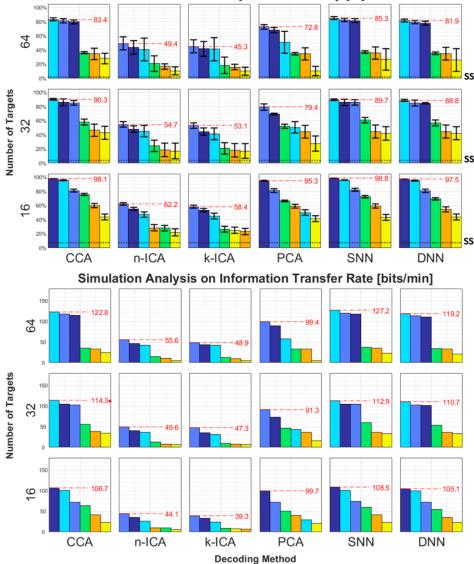


Figure 6. Simulated analysis results summary. (Upper) The accuracies obtained from all possible combinations of decoder, target number, and sequence type, with corresponding variances, and statistical significance levels (with p-value < 0.01) marked with a black dotted lines and the subscript "SS". (Lower) The corresponding ITRs calculated as a function of the number of targets and the accuracy of each setup. Additionally, the red dashed lines mark the maximum scores achieved within each one of the setups.



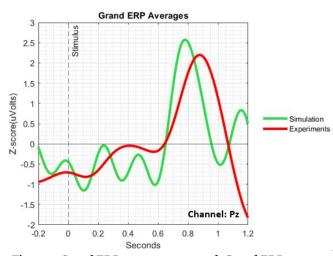


Figure 7. Grand ERP averages, z-scored. Grand ERP average of channel Pz from simulated data (green) and experimental data (red). Both are obtained by averaging over 20 cycles. The visual stimulus is presented at time zero.

489 490 algorithm, the results demonstrate that both ICA algorithms 29 exponential relationship between SNR and the accuracy. 491get remarkably lower scores, while CCA and both NNs 492 represent the most efficient decoding methods. Even though 304. Discussion 493 Figure 6 suggests these three decoders are similarly 494 competent, the SNN notably achieves the highest accuracy of 314.1 On the BCI System 49598.8% with a 16-target Golay setup and the maximum ITR of 496127.2 bits/min with an APA 64-target system.

Proceeding with the evaluation of the simulator properties. 497 498a comparison of the normalized grand ERP averages and 499 power spectra of both data acquisition approaches is shown in 501morphologies of the positive peaks from the simulated and 537by taking into account the considerably higher computational 500 Figure 7 and Figure 8, respectively. We can observe that the 502 experimental responses in Figure 7 are noticeably similar, 504 sample t-test with a significance of  $\alpha$ =0.05. Still, there is an <sup>540</sup> especially if computing capacity is of the essence. The 503 with no statistically significant difference based on a two-506 latencies, and approximately a 14.6% increase in the 505 approximate deviation of 11.9% between both response 507 amplitude of the simulator's ERP relative to the one generated 508 with real EEG data.

Further analysis between signals obtained through both 510 approaches can be done with the power spectra from all 9 511electrodes, shown in Figure 8. From this figure we can draw 512 two conclusions: the frequency bands are greatly comparable 513 since the relevant portions of both (the 0-50 Hz range 514 approximately) are not statistically different based on a two-515 sample t-test with a significance level of  $\alpha$ =0.05; and that the Experiments 316 simulation provides more orderly activation among the 517 electrodes than the real EEG data provides, suggesting 518 unrealistic response distribution among the electrodes, as well 519 as slightly larger amplitudes in the higher frequencies before 520 the cutoff at ~50Hz (i.e. 30-40Hz).

Finally, Figure 9 is intended to show the influence of the 521 522 signal-to-noise ratio (SNR) coefficient of the simulator on the 523 accuracy of each feature extractor. As detailed in section 2.2.2, 524a coefficient of 1 was set as a baseline for the SNR that 525 provides the most realistic results, using the experimental data 526 as a reference. The outcomes depicted were obtained by 527 averaging the accuracies scored by the three setups using APA Finally, concerning the impact of each feature extraction<sub>528</sub> modulation (16, 32, and 64 targets), resulting in an inverted

> As previously stated, our results show that the SNN and 533CCA algorithms represent the most promising feature 34 extractors for building c-VEP-based BCIs at a somewhat equal 35 degree, since these achieve the highest scores throughout all <sup>36</sup>numbers of targets without diverging significantly. However, 538 load and training time required to set up neural networks, we 539 consider CCA a more favorable choice for an online system, 41 consistently inferior performances from the ICA and PCA 544 procedure of ICA feature extraction (described in Section

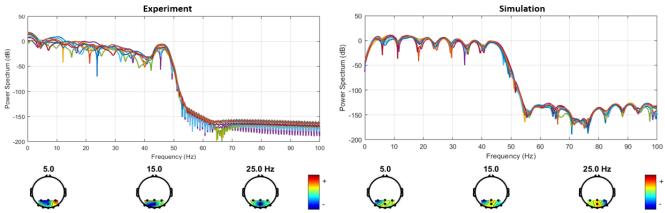


Figure 8. The frequency power spectrum was obtained from experimental (left) and simulated (right) c-VEP datasets. With a topological activation map of the regions with electrodes at 5, 15, and 25 Hz for each approach.

550

5452.4.3) is a non-ideal process in terms of 546 computation, since the number of calculations 547 greatly increases along with an increase in the 548number of targets, which in an online system 549 represents a reduction of processing speed. Accuracy [%] We also conclude that among the PRBS 551 investigated with simulation, Golay, 552DeBruijn, and Almost Perfect sequences are 553the most effective for stimuli modulation. 554 While APA sequences are fairly common in c-555 VEP studies, Golay and DeBruijn sequences 556 have only been implemented to a very limited

557 extent, which prompts a highly interesting 558hypothesis for further testing through non-559 simulated means. Furthermore. the 560 consistently suboptimal results obtained with 561the Kasami, Gold, and m-Sequence PRBS

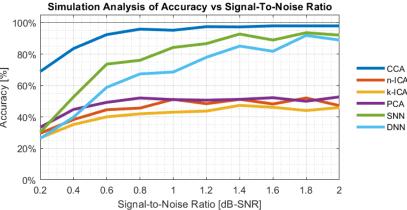


Figure 9. Analysis of the averaged influence of the simulated SNR coefficient on the accuracy of the BCI for each of the feature extractor methods in all target setups with an APA sequence. The x-axis represents the dB ratio between the signal and noise components in the EEG simulation.

562 suggest that these have unfavorable characteristics for code-597 simulated approach are satisfactory. It effectively provided a 598 genuinely practical, flexible, and robust platform for in-depth 563 modulated BCIs.

Finally, as indicated earlier, the increase in the number of <sup>599</sup>BCI analysis, with a certain degree of dependability. We 564 565 targets in the BCI results in a noticeable decline in the<sup>600</sup> believe, it is a powerful framework and a highly promising 566 accuracy of all of the configurations. This highlights the<sup>601</sup> tool for streamlining the development of modern high-567 limited scalability of numbers of targets in c-VEP BCl<sup>602</sup> performance brain-computer interfaces. Undoubtedly, more 568 systems, as noted by [14] and [20]. This tendency is likely due<sup>603</sup> sophisticated and realistic simulations will further benefit the 569 to the nature of the correlation classifier, since as the number<sup>604</sup> development of BCIs in the future.

570 of classes increases, the discrimination between consecutive 571 targets has lower classification tolerance, becoming less 605 4.3 Future work

572 reliable. Taking this into account, we consider that a direct<sub>606</sub> The majority of the observations and results obtained in this 573 increment in the number of stimuli without additional<sub>607</sub> study suggest promising methodologies and parameters, but 574 modifications to the BCI structure is not practical nor<sub>508</sub> also demand future experimentation. Particular effects of 575 dependable.

## 5764.2 On the EEG Simulator

577 578 first observe some non-significant inconsistencies in the grand<sup>613</sup> achieved through CCA-based processing. 579 ERP averages and power spectra of Figures 7 and 8. However,<sup>614</sup> More sophisticated signal processing algorithms are 580 perhaps the most notable discrepancy concerns the results<sup>615</sup> perhaps the development with the most potential to influence 581 obtained from both ICAs and PCA in Figure 5. This figure<sup>616</sup> the performance of c-VEP BCI systems. Although 582 shows significant differences in accuracy between the same<sup>617</sup> computational requirements 583 feature extractors in identical setups. Since we can rule out the<sup>618</sup> consideration, we consider the integration of multiple 584SNR as the origin of these inconsistencies based on the result<sup>619</sup> advanced methodologies for signal decoding (i.e. 585 in Figure 9 (showing relatively little variation throughout the<sup>620</sup> convolutional neural networks, fuzzy logic), classification 586 whole range), and the discrepancy is exclusive to component<sup>621</sup> (i.e. Support Vector Machines, k-Nearest Neighbour), and 587 analysis algorithms, we estimate that it derives from<sup>622</sup> methodologies that optimize user adaptability [7], such as 588 insufficiently realistic component rankings and orthogonality<sup>523</sup> higher modulation frequencies and stimulation sequences that 589 among data sources. Therefore, we conclude that the biggest<sup>624</sup> move beyond binary presentation modalities, comprise the 590 shortcoming identified in the simulator originate from the<sup>625</sup> contemporary priorities of c-VEP BCI implementations. 591 limitations of the simulated electrodes and head model,<sup>626</sup> Finally, we consider that the insights and advancements 592 resulting in significantly more favourable performance in the<sup>627</sup> made on realistic c-VEP simulation represent a tool that will 628 likely provide highly significant benefits to all types of code-593PCA algorithm's orthogonal transformations.

While we do acknowledge numerous areas of improvement<sup>529</sup> modulated BCIs. A simulated framework provides 594 595 for the EEG simulator, within the scope of this study, we<sup>630</sup> unparalleled practicality and control and is an approach for 596 consider that the operation and results achieved through a<sup>631</sup> which we strongly encourage further development.

609 interest include the effects of the Golay and DeBruijn 610 sequences, new paradigms to increase the number of targets 611 per system, and the optimization of the ANN architecture in Regarding the reliability of realistic c-VEP simulation, we<sup>612</sup> the feature extraction stage with a comparable speed to that

should be taken into

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#### 6325. Conclusion

In this paper, we evaluated the effects of various feature<sub>683</sub> 633 634 extractors, modulation sequences, and the number of targets 684[8] 635 in the stimulus interface on the accuracy and information<sub>685</sub> 636 transfer rates of c-VEP BCI systems. We utilized both real and 637 generated EEG datasets through simulation, evaluating the 687 638 characteristics of the latter, and ultimately assessing its 688 [9]  $_{639}$  reliability. We were able to achieve a maximum information  $_{589}$ 640 transfer rate of 127.2 bits/min with a 64-targets setup using  $an_{690}$ 641 Almost Perfect Autocorrelation sequence for modulation, and 64298.8% accuracy in a Golay-modulated 16-target system, both<sub>692[10]</sub> 643 with a shallow neural network as a feature extractor. 693

Our results suggest several branching paths for the research<sub>694</sub></sub> 644  $_{645}$  and development of contemporary c-VEP BCI systems. Most 646 notably our results suggest that the Golay and DeBruijn<sub>696[11]</sub> 647 sequences, studies of which are not extensive, are highly<sub>697</sub> 648 effective for c-VEP BCI performance. We observed that the 698 649CCA and SNN methods represent the most effective feature 650 extractors compared to multiple typical algorithms. Finally,700 651 we explored a realistic simulation framework, which not only<sub>701[12]</sub> 652 achieved satisfactory fidelity but also provided highly702 653 valuable flexibility and exceptional accessibility to the,703 654 processing pipeline analysis of a c-VEP-based BCI. 704

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