

1 **Slower access to visual awareness but otherwise intact implicit perception of**
2 **emotional faces in schizophrenia-spectrum disorders**

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39 Abstract

40 Schizophrenia-spectrum disorders are characterized by deficits in social domains.
41 Extant research has reported an impaired ability to perceive emotional faces in
42 schizophrenia. Yet, it is unclear if these deficits occur already in the access to visual
43 awareness. To investigate this question, 23 people with schizophrenia or schizoaffective
44 disorder and 22 healthy controls performed a breaking continuous flash suppression task
45 with fearful, happy, and neutral faces. Response times were analysed with generalized
46 linear mixed models. People with schizophrenia-spectrum disorders were slower than
47 controls in detecting faces, but did not show emotion-specific impairments. Moreover,
48 happy faces were detected faster than neutral and fearful faces, across all participants.
49 Although caution is needed when interpreting the main effect of group, our findings
50 may suggest an elevated threshold for visual awareness in schizophrenia-spectrum
51 disorders, but an intact implicit emotion perception. Our study provides a new insight
52 into the mechanisms underlying emotion perception in schizophrenia-spectrum
53 disorders.

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55 Key-words: Schizophrenia; Facial expression; Continuous flash suppression; Visual
56 perception; Implicit emotion perception

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64 1. Introduction

65 Schizophrenia-spectrum disorders, such as schizophrenia and schizoaffective
66 disorder, are among the most severe and complex psychiatric disorders, characterized
67 by a wide range of deficits in social cognition (Green, Horan, & Lee, 2015; Pinkham,
68 2014; Savla, Vella, Armstrong, Penn, & Twamley, 2013). Social cognition refers to the
69 psychological mechanisms underlying social interactions, and involves the perception,
70 interpretation, and use of social information (Pinkham, 2014). Social cognitive deficits
71 in schizophrenia-spectrum disorders are significant predictors of poor functioning
72 outcomes, such as social and community functioning (Couture, Penn, & Roberts, 2006;
73 Fett et al., 2011; Horan, Lee, & Green, 2013), and remain quite stable despite
74 medication (Kucharska-Pietura & Mortimer, 2013).

75 One of the most studied social cognitive abilities is the perception of emotional
76 stimuli, namely facial expressions (Green et al., 2015). Being able to accurately
77 perceive others' emotional state is crucial to engage in social interactions and to respond
78 in accordance to social events (Frith, 2009). Deficits in emotional face processing in
79 schizophrenia-spectrum disorders, namely in its explicit processing, are well established
80 in the literature (Chan, Li, Cheung, & Gong, 2010; Kohler, Walker, Martin, Healey, &
81 Moberg, 2010; Savla et al., 2013). These are related to structural and functional
82 alterations in the brain regions dedicated to emotion perception (e.g., amygdala) (Green
83 et al., 2015; Li, Chan, McAlonan, & Gong, 2010; Taylor et al., 2012), and have been
84 considered neurocognitive markers of schizophrenia (Schoeman, Niehaus, Koen, &
85 Leppänen, 2009). Despite inconsistent findings – in part due to the heterogeneity
86 between studies (Edwards, Jackson, & Pattison, 2002) – difficulties are mostly
87 encountered during the discrimination and recognition of threat-related faces,
88 particularly fearful ones (Kohler et al., 2003; Kuharic et al., 2019; Lee, Lee, Kweon,

89 Lee, & Lee, 2010; Norton, McBain, Holt, Ongur, & Chen, 2009; Premkumar et al.,
90 2008; Romero-Ferreiro et al., 2016; Won et al., 2019). An impaired ability to detect
91 social threat was also reported by Pinkham et al. (2014). Using a visual search task, the
92 authors observed a decreased ability to detect threat in people with schizophrenia or
93 schizoaffective disorder, compared to people without psychiatric disorders, when angry
94 faces were presented among happy faces, but not when snakes were presented among
95 flowers or mushrooms (Pinkham et al., 2014). Given the importance of emotional faces
96 in the social world, this abnormal perception may explain some of the maladaptive
97 threat appraisals that characterize psychotic experiences (Underwood, Kumari, &
98 Peters, 2016) and that lead to social withdrawal, inappropriate social interactions, and
99 exacerbated anxiety.

100 Despite existing models suggesting that deficits in the early stages of visual
101 perception are the first chain of events leading to social cognitive dysfunction and poor
102 functioning outcomes (Green, Hellemann, Horan, Lee, & Wynn, 2012; Javitt, 2009;
103 McCleery et al., 2020), the mechanisms underlying emotional face processing in
104 schizophrenia-spectrum disorders are far from understood. Deficits in early visual
105 perception are described quite often in schizophrenia using non-emotional visual
106 masking (Butler et al., 2003; Del Cul, Dehaene, & Leboyer, 2006; Green et al., 2012;
107 Green, Lee, Wynn, & Mathis, 2011; Herzog & Brand, 2015; McCleery et al., 2020),
108 inattentional blindness (Pitts, Martínez, & Hillyard, 2012), and attentional blink
109 paradigms (Mathis et al., 2012). There is also evidence for an abnormal magnocellular
110 pathway – involved in early perception by projecting visual information from the
111 thalamus directly to the subcortical regions, and sensible to low spatial frequency – in
112 emotional faces (Bedwell et al., 2013; Butler et al., 2009; Jahshan, Wolf, Karbi, Shamir,
113 & Rassovsky, 2017; Marosi, Fodor, & Csukly, 2019), namely fearful ones (Kim, Shim,

114 Song, Im, & Lee, 2015; Lee, Park, Song, Choi, & Lee, 2015). For example, people with
115 schizophrenia, compared to healthy individuals, showed decreases in event-related
116 potential components, reflecting early visual perception, for fearful faces with low
117 spatial frequency (Kim et al., 2015). Consistently, two studies using the Bubbles
118 technique¹ found that people with schizophrenia or schizoaffective disorder required
119 more visual cues (i.e., more bubbles), used different strategies to collect visual
120 information (e.g., underutilized the eye regions), and ignored information presented in
121 the lower range of spatial frequencies (Clark, Gosselin, & Goghari, 2013; Lee, Gosselin,
122 Wynn, & Green, 2011). However, these studies used explicit tasks, in which
123 participants are asked to allocate their attention towards the facial expression. In
124 contrast, in implicit tasks, limited visual information is provided and participants are not
125 required to attend to the emotional content of the faces.

126 Whether implicit emotion perception is altered in schizophrenia-spectrum
127 disorders remains largely unknown, as the relevant literature is sparse and mixed (Lee,
128 Kim, & Lee, 2016). This is surprising given the current debate on whether deficits in
129 emotional face perception in schizophrenia-spectrum disorders are better explained by
130 automatic, bottom-up factors – determined by salience or emotional content, and
131 typically involved in implicit tasks (Connor, Egeland, & Yantis, 2004; Theeuwes,
132 Atchley, & Kramer, 2000) – or top-down factors – driven by observers' prior
133 knowledges, expectations or goals, with attention being voluntarily allocated towards
134 the stimulus, feature or location, and typically involved in explicit tasks (Connor et al.,
135 2004; Theeuwes et al., 2000) –, as reviewed by Lee et al. (2016). Several studies found

¹ In the Bubbles technique, participants are presented with partial visual information about an object. The visual information is displayed through an opaque field with randomly located Gaussian apertures, designated by *bubbles*. This allows to isolate the visual information that is used to recognize or categorize the objects (Clark et al., 2013; Lee et al., 2011).

136 an abnormal implicit perception of emotional faces in people with schizophrenia (Derntl
137 & Habel, 2017; Liu, Zhang, Zhao, Tan, & Luo, 2016; Park, Kim, Kim, Kim, & Lee,
138 2011; Rauch et al., 2010; Suslow et al., 2013) and healthy individuals with high social
139 anhedonia (Günther et al., 2017). For example, Suslow et al. (2013) observed an
140 increased activation of the right amygdala to masked angry and happy faces (shown for
141 33 ms), and a stronger negative priming for masked angry faces in people with
142 schizophrenia, compared to people without psychiatric disorders, but only in the first
143 part of a backward masking paradigm. Liu et al. (2016) demonstrated that, when asked
144 to indicate the direction of an arrow presented with valid fearful (compared to neutral)
145 facial cues (showed for 100 ms), healthy individuals, but not people with schizophrenia,
146 exhibited shorter response times (RT) and decreased event-related potential
147 components. The authors pointed that the lack of fearful bias in schizophrenia suggests
148 bottom-up constraints in early visual perception (Liu et al., 2016).

149 Other studies have instead showed that the ability to implicitly perceive
150 emotional faces may be preserved in schizophrenia-spectrum disorders (Aichert et al.,
151 2013; Kring, Siegel, & Barrett, 2014; Shasteen et al., 2016; van't Wout, Aleman, et al.,
152 2007). Using a Continuous Flash Suppression (CFS) paradigm, Kring et al. (2014)
153 found that both people with schizophrenia or schizoaffective disorder and people
154 without psychiatry disorders rated visible neutral faces as more/less trustworthy and
155 warm when faces were presented concurrently with subliminal happy/angry faces. The
156 authors argued that patients (and healthy controls controls) were able to perceive the
157 emotional content even when stimuli were presented outside visual awareness. Similar
158 findings were reported by Shasteen et al. (2016) using a priming paradigm. In this
159 study, both people with schizophrenia or schizoaffective disorder and people without
160 psychiatry disorders rated Chinese pictographs as more threatening when they were

161 preceded by briefly presented and masked angry faces, compared to neutral faces.

162 Interestingly, the authors failed to replicate their findings when bodily emotions, rather

163 than emotional faces, were used (Hajdúk, Klein, Bass, Springfield, & Pinkham, 2019).

164 The mixed findings regarding implicit emotion perception may be related to the

165 clinical heterogeneity that characterizes schizophrenia (American Psychiatric

166 Association, 2013), but also to the use of distinct implicit tasks that recruit distinct

167 cognitive functions, such as response inhibition (Derntl & Habel, 2017), attention

168 orienting and execution (Liu et al., 2016), and cognitive control (Aichert et al., 2013).

169 Besides these complex cognitive functions, some implicit tasks require an explicit

170 judgement of the stimulus, such as subjective ratings of trustworthiness, competence,

171 interpersonally, and threat (Kring et al., 2014; Shasteen et al., 2016). Critics have

172 pointed out that a strict separation into two types of psychological processes – Type 1

173 and Type 2 thinking – does not apply to most tasks (Melnikoff & Bargh, 2018).

174 Nonetheless, the use of paradigms that minimize the recruitment of complex cognitive

175 functions is critical to obtain a broader understanding on emotion perception in

176 schizophrenia-spectrum disorders.

177 Of relevance when investigating the bottom-up factors involved in early visual

178 perception, is the access to visual awareness. According to the Global Neuronal

179 Workspace (Dehaene & Changeux, 2011; Dehaene, Kerszberg, & Changeus, 1998;

180 Mashour, Roelfsema, Changeux, & Dehaene, 2020), the access to visual awareness

181 relies on top-down amplification by late and higher-level integrative processes, and

182 bottom-up spread of sensory signals through the visual system. Thus, when a visual

183 stimulus reaches a certain salience threshold at a sensory level, it triggers the activation

184 of neuronal networks (e.g., parieto-frontal), leading to a top-down amplification. Recent

185 studies using non-emotional stimuli have reported an elevated threshold for visual

186 awareness in schizophrenia (Berkovitch et al., 2021; Berkovitch, Del Cul, Maheu, &
187 Dehaene, 2018; Del Cul et al., 2006; Lefebvre et al., 2021), although its understanding
188 is yet incomplete (Berkovitch et al., 2017). It has been proposed that this elevated
189 threshold in schizophrenia decreases the information entering consciousness, leading to
190 misinterpretations of stimuli and to exacerbated positive symptoms (Berkovitch et al.,
191 2017). Considering the social cognitive deficits in schizophrenia, a disrupted access to
192 visual awareness by stimuli with socioemotional relevance could impact the way these
193 people perceive and make sense of their social world. Hence, studying how emotional
194 faces enter visual awareness will help to understand the mechanisms underlying
195 emotion deficits in schizophrenia-spectrum disorders.

196 In the present study, we aimed to investigate the access to visual awareness by
197 emotional faces in schizophrenia-spectrum disorders using a breaking-CFS paradigm
198 (b-CFS; Jiang, Costello, & He, 2007; Stein, Hebart, & Sterzer, 2011), a variant of CFS
199 (Tsuchiya & Koch, 2005). CFS is a binocularly-rivalry technique in which a stimulus
200 presented to one eye is suppressed from visual awareness by competing noise patterns
201 exhibited to the other eye. When compared to more conventional paradigms (e.g.,
202 binocular rivalry, brief affective prime), CFS allows for longer suppression times by
203 manipulating the contrast of the stimulus and suppressors (Tsuchiya & Koch, 2005). In
204 b-CFS, the main dependent variable is the time it takes for the suppressed stimulus to
205 “break” into visual awareness and to be consciously detected (Jiang et al., 2007; Stein et
206 al., 2011). Shorter suppression times reflect a privileged access to visual awareness
207 (Gayet, Van Der Stigchel, & Paffen, 2014; Yang, Brascamp, Kang, & Blake, 2014).
208 Importantly, b-CFS is less susceptible to the interferences of complex cognitive
209 functions (Caruana & Seymour, 2021; Caruana, Stein, Watson, Williams, & Seymour,

210 2019), including Theory of Mind, the ability to perceive human mental stages and to
211 infer about others' dispositions, intentions, and beliefs (Pinkham, 2014).

212 Participants diagnosed with schizophrenia or schizoaffective disorder and
213 control participants without psychiatric disorders completed a b-CFS task with fearful,
214 happy, and neutral faces, similar to previous studies in the general population (Capit~ao
215 et al., 2014; Gray, Adams, Hedger, Newton, & Garner, 2013; Stein, Seymour, Hebart, &
216 Sterzer, 2014; Tsuchiya, Moradi, Felsen, Yamazaki, & Adolphs, 2009; Yang, Yeh, &
217 Keil, 2018). Fearful faces were used because they signal a potential yet unclear threat,
218 thus enhancing visual attention to collect more information on the surroundings (Davis
219 et al., 2011), and their processing is particularly hampered in schizophrenia (Kim et al.,
220 2015; Kohler et al., 2003; Kuharic et al., 2019; J.S. Lee et al., 2015; S.J. Lee et al.,
221 2010; Norton et al., 2009; Premkumar et al., 2008; Romero-Ferreiro et al., 2016; Won et
222 al., 2019). On the other hand, happy faces convey enjoyment and affiliation, linked to
223 reward and attachment – although they can also signal dominance and elicit rather
224 negative feelings in the observer (Niedenthal, Mermilliod, Maringer, & Hess, 2010;
225 Rychlowska et al., 2017) –, and their processing is relatively preserved in schizophrenia
226 (Kring et al., 2014; Lee et al., 2010; Premkumar et al., 2008; Romero-Ferreiro et al.,
227 2016).

228 We made the following hypotheses. First, we expected that people with
229 schizophrenia-spectrum disorders would be overall slower to consciously detect the
230 visual stimuli than people without psychiatric disorders. Second, and based on the
231 assumption that deficits in fearful face perception in schizophrenia arise from bottom-up
232 abnormalities (Kim et al., 2015; Lee et al., 2015; Liu et al., 2016), we expected that the
233 detection of fearful faces would be overall slower than neutral (and possibly happy)
234 faces in patients. In healthy individuals, we expected that detection would be overall

235 faster for fearful than neutral (and possibly happy) faces, because of the previous reports
236 that fearful faces gain preferential access to awareness in b-CFS (Capitao et al., 2014;
237 Gray et al., 2013; Stein et al., 2014; Tsuchiya et al., 2009; Yang, Zald, & Blake, 2007).
238 By exploring the access to visual awareness using emotional faces, which are relevant
239 socioemotional stimuli, the present study aims to provide new evidence about the
240 mechanisms underlying implicit emotion perception of face stimuli in schizophrenia-
241 spectrum disorders.

242

243 2. Methods and materials

244 2.1. Sample size

245 We set the goal to test 20 participants per group, considering previous
246 schizophrenia studies (Bedwell et al., 2013; Derntl & Habel, 2017; Kring et al., 2014;
247 Seymour, Rhodes, Stein, & Langdon, 2016) and constraints related to time and clinical
248 recruitment – commonly encountered in research with humans (Lakens, 2021). The
249 recruitment and data collection were defined to start in February 2017 and to finish no
250 later than January 2019. After reaching the minimum sample size, additional
251 participants were recruited to prevent data loss, and data acquisition was fully stopped at
252 the end of the timeframe. To confirm if the study was sufficiently powered, we
253 conducted a retrospective power analysis using the PANGEA application (Westfall,
254 2016), considering a medium effect size of $d = 0.45$ based on the schizophrenia (Derntl
255 & Habel, 2017; Kohler et al., 2010; Liu et al., 2016) and the CFS literature (Hedger,
256 Gray, Garner, & Adams, 2016). The retrospective power analysis showed a 92.5% of
257 power considering a 3×2 mixed factor design (within-between subjects), with
258 participants nested in groups, and 72 repetitions per emotion and participant.

259

260 2.2. Participants

261 Twenty-six people diagnosed with schizophrenia or schizoaffective disorder and
262 23 gender-, age-, and education-matched healthy individuals were recruited. Inclusion
263 criteria included age between 18 and 40 years, native Portuguese speakers, and normal
264 or corrected-to-normal visual acuity. Exclusion criteria consisted of the self-reported
265 presence or history of medical disorders that may affect brain functioning, substance
266 abuse in the past month, and substance dependence in the past six months.

267 Patients were identified and referred by their psychiatrists in outpatient clinics of
268 mental health hospitals located in the centre region of Portugal. They were previously
269 diagnosed with schizophrenia or schizoaffective disorder by their psychiatrists based on
270 the DSM-5 criteria (American Psychiatric Association, 2013). No structured clinical
271 interview for the clinical group was performed in the present study. Patients were on a
272 stable medication regimen for a minimum of six weeks and had not been hospitalised
273 within the last two months. The duration of the disorder was no longer than 10 years.
274 All patients were medicated with antipsychotics. Two patients were excluded: one for
275 not having a diagnosis of schizophrenia or schizoaffective disorder and one for limited
276 mobility in the right arm.

277 The control group was recruited from the local community and nearby university
278 population via social media advertisings. Additional exclusion criteria for these
279 participants consisted of the presence or history of psychiatric disorder or treatment, as
280 confirmed via the Portuguese version of the Mini International Neuropsychiatric
281 Interview (MINI 5.0.0; Amorim, 2000), and the presence or history of psychotic
282 disorders in first-degree biological relatives, as stated by the participants.

283 The study was approved by local institutional review boards and all participants
284 gave written informed consent before participation. The study was conducted in

285 accordance with the Declaration of Helsinki and the standards of the American
286 Psychological Association. Participants did not receive any reward for their
287 participation.

288

289 2.3. Neuropsychological assessment

290 We used the Trail Making Test (TMT) (Cavaco et al., 2013) to control for
291 possible effects of neurocognitive functions. The TMT consists of two parts and is
292 widely used to assess visuoperceptual abilities (e.g., visual search; TMT-A), working
293 memory, and task-switching abilities (TMT-B) in the general population (Sánchez-
294 Cubillo et al., 2009) and schizophrenia (Laere, Tee, & Tang, 2018). In our sample, the
295 score was the time of completion of each part (in sec). Longer time of completion
296 indicates worse performance.

297 We used the Portuguese version of the Zung Self-rating Anxiety Scale (SAS)
298 (Ponciano, Vaz Serra, & Relvas, 1982) to control for possible effects of anxiety in fear
299 detection in b-CFS (Capitão et al., 2014). The SAS is a self-rating scale that covers a
300 variety of anxiety symptoms over the period of one week. It contains 20 items in a 4-
301 point Likert scale from 1 (*a little of time*) to 4 (*most of the time*). Items 5, 9, 13, 17, and
302 19 are reverse scored. Total scores range from 20 to 80, with higher scores indicating
303 higher anxiety levels. In our sample, the SAS showed a Cronbach's alpha of 0.76,
304 revealing adequate levels of internal consistency. The SAS responses were missing for
305 three patients.

306

307 2.4. Stimuli

308 Male faces (facing forward) displaying fearful, happy, and neutral expressions
309 were selected from six different identities from the Karolinska Directed Emotional

310 Faces (AM05, AM08, AM10, AM11, AM17, AM28) (Lundqvist, Flykt, & Öhman,
311 1998), with comparable attractiveness and intensity, and matching of important low-
312 level visual features across fear and happy conditions (e.g., presence of teeth).² To
313 improve suppression, each face was put into grayscale. The position of the eyes across
314 all stimuli was aligned using GIMPS software (<http://www.gimp.org>). A gray frame
315 with a central oval-shaped opening was superimposed onto each face, and the oval edge
316 of the superimposed frame was smoothed with a Gaussian filter. The luminance was
317 equalized using the SHINE toolbox (Willenbockel et al., 2010) in Matlab
318 (<http://www.mathworks.com/>). Final stimuli contained the oval face in one of the four
319 quadrants, centred at a vertical and horizontal distance of 4.6° of visual angle related to
320 a central black fixation cross. The gray background was set to the mean luminance
321 resulting from normalization.

322

323 2.5. Suppressors

324 For building suppressors, several Mondrian patterns were composed of
325 randomly arranged, high contrast and colourful circles with diameters between 1.5° and
326 5° of visual angles, animated at 10 Hz.

327

328 2.6. Display

329 The task was programmed in, and displayed with, Psychopy software (Peirce,
330 2007). Stimuli and overlapping suppressors were presented centred on the screen area,
331 covering about 18° by 18° of visual angle, and surrounded by a frame of black and
332 white lines, of equal thickness, up to about 20° by 20° of visual angle (Fig. 1). Stimuli

² Stimuli were adapted from a previous study conducted by our laboratory, in which only male faces were presented (Rocha et al., in prep).

333 were displayed on a Dell E190S monitor (pixel resolution: 1280×1024 , refresh rate: 60
334 Hz, display dimension: 14.8×11.8 in.).

335 Red-blue anaglyph glasses were used to allow the presentation of different
336 information to each eye (Engell & Quilliam, 2020; Gomes, Soares, Silva, & Silva,
337 2018; Korb, Osimo, Suran, Goldstein, & Rumiati, 2017; Zhou et al., 2020). In the
338 present study, the red filter lens was used over the left eye and the blue filter lens over
339 the right eye. Stimuli were always displayed to the left eye (red lens) and suppressors to
340 the right eye (blue lens).

341

342 2.7. Procedures

343 Participants were tested individually. After giving their informed consent,
344 participants completed the sociodemographic questionnaire and the SAS. Prior to
345 testing, ocular dominance was assessed with the Miles' test (Miles, 1930) to control for
346 the effects of ocular dominance. Participants were seated 50 cm away from the monitor,
347 while wearing the anaglyph glasses, and asked to keep their gaze on the black fixation
348 cross presented in the centre of the screen. Participants were instructed to indicate, as
349 rapidly and accurately as possible, on which side of the screen (left/right) the face or
350 *any part* of the face became visible, by pressing with their left and right index fingers
351 either the 'f' or 'j' keys on a computer keyboard.

352 Each trial started with a blank frame with the black fixation cross, displayed to
353 both eyes for 2 sec. The frame was centrally presented. The face and suppressors were
354 simultaneously presented, with the face appearing in one of the four quadrants
355 (upper/lower and left/right; the order of the quadrants was random and different for each
356 participant). The opacity of the face increased linearly from 0% to 60% over the course
357 of 1 sec, and then stayed at 60% until 6 sec after stimulus onset (SO) or until the

358 participant's response. The opacity of the Mondrian patterns stayed at 100% until 1 sec,
359 and then linearly decreased to 0% until 6 sec after SO or until the participant's response
360 (Fig. 1). Immediately after participant's response, or 6 sec after SO in trials without
361 response, a random white noise mask was presented to both eyes. The duration of the
362 white noise mask varied randomly between 200 and 500 ms (in trials without response)
363 or longer (in trials with response). The speed of access to visual awareness was analysed
364 via RT (in sec), defined from the moment of SO to the moment of a response button
365 press.

366 The task was preceded by 36 practice trials, which did not contain any of the
367 stimuli used in the main task. The main task comprised 216 trials (6 identities \times 3
368 emotions \times 4 quadrants \times 3 repetitions). Stimuli were presented in a semi-random order,
369 with one mandatory break in every 60 trials. The task lasted about 40 min. Lastly,
370 participants completed the TMT-A and TMT-B, and were fully debriefed about the
371 purpose of the study.

372

373 2.8. Statistical analysis

374 Significant levels were set at $\alpha = 0.05$ and effect sizes were computed whenever
375 possible. Sociodemographic and neuropsychological data were analysed with
376 parametric (i.e., independent samples t-tests for continuous variables) and non-
377 parametric tests (i.e., Fisher exact-test and Pearson for categorical variables). We
378 adjusted the degrees of freedom in case of violation of the assumption of equal
379 variances in the independent samples *t*-test, assessed with Levene's test. Analysis were
380 performed in jamovi (The Jamovi Project, 2020), a software in R language (R Core
381 Team, 2020).

382 Regarding b-CFS, participants with less than 80% of trials in which the face was
383 correctly detected within the 6 sec of stimuli presentation (valid trials) were excluded
384 (one patient: 8.33%; one healthy control: 75.46%). For RT analysis, only valid trials
385 were considered. Outliers (1.57%) were eliminated and calculated as follows: RT faster
386 than 500 ms or slower than *mean + 3 × standard deviation*, computed per emotion and
387 participant (Kerr, Hesselmann, Räling, Wartenburger, & Sterzer, 2017).

388 The correlation between task performance and individual-differences measure,
389 such as psychiatric diagnosis, depends on the reliability of these variables alone, which
390 highlights the need to evaluate the reliability of individual-differences in experimental
391 tasks (Goodhew & Edwards, 2019; Hedge, Powell, & Sumner, 2018). We thus
392 computed the reliability estimates for the RT of each emotion using the *average*
393 function of the package *splithalf* (Parsons, 2020) in R (R Core Team, 2020), with 5000
394 random splits of the data.

395 RT analyses were performed with generalized linear mixed-effect models
396 (GLMM) using the *gmer* function in the *lmer4* package (Bates, Mächler, Bolker, &
397 Walker, 2015) in R (R Core Team, 2020). GLMMs have several advantages in
398 comparison to more conventional approaches (e.g., they consider multiple observations
399 within each condition and all factors than might explain the data) and do not require an
400 absence of heteroskedasticity (Bates, 2010; Pinheiro & Bates, 2000). Our first level
401 consisted of 9175 observations, aggregated in our second level ($n = 45$). We considered
402 a Gamma distribution (to account for positive skewness) and an identity link function
403 (Lo & Andrews, 2015).

404 Based on our design, the simpler model comprised untransformed RTs as the
405 dependent variable, and group \times emotion as fixed factors. Different by-actor (to ensure
406 that the results were not driven by the specific pictures that were selected) and by-

407 individual random effects were considered (Matuschek, Kliegl, Vasishth, Baayen, &
408 Bates, 2017; Seedorff, Oleson, & McMurray, 2019). Fixed factors (ocular dominance,
409 handedness, habituation during the experiment, age, SAS, TMT-A, TMT-B) were
410 individually added to the model to improve fit. Continuous fixed factors were always
411 centred. Models failing to converge were not considered as they would increase Type I
412 error (Seedorff et al., 2019). Models were contrasted to the simpler model for fit using
413 the *anova* function in the *car* package (Fox & Weisberg, 2019), and the best-fitting
414 model was selected and described in the results section. Once the winning model was
415 identified, we used the *Anova* function in the *car* package (Fox & Weisberg, 2019) to
416 compute the Type-III Wald Chi-squared tests, the *emmeans* package (Lenth, 2020) to
417 compute post-hoc comparisons with Tukey correction, and the *performance* package
418 (Lüdecke, Makowski, Waggoner, Patil, & Ben-Shachar, 2020) to compute the Intraclass
419 Coefficient (ICC) and the R-squared. Summary tables of the winning model, performed
420 with the *tab_model* function in the *sjPlot* package (Lüdecke, 2021), are reported in
421 Supplementary Materials.

422 The accuracy was defined as the proportion of valid trials. Therefore, invalid
423 trials included trials with incorrect responses (0.62%) and trials without response within
424 the 6 sec (3.41%). We computed GLMM with a binomial distribution and a logit link
425 function using the *lmer4* package (Bates et al., 2015). Models were constructed,
426 selected, and analysed following the same pathway as RT.

427

428 3. Results

429 3.1. Sample characterization

430 The final sample consisted of 45 participants (15 women, 33.33%), with a mean
431 age of 27.91 ($SD = 5.51$). Of those, 23 were diagnosed with schizophrenia or

432 schizoaffective disorder and 22 had no diagnosis of psychiatric disorders. As expected,
433 we found no significant differences in age, $t(43) = 0.11, p = .913, d = 0.03$, gender,
434 $\chi^2(1) = 0.04, p = .833$, and education, $\chi^2(4) = 3.76, p = .440$.³

435 Of the clinical group, 22 (95.65%) were diagnosed with schizophrenia, while
436 one (4.35%) was diagnosed with schizoaffective disorder. Sociodemographic and
437 clinical data are described in Table 1.

438

439 3.2. Neuropsychological characterization

440 There was a significant difference between groups in TMT-A, $t(43) = 6.15, p <$
441 $.001, d = 1.83$, with patients showing longer time of completion ($M = 44.62, SD =$
442 13.77) than controls ($M = 24.72, SD = 6.52$); and in TMT-B, $t(43) = 3.43, p = .001, d =$
443 1.02 , again with patients showing longer time of completion ($M = 98.36, SD = 30.80$)
444 than controls ($M = 69.11; SD = 26.00$). Despite patients ($M = 31.55, SD = 6.28$)
445 reporting higher anxiety than controls ($M = 29.86, SD = 4.39$), the difference was not
446 statistically significant, $t(40) = 1.01, p = .316, d = 0.31$.

447

448 3.3. Split-half reliability of response time

449 The Spearman-brown corrected reliability estimates were 0.97, 95% CI = [0.95,
450 0.98] for fearful faces, 0.98, 95% CI = [0.97, 0.99] for happy faces, and 0.97, 95% CI =
451 [0.95, 0.98] for neutral faces. These estimates indicate good reliability for RTs in all
452 emotions.

453

454 3.4. Response time

³ Groups were statistically compared in terms of gender, age, and education for the following reasons: 1) a perfect person-by-person match was not always possible during the recruitment; 2) and two participants were excluded from the analysis.

455 The winning model contained the intercept for individual and by-individual
456 random slope for the effect of emotion ($1 + \text{emotion} | \text{individual}$) and the intercept for
457 actor ($1 | \text{actor}$) as random effects; group \times emotion as fixed factors; and habituation as
458 covariate. Ocular dominance, handedness, age, SAS, TMT-A, and TMT-B did not
459 significantly improve the model (see Table A.1 for model comparison). The model
460 showed a marginal R-squared of 0.31 and a conditional R-squared of 0.58. The ICC was
461 of 0.39, which emphasises the need to account for random factors (Table A.2).

462 Wald Chi-squared test revealed a significant main effect of group, $\chi^2(1) = 7.42$,
463 $p = .006$). People with schizophrenia or schizoaffective disorder ($M = 2.56$, $SE = 0.16$)
464 were slower to consciously detect the stimuli than healthy individuals ($M = 2.08$, $SE =$
465 0.17) (Fig. 2). There was a main effect of emotion, $\chi^2(2) = 6.03$, $p = .049$. RTs for happy
466 faces ($M = 2.24$, $SE = 0.14$) were significantly shorter than for neutral ($M = 2.36$, $SE =$
467 0.13, $p = .006$) and fearful faces ($M = 2.35$, $SE = 0.13$, $p < .001$), with no difference
468 between neutral and fearful faces ($p = .931$); and a main effect of habituation, $\chi^2(1) =$
469 532.59, $p < .001$), with decreases in RT as the task progressed. The interaction between
470 group and emotion was not statistically significant, $\chi^2(2) = 0.78$, $p = .677$ (Fig. 3).
471 Similar results were found after excluding the person with schizoaffective disorder (see
472 Supplementary Materials).

473 In exploratory analysis, each group was tested individually using the same
474 factors as the winning model for the full sample. Models with by-individual random
475 slope for the effect of emotion failed to converge, thus only the intercepts for individual
476 ($1 | \text{individual}$) and actor ($1 | \text{actor}$) were included as random effects. In the control group's
477 model (Table A.3), there was a main effect of habituation, $\chi^2(1) = 290.09$, $p < .001$; and
478 emotion, $\chi^2(2) = 42.08$, $p < .001$, with RTs for happy faces ($M = 2.00$, $SE = 0.17$) being
479 significantly faster than neutral ($M = 2.10$, $SE = 0.17$, $p < .001$) and fearful faces ($M =$

480 2.09, $SE = 0.17$, $p < .001$), but with no difference between fearful and neutral faces ($p =$
481 .816). Similarly, in the clinical group's model (Table A.4), there was a main effect of
482 habituation, $\chi^2(1) = 264.78$, $p < .001$; and emotion, $\chi^2(2) = 41.38$, $p < .001$, with RTs
483 for happy faces ($M = 2.48$, $SE = 0.18$) being significantly faster than neutral ($M = 2.62$,
484 $SE = 0.18$, $p < .001$) and fearful faces ($M = 2.62$, $SE = 0.18$, $p < .001$), but again with
485 no difference between fearful and neutral ($p = .963$).

486

487 3.5. Accuracy

488 The selected model for accuracy contained the intercept for individual
489 (1|individual) and for actor (1|actor) as random effects; the group \times emotion as fixed
490 factors; and habituation as covariate (see Table A.5 for model comparison). Models
491 with by-individual random slope for the effect of emotion failed to converge. The
492 winning-model showed a marginal R-squared of 0.20 and a conditional R-squared of
493 0.49. The ICC by individual was of 0.34 and by actor was of 0.02 (Table A.6).

494 Wald Chi-squared test revealed a main effect of group, $\chi^2(1) = 12.42$, $p < .001$.
495 People with schizophrenia or schizoaffective disorder showed a lower proportion of
496 valid trials ($M = 0.97$, $SE = 0.01$) than controls ($M = 0.99$, $SE = 0.01$). However, from
497 most part, this was due to some trials without response. Indeed, when these trials were
498 excluded from the analysis (9388 observations), no main effect of group was observed,
499 $\chi^2(1) = 0.01$, $p = .964$, such that the proportion of correct responses was similar in the
500 clinical ($M = 0.99$, $SE = 0.01$) and control groups ($M = 0.99$, $SE = 0.01$). Lastly, there
501 was a main effect of habituation, $\chi^2(1) = 126.65$, $p < .001$, with higher accuracy as the
502 task progressed. No main effect of emotion, $\chi^2(2) = 4.65$, $p = .098$, and no significant
503 interaction between group and emotion, $\chi^2(2) = 0.38$, $p = .829$, were reported. Similar

504 results were found after excluding the person with schizoaffective disorder (see
505 Supplementary Materials).

506

507 4. Discussion

508 In the present study, we investigated the access to visual awareness by emotional
509 faces in schizophrenia-spectrum disorders by presenting fearful, happy, and neutral
510 faces in a b-CFS paradigm. Emotional faces are important socioemotional cues whose
511 explicit processing is compromised in schizophrenia and linked to social dysfunction
512 (Couture et al., 2006; Kohler et al., 2010). Yet, results on the implicit processing of
513 emotional faces are quite mixed, with tasks often requiring complex cognitive functions
514 (Lee et al., 2016). Our study provides new insights about the mechanisms underlying
515 implicit emotion perception in schizophrenia-spectrum disorders, by exploring how
516 distinct facial expressions access to visual awareness in people with schizophrenia or
517 schizoaffective disorder, compared to people without psychiatric disorders, while
518 minimizing the recruitment of complex cognitive functions.

519 As expected, people with schizophrenia-spectrum disorders took significantly
520 longer to consciously detect a stimulus presented outside visual awareness than healthy
521 individuals. This may be related to an elevated threshold for visual awareness observed
522 in visual masking (Berkovitch et al., 2018, 2021; Del Cul et al., 2006) and visual
523 detection paradigms with non-emotional stimuli (Lefebvre et al., 2021), as recently
524 reviewed (Berkovitch et al., 2017). For example, in the study by Lefebvre et al. (2021),
525 the target was presented at an initial duration of 400 ms, followed by
526 decreases/increases of ~13 ms in duration based on participants' response. The authors
527 noted that people with schizophrenia, compared to people without psychiatric disorders,
528 needed longer presentation times to consciously detect the stimulus. Moreover, the

529 clinical group failed to activate the anterior cingulate cortex during the transition to
530 visual awareness, and other brain regions (e.g., cuneus, visual cortex) during
531 unconscious and conscious perception (Lefebvre et al., 2021). Based on the Global
532 Neuronal Workspace (Dehaene & Changeux, 2011; Dehaene et al., 1998; Mashour et
533 al., 2020), Lefebvre et al. (2021) concluded that this elevated threshold for visual
534 awareness was due to impairments in the interplay between sensory pathways and
535 higher-level deficits (as shown by alterations in the anterior cingulate). Although we
536 cannot debate on the role of higher-level deficits, our results might in part be a
537 reflection of sensory impairments in schizophrenia-spectrum disorders (Javitt, 2009).
538 Therefore, people with schizophrenia might need an increased sensory salience (i.e.,
539 stimulus contrast) for the stimuli to be consciously detected. This is supported by
540 evidence for an abnormal early visual perception in schizophrenia (Butler et al., 2003;
541 Green et al., 2011, 2012; Herzog & Brand, 2015; Mathis et al., 2012; McCleery et al.,
542 2020; Pitts et al., 2012). Nevertheless, the main effect of group should be interpreted
543 with caution. It is possible that not only CFS-specific factors (i.e., differential
544 processing that occurs specially under CFS) are at play, and future studies should
545 attempt to control for non-CFS specific factors (Stein, 2019), which were not
546 considered in the present study. The inclusion of a binocular control condition might be
547 particularly relevant to rule out these non CFS-specific factors (Stein & Sterzer, 2014).

548 In line with neurocognitive deficits in schizophrenia (Green, Horan, & Lee,
549 2019; Laere et al., 2018), the clinical group performed significantly worse in the TMT
550 than the control group. Yet, we found that adding the TMT performance did not
551 significantly improve the model. Groups did not statistically differ in sociodemographic
552 characteristics (e.g., age, gender, education) and anxiety levels, and individual
553 variations were taken into consideration in the model as random effects. Our findings

554 therefore seem not to be modulated by these factors, but a more profound assessment is
555 needed to examine the influence of factors other than the psychiatric diagnosis, such as
556 IQ. There is also a current debate on whether post-perceptual factors, such as motor
557 preparation, have an impact on suppression duration in b-CFS (Stein, 2019; Yang et al.,
558 2014). This is particularly relevant because of the psychomotor slowing observed in
559 schizophrenia-spectrum disorders (Morrens, Hulstijn, & Sabbe, 2007). Indeed, people
560 with schizophrenia perform consistently slower in a variety of neurocognitive tasks,
561 which is associated with alterations in the basal circuitry and peripheral inflammatory
562 markers (Goldsmith et al., 2020). As such, the slower b-CFS (and TMT) performance in
563 the clinical group might at least partly be linked to a delay in planning, programming,
564 and executing the movements to complete the task. Future studies should attempt to
565 separate the relative contribution of psychomotor slowing. A possible way to proceed is
566 to conduct a simpler detection task, in which participants are instructed to indicate
567 whether a stimulus (presented to both eyes in one of the four quadrants) appeared on the
568 left or right side of the screen, by pressing the designated key on the keyboard, as
569 rapidly and accurately as possible. This would allow to quantify the psychomotor
570 slowing in a similar setting as the b-CFS task.

571 The access to visual awareness by biologically relevant stimuli, such as human
572 faces, was only recently explored in schizophrenia. By using b-CFS, Zhou et al. (2020)
573 found that people with schizophrenia needed longer time to detect the presence of a face
574 than people without psychiatric disorders, similar to the present study. Interestingly, the
575 authors reported that in controls, but not in patients, self-face stimuli broke suppression
576 significantly faster than famous faces, which may be related to bottom-up impairments
577 in self-perception in schizophrenia (Zhou et al., 2020). However, others have reported
578 an intact visual awareness for upright/inverted faces (Caruana et al., 2019),

579 averted/direct eye gaze (Seymour et al., 2016), and angry/fearful faces with
580 direct/averted eye gaze (Caruana & Seymour, 2021) in schizophrenia-spectrum
581 disorders. Seymour et al. (2016) showed a similar b-CFS performance by people with
582 schizophrenia and people without psychiatric disorders, with direct eye gaze breaking
583 suppression significantly faster than averted gaze in both groups. Similarly, Caruana et
584 al. (2019) reported a preserved perceptual prioritization of upright faces, compared to
585 inverted faces, in schizophrenia-spectrum disorders. These mixed findings in the
586 literature may be related to the use of distinct stimuli and methodology, namely
587 suppressors, opacity manipulation, stimulus location, and low-level features
588 (Pournaghdali & Schwartz, 2020). For instance, in the three studies showing similar
589 suppression times (Caruana & Seymour, 2021; Caruana et al., 2019; Seymour et al.,
590 2016), stimuli were viewed through a mirror stereoscope, while anaglyph glasses were
591 used in our and Zhou et al. (2020) study. Anaglyph glasses are associated with some
592 crosstalk between eyes because parts of the suppressed stimuli might bleed through the
593 lenses (Baker, Kaestner, & Gouws, 2016; Carmel, Arcaro, Kastner, & Hasson, 2010). In
594 our study, the elevated suppressor opacity presented to one eye would still overlay this
595 effect during much of the trial. Thus, it seems unlikely that this potential crosstalk
596 would increase the performance discrepancy between groups. Nevertheless, researchers
597 should explore the use of distinct methods for inducing binocular rivalry in
598 schizophrenia-spectrum disorders; see Hesselmann, Darcy, Rothkirch, and Sterzer
599 (2018) for a detailed discussion.

600 In contrast to our hypothesis, suppression times for fearful faces were not slower
601 in people with schizophrenia-spectrum disorders and faster in people without
602 psychiatric disorders, as reflected by the lack of a significant interaction between group
603 and emotion. Instead, all participants, regardless of their group, were significantly faster

604 at detecting happy faces than neutral and fearful ones. This suggests that the implicit
605 perception of emotional faces is relatively intact in schizophrenia-spectrum disorders.
606 Although these results do not support our hypothesis, similar findings are described in
607 the literature (Aichert et al., 2013; Kring et al., 2014; Linden et al., 2010; Shasteen et
608 al., 2016; van't Wout, Aleman, et al., 2007). A previous study showed no differences
609 between people with schizophrenia-spectrum disorders and people without psychiatric
610 disorders in the interference of fearful faces during a gender identification task,
611 suggesting a preserved automatic allocation of attention towards fearful faces (van't
612 Wout, Aleman, et al., 2007). Likewise, Linden et al. (2010) reported that, although
613 people with schizophrenia performed worse than people without psychiatric disorders,
614 they showed a similar interference of angry faces in face identification during a working
615 memory task. Kring et al. (2014) observed that angry and happy faces suppressed from
616 awareness affected the processing of non-suppressed neutral faces in people with
617 schizophrenia and healthy individuals. The authors concluded that people with
618 schizophrenia were able to perceive emotional cues from unseen faces, and that deficits
619 in explicit emotional face perception may arise from the integration of a semantic
620 context (i.e., affective label) with the perceptual information about the face (Kring et al.,
621 2014).

622 Our findings do not support the reports of an abnormal implicit perception of
623 emotional faces in schizophrenia (Derntl & Habel, 2017; Liu et al., 2016; Park et al.,
624 2011; Rauch et al., 2010; Suslow et al., 2013). Liu et al. (2016) showed that fearful
625 faces presented for 100 ms failed to draw patients' attention in an exogenous and
626 automatic fashion – an attentional bias seen in healthy individuals. The authors
627 concluded that fear processing is impaired by bottom-up factors in early visual
628 perception in schizophrenia (Liu et al., 2016). Nevertheless, it is possible that these

629 tasks do not solely reflect perceptual abnormalities because they require complex
630 cognitive functions. Implicit emotional recognition speed (as assessed via RT) is linked
631 to several cognitive factors: processing speed, impulsivity/inhibition, working-memory
632 capacity, sensorimotor function, and sustained attention/vigilance (Mathersul et al.,
633 2009). Along this line, Meyer and Lieberman (2012) reviewed that cognitive working
634 memory load reduces the activation of brain areas involved in social cognitive
635 processing (i.e., mentalizing network) in working memory for social information. An
636 increased cognitive load may amplify the difference between people with schizophrenia
637 and controls in social cognitive tasks. Therefore, by using a paradigm that minimizes
638 the influence of high-level cognitive domains, we propose that the implicit perception of
639 emotional faces is overall intact in schizophrenia-spectrum disorders, but that deficits
640 arise when tasks become more demanding (Caruana & Seymour, 2021).

641 Additionally, difficulties in recognizing and discriminating threat-related faces,
642 namely fearful ones, are consistently reported in the schizophrenia literature (Kohler et
643 al., 2003; Kuharic et al., 2019; Lee et al., 2010; Norton et al., 2009; Premkumar et al.,
644 2008; Romero-Ferreiro et al., 2016; Won et al., 2019). These are observed irrespective
645 of the antipsychotic treatment and illness severity (Kohler et al., 2010). Our outcomes
646 suggest that these deficits, however, are most likely explained by top-down cognitive
647 biases related to the judgment of faces, instead of bottom-up abnormalities at early
648 perceptual stages (Caruana & Seymour, 2021; Caruana, Inkley, & El Zein, 2020; Kring
649 et al., 2014; Shasteen et al., 2016). In a recent study by Caruana and Seymour (2021),
650 angry and fearful faces with direct and averted gaze were presented using a b-CFS
651 paradigm. The authors reported that, in the clinical and control groups, fearful faces
652 were faster at breaking visual suppression than angry faces. Moreover, people with
653 schizophrenia or schizoaffective disorder, compared to people without psychiatric

654 disorders, performed significantly worse in a verbal comprehension task to evaluate
655 first-order Theory of Mind inferences about the mental state of a character. Similar to
656 our interpretation, these results point to a preserved implicit perception of fearful (and
657 angry) faces in schizophrenia-spectrum disorders in early visual stages (Caruana &
658 Seymour, 2021). Accordingly, a meta-analysis showed a more pronounced hypo-
659 activation of the limbic system, with compensatory hyper-activation of the medial
660 prefrontal cortex during explicit perception of fearful faces, compared to implicit
661 perception, which might represent an inability to contextualize salient fearful faces
662 (Dong et al., 2018). This is congruent with an increased tendency for paranoid patients
663 to attribute anger to neutral faces (Pinkham, Brensinger, Kohler, Gur, & Gur, 2011),
664 which stresses the role of prior knowledges, expectations, and goals in emotional face
665 recognition in schizophrenia-spectrum disorders.

666 In addition, RTs in the present study were significantly shorter for happy faces
667 than neutral and fearful ones. Our findings are not consistent with the faster conscious
668 detection of fearful faces in b-CFS (Capitao et al., 2014; Gray et al., 2013; Stein et al.,
669 2014; Tsuchiya et al., 2009; Yang et al., 2007) – as reviewed by Hedger et al. (2016) –
670 and with an overall preferential threat detection in healthy individuals (Öhman, Flykt, &
671 Esteves, 2001; Öhman, Soares, Juth, Lindström, & Esteves, 2012; Soares, Lindström,
672 Esteves, Öhman, & Nishijo, 2014). One possible explanation arises from stimuli
673 preparation. For instance, Yang et al. (2007) normalized the stimuli's RMS contrast –
674 linked to the enhancement of high spatial frequencies (Ramanuel et al., 2014), which
675 seems to play a role in fear recognition (Menzel, Redies, & Hayn-Leichsenring, 2018;
676 Smith & Schyns, 2009) – and this might have inadvertently emphasized aspects relevant
677 for detection. Concomitantly, Webb, Hibbard, and O'Gorman (2020) recommended
678 caution when applying RMS contrast normalization since it seems to boost the salience

679 of fearful faces, which may contribute to the fear advantage in b-CFS (Webb &
680 Hibbard, 2020). Moreover, Yang et al. (2007) reported a similar fear advantage with
681 inverted faces, thus highlighting the potential role of low-level properties (unaffected by
682 inversion). Similar to our findings, other b-CFS studies have demonstrated that happy
683 faces are significantly faster at breaking visual suppression than neutral and fearful
684 faces (Yang et al., 2018) or angry faces (Hong, Yoon, & Peaco, 2015; Korb et al.,
685 2017). As reviewed (Pool, Brosch, Delplanque, & Sander, 2016), a happy advantage is
686 reported in paradigms such as dot-probe (Wirth & Wentura, 2020), letter-discrimination
687 (Gupta, Young-Jin, & Lavia, 2016), inattentional blindness (Gupta & Srinivasan, 2015),
688 and visual search (Becker, Anderson, Mortensen, Neufeld, & Neel, 2011; Calvo &
689 Nummenmaa, 2008). It has been postulated that salient facial features (particularly in
690 the mouth region) attract attention and facilitate the detection of happy faces (Calvo &
691 Nummenmaa, 2008). This happy advantage is not merely explained by high local
692 luminance in the teeth area – leading to high local contrast in the area around the mouth
693 –, but by a combination of all features that characterize happy faces (Becker et al., 2011;
694 Becker & Srinivasan, 2014; Calvo & Nummenmaa, 2008; Wirth & Wentura, 2020).
695 Moreover, there is a consistent superior recognition of happy faces in the general
696 population (Calvo & Nummenmaa, 2015), even outside of overt visual attention (Calvo,
697 Nummenmaa, & Avero, 2010), and an intact recognition of happy faces in people with
698 schizophrenia or schizoaffective disorder (Kring et al., 2014; Lee et al., 2010;
699 Premkumar et al., 2008; Romero-Ferreiro et al., 2016). Taken together, happy faces are
700 sometimes prioritized by the visual system, with evidence for a faster access to visual
701 awareness in b-CFS, but further studies are needed to confirm this effect, not in the
702 scope of the current work.

703 Before drawing final conclusions, potential limitations need to be considered.

704 First, it is possible that low-level features, such as the ones related to the teeth in happy

705 faces (Yang et al., 2018), have played a role in suppression times (Gray et al., 2013;

706 Jiang et al., 2007). To control for this effect, only happy and fearful faces with teeth

707 exposure were selected. Stimuli were carefully prepared to avoid variations in size,

708 colour, and luminance and, by including a random intercept for actor, random variations

709 related to the selected faces were statistically controlled. Nevertheless, future studies

710 should include a condition (e.g., inverted/upright faces) to control for the emotional

711 content while keeping constant the low-level properties. Additionally, as previously

712 stated, we did not add a binocular control task because of already extensive task

713 duration. The lack of a control task does not allow to rule out the non CFS-specific

714 factors and, therefore, we cannot assure that our findings reflect differential processing

715 that occurred specially under CFS (Stein & Sterzer, 2014). We thus encourage

716 researchers to include a control task whenever possible.

717 Second, authors have argued that conscious experience should not be evaluated

718 by dichotomous responses because stimuli that are only partially visible might not yet

719 elicit a “yes” response (Pournaghdali & Schwartz, 2020; Stein, 2019). To account for

720 this, we asked participants to answer as soon as the face or *any part* of the face become

721 visible. However, it would be useful to include objective measures, such as eye-tracking

722 (Vetter, Badde, Phelps, & Carrasco, 2019). Furthermore, the assumption that

723 differences in detection speed in b-CFS reflect distinct unconscious processing has been

724 recently challenged (Stein & Peelen, 2021). The authors proposed that the use of

725 detection-discrimination paradigms would help to unravel the conscious and

726 unconscious influences in visual perception by showing if stimuli that are more rapidly

727 detected are consciously discriminated – supporting conscious processes – or not –
728 supporting unconscious processes.

729 Third, only male faces were used. Despite evidence that female faces break
730 suppression significantly faster than male faces in healthy individuals (Wang, Tong,
731 Shang, & Chen, 2019), this female advantage is not modulated by the facial expression
732 (Hong et al., 2015). The explicit perception of face gender is relatively intact in
733 schizophrenia (Bortolon et al., 2015), with no evidence for an abnormal implicit
734 perception. Therefore, it is unlikely that our findings are limited to the use of male
735 faces, but we advise researchers to use male and female faces in future studies to avoid
736 this potential limitation.

737 Fourth, all patients were medicated with antipsychotics, raising a potential effect
738 of medication. Antipsychotics are relatively ineffective in treating social cognition
739 (Kucharska-Pietura & Mortimer, 2013), and that deficits in visual abilities are also
740 reported in unmedicated people with schizophrenia (Kéri, Kiss, Kelemen, Benedek, &
741 Janka, 2005). One study revealed that the performance in a masking paradigm to
742 investigate conscious access was not correlated with pharmacological treatment
743 (Berkovitch et al., 2018). As such, and although we cannot fully exclude the effects of
744 medication, it is possible that pharmacological treatment has little impact in our
745 findings. Moreover, our clinical records included little information, which limited the
746 analysis. Schizophrenia and schizoaffective disorder are very heterogeneous disorders,
747 with patients exhibiting a wide range of symptomatology (American Psychiatric
748 Association, 2013). For instance, one study showed that emotion perception is
749 influenced by the predominance of positive or negative symptoms (van't Wout, van
750 Dijke, et al., 2007). We thus endorse researchers to adopt a more profound and
751 independent clinical evaluation that allows subgroup comparisons. This evaluation

752 would also include structured diagnostic interviews by clinicians other than the treating
753 medical team to ascertain the diagnosis of all patients and the severity of psychotic
754 symptoms.

755 Fifth, the relatively modest sample size may not have been sufficiently large to
756 reveal emotion-specific differences between groups, particularly considering the
757 heterogeneity that characterizes schizophrenia-spectrum disorders. Yet, statistical power
758 seems sufficient considering a medium effect of $d = 0.45$, based on subsequent power
759 analysis. The inclusion of multiple observations per individual (i. e., three repetitions
760 for each facial expression, stimulus location, and actor) can increase the power of
761 experimental designs and decrease the variance within conditions (Brysbaert, 2019).
762 Nevertheless, our findings should be considered preliminary before being replicated in a
763 larger sample size.

764 At last, our clinical sample included diagnosis of schizophrenia and
765 schizoaffective disorder, characterized by the presence of affective symptoms together
766 with psychotic symptoms (American Psychiatric Association, 2013). Despite focusing
767 on a single diagnostic category might have improved the robustness of our findings, no
768 categorical differences between schizophrenia and schizoaffective disorder are observed
769 in brain structure and several domains of social/non-social cognition, including Theory
770 of Mind, processing speed, and working memory (Hartman, Heinrichs, & Mashhadi,
771 2019). This is supported by our study, showing the same pattern of results after the
772 exclusion of the person with schizoaffective disorder. Genome-wide association studies
773 report an overlap of genetic aetiology between schizophrenia, schizoaffective, and
774 bipolar disorder, and proposed a schizophrenia-bipolar continuum (not reflected in the
775 traditional diagnostic categories) (The International Schizophrenia Consortium, 2009).
776 This spectrum is supported by an overlapping of cognitive and perceptual abnormalities

777 in schizophrenia and bipolar disorder (Berkovitch et al., 2021; Tamminga et al., 2014),
778 although usually less severe in bipolar disorder. Future studies would therefore benefit
779 from the inclusion of a clinical control group composed of people with bipolar disorder.

780 Notwithstanding these limitations, our study is relevant to understand deficits in
781 social domains in schizophrenia-spectrum disorders. An elevated threshold for visual
782 awareness limits the information that reaches consciousness. This may be especially
783 challenging in socioemotional contexts, where individuals are exposed to a wide range
784 of biologically relevant stimuli – often in an implicit manner – that requires a rapid
785 response. Furthermore, due to a slower access to visual awareness, people with
786 schizophrenia-spectrum disorders may be less open to visual information that
787 challenges their inferences, thus reinforcing their misperceptions about the social world
788 (Berkovitch et al., 2017). Along this line, recent studies have stressed the role of
789 remediation strategies that directly target basic visual perception in higher-level
790 cognitive functions and even social cognition (Best & Bowie, 2017; Kurylo et al., 2018;
791 Silverstein et al., 2020). A broader comprehension of the fundamental aspects of visual
792 perception in schizophrenia-spectrum disorders will therefore enable the development
793 of tailored interventions.

794

795 5. Conclusion

796 We investigated implicit emotion perception in people with schizophrenia or
797 schizoaffective disorder, compared to people without psychiatric disorders, by exploring
798 access to visual awareness by emotional faces. People with schizophrenia-spectrum
799 disorders were slower at consciously detecting the presence of a face stimulus than
800 healthy individuals, which is congruent with an elevated threshold for visual awareness,
801 although further evidence is needed to rule out the effects of non CFS-specific factors

802 and psychomotor speed. However, there was no significant interaction between group
803 and emotion. Instead, RTs for fearful faces did not differ from those for neutral faces,
804 and happy faces were significantly faster at breaking visual suppression than neutral and
805 fearful faces in all participants, regardless of the group. Our study suggests that the
806 implicit perception of emotional faces is intact in schizophrenia-spectrum disorders,
807 while the access to visual awareness might not be. Although they should be considered
808 preliminary, our results contribute to the comprehension of visual consciousness in
809 socioemotional contexts in schizophrenia-spectrum disorders.

810

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819

820 7. Declaration of competing interest

821 The authors declare the following financial interests/personal relationships
822 which may be considered as potential competing interests: Nuno Madeira has been a
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825

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835

836 9. References

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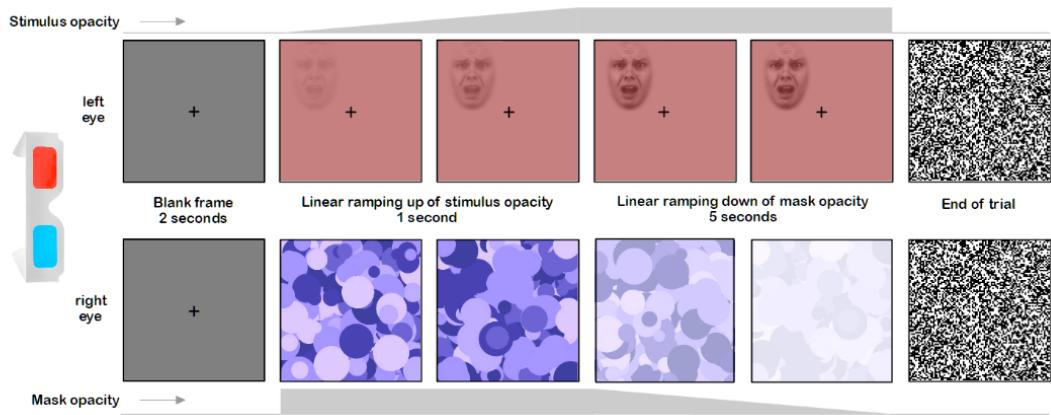


Fig. 1. Illustration of a trial in b-CFS task with fearful faces. Face stimuli were retrieved from the Karolinska Directed Emotional Faces (Lundqvist et al., 1998).

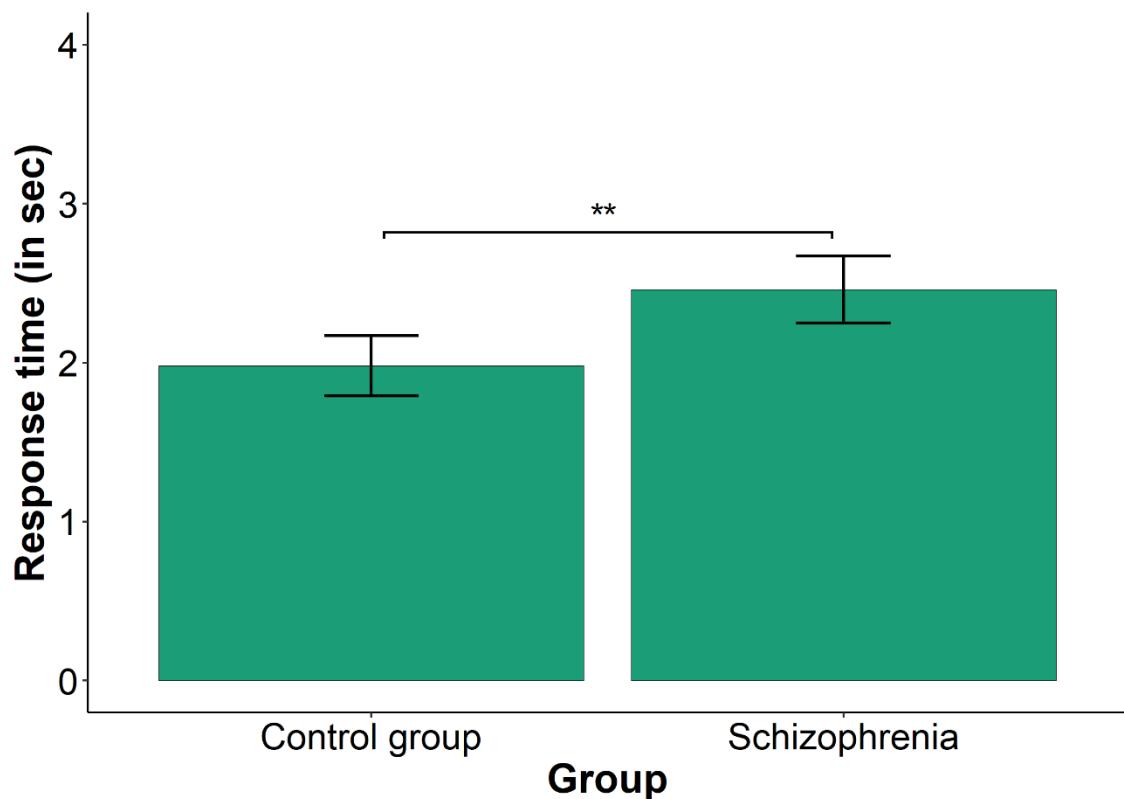


Fig. 2. Means of response time (in sec) by group. Analysis with generalized linear-mixed models showed that response times were significantly longer in the schizophrenia group than the control group ($p = .006$). Error bars indicate standard error.

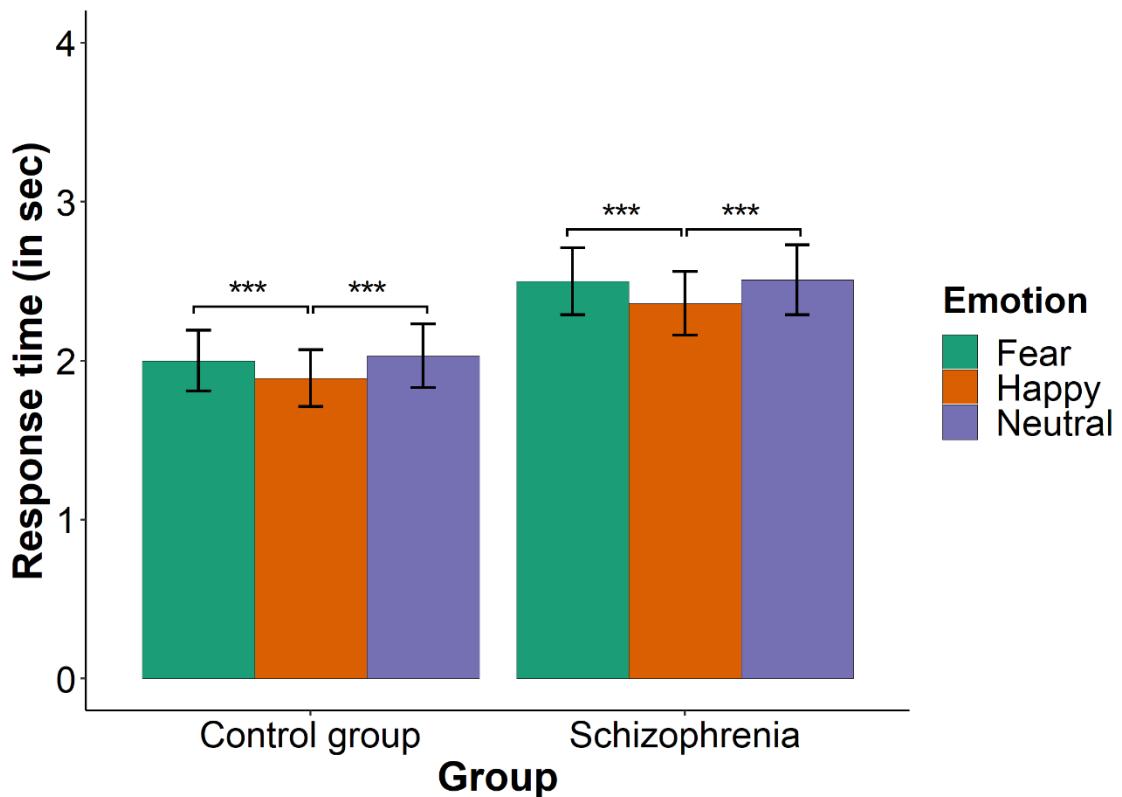


Fig. 3. Means of response time (in sec) by group and emotion. Exploratory analysis with generalized linear-mixed models per group showed that, in the schizophrenia group and in the control group, response times were significantly shorter for happy faces than neutral ($p < .001$) and fearful ones ($p < .001$), with no difference between fearful and neutral faces ($p > .050$). Error bars indicate standard error.

Table 1.

Sociodemographic and clinical characteristics of people with schizophrenia and people without psychiatric disorder

	<i>SZ</i> <i>n</i> =23	<i>CG</i> <i>n</i> =22	<i>p-value</i> ¹
Age, <i>M</i> (<i>SD</i>)	28 (5.74)	27.81 (5.39)	.913
Gender, <i>n</i> (%)	Male Female	15 (65.22) 8 (34.78)	.833
Education, <i>n</i> (%)	First cycle Second cycle Third cycle Secondary Graduation Master or higher	0 (0) 1 (4.35) 1 (4.35) 15 (65.22) 4 (17.39) 2 (8.70)	.440
Occupation, <i>n</i> (%)	Student Employed Unemployed	4 (17.39) 11 (47.83) 8 (34.78)	.891
Handedness, <i>n</i> (%)	Right Left	23 (100) 0 (0)	.301
Ocular dominance, <i>n</i> (%)	Right Left	13 (56.52) 10 (43.48)	.862
First episode psychosis, <i>n</i> (%)	Yes No	11 (47.83) 12 (52.18)	
Number of hospitalizations, <i>M</i> (<i>SD</i>)		1.39 (1.27)	
Duration of the disorder (years), <i>M</i> (<i>SD</i>)		3.39 (2.04)	
Age of onset, <i>M</i> (<i>SD</i>)		24.61 (5.69)	

Note: CG, control group; SZ, schizophrenia-spectrum group

¹The comparisons between groups were performed using Chi-Square, Fisher, or independent sample *t*-tests.

Highlights

- This study explores visual awareness and emotional faces in schizophrenia
- We used a continuous flash suppression task with fearful, happy and neutral faces
- Schizophrenia patients exhibited an overall slower access to visual awareness
- Happy faces broke suppression faster in both schizophrenia and control groups
- The implicit perception of emotional faces is intact in schizophrenia

CRediT authorship contribution statement

Joana Grave: Conceptualization, Methodology, Formal analysis, Investigation, Resources, Data curation, Writing – original draft, Visualization. **Nuno Madeira:** Conceptualization, Methodology, Resources, Writing – review & editing, Supervision. **Maria João Martins:** Conceptualization, Methodology, Investigation, Resources, Writing – review & editing. **Samuel Silva:** Conceptualization, Methodology, Software, Resources, Writing – review & editing. **Sebastian Korb:** Conceptualization, Methodology, Software, Formal analysis, Resources, Data curation, Writing – review & editing. **Sandra Cristina Soares:** Conceptualization, Methodology, Formal analysis, Investigation, Data curation, Writing – review & editing, Supervision.

Supplementary Material

**Slower access to visual awareness but otherwise intact implicit perception of
emotional faces in schizophrenia-spectrum disorders**

Supplementary Material

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Results

Analysis without the participant diagnosed with schizoaffective disorder

To test whether the inclusion of two diagnostic categories shaped the results, the winning models for the full sample were computed without the one person diagnosed with schizoaffective disorder. Regarding RTs, we found a similar main effect of group, $\chi^2(1) = 4.51, p = .034$, main effect of emotion, $\chi^2(2) = 7.74, p = .021$, main effect of habituation, $\chi^2(1) = 532.91, p < .001$, and no significant interaction between group and emotion, $\chi^2(2) = 0.58, p = .749$. Likewise, for accuracy, we found a similar main effect of group, $\chi^2(1) = 9.78, p = .002$, main effect of habituation, $\chi^2(1) = 126.44, p < .001$, no main effect of emotion, $\chi^2(3) = 4.65, p = .098$, and no significant interaction between group and emotion, $\chi^2(2) = 1.061, p = .588$.

Table A.1 Comparison of generalized linear mixed models values for the **response time** during a breaking-Continuous Flash Suppression task with fearful, happy, and neutral facial expressions. Models were compared with the simpler model using the same combination of random effects.

Description	AIC	BIC	logLik	χ^2	p
Random effects: (1 Individual) + (1 Actor)					
Group * Emotion	17853	17917	-8917		
Group * Emotion + Habituation	17337	17409	-8659	517.58	< .001 ***
Group * Emotion + Age	17852	17923	-8916	3.00	.083
Group * Emotion + TMT-A	17853	17925	-8917	1.39	.239
Group * Emotion + TMT-B	17855	17926	-8917	0.30	.582
Group * Emotion + Dominant eye	17854	17925	-8917	1.24	.266
Group * Emotion + Handedness	17853	17924	-8916	1.76	.185
Random effects: (1+Emotion Individual) + (1 Actor)					
Group * Emotion	17775	17875	-8874		
Group * Emotion + Habituation	17259	17466	-8614	518.36	< .001 ***
Group * Emotion + Age	17773	17880	-8872	4.14	.042 *
Group * Emotion + TMT-A	17776	17883	-8873	1.50	.221
Group * Emotion + TMT-B	17777	17884	-8874	0.22	.638
Group * Emotion + Dominant eye	17776	17883	-8873	1.02	.312
Group * Emotion + Handedness	17776	17883	-8873	1.13	.288

AIC Akaike information criterion; *BIC* Bayesian information criterion; *TMT-A* Trial Making Test (Part A); *TMT-B* Trial Making Test (Part B)

The model containing the anxiety scores was not statistically compared with the simpler model because they had different sizes due to the missing responses in the anxiety questionnaire

*** $p < .001$, * $p < .050$

Table A.2 Output of the generalized linear mixed-effects model for **response time** during a breaking-Continuous Flash Suppression task with fearful, happy, and neutral facial expressions. The model contained the intercept for individual and by-individual random slope for the effect of emotion ($1 + \text{emotion}|\text{individual}$), and the intercept for actor ($1|\text{actor}$) as random effects; group \times emotion as fixed factors; and habituation as covariate.

<i>Predictors</i>	<i>Estimate</i>	<i>SE</i>	<i>95% CI</i>		<i>t-value</i>	<i>p</i>
			<i>Lower</i>	<i>Upper</i>		
(Intercept)	2.10	0.17	1.78	2.43	12.62	<.001***
GroupsZ-CG	0.50	0.18	0.14	0.86	2.72	.006**
Emotion _{H-F}	-0.09	0.04	-0.17	-0.01	-2.27	.023*
Emotion _{N-F}	0.03	0.04	-0.05	-0.12	0.74	.458
GroupsZ-CG:Emotion _{H-F}	-0.04	0.06	-0.15	-0.08	-0.62	.533
GroupsZ-CG:Emotion _{H-F}	-0.04	0.06	-0.16	-0.08	-0.69	.490
Habituation	-0.13	0.01	-0.15	-0.12	-23.08	<.001***

Random effects

σ^2	0.10
ICC	0.39
$N_{\text{individual}}$	41
N_{face}	6
Observations	9175
Marginal R ² / Conditional R ²	0.31 / 0.58

CG control group; F fearful faces; H happy faces; N neutral faces; SZ schizophrenia; SE standard error; 95% CI confidence interval

*** $p < .001$, ** $p < .01$, * $p < .05$

Table A.3 Output of the generalized linear mixed-effects model for **response time** during a breaking-Continuous Flash Suppression task with fearful, happy, and neutral facial expressions, in the **control group**. The model contained the intercept for individual and the intercept for actor (1|actor) as random effects; group \times emotion as fixed factors; and habituation as covariate.

<i>Predictors</i>	<i>Estimate</i>	<i>SE</i>	<i>95% CI</i>		<i>t-value</i>	<i>p</i>
			<i>Lower</i>	<i>Upper</i>		
(Intercept)	2.09	0.17	1.76	2.43	12.26	<.001***
Emotion _{H-F}	-0.09	0.02	-0.13	-0.06	-5.22	<.001***
Emotion _{N-F}	0.011	0.02	-0.03	0.05	0.61	.544
Habituation	-0.12	0.01	-0.13	-0.11	-17.03	<.001***

Random effects	
σ^2	0.10
ICC _{individual}	0.35
ICC _{face}	0.03
N _{individual}	22
N _{face}	6

Observations	4597
Marginal R ² / Conditional R ²	0.09 / 0.44

F fearful faces; H happy faces; N neutral faces; SE standard error; 95% CI confidence interval

*** $p < .001$

Table A.4 Output of the generalized linear mixed-effects model for **response time** during a breaking-Continuous Flash Suppression task with fearful, happy, and neutral facial expressions, in the **schizophrenia group**. The model contained the intercept for individual and the intercept for actor ($1|\text{actor}$) as random effects; group \times emotion as fixed factors; and habituation as covariate.

<i>Predictors</i>	<i>Estimate</i>	<i>SE</i>	<i>95% CI</i>		<i>t-value</i>	<i>p</i>
			<i>Lower</i>	<i>Upper</i>		
(Intercept)	2.62	0.18	2.27	2.98	14.36	<.001***
Emotion _{H-F}	-0.14	0.02	-0.19	-0.09	-5.63	<.001***
Emotion _{N-F}	-0.01	0.03	-0.06	0.04	-0.26	.793
Habituation	-0.16	0.01	-0.18	-0.14	-16.27	<.001***

Random effects	
σ^2	0.11
ICC _{individual}	0.31
ICC _{face}	0.07
N _{individual}	23
N _{face}	6

Observations	4578
Marginal R ² / Conditional R ²	0.15 / 0.47

F fearful faces; H happy faces; N neutral faces; SE standard error; 95% CI confidence interval

*** $p < .001$

Table A.5 Comparison of generalized linear mixed models values for the **accuracy** during a breaking-Continuous Flash Suppression task with fearful, happy, and neutral facial expressions. Models were compared with the simpler model using the same combination of random effects.

Description	AIC	BIC	logLik	χ^2	p
Random effects: (1 Individual) + (1 Actor)					
Group * Emotion	2738	2796	-1361		
Group * Emotion + Habituation	2604	2669	-1293	136.32	< .001 ***
Group * Emotion + Age	2740	2805	-1361	0.00	.958
Group * Emotion + TMT-A	2740	2805	-1361	0.40	.526
Group * Emotion + TMT-B	2740	2805	-1361	0.12	.725
Group * Emotion + Dominant eye	2739	2804	-1360	1.47	.226
Group * Emotion + Handedness	2739	2804	-1360	1.34	.247

AIC Akaike information criterion; *BIC* Bayesian information criterion; *TMT-A* Trial Making Test (Part A); *TMT-B* Trial Making Test (Part B)

Models were compared with the simpler model with the same random effects

The model with the handedness failed to converge

The model containing the anxiety scores was not statistically compared with the simpler model because they had different sizes due to the missing responses in the anxiety questionnaire

*** $p < .001$, * $p < .050$

Table A.6 Output of the generalized linear mixed-effects model for **accuracy** during a breaking-Continuous Flash Suppression task with fearful, happy, and neutral facial expressions. The model contained the intercept for individual (1|individual) and the intercept for actor (1|actor) as random effects; and the group \times emotion as fixed factors.

<i>Predictors</i>	<i>Log-Odds</i>	<i>SE</i>	<i>95% CI</i>		<i>z-value</i>	<i>p</i>
			<i>Lower</i>	<i>Upper</i>		
(Intercept)	4.95	0.39	4.17	5.72		<.001***
GroupsZ-CG	-1.65	0.47	-2.57	-0.73		< .001***
Emotion _{H-F}	0.64	0.30	0.04	1.23		0.036*
Emotion _{N-F}	0.36	0.28	-0.20	0.91		0.206
GroupsZ-CG:Emotion _{H-F}	-0.06	0.34	-0.73	0.61		0.859
GroupsZ-CG:Emotion _{H-F}	-0.19	0.31	-0.81	0.43		0.542
Habituation	0.67	0.06	0.55	0.79		< .001***

Random effects

σ^2	3.29
ICC _{individual}	0.34
ICC _{face}	0.02
N _{individual}	45
N _{face}	6
Observations	9720
Marginal R ² / Conditional R ²	0.20 / 0.49

CG control group; F fearful faces; H happy faces; N neutral faces; SZ schizophrenia; SE standard error; 95% CI confidence interval

*** $p < .001$, * $p < .050$