Navigating the complexity of ecological stability

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Running head: Navigating the complexity of stability

Keywords: sustainability, disturbance, conservation, policy, resistance, resilience, variability, persistence, invasion, extinction

Type of article: Reviews and Syntheses

Word count: 192 (abstract), 5674 (main text); with four figures, two tables and two text boxes (comprising, respectively, 480 and 356 words).

Number of references: 94

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Author contributions: ID led the project. ID, SLP, JMM, HH and OLP led the writing. ID, DM, QY and OLP analysed the data. All authors contributed to idea development and the writing of the manuscript.

1 Abstract

2 Human actions challenge nature in many ways. Ecological responses are ineluctably complex, 3 demanding measures that describe them succinctly. Collectively, these measures encapsulate the 4 overall "stability" of the system. Many international bodies, including the Intergovernmental 5 Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES), broadly aspire to 6 maintain or enhance ecological stability. Such bodies frequently use terms pertaining to stability 7 that lack clear definition. Consequently, we cannot measure them and so they disconnect from a 8 large body of theoretical and empirical understanding. We assess the scientific and policy literature 9 and show that this disconnect is one consequence of an inconsistent and one-dimensional approach 10 that ecologists have taken to both disturbances and stability. This has led to confused 11 communication of the nature of stability and the level of our insight into it. Disturbances and 12 stability are multidimensional. Our understanding of them is not. We have a remarkably poor understanding of the impacts on stability of the characteristics that define many, perhaps all, of the 13 14 most important elements of global change. We provide recommendations for theoreticians, 15 empiricists and policymakers on how to better integrate the multidimensional nature of ecological 16 stability into their research, policies and actions.

17 Introduction

18 Species live in a web of prey and other resources, mutualists, competitors, predators, diseases, 19 and other enemies (Montoya et al. 2006; Bascompte 2009; McCann & Rooney 2009; Kéfi et al. 20 2012; Tilman et al. 2012). All encounter a profusion of diverse perturbations in their environment, 21 both natural and human-induced, that vary in their spatial extents, periods, durations, frequencies 22 and intensities (Tylianakis et al. 2008; Miller et al. 2011; Pincebourde et al. 2012; MacDougall et 23 al. 2013). These multifaceted disturbances precipitate a range of responses that can alter the many 24 components of ecological stability and the relationships among them (Donohue et al. 2013). This 25 complexity necessitates a multidimensional approach to the measurement of stability. We examine the extent of our understanding of the multidimensional nature of both disturbances and stability. 26 27 We find that it is highly restricted. Consequently, our ability to maintain the overall stability of 28 ecosystems for different management and policy goals is limited. If ecology is to support and 29 inform robust and successful policy, we must rectify this.

30 At least three scientific communities use terms that map onto various dimensions of 31 ecological stability. Theoreticians, for example, have developed an extensive literature on whether 32 the population dynamics of multi-species systems will be asymptotically stable in the strict 33 mathematical sense (May 1972; Thébault & Fontaine 2010; Allesina & Tang 2012; Rohr et al. 34 2014), or resilient, in the sense of a fast return to equilibrium following a small disturbance (Pimm & Lawton 1977; Okuyama & Holland 2008; Suweis et al. 2013), and other well-defined measures 35 (see, for example, Pimm 1984; McCann 2000; Ives & Carpenter 2007). Empiricists observe and 36 37 manipulate natural systems or variously perturb experimental ones to measure ecological responses 38 in constant or naturally changing environments (Tilman et al. 2006; O'Gorman & Emmerson 2009; 39 Grman et al. 2010; Carpenter et al. 2011; de Mazancourt et al. 2013; O'Connor & Donohue 2013; 40 Hautier et al. 2014). Finally, many international bodies concerned with environmental conservation 41 aspire to maintain, protect, and sustain nature and avoid altering and degrading it, all for informing

42 decision makers and aspiring to enrich people's lives and well-being (Mace 2014; Díaz *et al.* 2015;
43 Lu *et al.* 2015).

We explore whether the associated three scientific literatures engage each other in using the same terms and employ the same meanings for them when they do. Generally, they do not. We must remedy this. International bodies need terms that are simple and flexible, but surely not to the point of being meaningless. Theory cannot advance usefully in isolation from tests of it (Scheiner 2013), and theory, experiment, and observation must sensibly inform decision makers at all levels. Most importantly, the multidimensional complexity of natural responses to environmental change needs to be recognised by all communities, both separately and collectively.

51 We suggest solutions to help achieve these goals. For theoreticians, we provide suggestions 52 on where to focus future research to incorporate the sort of complexities commonly encountered in 53 natural systems. Empiricists will find useful our summary of the methodologies developed so far to 54 study the different facets of ecological stability and our recommendations for better assessing 55 stability in collaboration with theoreticians and policymakers. Finally, we provide suggestions for environmental policymakers on how to develop and frame objectives and targets that are not only 56 relevant for policy but at the same time facilitate much closer links with the supporting, and 57 evolving, science. 58

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60 The multifaceted nature of disturbances and ecological responses

Disturbances are changes in the biotic or abiotic environment that alter the structure and dynamics of ecosystems. Although they occur at a variety of scales and vary in their direct and indirect effects on species, all disturbances comprise four key properties; their magnitude, their duration, their frequency and how they change over space and time (Sousa 1984; Benedetti-Cecchi 2003; García Molinos & Donohue 2011; Pincebourde *et al.* 2012; Tamburello *et al.* 2013). The magnitude of a disturbance is defined by how much the aspect of environmental change departs from its undisturbed state (*i.e. "a measure of the strength of the disturbing force*"; Sousa 1984). A minor storm versus a once in 100-year hurricane is an example of disturbances that vary in
magnitude. Their duration refers to a continuum with instantaneous pulses — short, sharp
shocks — and sustained presses — constant, long-term change — at the ends of the spectrum (Fig.
1a). A discrete pollution event, such as a chemical spill, is a pulse, and the extinction of a species
from an ecosystem is a press. Theoreticians focus primarily on one of these two extremes of the
duration gradient (Ives & Carpenter 2007). Empiricists sometimes refer to these extremes as acute
and chronic disturbances, respectively.

75 Natural disturbance regimes are clearly more complicated than this. Changes in the 76 magnitude, duration and frequency of disturbances over time or in space can combine to give disturbances directionality (Fig. 1b). Directionality measures the trajectory of change, which can be 77 78 highly dynamic and variable in terms of its mean and variance. Both can elicit distinct ecological 79 responses (Bertocci et al. 2005; Benedetti-Cecchi et al. 2006; García Molinos & Donohue 2010, 80 2011; Pincebourde et al. 2012; Mrowicki et al. 2016). Many of the most globally important 81 disturbances in nature are of this kind (Fig. 1c). Therefore, while a focus on pure pulse or press 82 disturbances provides some important insight into mechanisms that can underpin biological 83 responses to disturbances, the relevance of this to predicting responses to real disturbances in the 84 natural world may be limited.

While the multifaceted nature of disturbances creates a problem for assessing, understanding, 85 86 and predicting how ecological systems respond (García Molinos & Donohue, 2010; Mrowicki et al. 87 2016), the ecological responses themselves are also complex. Ecological stability is a 88 multidimensional concept that tries to capture the different aspects of the dynamics of the system 89 and its response to perturbations. Pimm (1984) reviewed five components of ecological stability 90 that are in common use. Asymptotic stability is a binary measure describing whether a system 91 returns asymptotically to its equilibrium following small disturbances away from it. One measures 92 variability, the inverse of stability, as the coefficient of variation of a variable over time or across 93 space. Persistence is the length of time a system maintains the same state before it changes in some

94 defined way. It is often used as a measure of the susceptibility of systems to invasion by new 95 species or the loss of native species. *Resistance* is a dimensionless ratio of some system variable 96 measured after, compared to before, some perturbation. *Resilience* is the rate at which a system 97 returns to its equilibrium, often measured as its reciprocal, the return time for the disturbance to 98 decay to some specific fraction of its initial value. Systems with shorter (faster) return times are 99 more resilient than those that recover more slowly. Holling (1973) introduced another definition of 100 resilience that is currently in common use, particularly in policy fora (Walker et al. 2004; Hodgson 101 et al. 2015). It "is a measure of the persistence of systems and of their ability to absorb change and 102 disturbance and still maintain the same relationships between populations or state variables." This definition is multidimensional. It integrates persistence, resistance and the existence of local 103 104 asymptotic stability at multiple equilibria. It has come to mean whether or not a system returns to 105 its former equilibrium following disturbance or moves to another one. This idea may be expanded 106 further to compare systems in terms of what range of disturbances a system can withstand before 107 being shifted to a new equilibrium (Ives & Carpenter 2007). If there is a limit beyond which a 108 system cannot return directly to its former state, this is termed a *tipping point*.

109 The different components of stability are all based in some way on the composition, function 110 and dynamics of communities. They are unlikely to be independent. Furthermore, the strength and 111 even the nature of relationships among stability components can change when communities are 112 disturbed in different ways (Donohue et al. 2013). This complexity has critical implications for our 113 understanding of the impacts of disturbances on ecosystems. It means that restricting our focus to 114 single measures of stability in isolation, or to amalgamated ones such as Holling's resilience, when they are used to reduce the multidimensional complexity of stability to a single dimension and its 115 116 measurement to a single number, risks significantly underestimating the impacts of perturbations. It 117 also risks incomplete understanding of the mechanisms that underpin the overall stability of 118 ecosystems. The multidimensionality of ecological responses demands explicit multidimensional 119 measurement of both disturbances and stability.

120 The definitions of the various components of stability all come with underlying assumptions 121 about the nature of ecosystems and the disturbances that affect them. Measures of variability, for 122 example, commonly assume the presence of stationary fluctuations [*i.e.* without an underlying 123 directional trend (Tilman et al. 2006; Loreau & de Mazancourt 2013)]. The ecological definitions of 124 resilience (Quinlan et al. 2016) argue for different worldviews, one where a single equilibrium 125 dominates, the other where two or more equilibrium domains are possible, with tipping points between them. The Aichi Targets (UN 2010) that consider "safe ecological limits" may invoke the 126 127 latter view, as do related concepts, such as planetary boundaries, that are the subject of considerable 128 debate (Box 1). Other definitions may read into a simpler notion of, for example, preventing 129 overexploitation. Irrespective of definitions, theoretical studies of stability are generally based on 130 the dynamics of communities at, or very close to, some form of equilibrial state. Given the highly 131 dynamic nature of the natural world and the strong directionality of many elements of global 132 change, this limits the applicability of existing theory to the real world and creates significant 133 challenges for empiricists trying to test its predictions.

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135 What do ecologists measure?

136 To understand the differences in what theoreticians and empiricists study, we surveyed three high impact multidisciplinary journals and four leading general ecology journals: *Nature*, *Science*, 137 138 PNAS, Ecology Letters, Ecology, Oikos and American Naturalist. Using relevant search terms ("ecolog* stability"; "ecolog* resilience"; "ecolog* resistance"; "stability and diversity"), this 139 140 vielded 894 papers, 354 of which measured ecological stability in one or more ways. About half of 141 these studies were purely theoretical, the other half empirical. Of the latter, there were nearly equal 142 proportions of experimental and observational studies. Only 4% of papers combined both theory 143 and empirical measurement.

In our survey, 93% of theoretical studies and 85% of experimental and observational studies
focus on a single facet of stability (Fig. 2a). Some 83% of theoretical studies and 80% of

experimental and observational studies also focus on only a single disturbance component (Fig. 2b).

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147 This demonstrates a restricted, largely one-dimensional, perspective. It means that we have little 148 understanding of either the multidimensional nature of ecological stability or the correspondence of 149 different components of stability to different types of perturbations.

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150 There is also a significant disjoint between theoretical and empirical approaches to, and 151 understanding of, ecological stability. The majority (57%) of theoretical studies focus on 152 asymptotic stability, whereas experimental (61%) and observational (72%) studies concentrate 153 primarily on variability (Fig. 3a). In contrast, asymptotic stability comprises the focus of only 4% 154 of empirical studies, while only 18% of theoretical studies quantified variability. Only a small minority of studies, either theoretical or empirical, examine persistence (10% of studies), resilience 155 156 (7%) or resistance (7%). Within these latter three measures, there are notable differences. 157 Theoretical studies most often examine persistence, resilience and a particular measure of resistance 158 called robustness – the susceptibility to species extinctions, usually caused by the initial loss of a 159 species (Solé & Montova 2001; Staniczenko et al. 2010). Observational studies emphasise 160 resistance, while experimental studies consider resistance and resilience in equal measure. Our 161 survey identified very few empirical studies of robustness. Additional aspects of stability are 162 potentially addressed in more specialized journals than those scanned in our survey. However, the literature we surveyed came from the general ecological journals most probably read by both 163 164 theoreticians and empiricists, potentially making the divergence we found in terms and concepts 165 even more significant.

We found similar disparities between the focus of theory and empirical research on the 166 different types of disturbance durations and frequencies. The majority (70%) of theoretical studies 167 168 focus on the effects of single pulse perturbations on stability (Fig. 3b). In contrast, 83% of 169 observational studies examine the effects of combined, multiple pulse disturbances (Fig. 1a), 170 usually in the form of natural environmental fluctuations. Experimental studies prioritise the effects 171 of press and multiple pulse disturbances in broadly equal measure (respectively, 38% and 47%).

172 Only 15% of studies we surveyed incorporate the effects of disturbance magnitude. The problem is 173 more acute when we account for different components of stability. For example, our survey 174 identified no theoretical studies of the effects of disturbance magnitude, pulse or multiple pulse 175 disturbance frequencies on ecological resistance. Nor did we find any experimental or observational 176 studies of the effects of pulse disturbances on asymptotic stability (Fig. S1). In spite of its importance to characterising disturbances in the real world, our survey identified only one study 177 178 (van Nes & Scheffer 2004) that explored the effects of the directionality of a disturbance on 179 ecological stability.

180 Almost exclusively, just two characteristics of communities provide the basis upon which 181 studies measure ecological stability. Population or community biomass comprises the focus of 182 approximately two-thirds (63%) of studies included in our survey, while almost all of the remaining 183 studies (35%) examine the stability of taxonomic composition in some way (Fig. 3c). This pattern is 184 broadly consistent across both theoretical and empirical studies and across all components of 185 stability, except for persistence, where the majority of studies focus on composition, and robustness, whose definition is constrained to community composition (Fig. S2). We found few 186 187 (six) studies that measured the resilience of community composition.

188 In spite of the strong policy focus on ensuring the sustained provision of ecosystem services (e.g. TEEB 2010; Díaz et al. 2015), we found remarkably few empirical or theoretical assessments 189 190 of the stability of related ecosystem functions or processes. Only 2% of studies in our survey 191 examined the stability of an ecosystem function or process, in spite of their importance to the 192 perceived economic value of ecosystems (Armsworth & Roughgarden 2003). Of those, almost all 193 measured the variability of ecosystem function in time or space. We found only one study (Zavaleta 194 et al. 2010) that also examined thresholds for the persistence of multiple functions. Our survey 195 identified no studies of the resilience, asymptotic stability or resistance of ecosystem functions. 196 There is significant bias towards terrestrial ecosystems (52%) among empirical studies of 197 stability, of which most (53%) are from grasslands. Of the remaining studies, 29% are from

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198 freshwater ecosystems, while only 16% are from marine systems. Experimental and observational 199 studies are represented approximately equally across all ecosystem types.

200 What are the conclusions we draw from this? Clearly, experimentalists and empiricists can 201 estimate the clearly-defined measures used by theoreticians. The problem is that some things are 202 easy to measure and other things not, a distinction that likely leads to the differences we have noted. 203 The differences are even greater on closer inspection: theory does not always address what 204 empiricists can measure. This is, at least in part, because the mathematics of dynamical systems 205 lacks tools for evaluating quantities of interest to empirical ecologists. Take resilience, for example. 206 Models measuring resilience use the engagingly simple idea of asymptotic stability. They calculate 207 return times over long intervals — when transient changes have decayed — and close to the 208 equilibrium — where one can use linear approximations to the underlying non-linear nature of the 209 system (Pimm 1982). Empiricists, on the other hand, tend to look at short intervals and disturbances 210 far from the equilibrium, where transient effects in the models may be significant (De Vries *et al.* 211 2012; Hoover et al. 2014; O'Connor et al. 2015). Here, the simplifying mathematics are 212 unavailable, and so are ignored. The models may still provide broadly the right insights, but there is 213 no guarantee that they do. Theoreticians could take the extra step and explore the dynamics of their 214 models over short intervals away from equilibrium, even if only using simulations, to check their 215 generality (e.g. Hastings 2004; Ives & Carpenter 2007; Ruokolainen & Fowler 2008). More 216 generally, theoreticians might recognise that certain aspects of their theories are far more likely to 217 be tested — and to be more widely useful — if they addressed metrics that empiricists can more 218 easily measure (Shou et al. 2015).

A more fundamental problem arises from the lack of exploration of the multidimensional nature of either disturbances or stability. This gap in knowledge limits our ability to understand and predict the effects of disturbances on the overall stability of ecosystems. If the science of ecology is to support and inform robust and successful policy, we should close this gap.

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The goals of policy and their measurement

225 Many consequences of human actions on nature are simple and have clearly defined units. 226 For instance, the United Nations Convention on Biological Diversity (CBD) and related 227 conventions sets targets that include the numbers of species and areas of habitat to be protected, and 228 rates of extinction, habitat loss and fragmentation, and overexploitation of fisheries and rangelands 229 to be minimised (UN 1992). Assisting developing countries reduce carbon emissions from 230 deforestation and forest degradation is the simply stated goal of the United Nations REDD 231 (Reducing Emissions from Deforestation and Forest Degradation in Developing Countries) 232 Programme (UN 2008). These may neither be easy to measure in practice nor to manage 233 effectively, but they do not pose conceptual challenges. 234 Much more problematic are associated terms. Sustainability is ubiquitous (Bosch et al. 2015), and has a large associated literature. For some, it is used in a normative way, that is, as some 235 236 desired goal or set of goals. Thus, it is part of the mission of the Global Environment Facility 237 (GEF), and about half of the CBD's Aichi Biodiversity Targets for 2010-2020 include the word (UN 2010). IPBES includes conservation and sustainability of ecosystem services to provide long-238 239 term human well-being in its conceptual framework (Díaz et al. 2015). Responsibilities of the UK 240 Department for Environment, Food and Rural Affairs include sustainable development, which 241 China adopted explicitly as a national strategy in 1996 (Chinese Ministry of Finance et al. 2014). 242 Most commercial enterprises now include statements about corporate and environmental 243 sustainability in their mission statements. Normative definitions of sustainability therefore play an 244 important role in policy, and environmental decision makers clearly do not only concern themselves 245 with ecological components of stability. But neither should they ignore them.

We defer to the Oxford English Dictionary that defines "sustainable" as "*the quality of being* sustainable at a certain rate or level" and environmentally sustainable as "*the degree to which a* process or enterprise is able to be maintained or continued while avoiding the long-term depletion of natural resources." Following this, we take sustainability (in its non-normative sense) to mean 250 that a particular resource persists, or persists above (or below) some pre-determined level, or is 251 resistant to disturbances. Its translation to ecological concepts is conceptually straightforward. 252 Other terms are less so. For example, the 20 Aichi Targets include: safe ecological limits 253 (Targets 4 & 6), *degradation* (Target 5), *function* (Targets 8, 10 & 19), and *integrity* (Target 10) 254 (UN 2010). These terms lack definitions, or have more than one definition, and have no clear units 255 for quantification. This imprecision is unfortunate in itself (Bosch et al. 2015; Lu et al. 2015). It 256 also denies the integration of the large body of empirical and theoretical literature that deals with 257 broadly similar, but quantifiable, measures of multi-species systems that might provide key 258 insights.

259 Differences among terms used, and in the meanings of common terms (Grimm et al. 1992; 260 Grimm & Wissel 1997; Ives & Carpenter 2007; Hodgson et al. 2015), are likely a consequence of 261 the different goals of theoretical and empirical ecologists and policymakers and practitioners. They 262 also reflect the fact that ecologists have perhaps less influence on these terms and their use than we 263 might hope. These differences create significant challenges for translating research findings into policy-relevant information, for communication among individuals from different groups, and for 264 dealing with the complexity and multifaceted nature of ecological stability. We now examine the 265 266 terms used by policymakers and practitioners, then explore the potential for common ground.

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268 How do ecologists and policymakers differ in the terms they use?

We surveyed policy targets and mission and vision statements of 42 key international agreements, organisations and agencies (Table 1) that are concerned primarily with the conservation and protection of nature. We searched for terms that are associated positively with stability. The most common terms we found were, by some distance, '*sustain*' and '*sustainability*'. These were present in more than half of the targets and statements examined (Table 2). They occurred almost twice as frequently as the next most common terms, '*conserve*' and '*conservation*'. We identified 14 other terms that occurred less frequently across the documents we examined (Table 2). Of all of the terms we identified, only two, '*stabilise*'/'*stable*' and '*resilience*'/'*resilient*', have clear ecological definitions. Unfortunately, their use in the documents implied different meanings to those widely used in ecological theory, relating most strongly to, respectively, variability and resistance.

280 In spite of the widely different terminologies used by ecologists and policymakers and 281 practitioners, all of the terms we identified in policy targets and statements could be associated in 282 some way with at least one, and frequently more than one, component of ecological stability (Table 283 2). In fact, the stability components that associate most strongly with these terms are among the 284 least studied by ecologists (Fig. 3a). For some terms, the link with components of stability was clear, for others less so. For example, to 'constrain impacts' necessitates increasing the resistance of 285 286 systems to disturbances. It also implies increasing their resilience (*i.e.* reducing their return times). 287 The fact that the majority of the terms used in policy integrate across different components of 288 ecological stability means that they are also, at least implicitly, multifaceted. 'Sustainable' is a good 289 example of this. In order to be sustainable, ecosystems must be resistant to disturbances. They must 290 recover quickly from them (*i.e.* have high resilience). This implies that at least some properties (*e.g.* 291 primary production) remain relatively unchanged through time (*i.e.* have high robustness, low 292 variability) even though there may be considerable turnover in other properties (e.g. species 293 composition; indeed, it may be the turnover in species composition that results in sustainable 294 primary production).

Thus, key terms may lack unambiguous and clear definitions, and are not therefore directly quantifiable. Yet, the widespread use of such holistic terms implies that the multidimensionality of ecological stability is already integrated, even if unconsciously, in the language and targets of policymakers. This observation provides the motivation for closer integration with the science of ecology.

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301 Solutions and recommendations

Nature responds to human pressures in complex ways. Conversely, political and governance decisions often demand simplicity (OECD 2001; Harwood & Stokes 2003; Lu *et al.* 2015). Acknowledging this dilemma is a first step towards enhancing the quality of the communication of "stability" at the science-policy interface and within both science and policy. It is incumbent upon ecologists to ensure that this process does not dilute the integrity of the underlying science.

307 The necessary second step involves the definition of terms and their measurement. There is a 308 fundamental need for interdisciplinary discussions about both of these (Box 2). Policymakers have 309 to attach measurable quantities to the terms used in their documents, while scientists must address 310 these concepts directly in their studies. The proliferation of undefined and, indeed, unmeasurable 311 ideals, such as many of the tasks that underpin the recently published United Nations Sustainable 312 Development Goals (SDGs) for the conservation of ecosystems (Goals 14 and 15), hinders progress and is self-defeating. For example, SDG Task 14.2 sets the target that, "By 2020, (countries will) 313 314 sustainably manage and protect marine and coastal ecosystems and avoid significant adverse *impacts, including by strengthening their resilience*". This statement is ambiguous to the point of 315 316 being meaningless. Not a single aspect of this target is measurable. What constitutes "significant"? 317 What does resilience mean in this context? The goals of policy and the terminology used to describe 318 them *always* need to be defined and measurable.

319 Consider two examples from the Aichi Targets that contrast how measureable are their 320 aspirations. First, Aichi Target 11: "By 2020, at least 17 per cent of terrestrial and inland water, 321 and 10 per cent of coastal and marine areas... are conserved through effectively and equitably managed, ecologically representative and well connected systems of protected areas". These goals 322 are explicit and measureable, but those for Aichi Target 6 are not: "By 2020 all fish and 323 324 invertebrate stocks and aquatic plants are managed and harvested sustainably...so that ... fisheries 325 have no significant adverse impacts on threatened species and vulnerable ecosystems and the 326 impacts of fisheries on stocks, species and ecosystems are within safe ecological limits". This 327 statement contains three particularly obscure terms that lack clear methods for measurement –

328 sustainably, significant adverse impacts and safe ecological limits – each of which appears to mean 329 two distinct things. As used in this context (see also Table 2), sustainably has a compositional 330 aspect – that species present in the system persist – and another related to biomass stability – that 331 variability of biomass at both population and community level is minimised at least to a level that 332 ensures the persistence of species. Significant adverse impacts requires that the persistence of both 333 'threatened species' and the functioning of 'vulnerable ecosystems' is ensured, while *safe* 334 ecological limits requires ensuring the persistence of each of the biomass, composition and 335 functioning of ecosystems, presumably by enhancing their resistance to fishing activities. 336 Removing the obscure terms and replacing them with the clearly defined ones we suggest would make the goal measureable. This would enable closer links with the supporting science and 337 338 highlight key research needs, which, in turn, make the goal attainable.

For their part, scientists need to take a coherent approach to quantifying stability, such as the one we describe here. The field will not advance by publishing more, partly overlapping, definitions of single terms used in isolation within a discipline. We need to employ broadly accepted terms and apply them consistently across different communities. Both theoreticians and empiricists also need to be more explicit about the basis upon which they are measuring stability. Conclusions drawn about the factors that drive biomass resilience, for example, are likely to be very different from those that underpin compositional resilience.

346 The third step is crucial. Both scientists and policymakers need to recognise that the 347 multidimensional nature of environmental change *always* requires a multidimensional assessment of responses. To date, scientists and policymakers alike have tended to assess the response to one 348 349 driver of change using one aspect of stability or amalgamated concepts such as Holling's resilience. 350 The hope is that this strategy provides a piece of the jigsaw that, in total, provides insight into the 351 overall complexity of responses. Rather, such simplification blurs the overall picture. For example, 352 increasing temporal variability of algal biomass may indicate transient dynamics in changing lake 353 food-webs (Carpenter et al. 2011). It tells us little about any underlying changes in community

354 structure that may be undermining, or indeed enhancing, resistance to different kinds of 355 disturbances. The one-dimensional approach to disturbances and stability means that we 356 underestimate the impacts of perturbations and cannot identify the mechanisms that underpin the 357 overall stability of ecosystem structure or functions. The existence of trade-offs (i.e. inverse 358 correlations) between different components of stability exacerbates this situation. Such trade-offs 359 exist in nature (Donohue et al. 2013) and there is some theoretical insight into why they occur 360 (Harrison 1979; Loreau 1994; Dai et al. 2015). Their existence has profound implications for 361 policymakers and practitioners, necessitating decisions on which aspects of stability to prioritise for 362 different management goals. They also provoke an environmental cost to those decisions, where some aspects of ecological stability are necessarily diminished to enhance others. The lack of 363 364 exploration of the multidimensional nature of ecological stability means that our ability to optimise 365 the overall stability of ecosystems for different management and policy goals is at present 366 extremely limited.

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368 What science is needed to support these steps and enhance the efficacy of policy?

369 We make three recommendations. First, the necessity for improved and mechanistic insight 370 into the multidimensional nature of disturbances and stability requires more realistic theory and 371 experimental designs and an improved ability to integrate across studies from different spatial and 372 temporal scales and different kinds of ecosystem (e.g. Peters et al. 2011). Even single pulse 373 disturbances (e.g., a chemical spill) often have a legacy (e.g., contamination, loss of rare species) that corresponds to a press disturbance. Pulse and press disturbances likely affect different 374 components of stability in different ways. Likewise, many press disturbances exhibit clear 375 376 directionality and dynamic variation around the mean, with single extreme events occurring more 377 frequently. For instance, the nature of climate disruption calls for new theory (Ives *et al.* 2010; 378 Stenseth et al. 2015) and long-term experiments. These need to consider the incrementally 379 increasing magnitude of, for example, temperature change, and the possibility of including large

variability up to extreme climatic events. They must employ stability metrics that do not require
strong equilibrium assumptions (*e.g.* fixed point attractors). Moreover, they must be able to
evaluate ecosystems in continuous transient dynamics (Fukami & Nakajima 2011). The research of
theoretical and empirical ecologists has to include the complex nature of disturbances and stability,
and the result of such multidimensional approaches has to inform policymakers.

385 Some existing theoretical approaches may be extended to deal with this range of natural 386 complexity. For example, Floquet theory can be used to explore the stability properties of periodic 387 (cyclical, non-single point equilibrium) systems (e.g. Lloyd & Jansen 2004, Klausmeier 2008). This 388 can be developed in a similar way to assess how locally stable, single point equilibria respond to 389 perturbations. Lyapunov exponents can be used to investigate more complex, chaotic intrinsic 390 dynamics in naturally variable systems (Ellner & Turchin 1995). Gao et al. (2016) have proposed 391 general methods that can reduce the high dimensionality of multi-species systems to predict the loss 392 of resilience (defined there as the ability to avoid switching from a relatively high to much lower 393 mean value of a focal state variable). In parallel, new theoretical developments are starting to 394 explore links between what empiricists measure (e.g. variability) and what theoreticians analyse 395 (e.g. asymptotic resilience), showing that some fundamental relationships can be established 396 (Arnoldi et al. 2016). Together, these approaches offer promising new directions for further 397 theoretical research that incorporate the sort of complexities empiricists commonly encounter in 398 their study systems.

Second, we need simple, yet scientifically sound, ways to integrate across the multiple dimensions to quantify the overall stability of ecosystems. These methods will need to distil the most important elements of stability and make accurate quantitative measures on each dimension. Only then can we combine them (Fig. 4). These methods also need to be adaptable to the priorities of specific policies. Such adaptation is fundamental to optimising the overall stability of ecosystem structure and/or functioning for different management and policy objectives. Agricultural management, for example, aims to minimise variability of yield production and maximise

406 resistance of biomass to pathogens and insect pests. In contrast, many conservation programs might 407 try to maximise the compositional persistence and resilience of communities (rare species are often 408 the most endangered and they tend to determine the slowest return times of the system). Such semi-409 quantitative methods of holistic assessment may seem too broad-brush and inaccurate to satisfy 410 many scientists. They may also be too complex for some policymakers. The solution has to be 411 something that sits between the two.

412 Third, we need to evaluate and monitor stability through space and time. Ecologists have 413 experience in doing this for single populations and key functional groups (e.g. Ives et al. 2008; 414 Carpenter et al. 2011) and, more recently, for monitoring changes in the provision of ecosystem 415 goods and services (Tallis et al. 2012). Monitoring the dynamic stability of whole networks has 416 largely been the province of economists, among others, with numerous financial stability 417 monitoring programs continuously tracking sources of systemic risk (Adrian et al. 2014). 418 Analogous programs for monitoring the dynamic multidimensional stability of whole ecological 419 systems over time and space are essential to help assess the effectiveness of policy and management 420 actions. These programmes are needed to help identify ecosystems whose stability is being 421 compromised in the face of global change.

422

423 Conclusions

There are policies concerned with the protection of nature that set defined and measurable targets. Aichi Target 5 (UN 2010) constitutes a good exemplar: "*By 2020, the rate of loss of all natural habitats, including forests, is (to be) at least halved and where feasible brought close to zero*". This statement is clear and unambiguous – progress can be quantified, success or failure evaluated. It exemplifies the only way that policies can effect meaningful change. Such policies are in the minority. Many policy documents describe targets that may appear, on face value, explicit and measurable, yet contain terms that are ambiguous, or have multiple

431 definitions that mean different things to different people. Such targets cannot be connected to

measureable ecological processes or properties. Policies aiming to increase "resilience" provide
pervasive examples. In fact, the majority of policy documents we surveyed contain goals using
terms that lack definition within ecology. Such ambiguity paralyses policy.

This incoherence is, at least in part, a consequence of the inconsistent and one-dimensional approach that ecologists have taken to ecological stability. This approach has led to confused communication of the nature of stability and the level of our insight into it. Disturbances and stability are multidimensional. Our understanding of them is not. We have a remarkably poor understanding of the impacts on stability of the characteristics that define many, perhaps all, of the most important elements of global change.

The solution requires a range of actions. We need more realistic theory based on measures that are of practical significance and empirically quantifiable. Empiricists need to test this theory at a range of spatial and temporal scales. Policymakers need to use these defined and measurable quantities in their targets. Most importantly, theoreticians, empiricists, policymakers and practitioners each need to incorporate the multidimensional complexity of natural responses to environmental change into their research, policies and actions.

447

448 Acknowledgements

449 A UK NERC/BESS Tansley Working Group award supported this study. We thank Stefano 450 Allesina, David Raffaelli and an anonymous reviewer for their insightful comments, which helped 451 to improve the quality of this manuscript. DM was funded by a Postgraduate Award from Trinity College Dublin. QY was funded by a postgraduate scholarship from the Irish Research Council. KH 452 was funded by the Earth and Natural Sciences (ENS) Doctoral Studies Programme, funded by the 453 454 Higher Education Authority (HEA) through the Programme for Research at Third Level 455 Institutions, Cycle 5 (PRTLI-5), co-funded by the European Regional Development Fund (ERDF). 456 OLP was supported by SNF project 31003A 159498 and the University of Zurich Global Change 457 and Biodiversity Research Priority Programme. HH received funding from the German Science

- 458 Foundation (DFG Hi848 18-1). JMM was supported by the French Laboratory of Excellence
- 459 Project "TULIP" (ANR-10-LABX-41; ANR-11-IDEX-0002-02).
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Table 1. International agreements, organisations and agencies whose policy targets and mission and vision statements we searched for terms associated

748 with ecological stability.

Entity	Stability related term(s) found	Document link
Aichi biodiversity targets (CBD)	<pre>'integrity'; 'safe ecological limits'; 'resilience'; 'sustain'; 'conserve'</pre>	http://www.cbd.int/sp/targets/
Biodiversity International	'sustain'; 'safeguard'	http://www.bioversityinternational.org/about-us/who-we-are/
Birdlife International	'sustain'; 'maintain'	http://www.birdlife.org/worldwide/partnership/our-vision-mission-and-commitment
Convention on Biological Diversity	'sustain'; 'conserve'	http://www.cbd.int/convention/articles/default.shtml?a=cbd-01
Conservation International	'healthy'; 'sustainable'; 'stable'	http://www.conservation.org/about/Pages/default.aspx#mission
UK Department for Environment, Food & Rural Affairs	'safeguard'	https://www.gov.uk/government/organisations/department-for-environment-food-rural-affairs/about
Diversitas (now rolled into Future Earth)	'secure'; 'conserve'; 'sustain'	http://www.diversitas-international.org/about/mission-and-history
Earthwatch	'sustain'	http://eu.earthwatch.org/about/earthwatch-mission-and-values
European Environment Agency	'sustainable'	http://www.eea.europa.eu/about-us
European Platform for Biodiversity Research Strategy	'maintain'; 'sustain'; 'conserve'	http://www.epbrs.org
Earth System Science Partnership	'sustainable'	http://www.essp.org
European Union Biodiversity Observation Network	None found	http://www.eubon.eu/show/project_2731/
Food and Agriculture Organisation	'security'; 'sustainable	http://www.fao.org/about/en/
Future Earth	'sustainable'	http://www.futureearth.org
Global Environment Facility	'sustainable'	https://www.thegef.org/gef/whatisgef
GreenPeace	'protect'	http://www.greenpeace.org/international/en/about/our-core-values/
International Association for Landscape Ecology	'altered'	http://www.landscape-ecology.org/index.php?id=14
Intergovernmental platform on biodiversity and ecosystem services	'conserve'; sustain'	http://dx.doi.org/10.1016/j.cosust.2014.11.002
Intergovernmental Panel on Climate Change	None found	http://www.ipcc.ch/organization/organization.shtml
International tropical timber organisation	'sustainable'; 'conservation'	http://www.itto.int/about_itto/
International Union for Conservation of Nature	'conserve'; 'sustain'	http://www.iucn.org
LifeWatch infrastructure for biodiversity and ecosystem research	None found	http://www.lifewatch.eu

None found	http://www.lwec.org.uk/about
'sustainable'	http://www.naturalcapitalproject.org
'sustainable'; 'resilience'	http://www.oecd.org/env/
<pre>'conserve'; 'sustain'; 'safeguard'</pre>	http://www.rainforest-alliance.org/about
None found	http://www.teebweb.org/about/
'conserve'	http://www.nature.org/about-us/vision-mission/index.htm?intc=nature.tnav.about.list
'constrain impacts'	http://www.un-redd.org
1	
'sustain': 'secure'	http://www.unccd.int/en/Pages/default.aspx
,	
'sustain'	http://www.unep.org/Documents.Multilingual/Default.asp?DocumentID=43
'stabilise'	http://unfccc.int/kyoto_protocol/items/2830.php
'security'; 'sustainable';	https://sustainabledevelopment.un.org/post2015/transformingourworld
'resilient'; 'conserve'; 'protect'	
'resilience'	http://www.wetlands.org/Aboutus/VisionMission/tabid/58/Default.aspx
'safety'	https://www.wmo.int/pages/about/mission en.html
'sustainable'	http://www.wno.org/mission
None found	http://mudancasclimaticas.cptec.inpe.br/~rmclima/pdfs/destaques/sternreview report complete.pdf
'sustainable'	http://www.worldwatch.org/mission
'harmony'; 'safeguard'	http://wwf.panda.org/wwf_quick_facts.cfm
<pre>'resilient'; 'maintain'; 'conservation'</pre>	http://www.york.ac.uk/media/yesi/downloaddocuments/YESI%20Brochure-WEB.pdf
'survival'	http://www.cites.org/eng/disc/what.php
'conservation'	https://iwc.int/history-and-purpose
	'sustainable' 'sustainable'; 'resilience' 'conserve'; 'sustain'; 'safeguard' None found 'conserve' 'constrain impacts' 'sustain'; 'secure' 'sustain', 'secure' 'sustain' 'stabilise' 'security'; 'sustainable'; 'resilient'; 'conserve'; 'protect' 'resilience' 'safety' 'sustainable' None found 'sustainable' 'harmony'; 'safeguard' 'resilient'; 'maintain'; 'conservation' 'survival'

750 **Table 2.** Stability-like terms used in policy targets and mission and vision statements of the international agreements, organisations and agencies

highlighted in Table 1, ranked in order of frequency of occurrence, and the components of stability that they associate with in the context of their use.

752 The use of resistance here incorporates robustness. We assume that the necessity for systems to be asymptotically stable around an equilibrium point or

- 753 limit cycle is implicit in the use of every term.
- 754

Terms used in policy	Occurrence	Stability component(s) associated most strongly	Other associated stability components
'sustain'/'sustainable'	25/42	Persistence	Resistance, Resilience, Variability
'conserve'/'conservation'	13/42	Persistence	Resistance, Resilience
'resilience'/'resilient'	5/42	Resistance	Resilience, Persistence
'safeguard'	4/42	Persistence	Resistance
'maintain'	3/42	Persistence	Resistance, Variability
'secure'/'security'	4/42	Persistence	Resistance, Resilience
'stabilise'/'stable'	2/42	Variability	Resistance, Resilience, Persistence
'protect'	2/42	Persistence	Resistance
'altered'	1/42	Persistence	Resistance
'constrain impacts'	1/42	Resistance	Resilience
'harmony'	1/42	Variability	
'healthy'	1/42	Resistance	Resilience
'integrity'	1/42		Pariotanaa Racilianaa
'safety'	1/42	Resistance	Persistence, Resilience Persistence
'survival' 'safe ecological limits'	1/42 1/42	Persistence Resistance	Resistance, Resilience Persistence, Resilience, Variability, Multiple locally stable equilibria

- 756 Figure legends

758	Fig. 1. Conceptual summary of multifaceted disturbances. Characterisation of pure pulse
759	and press disturbances (a) that are the focus of most theoretical and experimental studies,
760	and an intermediate multiple pulse form of disturbance (dotted blue line) that is also
761	studied frequently, mostly in the form of natural environmental fluctuations in
762	observational studies. Most disturbances are, however, neither pulse nor press and
763	instead change in magnitude over time (b), frequently with shifting mean and variance
764	components. We lack theory and have very limited empirical evidence on the impacts of
765	these directional aspects of disturbances on ecological stability, yet they represent many
766	of the most important and widespread aspects of human impacts (c).
767	
768	Fig. 2. The restricted focus of studies on single components of stability (a) and disturbances
769	(b). The total number of studies is slightly lower in (b) because some of the studies we
770	surveyed did not incorporate an explicit disturbance.
770 771	surveyed did not incorporate an explicit disturbance.
	surveyed did not incorporate an explicit disturbance. Fig. 3. Overview of studies of ecological stability. Number of studies identified by our
771	
771 772	Fig. 3. Overview of studies of ecological stability. Number of studies identified by our
771 772 773	Fig. 3. Overview of studies of ecological stability. Number of studies identified by our survey of the literature that quantified different facets of stability (a), examined the effects
771772773774	Fig. 3. Overview of studies of ecological stability. Number of studies identified by our survey of the literature that quantified different facets of stability (a), examined the effects of different components of disturbance on those (b), and that used biomass, taxonomic
 771 772 773 774 775 	Fig. 3. Overview of studies of ecological stability. Number of studies identified by our survey of the literature that quantified different facets of stability (a), examined the effects of different components of disturbance on those (b), and that used biomass, taxonomic
 771 772 773 774 775 776 	Fig. 3. Overview of studies of ecological stability. Number of studies identified by our survey of the literature that quantified different facets of stability (a), examined the effects of different components of disturbance on those (b), and that used biomass, taxonomic composition or ecosystem functioning as a basis for measuring stability (c).
 771 772 773 774 775 776 777 	 Fig. 3. Overview of studies of ecological stability. Number of studies identified by our survey of the literature that quantified different facets of stability (a), examined the effects of different components of disturbance on those (b), and that used biomass, taxonomic composition or ecosystem functioning as a basis for measuring stability (c). Fig. 4. Integrating across multiple dimensions to quantify overall ecological stability. We
 771 772 773 774 775 776 777 778 	 Fig. 3. Overview of studies of ecological stability. Number of studies identified by our survey of the literature that quantified different facets of stability (a), examined the effects of different components of disturbance on those (b), and that used biomass, taxonomic composition or ecosystem functioning as a basis for measuring stability (c). Fig. 4. Integrating across multiple dimensions to quantify overall ecological stability. We suggest a method that incorporates multiple stability facets and allows for their differential

782 facets can be quantified and provides a scoring system for each facet (a). This could be as 783 simple as low, moderate and high, although more sophisticated scoring systems could be 784 developed. It then applies a weighting factor to each score, depending on their perceived 785 relative importance for a given policy or management practice (b). The sum of the weighted 786 scores then corresponds to the stakeholder's value of the stability of the system (c). Even 787 though different facets of stability may be correlated, there is no need to assume this. Trade-788 offs and synergies among stability metrics can be incorporated, but the method does not 789 assume dependencies.

790 **Box 1: Why the attempt to define planetary boundaries is flawed**

Human actions are changing the biosphere in unprecedented ways. One view is that, given the magnitude and novelty of these impacts, there will be thresholds, beyond which abrupt non-linear change will bring the biosphere to a new and undesirable equilibrium. This view of nature, founded upon Holling's (1973) definition of resilience, explicitly engages policymakers with its invocation of catastrophic tipping points and the conclusion that Earth has already exceeded them. The view is becoming increasingly pervasive in the scientific literature.

797 Certainly, there may be systems that show the tipping points that underpin this worldview.

798 Importantly, there is nothing to suggest they are ubiquitous and so demand their having logical

primacy. Nature might work this way sometimes, but there is no compelling argument that it must.

800 In attempting to define global tipping points and, from those, "planetary boundaries", 801 Rockström *et al.* (2009) have extended this view to circumstances where it is unlikely to operate. 802 We take as an example the variable they deemed already to be outside the planetary boundary 803 arising from our work (Pimm et al. 1995; Pimm et al. 2014): the rate of species extinctions. The 804 metric is simple — a fraction of species going extinct per unit time. The comparison to a natural 805 background rate is also conceptually easy, though there are practical difficulties (De Vos et al. 806 2015). The notion that the current global species extinction rate — about a thousand times higher 807 than background — has exceeded some tipping point where catastrophic ecological changes must 808 follow is problematical in several ways (Mace et al. 2014).

First, it is not clear over what spatial and temporal scales extinction rates have exceeded the boundary. For example, how are the locally high rates of plant and animal extinctions on remote Pacific Islands following first contact with Polynesians and later with Europeans supposed to "tip" processes globally or (say) in the Amazon? And over what time period might these catastrophic changes unfold?

814 Subsequent clarifications by Rockström and colleagues (Stockholm Resilience Centre 2012;
815 Steffen *et al.* 2015) indicate that the proposed 'planetary' boundary for extinctions operates at

regional scales, but they are not explicit in defining either the spatial or temporal extents of these

regions. This leaves open the vitally important question for policymakers of what scales are mostimportant.

Second, there are models of the consequences of losing species and how many more species
will be lost consequently at local and regional scales (Pimm 1991). None shows the kind of
runaway processes that Rockström and colleagues imagine. Certainly, there is both an extensive
theoretical and empirical literature on how species richness (as opposed to its rate of change) affects
a variety of ecosystem functions including primary productivity and nutrient cycling (Loreau *et al.*2001; Cardinale *et al.* 2012). This literature shows degradation as species numbers decline
(Cardinale *et al.* 2011), but no clear thresholds.

826 Box 2: Learning from experience: biodiversity-ecosystem functioning and service provision

Even when theoreticians and empiricists converge in what they quantify, there is no guarantee
of immediate and successful translation into the policy and management arena. Research on
Biodiversity-Ecosystem Functioning (BEF) and Biodiversity-Ecosystem Services (BES)
relationships exemplifies this and, as such, we can learn from it.

831 A large body of experiments (> 600 since 1990) developed in close relation with 832 mathematical theory and showed how genetic, species and functional diversity of organisms 833 regulate basic ecological processes – functions – in ecosystems (Cardinale et al. 2012). As a result, 834 there is now unequivocal evidence supported by theory that biodiversity loss reduces biomass production, decomposition and recycling of essential nutrients, and the efficiency at which 835 836 ecosystems capture biological resources. In parallel, a strong policy impulse developed trying to 837 guarantee the provision of ecosystem services to society, now under the umbrella of the recently 838 established Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services 839 (IPBES; Díaz et al. 2015). Despite the mechanistic understanding of the effects of biodiversity on 840 functioning provided by theoreticians and empiricists, the mechanistic links between biodiversity 841 and ecosystem services are far from being established. This disconnect effectively impairs the 842 distillation of conclusions to inform policy on how biodiversity loss will affect service provisioning 843 and regulation and, ultimately, human wellbeing.

844 An example is Payment for Ecosystem Services (PES), where beneficiaries of nature's 845 services pay owners or stewards of ecosystems that generate those services. Naeem et al. (2015) suggested recently that few PES studies get the science right, with most projects based on weak 846 847 scientific foundations. The main reason for this was poor interdisciplinary communication and 848 coordination. The absence of unifying definitions and associated metrics, baseline data, monitoring, 849 recognition of the dynamic nature of ecosystems, and poor interdisciplinary communication and 850 coordination helps to explain this gap. The BEF community measures functions without linking 851 those to known services. The BES community commonly describe services without linking them to

- their underlying ecological function. A more active communication and convergence on what to
- 853 measure and at what scale, and how to monitor over space and time is needed (Cardinale *et al.*
- 854 2012; Naeem *et al.* 2015).

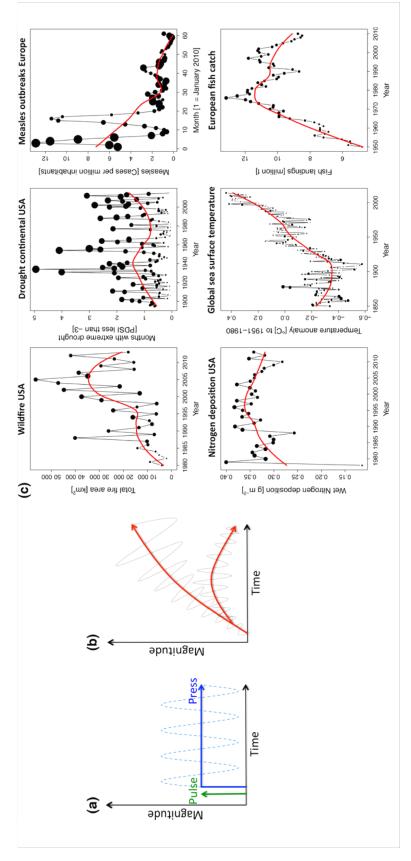
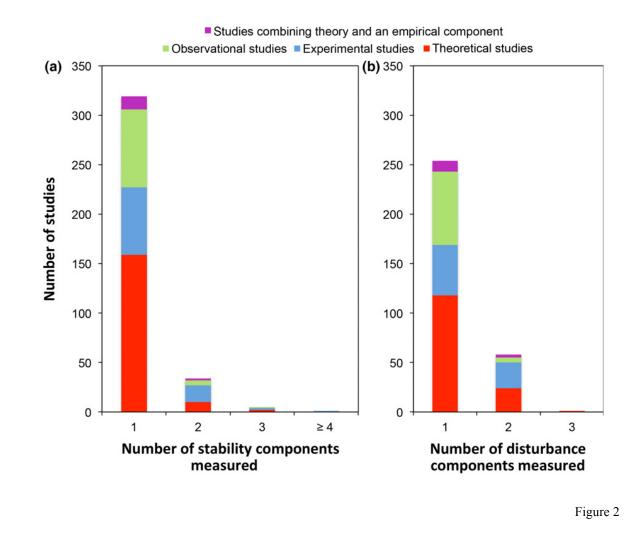


Figure 1





Studies combining theory and an empirical component Observational studies Experimental studies Theoretical studies

200

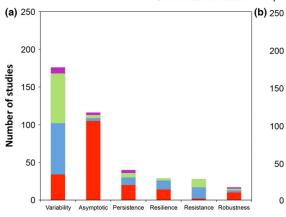
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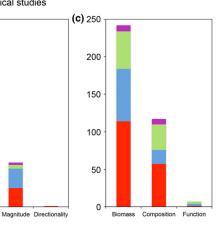
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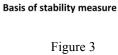
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Component of stability measured

Press T Duration & frequency Component of disturbance examined

Multiple pulse



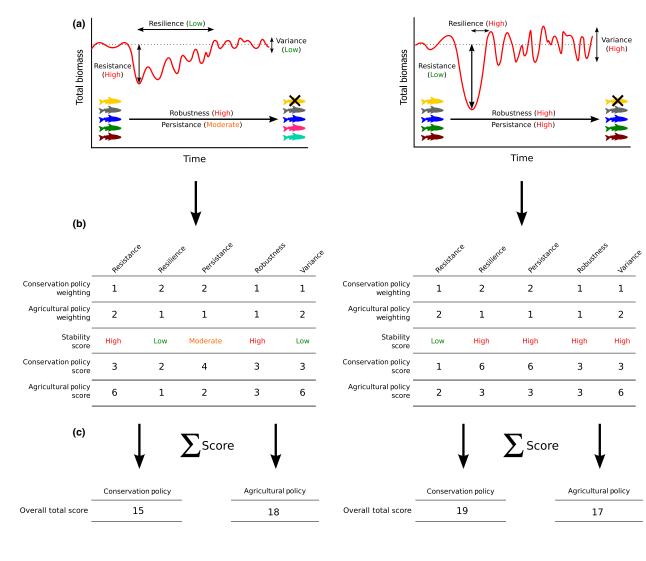


Figure 4