# THE IMPORTANCE OF UN PEACEKEEPING MISSION ORIENTATION AND PERSONNEL FOR ENVIRONMENTAL QUALITY

Byline: UN PEACEKEEPING & ENVIRONMENTAL QUALITY

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#### **ABSTRACT**

UN peacekeeping is increasingly linked to social and economic outcomes other than the provision of security or peace. Peacekeepers, to this end, are also associated with improvements in environmental quality. This research advances theoretical arguments on peacekeepers' orientation and personnel composition to shed light on the underlying mechanisms of this relationship. Through mandates or direct action on the ground, peacekeeping missions can have a "green" orientation that likely strengthens their commitment to environmental protection. Moreover, civilian personnel can help developing state capacity that is necessary to implement and enforce environmental regulations. I analyze peacekeeping deployments in post-Cold War Africa and use fixed-effects models and matching. The empirical analysis provides evidence that environmental mission orientation and more civilian personnel correlate with better local water quality. This research contributes to our knowledge on peacekeeping operations' externalities, and it adds to the literature on environmental politics and security studies.

**Keywords:** Environmental Protection; Mission Orientation; Personnel; UN Peacekeeping; Water Quality

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# INTRODUCTION

AINTAINING peace and providing security remain the core goals of United Nations (UN) peacekeeping operations (PKOs), and evidence suggests that the UN is indeed effective in delivering these outcomes. But PKOs have evolved to more multidimensional interventions over the last few decades, comprising goals and shaping outcomes – even if only indirectly or unintentionally – that go beyond their traditional scope. For example, Di Salvatore finds that PKOs affect crime in host countries, although missions do not always or directly address criminal activity in their mandates. Belgioioso et al. associate peaceful protests in post-conflict societies with PKOs, and Gizelis and Cao argue that women's access to medical services and education can be promoted by peacekeepers. UN peacekeeping operations can also influence households' well-being, shape the risk of terrorism, or help democratizing – these are all outcomes other than the primary goal of peace and security in host countries.

The following article adds to this literature as I explore the relationship between UN peacekeeping and environmental quality, the latter broadly defined as the "condition of an environment relative to the requirements of one or more species and/or to any human need or purpose." The exploitation or destruction of living natural resources thus decrease environmental quality. Conversely, improved environmental quality and "better" environmental protection refer to addressing and overcoming such issues by, e.g., lowering

<sup>&</sup>lt;sup>1</sup> For example, Di Salvatore and Ruggeri 2017; Hultman et al. 2013, 2014; Kathman and Wood 2011; Fjelde et al. 2019; Fortna 2004; Gilligan and Sergenti 2008; Doyle and Sambanis 2000; Autesserre 2014.

<sup>&</sup>lt;sup>2</sup> See Doyle and Sambanis 2000; Fortna and Howard 2008; Da Costa and Karlsrud 2012.

<sup>&</sup>lt;sup>3</sup> Di Salvatore 2019.

<sup>&</sup>lt;sup>4</sup> Belgioioso et al. 2021.

<sup>&</sup>lt;sup>5</sup> Gizelis and Cao 2021.

<sup>&</sup>lt;sup>6</sup> Bove et al. 2022.

<sup>&</sup>lt;sup>7</sup> Di Salvatore et al. 2022.

<sup>&</sup>lt;sup>8</sup> Blair et al. 2023.

<sup>&</sup>lt;sup>9</sup> Johnson et al. 1997, p. 586.

<sup>&</sup>lt;sup>10</sup> See Hardin 1962; Bernauer 2013.

pollution in air, soil, or water – that is, environmental degradation is reduced. <sup>11</sup> Having been confronted with environmental challenges and becoming more aware of their own ecological footprint, <sup>12</sup> UN PKOs now seek to "environmentalize" their practices, thereby making their own interventions "greener." 13 However, the impact of PKOs on the environment seems to go beyond the footprint of individual interventions: they can shape environment quality of the host country at large. Maertens and Shoshan describe how UN peace operations began to comprise overall and more generally defined environmental elements as well as goals at least since the early 2000s. <sup>14</sup> Moreover, UN institutions such as the UN Environment Program (UNEP) increasingly seek to link environmental aspects with peacekeeping activities. <sup>15</sup> And, most recently, after the 2016 Environment Strategy of the UN Department of Field Support, the UN passed the "Declaration of Shared Commitments on UN Peacekeeping Operations" in 2018, which "support[s] environmentally-responsible solutions to [our] operations and mandate delivery." Bakaki and Böhmelt were among the first to provide robust empirical evidence for the peacekeeping—environmental protection relationship: they identify a synergistic association between UN PKOs and environmental quality of the country at large as captured by improved access to safe drinking water.<sup>16</sup>

While our understanding of the peacekeeping—environment nexus improves more and more because of these and related studies, there are some limitations in the literature and crucial questions remain unanswered. First, the mechanisms linking peacekeepers to environmental quality are not always fully spelled out or tested. Existing empirical work demonstrates that there

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<sup>11</sup> Jahn 1998.

<sup>&</sup>lt;sup>12</sup> See Krampe 2017; Krampe and Gignoux 2018; Ide 2020.

<sup>&</sup>lt;sup>13</sup> Maertens 2019; Maertens and Shoshan 2018; Leloup and Maertens 2023.

<sup>&</sup>lt;sup>14</sup> Maertens and Shoshan 2018. See also Leloup and Maertens 2023.

<sup>&</sup>lt;sup>15</sup> See Jensen and Lonergan 2012.

<sup>&</sup>lt;sup>16</sup> Bakaki and Böhmelt 2021.

probably is a general effect of peacekeepers on environmental quality, but how this is done and via what channels remains ambiguous. Bakaki and Böhmelt operationalize PKOs dichotomously, treating interventions as a "black box." The nature of peacekeeping interventions and their activities are more complex, though, and there are potentially very different – direct and indirect – mechanisms at work that link UN PKOs to environmental protection. Second, existing research has spatio-temporal constraints, which poses limitations on a comprehensive understanding of peacekeepers' impact on the environment. That is, the focus of previous work is either on the ecological footprint of individual missions (spatial constraint)<sup>18</sup> or data are limited to peacekeepers' interventions until about the early 2010s (temporal limitation). Having said that, a significant number of operations only began including environmental elements in the more recent past, and the influence stemming from PKOs likely goes beyond their own ecological footprint.

I seek to address these points by disaggregating UN PKOs in order to shed light on the actual mechanisms, which influence environmental quality, and empirically test these using quantitative data on African (post-) conflict environments in the post-Cold War era. Theoretically, I focus on peacekeeping missions' orientation, i.e., whether their mandates (direct and indirect ones) or direct activities on the ground comprise environmental elements. I also explore the composition of personnel, distinguishing between uniformed troops and civilian staff. The development of the corresponding arguments combines claims from the research on externalities and indirect PKO consequences<sup>20</sup> with studies on environmental quality.<sup>21</sup> On one hand, I contend that PKOs need

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<sup>&</sup>lt;sup>17</sup> Bakaki and Böhmelt 2021.

<sup>&</sup>lt;sup>18</sup> See Leloup and Maertens 2023.

<sup>&</sup>lt;sup>19</sup> See Bakaki and Böhmelt 2021.

<sup>&</sup>lt;sup>20</sup> For example, Di Salvatore 2019; Belgioioso et al. 2021; Gizelis and Cao 2021; Bakaki and Böhmelt 2021; Bove et al. 2022; Di Salvatore et al. 2022; Blair et al. 2023.

<sup>&</sup>lt;sup>21</sup> For example, Caviglia-Harris et al. 2009; Farzin and Bond 2006; Ward 2008; Bernauer and Koubi 2009; Jahn 1998, 2016; Bernauer 2013; Böhmelt et al. 2018.

to commit to actually address an environmental problem. If peacekeeping operations have a "green" orientation through environmental elements in their mandates or activities on the ground, such commitment is more strongly and credibly given. On the other hand, I argue that state capacity is necessary for the host government to implement and monitor changes in humans' environmental behavior, to enforce environmental regulations, and to compensate losers of "greener" policies. UN interventions can contribute to building this capacity, though their personnel composition matters: PKOs with larger numbers of civilian personnel likely build state capacity more effectively than missions with more uniformed personnel.

The empirical analysis of these mechanisms is based on data capturing environmental quality on the ground, i.e., improved access to drinking water and sanitation, which I bring together with information on operations' orientation<sup>22</sup> and peacekeepers' composition.<sup>23</sup> I use two-way fixed-effects models and matching. These different specifications essentially compare countries being exposed to UN peacekeeping with conflict states that have not experienced the same event,<sup>24</sup> and also account for the fact that peacekeeping missions are not randomly assigned to conflicts and post-conflict societies. Instead, UN PKOs tend to select themselves to the more difficult cases and ignoring this process may bias estimates of their influence.<sup>25</sup>

The existing literature suggests that PKOs matter for environmental quality, <sup>26</sup> but it is not known how this is done. Similarly, previous works highlight that PKOs' commitment and composition are important, <sup>27</sup> but thus far we do not know how these are related to environmental outcomes on the ground. Hence, while earlier research has made several important contributions,

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<sup>&</sup>lt;sup>22</sup> UNEP 2012; Maertens and Shoshan 2018.

<sup>&</sup>lt;sup>23</sup> See Blair 2020, 2021; Blair et al. 2023; Di Salvatore et al. 2022.

<sup>&</sup>lt;sup>24</sup> For example, Fowler and Hall 2015, p. 45.

<sup>&</sup>lt;sup>25</sup> See Fortna 2004; Gilligan and Sergenti 2008; Hultman et al. 2013, 2014; Meiske and Ruggeri 2017; Bove and Ruggeri 2019.

<sup>&</sup>lt;sup>26</sup> Bakaki and Böhmelt 2021; Leloup and Maertens 2023.

<sup>&</sup>lt;sup>27</sup> For example, Bove et al. 2020; Blair 2020, 2021; Blair et al. 2023.

it does not shed light on why and how PKOs are likely conducive to environmental quality on the ground. I provide the first study that explicitly targets the mechanisms underlying the peacekeeping—environment nexus, hypothesizes that mission orientation and composition are core channels of influence here, and then tests that expectation.

I further contribute to the literature on the less direct consequences of peacekeeping and PKO externalities. <sup>28</sup> Exploring the impact of PKOs besides their association with peace and security has only recently emerged, and particularly the work on the environmental influence of peacekeepers is less developed. However, environmental issues including climate change belong to the most pressing policy problems of our time. By focusing on the actual avenues that peacekeepers can take to shape environmental quality and showing that UN missions are able to contribute to addressing key ecological problems, even if this is not the main goal in the first place, I advance the research on the PKO—environment nexus significantly. En route, I extend the literature on "green peacekeepers" by demonstrating that missions can help improving the environmental performance of their host countries at large, not only the own ecological footprint of a deployment.

I also seek to further the literature on environmental politics and outcomes.<sup>30</sup> PKOs in general, and their mission orientation or personnel composition in particular, are not normally considered as factors in addressing environmental problems. Of course, this is due to the original scope and aim of PKOs, i.e., peace and security in conflict contexts. I address this by analyzing the relationship between PKOs and environmental quality in depth, specifically paying attention to the actual mechanisms that can turn peacekeepers into promoters of environmental protection.

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<sup>&</sup>lt;sup>28</sup> For example, Di Salvatore 2019; Belgioioso et al. 2021; Gizelis and Cao 2021; Bakaki and Böhmelt 2021; Bove et al. 2022; Di Salvatore et al. 2022; Blair et al. 2023.

<sup>&</sup>lt;sup>29</sup> For example, Maertens and Shoshan 2018; Maertens 2019; Leloup and Maertens 2023.

<sup>&</sup>lt;sup>30</sup> For example, Bernauer and Koubi 2009; Jahn 2016; Guy et al. 2023.

Finally, and derived from the previous points, we know that cooperation over environmental projects can lower political violence and the risk of armed conflict.<sup>31</sup> The findings presented here, namely that PKOs' mission orientation and their personnel composition can help improving environmental quality, then further add to the debate on PKOs' effectiveness in their core areas of interest: UN missions not only directly shape peace and security, but also indirectly one via their association with environmental cooperation and protection.

# HOW PEACEKEEPERS CAN IMPROVE ENVIRONMENTAL QUALITY: ORIENTATION AND COMPOSITION

When ecological resources are over-exploited or destroyed, an environmental problem emerges.<sup>32</sup> Yet, such environmental issues can be addressed and solved if two conditions are met.<sup>33</sup> First, actors that can and are willing to deal with an environmental problem (even if only partly) must be committed to do so. Without commitment, any long-term engagement is less likely to be given, and notably environmental problems require solutions beyond the short-term focus. Second, actors that can and are willing to deal with an environmental problem (even if only partly) must also have sufficient capacity at their disposal to implement and enforce behavioral changes. In the following, I develop the argument that peacekeepers can, depending on their mission orientation and personnel composition, influence and contribute to both aspects, i.e., commitment and capacity (building).

<sup>&</sup>lt;sup>31</sup> See, for example, Ide and Detges 2018; Ide 2019; Ide and Tubi 2020; Ide et al. 2021, 2023.

<sup>&</sup>lt;sup>32</sup> See Hardin 1962; Johnson et al. 1997; Bernauer 2013.

<sup>&</sup>lt;sup>33</sup> See Bernauer 2013; Jahn 2016.

#### COMMITMENT VIA MISSIONS' ENVIRONMENTAL ORIENTATION

In 2009, the UN Department of Field Support adopted the Environmental Policy for peacekeeping operations. This was further supported in 2016, when the UN Environment Assembly implemented a resolution to recognize "the role of healthy ecosystems and sustainably managed resources in reducing the risk of armed conflict." This was echoed by the UN Secretary-General, António Guterres, in the 2016 Environment Report where he emphasized that "protecting our environment is critical to the founding goals of the United Nations to prevent war and sustain peace." Hence, the UN acknowledges environmental problems, the need to address them, and intends to commit itself to this, also with a view toward peace and security as the core goals of UN PKOs. However, even in light of the most recent (2018) "Declaration of Shared Commitments on UN Peacekeeping Operations," there is no automatic mechanism that translates these general policies into actual commitment and missions' work in host countries. However, operation mandates and direct activities on the ground can be of use here.

First, mandates are the legal framework of PKOs and specify "what peacebuilders are supposed and allowed to do during a mission."<sup>34</sup> PKO mandates legitimize peacekeepers' activities on the ground and provide them with a series of goals that should be achieved. What peacekeepers do and, potentially, how they implement this can, thus, strongly be driven by their mandates.<sup>35</sup> But although PKOs are now more multidimensional<sup>36</sup> that seek to bring about changes on several outcomes not linked to the traditional peace—security focus,<sup>37</sup> environmental quality is not always considered as a goal or explicitly mentioned as an important element of

<sup>&</sup>lt;sup>34</sup> Steinert and Grimm 2015, p. 519.

<sup>&</sup>lt;sup>35</sup> Bakaki and Böhmelt 2021.

<sup>&</sup>lt;sup>36</sup> Fortna and Howard 2008, p. 285.

<sup>&</sup>lt;sup>37</sup> Barnett et al. 2014.

activities to take into account – even if the UN as the umbrella organization does recognize the need for environmental protection.

Still, UN mission mandates can and, in fact, frequently do include environmental elements or specify goals pertaining to environmental quality aiming at improving the host country's environment at large. For example, the mandate of the United Nations Mission in Liberia (UNMIL, 2003-2018) specified explicitly that peacekeepers would have the direct mandate to "assist the government in restoring proper administration of natural resources." And the peacekeepers of UNMIS (Sudan, 2005-2011) had an indirect mandate to promote environmental protection including the provision of water due to their direct mandate to "support the implementation of the Comprehensive Peace Agreement." As Di Salvatore et al., 38 among others, discuss, such mandates are not "cheap talk." Hence, if mandates specify environmental goals, it can be assumed that pursuing them is meant to be an integral part of the mission and peacekeepers' performance will be assessed based on whether these goals have been achieved or not. 39 If PKO mandates do not comprise environmental elements, missions rather focus on meeting the goals that are indeed laid out by the mandate, especially as resources available for an intervention to achieve its goals are often limited.

Di Salvatore et al.<sup>40</sup> argue and show, however, that mandates are not self-enforcing either and that UN missions can often deviate from mandate goals. Another aspect to consider for mission orientation, therefore, is peacekeepers' direct activity on the ground. Some deployments do not refer to environmental issues in their mandate directly or indirectly, but nevertheless comprise units or officers entirely dedicated to environmental issues based on, e.g., the 2009 UN

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<sup>&</sup>lt;sup>38</sup> As Di Salvatore et al. 2022.

<sup>&</sup>lt;sup>39</sup> See also Heldt 2011.

<sup>&</sup>lt;sup>40</sup> Di Salvatore et al. 2022. See also Blair et al. 2023.

Department of Field Support's Environmental Policy. Hence, the overall orientation of a mission may comprise actual activities (via officers or units) on the ground, not just some loose commitments on paper. For instance, the Multidimensional Integrated Stabilization Mission in Mali (MINUSMA) incorporates an environmental action plan "to observe the management of solid and dangerous waste, energy, water, wastewater, flora and fauna." Other examples of direct environmental activities on the ground<sup>41</sup> pertain to, e.g., the MINUSCA mission in the Central African Republic (entire unit for "environment and occupational health and safety"), the UN Organization Stabilization Mission in the DR Congo (MONUSCO; entire unit dedicated to "environmental protection"), the operation in Somalia (UNSOS; entire unit dedicated to "environment and occupational health and safety"), the various missions in (South) Sudan (UNAMID, UNISFA, UNMISS; entire units dedicated to "environmental compliance"), or the UN Mission for the Referendum in Western Sahara (MINURSO, an officer dedicated to environmental issues).

Against this background, if the mission orientation of a UN PKO includes "green" components due to its mandate or activities on the ground in the form of units/officers dedicated to the environment, it more strongly and credibly commits peacekeepers to fulfilling these. Explicitly formulating and including environmental elements in missions' orientation not only signals that PKOs recognize environmental quality and protection as something that needs to be dealt with, but also fosters peacekeepers' commitment to allocate sufficient resources necessary to effectively help improving environmental quality. To this end, a "green orientation" makes it

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<sup>&</sup>lt;sup>41</sup> Bruch et al. 2016; Maertens and Shoshan 2018.

<sup>&</sup>lt;sup>42</sup> North 1993, p. 13. See also Shepsle 1991.

<sup>&</sup>lt;sup>43</sup> See Di Salvatore et al. 2022.

more difficult for PKOs to withdraw their commitment from dealing with environmental issues.

Considering this discussion, I formulate the first hypothesis:

Environmental Orientation Hypothesis: PKOs with an environmental orientation are positively related to better environmental quality.

#### CAPACITY BUILDING VIA PEACEKEEPING PERSONNEL

Negative externalities stemming from an environmental issue are more severe and the ability to deal with them less strongly pronounced in countries with low levels of state capacity.<sup>44</sup> This is especially problematic in Africa, the empirical focus of my study, where many states lack governance and regulatory control means<sup>45</sup> and, hence, are poorly suited to manage environmental pressures or the rising public demand for environmental public goods. Adamson<sup>46</sup> echoes this when emphasizing that states "with high levels of institutional capacity are in a much better position to adapt [...] than are weak or failing states."

There are different ways to define state capacity.<sup>47</sup> However, what matters most for environmental quality and protection likely pertains to "material resources and organizational competencies internal to the state that exist independently of political decisions about how to deploy these capabilities."<sup>48</sup> This mirrors Kaufmann and Kraay<sup>49</sup> who define state capacity as "the capacity of the government to effectively formulate and implement sound policies."<sup>50</sup> Against this background, for addressing environmental problems effectively, the government

<sup>45</sup> See Ward and Dorussen 2015.

<sup>&</sup>lt;sup>44</sup> See Povitkina 2018.

<sup>&</sup>lt;sup>46</sup> Adamson 2006, p. 176.

<sup>&</sup>lt;sup>47</sup> Hendrix 2010; Hanson and Sigman 2021.

<sup>&</sup>lt;sup>48</sup> Hanson and Sigman 2021, p. 1496.

<sup>&</sup>lt;sup>49</sup> Kaufmann and Kraay 2008, p. 6.

<sup>&</sup>lt;sup>50</sup> See also Cingolani 2013; Centeno et al. 2017; Böhmelt et al. 2019, p. 77.

must have the capacity to actually draft and implement legislation.<sup>51</sup> That is, the executive has to pass and implement new, more ambitious environmental policy, backed up by professionalism of the state apparatus<sup>52</sup> and effective enforcement.<sup>53</sup> Countries with high state capacity are more likely to be able to deliver on these accounts.

State capacity in some African countries, especially those plagued by conflict in the past, is less developed,<sup>54</sup> but peacekeeping interventions can help governments building it. I argue that this depends on PKOs' composition, though. Missions vary in the way they combine uniformed and civilian personnel, and either type has different strengths and weaknesses on the ground that are relevant for assisting host nations in capacity building. On one hand, (larger numbers of) uniformed personnel are better equipped to address security issues, to reduce violence, to neutralize threats from armed conflict, and to provide stability on the ground.<sup>55</sup> As Blair et al. conclude, "uniformed personnel can provide security while host state capacity is being built."<sup>56</sup> Hence, uniformed troops do not directly build state capacity, but they probably contribute to providing for a safe context in which the host nation can do this itself.

On the other hand, civilian personnel do not deliver security or address threats from armed conflict directly. But this is not their purpose in the first place.<sup>57</sup> Instead, civilian personnel directly aim at the capacity building of host nations.<sup>58</sup> According to Blair et al., "civilian personnel are especially important for capacity building, broadly defined" as civilian personnel can help building state capacity by educating citizens,<sup>59</sup> e.g., on the safe use of water and

<sup>&</sup>lt;sup>51</sup> See Bättig and Bernauer 2009.

<sup>&</sup>lt;sup>52</sup> Böhmelt et al. 2019, p. 78.

<sup>&</sup>lt;sup>53</sup> See Hanson and Sigman 2021.

<sup>&</sup>lt;sup>54</sup> See Ward and Dorussen 2015.

<sup>&</sup>lt;sup>55</sup> Di Salvatore and Ruggeri 2017; Fjelde and Smidt 2022; Blair et al. 2023.

<sup>&</sup>lt;sup>56</sup> Blair et al. 2023, p. 1309.

<sup>&</sup>lt;sup>57</sup> High-Level Independent Panel on United Nations Peace Operations 2015.

<sup>&</sup>lt;sup>58</sup> Blair 2020, 2021

<sup>&</sup>lt;sup>59</sup> Blair et al. 2023, p. 1309. See also Blair 2020, 2021.

sanitation. They can also train governmental agencies, provide technical assistance, and offer professional development to improve professionalism of the state apparatus. Thus, the argument establishing a strong link between civilian personnel and state-capacity building developed in Blair and Blair et al.<sup>60</sup> likely also applies to environmental quality. I contend that via that route, more civilian personnel are likely more crucial for improving environmental quality than uniformed personnel.

Personnel Composition Hypothesis: PKOs with more civilian personnel are more strongly related to better environmental quality.

To summarize the theoretical arguments, I focus on two factors, i.e., mission orientation and personnel composition, which I hypothesize to help improving environmental protection. This occurs either more directly (via "green" mission orientation through mandates and activities on the ground) or more indirectly (via the capacity building of host nations). Considering the formulation of the hypotheses (Table 1), the influences of these two factors should materialize independently of each other.<sup>61</sup> I thus include mission orientation and personnel additively in the empirical models.

TABLE 1 in here

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<sup>60</sup> Blair 2020, 2021; Blair et al. 2023.

<sup>&</sup>lt;sup>61</sup> See also Blair et al. 2023.

# SAMPLE, DATA, AND MAIN VARIABLES

The empirical analysis uses data covering all sub-Saharan African conflict and post-conflict countries between 1995 and 2018.<sup>62</sup> Conflicts and interventions before the end of the Cold War are thus not included in my analysis. Contemporary types of PKOs emerged more frequently with the end of the Cold War and environmental concerns have rarely been considered for mission orientations before the 1990s. The African focus is due to the fact that this continent remains the spatial emphasis of peacekeeping worldwide. The list of conflicts and post-conflict years I use for my data is derived from Blair et al.<sup>63</sup>

The country-year is the unit of analysis in this time-series cross-sectional data set, which comprises information on environmental quality, data on the uniformed as well as civilian personnel, and the details of UN peacekeepers' "green" mission orientations. The models below are estimated for samples of all country-years (conflict and post-conflict years) and conflict-years only. The theoretical rationale behind the focus on conflict-years is because the relationship between my core variables of interest and the outcome could be weakened in times of active fighting. Under those circumstances, as argued in, e.g., Blair et al., <sup>64</sup> peacekeepers may find it more difficult to deliver on mission goals other than security and peace – usually their main focus. At the same time, capacity-building could also be more challenging. What is more, we know that conflict can negatively affect domestic-level cooperation over water; to this end, if conflict worsens water cooperation and, hence quality, peace should improve it. <sup>65</sup> Only once fighting has ceased will PKOs be in a better position to effectively address environmental problems. On one hand, the situation on the ground allows for focusing on elements besides the

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<sup>&</sup>lt;sup>62</sup> Böhmelt 2024a.

<sup>63</sup> Blair et al. 2023.

<sup>&</sup>lt;sup>64</sup> Blair et al. 2023.

<sup>65</sup> See Böhmelt et al. 2014.

core goals of peace and security. On the other hand, and derived from this shift in focus, resources can be moved toward achieving these other goals as they are no longer (fully) needed to provide security alone. As a result, the impact of environmental orientation and deployments' composition could change as the conditions in a host nation become more violent.

For environmental quality as my dependent variable, I use an item on the number of age-standardized disability-adjusted life-years lost per 100,000 persons (DALY rate) due to unsafe water sources and sanitation from the 2019 Global Burden of Disease study by Kyu et al.<sup>66</sup> The dependent variable in Bakaki and Böhmelt<sup>67</sup> is the inverse of the subtracted logarithm of DALY rates in the current and the previous calendar year due to unsafe water and sanitation, and I follow this operationalization. It ranges between -0.637 and 0.605, with higher values standing for more water-quality progress. Negative values of the dependent variable pertain to more life-years lost, while positive values are related to fewer life-years lost. Figure 1 outlines the development of the dependent variable for all countries in the observation period.

# FIGURE 1 in here

The literature offers and discusses several options to operationalize environmental quality.<sup>68</sup> While "no single measure is ideal for all purposes,"<sup>69</sup> there are essential criteria for any environmental-quality indicator:<sup>70</sup> the variable is at the outcome level, human activity and political action can influence it, it refers to an obvious problem and can be subject to government

<sup>&</sup>lt;sup>66</sup> Kyu et al. 2018. Available online at: https://ghdx.healthdata.org/gbd-results-tool.

<sup>&</sup>lt;sup>67</sup> Bakaki and Böhmelt 2021.

<sup>&</sup>lt;sup>68</sup> For example, Ward 2006; Bernauer and Koubi 2009; Caviglia-Harris et al. 2009; Bernauer and Böhmelt 2013; Cao and Ward 2015.

<sup>&</sup>lt;sup>69</sup> Böhmelt et al. 2018, p. 483.

<sup>&</sup>lt;sup>70</sup> Jahn 2016, pp. 91ff.

regulations, there are available abatement technologies for implementation of the regulations, and data are available for a large set of countries and comparable over time. My operationalization of the dependent variable meets these criteria as I focus on an environmental aspect that PKOs, at least in principle, can shape directly: drinking water and sanitation, and the improvement of the corresponding infrastructure.<sup>71</sup> The specification of my dependent variable also mirrors Jahn<sup>72</sup> who argues that any environmental-quality measure should focus on changes in environmental aspects. And Johnson et al.<sup>73</sup> stress that water pollution is a core component of any understanding of environmental quality.

Coming to the core explanatory variables, I first create a binary variable coding PKOs in conflict and post-conflict-years using the International Peace Institute's Providing for Peacekeeping (P4P) data<sup>74</sup> and Blair's<sup>75</sup> data on civilian personnel deployed in UN missions. The final dichotomous variable combines both sources and receives a value of 1 if any peacekeeping force has been deployed to a country (0 otherwise). The item is lagged by two time periods to avoid problems with reverse causality.<sup>76</sup> The composition of a mission or its orientation is of no importance for this variable. Out of 728 observations in my data, 165 (22.66 percent) are coded as 1. Second, I then disaggregate this variable into two count variables capturing the composition of deployments: one variable on the number of uniformed personnel (in 1,000s) as defined by the P4P data, the other item is on the number of civilian personnel (in 1,000s) as defined by Blair.<sup>77</sup> The two count variables are also lagged by two time periods. According to P4P, uniformed

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<sup>&</sup>lt;sup>71</sup> Bruch et al. 2016.

<sup>&</sup>lt;sup>72</sup> Jahn 2016, p. 93.

<sup>&</sup>lt;sup>73</sup> Johnson et al. 1997.

<sup>&</sup>lt;sup>74</sup> Available online at: <a href="https://www.providingforpeacekeeping.org/">https://www.providingforpeacekeeping.org/</a>.

<sup>&</sup>lt;sup>75</sup> Blair 2020, 2021.

<sup>&</sup>lt;sup>76</sup> See also Blair et al. 2023.

<sup>&</sup>lt;sup>77</sup> Blair 2020, 2021.

personnel comprise military troops, police forces, and military observers. Civilian personnel include international staff, national staff, government-provided personnel, and UN volunteers.<sup>78</sup>

For the last of the core explanatory variables, I draw on information from a UNEP report<sup>79</sup> and Maertens and Shoshan<sup>80</sup> to code missions with an explicit environmental orientation. A deployment's "green orientation" is coded based on missions' actual mandate texts, but also on indirect mandates of a mission and direct mission activities on the ground. As indicated above, the mandate of the UNMIL mission specified explicitly that peacekeepers would have the direct mandate to "assist the government in restoring proper administration of natural resources." Moreover, some deployments do not refer to environmental issues in their mandate directly or indirectly, but comprise units or officers entirely dedicated to environmental issues due to, e.g., the UN Department of Field Support's 2009 Environmental Policy or the 2016 Environment Strategy. The orientation of such missions, e.g., MONUSCO (Democratic Republic of the Congo) with their "Environmental Protection" unit, are also coded as "green." Hence, environmental orientation frequently comprises actual activities (via officers or units) on the ground, not just some loose commitments on paper. Ultimately, given these coding instructions, missions that have an environmental or "green" orientation are Angola UNAVEM (I, II, III) / MONUA 1989-1999, Côte d'Ivoire UNOCI 2004-2017, DRC MONUC / MONUSCO 1999-(ongoing), Liberia UNMIL 2003-2018, Sierra Leone UNAMSIL 1999-2005, Sudan UNMIS 2005-2011, Sudan Darfur UNAMID 2007-2020, and South Sudan UNISFA / UNMISS 2011-(ongoing). For related mission-years and mandates after the publication of the UN report<sup>81</sup> or

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<sup>&</sup>lt;sup>78</sup> Blair 2020, 2021.

<sup>&</sup>lt;sup>79</sup> UNEP 2012, p. 10.

<sup>&</sup>lt;sup>80</sup> Maertens and Shoshan 2018, p. 12.

<sup>&</sup>lt;sup>81</sup> UNEP 2012.

Maertens and Shoshan,<sup>82</sup> I assume that existing environmental references have been carried forward to the most recent year. If a mission is not listed by the reports, I assume that an environmental orientation did not exist. I use this information to code missions' orientations as "green" and create a binary indicator on whether a PKO mission is based on an environmental orientation (1) or not (0). Note that this variable is coded at the mission level, not the country level. This last explanatory variable has a few more missing values than the other core explanatory items (see Tables A1-A2 and A12 in the Supporting Information, SI). Note, however, that the results remain unchanged when using the same sample across the three items' estimations (Table A12 in the SI).

#### ESTIMATION AND IDENTIFICATION

The main empirical models I present are two-way fixed effects OLS regressions. The fixed effects are based on countries and years and, thus, control for unobserved time-invariant unit-level influences and common temporal shocks, respectively. I also include a lagged dependent variable in all estimations to address unit-specific temporal path dependencies in water-quality progress.

Two issues merit discussion here. First, there is the challenge of Nickell bias<sup>83</sup> when including a lagged dependent variable in two-way fixed effects OLS regressions. The bias diminishes as time increases, but only a few years in combination with several countries does not fully eliminate the issue. To this end, Table A9 in the SI presents the core models (see below) without a lagged dependent variable. The results are qualitatively like what is discussed in the next section. Second, while the approach of a two-way fixed effects setup has many advantages, the

<sup>82</sup> Maertens and Shoshan 2018.

<sup>&</sup>lt;sup>83</sup> Nickell 1981.

literature also questions the use of fixed effects altogether.<sup>84</sup> I thus examine the robustness of my findings by considering random effects and by excluding unit-level fixed effects from the estimation. The results of these analyses are presented in Table A10 and Table A11 of the SI.

In most model estimations, I include control variables for population, income and income squared, fuel exports, and regime type. These items are lagged by three time periods to avoid issues stemming from post-treatment bias and address concerns over alternative influences of environmental quality. Their operationalization as well as data sources are presented in the first section of the SI.

Selection bias is prominent in the study of peacekeeping: <sup>85</sup> PKOs are not randomly assigned to host nations, but deployments follow very strategic patterns and considerations. Peacekeepers may be deployed either to the "easier" or the more difficult cases. <sup>86</sup> Assigning peacekeepers to the former type of (post-) conflicts makes it more likely to be effective. <sup>87</sup> That said, interventions are not needed here, but in the more challenging, harder cases where antagonists may find it difficult to settle a dispute on their own. <sup>88</sup> A similar rationale might apply to the consideration of environmental aspects in peacekeeping missions' orientations: <sup>89</sup> environmentally more vulnerable states could be more likely to attract PKOs with a "green" orientation; at the same time, it may be equally plausible that PKOs have an environmental orientation when deployed to environmentally less vulnerable countries, although these tend to be better able to adapt to and mitigate environmental problems themselves. Consistent with the rationale that interventions strategically select into the more difficult cases, there is some evidence for a relationship

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<sup>&</sup>lt;sup>84</sup> For example, Imai and Kim 2021.

<sup>85</sup> Doyle and Sambanis 2006; Gilligan and Stedman 2003; Fortna 2008; Blair et al. 2023.

<sup>&</sup>lt;sup>86</sup> Fortna and Howard 2008, p. 290.

<sup>&</sup>lt;sup>87</sup> Carter 2007; Gilligan and Stedman 2003.

<sup>88</sup> Fortna 2004: Dorussen 2023.

<sup>&</sup>lt;sup>89</sup> Böhmelt 2024b.

between host countries' exposure to climate change (climate-change related disasters from the Emergency Events Database (EM-DAT) and significant temperature deviations from a long-term average from the National Aeronautics and Space Administration Goddard Institute for Space Studies) and PKOs with an environmental orientation.<sup>90</sup>

The fixed-effects models control for selection effects based on observables, but I consider another approach to address this problem as I employ Coarsened Exact Matching (CEM)<sup>91</sup> to pre-process the data: this allows us to identify the association between UN interventions and environmental quality with more precision, since the analysis is based on a sample that is virtually identical in the control variables. Country-years only differ in whether they have seen the deployment of peacekeepers or not. The combination of the two estimation strategies, i.e., two-way fixed effects and matching, is important as, on their own, each approach has certain drawbacks and cannot fully address, e.g., concerns over selection bias. However, considered together, the two-way fixed effects regression and CEM help support a more accurate interpretation of the findings.<sup>92</sup>

#### **EMPIRICAL RESULTS**

Table 2 presents the results pertaining to the binary peacekeeping variable. According to Models 1-3, *UN Peacekeeping* is positively and statistically significantly associated with the water-quality outcome variable. This correlation is robust to changing the sample (all country-years vs. conflict years only) and the inclusion of controls (Models 2-3). Peacekeeping, therefore, is indeed linked to lowering the DALY rate due to unsafe water and sanitation and, hence,

<sup>&</sup>lt;sup>90</sup> Böhmelt 2024b.

<sup>91</sup> Blackwell et al. 2009; Iacus et al. 2012.

<sup>&</sup>lt;sup>92</sup> See Blair et al. 2023.

improving environmental quality on the ground beyond the ecological footprint of a mission as such. For the first model, for example, we obtain a coefficient estimate of 0.010, which translates into an improvement of 1.010 life-years per 100,000 persons for any UN mission intervening, all else equal.

#### TABLE 2 in here

Having said that, the theoretical argument advanced in this study focuses on the mission orientation and personnel composition of UN PKOs. The theory suggests that environmental mission orientation should be positively linked to the water-quality progress measure (Environmental Orientation Hypothesis), and that civilian personnel should be more strongly associated with environmental quality (Personnel Composition Hypothesis). Table 3 focuses on the environmental orientation of UN PKOs. Models 4-5 test the Environmental Orientation Hypothesis directly, while Model 6 is for conflict-cases only. The explanatory variable, Green Orientation, is positively signed and statistically significant in all Models 4-6. Hence, adding or omitting the control variables and focusing on a conflict-only sample does not alter the substance of the finding. Also note that the coefficient estimate is stronger than in Table 2, which suggests that missions with an environmental orientation outperform "regular" UN deployments that do not explicitly deal with environmental issues. For Model 5, for instance, we obtain a coefficient estimate of 0.034, which translates into an improvement of 1.034 life-years per 100,000 persons for any UN mission with a green orientation intervening, all else equal. This association is also graphically displayed in the left panel of Figure 2. These findings support the *Environmental* Orientation Hypothesis.

#### TABLES 3-5 in here

Table 4 presents the correlations of uniformed personnel with environmental quality, while
Table 5 focuses on the association between civilian staff and the water-quality progress item.

The correlation estimated in Table 4 mirrors the findings from Tables 2-3 in that I obtain
evidence for a positive and significant influence on water-quality progress. Yet, the coefficients
across Models 7-9 as well as Models 10-12 (Table 5) offer a more detailed understanding of how
UN PKOs affect environmental quality as deployments are now disaggregated by type. To this
end, for example, the coefficient estimate of *Uniformed Personnel* in Table 4 ranges between
0.002 and 0.003, which may translate into an improvement of about 1.003 life-years per 100,000
persons for any 1,000 uniformed personnel deployed in a mission. This substantive association is
graphically displayed in the center panel of Figure 2. The estimated correlation in Table 5, which
is based on civilian personnel, ranges between an improvement of 1.014 and 1.018 life-years per
100,000 persons for any 1,000 civilian personnel deployed per PKO. The right panel in Figure 2
summarizes the corresponding substantive quantity of interest.

# FIGURE 2 and Table 6 in here

The models in Table 6 are based on Models 5, 8, and 11, respectively, but the data have been pre-processed by CEM.<sup>93</sup> Hence, the samples analyzed in Models 13-15 are virtually identical in the control variables' values and distributions, but data points differ in whether they received the treatment, i.e., the deployment of peacekeepers (binary variable as used in Table 2), or not. As

<sup>93</sup> Blackwell et al. 2009; Iacus et al. 2012.

described by Di Salvatore, 94 this matching procedure "coarsens the sample on a set of variables; once observations are divided into strata, weights balance the number of treated (with peacekeeping) and untreated (without peacekeeping) observations in each stratum. This alleviates selection bias and model dependence." I employ matching based on all control variables, as this significantly increases the balance in the post-matched sample between treated and control cases. Examining the results in Table 6 and comparing these with the earlier findings, we see that Green Orientation remains to be strongly and positively associated with local water quality as I obtain a coefficient of 0.035. Civilian Personnel is also positively signed and significant at conventional levels, but weaker in terms of substance. The variable on uniformed personnel is not statistically significant. In sum, the two-way fixed effects models and the matching analysis support the Environmental Orientation Hypothesis and the Personnel Composition Hypothesis. In other words, a green orientation of UN PKOs as well as personnel composition matter, and although I find evidence for a positive association of either uniformed personnel or civilian personnel with environmental quality in Tables 4-5, I have more certainty about the influence of civilian personnel than the association of uniformed troops with environmental quality on the ground.

In the SI, I present a large number of additional analyses that further support the arguments introduced above. First, I consider the three main explanatory variables simultaneously (Table A6) and employ panel-corrected standard errors (Table A7). I also cluster the standard errors by country (Table A8) and omit the lagged dependent variable from the models (Table A9). In Table A10, I replace the fixed effects by random effects, before dropping random/fixed effects altogether in Table A11. In Table A12, I summarize models based on identical samples, while

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<sup>&</sup>lt;sup>94</sup> Di Salvatore 2019, p. 849.

Tables A13-A14 consider alternative dependent variables: renewable energy consumption and renewable energy output. I also examine the robustness of the findings when using a binary water-quality progress variable (Table A15). As a last alternative for the dependent variable, I consider CO<sub>2</sub> emissions per capita as a general proxy for environmental quality in Table A16. Finally, Table A17 is based on an instrumental-variable approach. All these robustness checks provide strong support for my hypotheses and the empirical findings discussed above.

# **CONCLUSION**

There is increasing evidence that UN PKOs are related to outcomes besides peace and security. These influences may sometimes be more indirect than direct and more unintentional than purposefully planned, but they are nonetheless important as they pertain to core aspects of national and international policy agendas. Environmental politics is such a factor: Although the UN has recognized environmental and climate change as policy priorities, also in their mission to provide peace and security, it has only more recently emerged that mission orientations specify environmental elements or goals. On top of that, although existing research provides us with robust evidence that peacekeepers can shape environmental outcomes on the ground, also beyond the ecological footprint of their mission, We thus far lacked a clear understanding of the precise mechanisms linking PKO activities with environmental quality.

This article has sought to help addressing this gap. Concentrating on missions' orientation and their composition, I advanced theoretical arguments on environmental elements in interventions'

<sup>&</sup>lt;sup>95</sup> For example, Di Salvatore 2019; Belgioioso et al. 2021; Gizelis and Cao 2021; Bakaki and Böhmelt 2021; Bove et al. 2022; Di Salvatore et al. 2022; Blair et al. 2023.

<sup>&</sup>lt;sup>96</sup> For example, Caviglia-Harris et al. 2009; Farzin and Bond 2006; Ward 2008; Bernauer and Koubi 2009; Jahn 1998, 2016; Bernauer 2013; Böhmelt et al. 2018.

<sup>&</sup>lt;sup>97</sup> Maertens and Shoshan 2018; Bakaki and Böhmelt 2021; Leloup and Maertens 2023.

mandates and activities on the ground, missions' uniformed personnel, and the share of civilian personnel in deployments. That is, first, "green" mission orientations commit PKOs more strongly and credibly to solving environmental problems on the ground. Second, PKOs usually comprise a mix of uniformed and civilian personnel, which have different strengths and weaknesses. These translate into the expectation that civilian staff should be more strongly associated with better environmental quality due to a direct impact on state-capacity building.

The empirical analysis is based on data on UN PKOs' mission orientation<sup>98</sup> and troop composition<sup>99</sup> as well as environmental quality as captured by improved access to safe drinking water and sanitation<sup>100</sup> in African post-conflict and conflict societies since the end of the Cold War. I use two-way fixed effects regression models and matching. The corresponding findings from these models, presented in the main text as well as the SI, provide strong and robust support for my hypotheses.

This research adds in several ways to the literature on peacekeeping, its indirect consequences and positive spillovers, <sup>101</sup> as well as environmental politics. <sup>102</sup> Most importantly, the analyses demonstrate when and how UN PKOs can add to environmental protection in their host countries: especially "green" mission orientations are positively related to environmental protection, but also more civilian personnel matters. These are the factors that need to be focused on and extended if the UN intends to effectively implement its environmental commitment via peacekeepers. And several interesting avenues for future research do exist.

<sup>98</sup> UNEP 2012; Maertens and Shoshan 2018.

<sup>&</sup>lt;sup>99</sup> See Blair 2020, 2021; Blair et al. 2023; Di Salvatore et al. 2022.

<sup>&</sup>lt;sup>100</sup> Kyu et al. 2018.

<sup>&</sup>lt;sup>101</sup> For example, Di Salvatore 2019; Belgioioso et al. 2021; Gizelis and Cao 2021; Bakaki and Böhmelt 2021; Bove et al. 2022; Di Salvatore et al. 2022; Blair et al. 2023.

<sup>&</sup>lt;sup>102</sup> For example, Bernauer and Koubi 2009; Jahn 2016; Guy et al. 2023.

On one hand, while environmental elements in PKO orientations are crucial, it may be an effort worth making to analyze the factors that lead to the inclusion of these green aspects in the first place. One analysis 103 uses data on host countries' exposure to climate change (climatechange related disasters from the Emergency Events Database (EM-DAT), significant temperature deviations from a long-term average from the National Aeronautics and Space Administration Goddard Institute for Space Studies), as well as PKOs with an environmental orientation and suggests that there is a relationship, but more systematic work is necessary. Similarly, how and under what circumstances mission orientations draw upon the assistance of other UN bodies, especially UNEP, are worth exploring. On the other hand, it will be interesting to find ways that would allow strengthening the pursuit of an environmental agenda even if fighting among warring groups exist, also in light of the finding that cooperation over environmental projects can lower political violence. 104 Finally, qualitative work may want to explore how green orientations translate into local individuals working on environmental improvements and shed more light on the actual PKO activities on the ground. Relatedly, some environmental impacts are more localized than at the mission level, which suggests that analyzing the micro-level relationship between PKOs and environmental quality using geo-coded data might be another avenue for future research.

#### **DATA**

Replication files for this article can be found at https://doi.org/10.7910/DVN/6GPBUE.

<sup>103</sup> Böhmelt 2024b.

<sup>&</sup>lt;sup>104</sup> See Ide and Detges 2018; Ide 2019; Ide and Tubi 2020; Ide et al. 2021, 2023.

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