Jointly Optimize Energy Harvest Time and Device Pairing for D2D Communications Underlaying Cellular Network

Chaochao Wang¹ · Kun Yang¹ · Jie Hu¹ · Haibo Mei¹ · Ping Wang¹

Abstract

Nowadays, to realize device sustainability and prolong device working time, energy harvest (EH) has been introduced into D2D communication networks that allow each D2D equipment (DUE) harvesting the radio frequency energy from the facilities in proximity. However, in such EH-enabled D2D network, it is challenging to integrate EH with the device pairing mechanism that is critical to the performance of the network. To this problem, we propose an optimization algorithm in this paper that jointly optimizes the energy harvesting time and the pairing for each DUE in a closeform to obtain the maximum throughput of the EH-enabled D2D network. In the proposed algorithm, each DUE will go through two mutually influenced stages, i.e., EH stage and information transmit stage, in which the device pairing will take the energy status of the candidate DUEs into consideration. The numerical results demonstrate that the joint optimization algorithm has a significant increased throughput for the EH-enabled D2D network, compared with other benchmark solutions.

Keywords D2D communication \cdot Energy harvest \cdot D2D device pairing \cdot Energy harvest time

¹ School of Communication and Information Engineering, University of Electronic Science and Technology of China, Chengdu 611731, China

1 Introduction

With the growth of smart devices and 5G related applications, device to device (D2D) communication has attracted enormous research interests [1, 2]. D2D communication enables end devices within close proximity to directly communication with each other, rather than going through the base station. Such new network architecture has become a key technology of 5G system. Particularly, the in-band D2D communication underlay cellular network has been intensively studied, as it more releasing the issue caused by the scarce spectrum resource then more expanding the network coverage [3]. In such in-band mode, each D2D equipment (DUE) shares the spectrum resources with the cellular users [4–6].

One of the main differences between D2D communication and traditional cellular communication is that the peer-to-peer devices need to be paired in the D2D communication system [7]. And an efficient pairing strategy can often lead to effective content distribution within those devices, so as to increase the capacity of the system [8, 9]. For example, Song et al analyzed a heuristic distance-based scheme in [8], which appropriately paired the devices. Zhou et al. [9] employed a Gale-Shapley algorithm based on social perception to pair the D2D devices for maximum sum rate of the D2D users. In addition, different from the matching based on content distribution [8, 9], a part of the research only focuses on the effective matching of users to achieve better system performance [10-12]. Liu et al. [10] conceived a D2D matching scheme using Voronoi diagrams, by which a D2D link can only be established in adjacent areas. The matching scheme can reduce the co-channel interference and power consumption of DUE to achieve higher network coverage and spectrum efficiency. Such work therefore supports D2D network better working in actual scene. In [11], the authors utilized the alliance game algorithm to optimize and adjust the distribution of D2D devices forming multiple disjoint alliances. They also used the logistic regression method to predict the probability of successful device pairing. Such works have improved the quality of D2D service. In [12], a symmetric matching algorithm is adopted to form a stable and proportional fair device pairing algorithm in the D2D-relay system. It has been verified that the algorithm is Pareto optimal to the system performance.

Even through D2D communication has great potential supported by above mentioned device paring mechanisms, there is a power constraint issue of the D2D end device. In practice, the frequent data emission and reception within the battery-powered D2D devices will quickly exhaust the energy of those devices [13, 14]. Thus, in order to improve the working time of the D2D device, the energy harvest (EH) technology has been utilized in D2D network [15, 16]. Compared with other energy-limited solutions such as reducing energy consumption [17], EH is more effective by bringing the new source of energy to the D2D network. For example, the EH implementations in [15, 16] allow D2D device to collect energy from solar, radio frequency and so on, which are efficient to prolong the life of the device and realize green D2D communication.

There are a few works studying EH working in D2D network. Atat et al. [19, 20] investigated improving the spectral efficiency and managing interference in EH-enabled D2D network. In [19], it discussed realizing EH via spatial RF in D2D networks. And a closed expression of the probability of activating the RF power conversion circuit was studied. In [20], it studied that how EH affects the spectrum efficiency and cellular spectrum efficiency of the EH -enabled D2 D ce llular ne twork. The re source allocation of D2D communication based on EH has been studied [21–25]. In [21–23], the problem of resource allocation in energy-harvesting D2D heterogeneous networks

(EH-DHNS) was studied to maximize the data transferring rate. Similarly, in [24], the resource allocation problem of cellular networks under EH-enabled D2D network was discussed, and it maximized the throughput and the signal to interference plus noise ratio (SINR) requirements of cellular users through joint time scheduling and power control. In [25], the optimization of the EH time of relay devices and transmit power were carried out under the constraints of probabilistic interference. Moreover, the time allocation of D2D communication based on energy harvesting are research in [26–28]. Yu et al. [26] considered multiple time slots in the cycle that the base station decides whether the current time slot is for energy harvesting or D2D transmission according to the energy level. An iterative algorithm is used to jointly optimize the resource block and the transmission power of the device without compromising the QoS of the cellular user, while achieving the maximum sum throughput of the D2D user. Wang et al. [27] considered allocating two time slots for energy collection and information transmission within a period, and combined time scheduling and power control to maximize the total throughput of D2D network underlay cellular network. The work also ensured the reliability of cellular users. In particular, Xu et al. [28] proposed a robust resource allocation algorithm to maximize energy efficiency in the case of a D2D communication underlying UAV auxiliary network based on energy harvesting, and imperfect channel information and coordinate information. Similar to [27], the energy harvesting time in [28] is also divided into two stages: energy harvesting and information transmission. The discussed papers [22–28] collectively advance the field of D2D communication by addressing key challenges related to energy efficiency, resource allocation, and system throughput. These papers focus on optimizing energy efficiency in D2D communication through various means, such as power control, subcarrier or time allocation, and operation mode optimization. References [21-24] emphasize resource allocation, proposing algorithms that dynamically adjust resource distribution based on EH constraints and network demands. References [26, 27] proposes solutions to maximize the system's energy efficiency while considering the constraints of D2D and cellular communications. References [22, 28] introduce advanced network architectures into the D2D and EH discussion. Reference [28] focus on a robust resource allocation algorithm for EH-based D2D communication under UAV-assisted networks.

Based on our study, existing works have comprehensively considered the device paring, and EH related problems in EH-enabled D2D communication including the allocation of spectrum resources, power and time slot [29–31]. However, there are few works studied the mutual influence between device paring and energy harvesting in EH-enabled D2D network. In practice, D2D device equipped with a dedicated EH module will increase the complexity of the system [18]. This is because EH will significantly increase the complicacy of the device pairing of the D2D network. For example, in a D2D network, a DUE will go through two mutually influenced stages, i.e., EH stage and information transmit stage, in which the device pairing will take the energy status of the candidate DUEs into consideration. Therefore, a EH-enabled D2D network has to consider EH and DUE paring as a whole. According to our best knowledge, there is no work so far proposed to solve such complicacy issue.

Therefore, in this paper, we will consider the joint problem on EH and device pairing, which is exclusive to any previous works. A joint algorithm will be proposed to reasonably optimize the distribution of energy harvesting time and information transmission time within the cycle time, and then select the best charging time of the paired D2D devices. As a result, the proposed solution will maximize the throughput of the EH-enabled D2D systems. To this end, the main contributions of this paper are summarized as follows:

- (1) We propose a novel system model on D2D working in the underlay cellular environment, and consider the joint problem on EH and device pairing. In the system model, considering that the distribution density of cellular users gradually decreases on the edge of the base station, the cell edge users thus can be selected as D2D users, while the center users perform cellular communication. This system model thus avoids the interference between any D2D user and cell user due to their longer distance between each other, thus supports D2D communication working effectively underlaying the cellular network.
- (2) Based on the system model, we further study the joint optimization problem on energy harvesting time allocation and device pairing. To solve such complicated problem, we reformulate it into two sub-problems, which is simpler and easy to be solved separately. In specific, we first obtain the optimal closed-form solution of the energy harvesting time in first. Then, a Kuhn-munkres (KM) algorithm is used to achieve the best pairing and the information transmission rate of the D2D devices. These two steps will be run iteratively in an iterative algorithm. The algorithm will surely converge and obtain the optimized solution leading to an improved overall system performance of the D2D network.

In rest part of this paper, we introduce the system model in Sect. 2. Then, we formulate the optimization problem in Sects. 3, and 4, we provide solution to the joint optimization problem. In Sect. 5, we compare the proposed algorithm with other benchmark solution. The numerical results will be given to justify that the proposed solution can well improve the throughput of the EH-enabled D2D network.



Fig. 1 System-model on EH-enabled D2D communication

2 System Model

We consider a D2D communication system supported by EH underlaying cellular network. As shown in Fig. 1 below, in the environment covered by the base station (BS), there are numbers of D2D equipment (DUE) and cellular users (CUEs), where DUEs are equipped with radio frequency (RF) energy harvesting modules. In a specific time-point, DUEs can be divided into D2D transmitters (DTs) and D2D receivers (DRs) due to different tasks in current time cycle *T*. In addition, we assume that the content to be requested by DR is already cached by the DT from the BS, and one DT can only be paired with one DR. In order to improve the utilization rate of the spectrum, the DT-DR pair will reuse the uplink spectrum resources of the CUEs during communication, which might cause interference between the CUEs and the DUEs. We define $\mathbb{M} = \{1, 2, \dots M\}$ as the set of DRs, while assuming $\mathbb{C} = \{1, 2, \dots C\}$ representing the set of CUEs.

In this paper, the implemented EH in each DT adopts the harvest-store-use working model, i.e., one DT can collect the radio frequency energy from the BS and then store it and finally use it to transmit information in next time slot.

In a cellular network, assume that the coverage area of a base station (BS) is circular and the BS is closely surrounded by numbers of end users, whose wireless links to the BS are in good quality. However, there are numbers of end devices located in the edge of the BS cell, and having a poor wireless link to the BS due to the distance. Within these cell edge devices, D2D direct communication thus can be effectively used to increase the traffic rate. Also, the D2D communication for edge users can also effectively reduce interference. This is because edge users will request higher transmission power to establish reliable communicate like to the BS, which will cause greater interference to other end users. To this end, we take into account the distribution of the end devices in the area, and propose a regional division policy (RDP) to make the EH-enabled D2D network to be more practical underlaying the cellular network. As shown in Fig. 2, the devices around the BS, i.e., the devices in inner circle, are all CUEs linking to the BS. In the middle ring area, the end devices will be DTs that can better harvest the radio frequency energy from the BS and transmit data to cell edged DRs. Finally, the DUE that requests data among the edge users of the cell are the DRs located in outer circle. The regional division strategy is an application strategy based on energy harvesting to improving the communication rate of edge users for ensuring the fairness of users.





2.1 Energy Harvesting Model

Based on the system model in Fig. 1, we assume that all DUEs in the area have certain energy acquisition capabilities and can collect RF energy through wireless energy transmission. Generally, there are three protocols for energy harvesting: harvest-use (HU), harvest-store-use (HSU), and harvest-use-store (HUS). The HSU protocol in [32] was adopted in this study. Hence, the energy harvested by the device in the time slot cannot be used immediately, and only loaded into the battery and used at the beginning of the next time slot.

According to HSU protocol, the period *T* is divided into two time slots: τ_e and τ_t . Specifically, during τ_e , DTs utilizes EH to harvest the RF energy transmitted by BS. The collected energy will be used for information transmission in slot τ_t . The length of the two stages have to satisfy the following constraint:

$$\tau_e + \tau_t \le T \tag{1}$$

In this study, different frequency bands are used for RF energy transmission and information transmission, which keep no interference with each other. Additionally, the perfect channel state information can also be obtained through pilot signals and remains unchanged within the *T*. A typical energy harvesting model can be modeled as a linear one, by which the energy collected by the DT from the BS within τ_e can be expressed as:

$$E_i = K\eta p_0 d_{b,i}^{-\alpha} \tau_e \tag{2}$$

where $\eta \in (0, 1)$ is the efficiency of the converting RF signal into energy in the DC circuit, p_0 is the transmit power of the BS and K represents the system parameter. $d_{b,i}$ is the distance between the BS and *i*-DT, α refers to the path loss index.

In order to ensure sustainable operation, the stored energy must not exceed the maximum capacity of the battery, where the initial energy of each DUE is sufficient for smooth communication at the beginning. In the transmission period T, the average transmit power of *i*-th DT can be expressed as:

$$p_i = \frac{E_i}{\tau_t} \tag{3}$$

2.2 Channel Model

The channel power gain between DT i and DR j can be denoted as

$$h_{i,j} = K d_{i,j}^{-\alpha} \tag{4}$$

In this paper, each CUE is assigned an orthogonal sub-channel, while the M CUEs occupy the entire bandwidth W. When DT i reuses the spectrum resource of CUE c the signal-to-interference-plus-noise ratio (SINR) of DT can be expressed as

$$SINR_{i,j} = \frac{p_i h_{i,j}}{p_c h_{c,j} + \sigma^2} = \frac{K \eta p_0 d_{b,i}^{-\alpha} \tau_e h_{i,j}}{\tau_t (p_c h_{c,j} + \sigma^2)}$$
(5)

where p_i is the transmit power of DT *i* and p_c is the fixed transmit power of CUE *c*. σ^2 indicates the noise variance of additive white Gaussian noise. $h_{c,j}$ represents the channel power gain between CUE *c* and DR *j*. Therefore, the information transmission rate from transmitter to receiver can be expressed as

$$R_{i,j} = W \log(1 + SINR_{i,j}) \tag{6}$$

where *W* represents the D2D communication bandwidth. Similarly, for CUE, when D2D pairs reuse the spectrum resources, the SINR is

$$SINR_c = \frac{p_c h_{c,b}}{p_i h_{i,b} + \sigma^2} \tag{7}$$

Considering the fairness, a pair of DT-DR will reuses the spectrum resources of unique CUE. In addition, to ensure the QoS, the SINR of the CUEs should meet the following requirements

$$SINR_c \ge r_{th}^c$$
 (8)

where r_{th}^c represents the lowest threshold.

Assuming the number of CUE is greater than the number of DUE, any DT-DR pair thus can easily find one CUE sharing spectrum resource. With the aim to ensure (8), the spectrum resources of CUE can only be reused by a pair of DT-DR. Meanwhile, within all potential connections, DR tends to establish a great link with unique DT for better service quality.

3 Problem Formulation

In EH-enabled D2D network, it is critical to jointly optimize the device pairing and the allocation of EH time of the DUEs. In principle, the longer the EH time involved with the higher power of DUEs and the information transmission rate will increase accordingly. However, in the period *T*, the longer EH time will shorten the information transmission time of the device. Therefore, it is a tricky problem to find the proper EH time to both satisfy the energy and data transmission requirements of the DUEs.

Therefore, this paper investigates a more efficient EH-enabled D2D network by jointly optimizing the energy harvesting time and the device pairing to maximize the throughput of D2D system. Therefore, in T time, the optimization problem can be formulated as

P1 max
$$\sum_{\tau_e,\tau_t,\mathbf{X}}^{N} \sum_{i=1}^{N} \sum_{j=1}^{N} X_{i,j} \tau_t W \log \left(1 + \frac{K \eta p_0 d_{b,i}^{-\alpha} \tau_e h_{i,j}}{\tau_t (p_c h_{c,j} + \sigma^2)} \right)$$
 (9)

s.t.
$$SINR_{i,j} \ge r_{th}^d, \ \forall i \in M, j \in N$$
 (9a)

$$0 \le p_i \le p_{\max}^d, \forall i \in M \tag{9b}$$

$$\tau_e + \tau_t \le T, 0 < \tau_e, 0 < \tau_t \tag{9c}$$

$$\sum_{j \in N} X_{i,j} \le 1, \ X_{i,j} \in \{0,1\}, \ \forall i \in M$$
(9d)

$$\sum_{i \in M} X_{i,j} \le 1, \ X_{i,j} \in \{0,1\}, \ \forall j \in N$$
(9e)

where the optimization target of (9) is to maximize the throughput of the EH-enabled D2D network. With the reliable communication of DUEs, the SINR should meet (9a) where r_{th}^c

represents the lowest threshold for DUEs normal communication. (9b) constraints the transmission power of DTs. Constraints (9d) and (9e) are to ensure the fairness that a DT can only be connected with one DR. The elements of device connection matrix X obey binary constraints where its 0-1 value represents whether related DT and DR connected or not, respectively.

The proposed problem in (9) considers the reliability of DUE, QoS and the fairness of pairing, which is a non-convex problem and difficult to be solved. This is because the time uncertainty of (9c) makes (9a) (9b) a non-linear constraint, and (9d) and (9e) are integer constraints. The pair and time allocation optimization problem are jointly considered as a nonlinear mixed integer problem.

4 Proposed Solution

To make the joint problem in (9) tractable, we try to divide the problem into two subproblems: time optimization and device pairing. For time optimization, assuming that the matching matrix is known, the optimal solution therefore is to determine the relationship between energy harvesting and information transmission, that is, transforming (9a) and (9b) into linear constraints, and the optimal time allocation of DTs can be obtained. Then, to find the best match based on the throughput of time allocation in the pairing problem, an iterative algorithm can be designed to gradually approach the best match. Thence, a joint iterative algorithm can be designed to obtain the overall optimal solution.

4.1 Optimize EH Time Allocation

Assuming $\{\tau_e^*, \tau_t^*\}$ denotes the optimized EH time and data transmission time respectively, it enables the D2D communication system to reach the maximum throughput *TPs*^{*} and ensure $\tau_e^* + \tau_t^* \leq T$. Obviously, the optimal solution with the satisfying throughput can always be obtained with $\tau_e + \tau_t = T$ being satisfied [27]. Therefore, in the following derivation, let $\tau_e = T - \tau_t$. Based on (3) and (9b), the DT transmit power p_i constraint is derived as

$$p_i = \frac{K\eta p_0 d_{b,i}^{-\alpha} (T - \tau_t)}{\tau_t} \le p_{\max}^d$$
(10)

Then one has

$$\frac{K\eta p_0 d_{b,i}^{-\alpha} T}{K\eta p_0 d_{b,i}^{-\alpha} + p_{\max}^d} \le \tau_t \tag{11}$$

Additionally, (9a) was fulfilled for reliable communication of DUE. Further deduced due to (5) as

$$\frac{(T-\tau_t)K\eta p_0 d_{b,i}^{-\alpha} h_{i,j}}{\tau_t(p_c h_{c,j} + \sigma^2)} \ge r_{th}^d$$
(12)

Here, for the convenience of further representation let $\alpha_{i,j} = \frac{K\eta p_0 d_{b,i} - \alpha_{h,j}}{p_c h_{c,j} + \sigma^2}$, then one has

$$\tau_t \le \frac{T\alpha_{i,j}}{r_{th}^d + \alpha_{i,j}} \tag{13}$$

As a result, according to (11) and (13), we can get

$$0 \le \frac{K\eta p_0 d_{b,i}^{-\alpha} T}{K\eta p_0 d_{b,i}^{-\alpha} + p_{\max}^d} \le \tau_t \le \frac{T\alpha_{i,j}}{r_{th}^d + \alpha_{i,j}} \le T$$
(14)

Under the condition that the DUE matching is determined, then the D2D matching matrix X becomes available. Then we can further transform problem P1 into P2 as

P2 max

$$\tau_{\tau_{t}} \sum_{i=1}^{N} \sum_{j=1}^{N} X_{i,j} \tau_{t} W \log \left(1 + \frac{K \eta p_{0} d_{b,i}^{-\alpha} \tau_{e} h_{i,j}}{\tau_{t} (p_{c} h_{c,j} + \sigma^{2})} \right)$$
(15)

s.t. (14), (9c)

According to P2, DTs cannot guarantee the QoS if the transmission time occupy too much. On the contrary, if the energy harvesting time increases it will cause the data throughput of the D2D communications reducing correspondingly. In summary, we cannot find the optimal solutions, unless τ_t is the maximum and $SINR_{i,j} \ge r_{th}^d$ is satisfied. Then we can find the optimal transmission time as

$$\tau_t^* = \frac{T\alpha_{ij}}{r_{ih}^d + \alpha_{i,j}} \tag{16}$$

4.2 Optimize D2D Device pairing

After the EH time is allocated within T, we can simplify $Y_{i,j}$ as

$$Y_{i,j} = \tau_t W \log\left(1 + \frac{K\eta p_0 d_{c,i}^{-\alpha} h_{i,j} \tau_e}{(p_c h_{c,j} + \sigma^2) \tau_t}\right)$$
(17)

Then the joint problem can be further reformulated as

P3
$$\max_{\tau_e,\tau_t,X} \sum_{i=1}^{M} \sum_{j=1}^{N} X_{i,j} Y_{i,j}$$
 (18)

Obviously, the problem P3 can be formulated as a problem of selecting the best connection partner in the D2D group. In specific, we can model the D2D communication network as an undirected bipartite graph, which represents the pairing relationship between DTs and DRs. $\mathbf{G} = \{\mathbf{V}, \mathbf{E}\}$ denotes an undirected bipartite graph in graph theory where \mathbf{E} is an edge set and $\mathbf{V}=\{\mathbf{DT},\mathbf{DR}\}$ is a vertex set composed of DT-DR pair. The coefficient $Y_{i,j}$ is regarded as the weight of different DT-DR pairs, so the problem of maximizing throughput P3 can be regarded as finding the best pair with the largest sum of DT-DR weights.

Under this model, Kuhn-Munkres(KM) algorithm can be used to find the maximum weight matching for complete matching. Hence, we apply the KM algorithm to solve the optimization problem P3 to find the maximum weighted DT-DR pair in the bipartite graph, as shown in Fig. 3.

In order to maximize the sum of the weights of the edges in the undirected bipartite graph. DR then tends to combine the SINR and throughput of the link to its potential matched device to select the best DT in the candidate group. With the edges in the bipartite graph, Y_{ij} is the weight of DT *i* and DR *j* pair, which is the actual throughput of the DT-DR link.

4.3 Joint Optimization Algorithm

Based on the solving of P2 and P3, a joint optimization (JOPT) algorithm to obtain the maximum throughput of the D2D communication network can be defined as Algorithm 1.

Algorithm 1 Joint optimization EH slot allocation and devices matching (JOPT)

- **Input:** The number of D2D transmitters(DTs) M and receivers(DRs) N; Cycle length T; D2D maximum transmit power p_{max}^d ; SINR threshold of **DUE** communication
- **Output:** Optimal information transmission time τ_t ; EH time $T \tau_t$; D2D matching matrix \boldsymbol{X} ; Maximum system throughput TPs^* ;
- 1: Initialize the devices distribution in fixed area; System throughput TPs=0; Select the user with the best channel condition to establish the initial D2D connection \boldsymbol{X}
- 2: Gets $\tau_t^* = \frac{T\alpha_{i,j}}{r_{th}^d + \alpha_{i,j}}$ through closed form solutions of optimization problems 3: Gets $Y_{i,j}$ from τ_t^* as KM algorithm weight factor to obtain optimally matching matrix X^*
- 4: Gets maximum system throughput TPs^*
- 5: Let $X^* = X$
- 6: while TPs^* - $TPs > \varepsilon$ do

7:
$$TPs^* = TPs;$$

- step 2 step 58.
- 9: end while
- 10: Return



Fig. 3 DUE matching process

In the JOPT algorithm, it first initializes the system throughput as TPs=0 and devices as randomly distributed in the specific area. Then, matching matrix X is initially determined by the channel gain between DUEs, and also the optimal information transmission time τ_t can be calculated with distribution and other parameters. According to the further calculated pairing weight $Y_{i,j}$, KM algorithm is applied to obtain the updated pairing matrix X^* . Subsequently, the throughput TPs^* of the system can be obtained. Finally, set the accuracy to $\varepsilon = 1 \times 10^{-5}$, and iterate to find the optimal throughput, i.e., the iteration stops when the difference between TP s and TPs^* is less than ε . Return the maximum throughput TPs^* , τ_t and X. Hence, the iteration burden can be estimated as $O(\log 1/\varepsilon)$. Assuming that M is greater than N, the KM algorithm computational complexity is $O(M^3)$, while the optimal time τ_e is directly computation like O(MN). The overall complexity of the proposed algorithm is $O(\log 1/\varepsilon(MN + M^3))$.

5 Numerical Results

In this section, the relevant numerical results will validate the proposed solution, while comparing the proposed solution to those benchmark solutions. We assume that there are 8 CUEs, 5 DTs, and 5 DRs in the system. The distribution of all devices in an area with radius R=100 m and the BS locates at the coordinate (0, 0). Moreover, the radius of the inner circle is 50 m, and the radius of the middle circle is 75 m. Most of the simulation parameters are set following the setting in [26, 28]. We run all the simulation on the computer with the 3.40 GHz DTU and 12 GB RAM. The simulation software is Matlab 2017b running on Windows 10. The simulation parameters are shown in Table 1.

In the numerical results section, we compare the throughput of the system led by our proposed solution, while considering different BS transmit power settings and the simultaneously influence of the SINR threshold on the throughput. Next, we compare the proposed JOPT with the two benchmark solutions. One is maximum power transmission optimization (MPOPT) solution where each DT will maximally harvest the energy to be able to transmit its data with maximum power during the period *T*. The other is fixed time slot optimization (FTSOPT) solution, which fix τ_e^* to satisfy the constraint (8) and to be a fixed value between the minimum and the maximum. In specific, the information transmission time is fixed as $\tau_t = 2 \times 10^{-4} s$ in the period *T*. During the comparison, it takes energy harvesting circuit conversion efficiency η , signal-to-noise ratio r_{th}^d threshold, BS transmit power p_0 and the number of DUE, i.e., DT-DR pairs *N* as the four criteria.

Table 1	Simulation parameters	Parameter name	Parameter value
		Cycle length T	1 s
		Channel bandwidth W	10 MHz
		Noise power σ^2	-174 dBm/Hz
		DUE SINR threshold r_{th}^d	10
		Energy gain coefficient η	0.5
		Base station's transmit power p_0	2 W
		Cellular user transmit power p_c	20 mW
		Maximum transmit power of the DUE p^d	100 mW





5.1 System Performance Against Different Transmit Power and SINR Threshold

To justify the influence of different SINR threshold to the throughput of EH-D2D communication with JOPT, the CUE SINR threshold r_{th}^d is set to be variable from formula (9) to (14). Figure 4 demonstrates that the transmit power of the BS varies with $p_0 = 2W$, $p_0 = 1.5W$, $p_0 = 1W$ in the alternate iterative algorithm, and as the r_{th}^d changes, the DUE throughput varies accordingly.

As shown in Fig. 4, the greater the base station transmit power p_0 is, the higher the throughput of the D2D system will be, while the SINR threshold staying the same. The greater p_0 means that more available energy the DT can harvest through wireless energy power transferring within the same time period. And while the transmit power increased, the DUE then can obtain higher throughput accordingly. At the same time, when the base station's transmission power is fixed, the throughput of the DUE increases as the SINR threshold increases, while the throughput of the system decreases. This is because the higher the SINR threshold, the higher the QoS requirements of D2D communication will be. When period time is used for energy collection and cannot meet the signal-to-noise ratio threshold, the throughput is 0.

5.2 Performance Comparison with Different Algorithms

To validate proposed solution of this paper, we compare the JOPT solution to MPOPT and FTSOPT solution.

Figure 5 displays the throughput of the three solutions with the change of energy conversion efficiency under the condition of fixed SINR threshold $r_{th}^d = 10$ and base station transmission power $p_0=2W$. It can be seen that JOPT solution proposed in this paper is superior to the MPOPT or FTSOPT solution. When $\eta=0.8$, the throughput of JOPT is 29% higher than that of MPOPT and about three times that of FTSOPT. Moreover, with the improvement of energy conversion efficiency, D2D equipment can obtain enough energy for information transmission in a short time. The throughput of the all solution has been improved to a certain extent with the increase of energy conversion efficiency.

In Fig. 6, we compare the throughput of three solutions with different SINR threshold setting. The irrelevant parameters are set to fixed, where let $\eta=0.5$ and $p_0=2W$. As shown in Fig. 6, it can be seen that JOPT solution proposed in this paper is superior to



the MPOPT or FTSOPT under such settings. When $r_{th}^d = 10$, the throughput of JOPT is more than twice that of MPOPT and FTSOPT. In specific, the throughput of each solution decreases as the SINR threshold increases. In particular, since the QoS of the MPOPT is always the best, it can be seen that there is almost no change in the D2D throughput led by the MPOPT solution.

In Fig. 7, we compare the throughput of three solutions with different base station transmit power settings, where the EH efficiency is set to be fixed to be η =0.5 and SINR threshold fixed at $r_{th}^d = 10$. Figure 7 demonstrates that the proposed JOPT in this paper has maintained a high D2D throughput during the change in base station transmit power from 1W to 2W, in contrast to the FTSOPT and MPOPT solutions. When p_0 =1.5W, the throughput of JOPT is twice that of FTSOPT and about 67% higher than MOPT.

Finally, in Fig. 8, we compare the throughput of three solutions with variable DUEs, and the relationship between the number of DUEs and the throughput of the system is demonstrated. Obviously, it can be seen that JOPT solution proposed in this paper is superior to the MPOPT or FTSOPT with different DUE number. We can also see that there



Fig. 7 Comparison of throughput with different solutions under variable p_0



is a positive correlation between the throughput of different solutions and the number of devices. But it is not a linear increase because the location distribution of different numbers of devices has changed. In the worst case, unfavorable distribution will lead to a decrease in the throughput of the system.

In general, the digital simulation results show the impact of different parameters on the system throughput and the throughput of different solutions. Last but not least, under the influence of different parameters, the JOPT solution is always greater than the FTSPT and MPOPT solutions in terms of throughput. In summary, the joint optimization algorithm proposed in this paper has certain advantages.

6 Conclusion

This paper mainly studies an optimization algorithm on joint EH time allocation and DUE pairing strategy in the EH-enabled D2D network. First, a closed-form optimal solution of the EH time allocation algorithm was proposed, which can help DTs to harvest the most energy for data transmission in the cycle time. Second, taking into account the different energy collection capabilities of DTs in the system, different optimal charging times are allocated, and then the KM algorithm is used to find the best DT-DR pairing. Then, an alternate iterative algorithm is proposed to maximize the D2D system throughput. The numerical results show that the proposed JOPT solution can improve the system throughput more effectively than FTSOPT and MPOPT solution.

For future work, in this paper, it is assumed that the transmitter has cached the requested file, and the number of requesters and senders are fixed in this paper. However, in reality, the number of requesters and senders change alternately, and the requested file may not be cached. In addition, in spectrum reuse, our study assumes there are fixed number of CUE to reuse spectrum resources from, which is not realistic. Therefore, in the future, our research will take these issues into consideration to make the model more practical.

Funding This work is partially founded by Natural Science Foun- dation of China (Grant Nos. 61620106011, U1705263 and 61871076), UESTC Yangtze Delta Region Research Institute - Quzhou (Grant No.: 2020D002) and EU H2020 Project COSAFE (GA-824019).

References

- Jameel, F., Hamid, Z., Jabeen, F., Zeadally, S., & Javed, M. A. (2018). A Survey of device-to-device communications: Research issues and challenges. *IEEE Communications Surveys & Tutorials*, 20(3), 2133– 2168. https://doi.org/10.1109/COMST.2018.2828120
- Dhanvijay, S., & Karn, R. (2022). Study of device-to-device communication in the next generation of wireless communication. In Nagar, A. K., Jat, D. S., Marín-Raventós, G., Mishra, D. K. (Eds.) *Intelligent sustainable systems. Lecture notes in networks and systems* (Vol. 333). Springer. https://doi.org/10.1007/978-981-16-6309-3_37
- Feng, D., Lu, L., Yuan-Wu, Y., Li, G. Y., Feng, G., & Li, S. (2013). *IEEE Transactions on Communications* (pp. 3541–3551). https://doi.org/10.1109/TCOMM.2013.071013.120787
- Chakrabarti, S., & Das, S. (2023). Energy Harvesting Enabled Adaptive Mode Selection for Cognitive Device-to-Device Communication in a Hybrid Wireless Network: A Stochastic Geometry Perspective. Wireless Personal Communication, 129, 1693–1716. https://doi.org/10.1007/s11277-023-10202-z
- Yin, R., Zhong, C., Yu, G., Zhang, Z., Wong, K. K., & Chen, X. (2016). *IEEE Transactions on Vehicular Technology* (pp. 2182–2195). https://doi.org/10.1109/TVT.2015.2424395
- Wang, L., Tang, H., Wu, H., & Stüber, G. L. (2017). *IEEE Transactions on Vehicular Technology* (pp. 1159– 1170). https://doi.org/10.1109/TVT.2016.2553124
- Zeng, Y., Hu, H., Xu, T., & Jia, B. (2017). User pairing stability in D2D-relay networks. *IEEE Communica*tions Letters, 21(10), 2278–2281. https://doi.org/10.1109/LCOMM.2017.2721364

- Song, W. (2019). Analysis of a distance-based pairing scheme for collaborative content distribution via device-to-device communications. *IEEE Transactions on Vehicular Technology*, 68(9), 9245–9256. https:// doi.org/10.1109/TVT.2019.2930885
- Zhou, X., Pan, D., Song, H., & Huang,X. (2020). Socially-aware D2D pair strategy: A stable matching approach. In 2020 IEEE 39th international performance computing and communications conference (IPCCC) (pp. 1–4). https://doi.org/10.1109/IPCCC50635.2020.9391547.
- Liu, C., He, C., Meng, W., & Han, S. (2017). A design of D2D-pairing scheme on Voronoi diagram. In 2017 13th International wireless communications and mobile computing conference (IWCMC) (pp. 202– 205). https://doi.org/10.1109/IWCMC.2017.7986286.
- Lu, W., Ren, X., Xu, J., Chen, S., Yang, L., & Xu, J. (2019). DUE Distribution and Pairing in D2D Communication. In 2019 28th International conference on computer communication and networks (ICCCN) (pp. 1–8). https://doi.org/10.1109/ICCCN.2019.8847040.
- Lv, W., Zeng, Y., Song, T., Xu, T., & Hu, H. (2018). Stable and proportional fair user pairing algorithm for D2D-relay systems. *IEEE Global Communications Conference (GLOBECOM)*, 2018, 1–6. https://doi.org/ 10.1109/GLOCOM.2018.8647902
- Chen, D.-H., & He, Y.-C. (2023). Cellular network enabled energy-harvesting secure communications for full-duplex D2D links. *IEEE Systems Journal*, 17(1), 383–394. https://doi.org/10.1109/JSYST.2022.31443 11
- Chu, M., Liu, A., Chen, J., Lau, V. K. N., & Cui, S. (2022). A Stochastic geometry analysis for energy-harvesting-based device-to-device communication. *IEEE Internet of Things Journal*, 9(2), 1591–1607. https:// doi.org/10.1109/JIOT.2021.3091723
- Omidkar, A., Khalili, A., Nguyen, H. H., & Shafiei, H. (2022). Reinforcement-learning-based resource allocation for energy-harvesting-aided D2D communications in IoT networks. *IEEE Internet of Things Journal*, 9(17), 16521–16531. https://doi.org/10.1109/JIOT.2022.3151001
- Yang, H. H., Lee, J., & Quek, T. Q. S. (2016). Heterogeneous cellular network with energy harvestingbased D2D communication. *IEEE Transactions on Wireless Communications*, 15(2), 1406–1419. https:// doi.org/10.1109/TWC.2015.2489651
- Wang, K., Yang, K., & Magurawalage, C. S. (2018). Joint energy minimization and resource allocation in C-RAN with mobile cloud. *IEEE Transactions on Cloud Computing*, 6(3), 760–770. https://doi.org/10. 1109/TCC.2016.2522439
- Hu, J., Yang, K., Wen, G., & Hanzo, L. (2018). Integrated Data and Energy Communication Network: A Comprehensive Survey. *IEEE Communications Surveys & Tutorials*, 20(4), 3169–3219. https://doi.org/10. 1109/COMST.2018.2860778
- Atat, R., Chen, H., Liu, L., Ashdown, J., Medley, M., & Matyjas, J. (2016). Fundamentals of spatial RF energy harvesting for D2D cellular networks. *IEEE Global Communications Conference (GLOBECOM)*, 2016, 1–6. https://doi.org/10.1109/GLOCOM.2016.7841854
- Atat, R., Liu, L., Ashdown, J., Medley, M., Matyjas, J., & Yi, Y. (2016). Improving spectral efficiency of D2D cellular networks through RF energy harvesting. *IEEE Global Communications Conference* (*GLOBECOM*), 2016, 1–6. https://doi.org/10.1109/GLOCOM.2016.7841890
- Gupta, S., Zhang, R., & Hanzo, L. (2017). Energy harvesting aided device-to-device communication underlaying the cellular downlink. *IEEE Access*, 5, 7405–7413. https://doi.org/10.1109/ACCESS.2016. 2600242
- Gupta, S., Zhang, R., & Hanzo, L. (2018). Energy harvesting aided device-to-device communication in the over-sailing heterogeneous two-tier downlink. *IEEE Access*, 6, 245–261. https://doi.org/10.1109/ACCESS. 2017.2762091
- Saleem, U., Jangsher, S., Qureshi, H. K., & Hassan, S. A. (2018). Joint subcarrier and power allocation in the energy-harvesting-aided D2D communication. *IEEE Transactions on Industrial Informatics*, 14(6), 2608–2617. https://doi.org/10.1109/TII.2018.2794467
- Wang, K., Heng, W., Hu, J., Li, X., & Wu, J. (2018). Energy-efficient resource allocation for energy harvesting-powered D2D Communications Underlaying Cellular Networks. 2018 IEEE 88th Vehicular technology conference (VTC-fall) (pp. 1–5). https://doi.org/10.1109/VTCFall.2018.8690940.
- Gong, S., Shen, Y., Huang, X., Wu, S. X., & So, A. M. (2016). Robust relay beamforming in device-todevice networks with energy harvesting constraints. *IEEE Global Communications Conference (GLOBE-COM)*, 2016, 1–6. https://doi.org/10.1109/GLOCOM.2016.7842233
- Yu, S., Ejaz, W., Guan, L., & Anpalagan, A. (2017). Resource allocation for energy harvesting assisted D2D communications underlaying OFDMA cellular networks. 2017 IEEE 86th vehicular technology conference (VTC-fall) (pp. 1–7). https://doi.org/10.1109/VTCFall.2017.8288333.
- Wang, H., Ding, G., Wang, J., Wang, L., Tsiftsis, T. A., & Sharma, P. K. (2017). Resource allocation for energy harvesting-powered D2D communications underlaying cellular networks. *IEEE International Conference on Communications (ICC)*, 2017, 1–6. https://doi.org/10.1109/ICC.2017.7997132

- Xu, Y., Liu, Z., Huang, C., & Yuen, C. Robust resource allocation algorithm for energy harvesting-based D2D communication underlaying UAV-assisted networks. *IEEE Internet of Things Journal*. https://doi. org/10.1109/JIOT.2021.3078264.
- Chen, J., Zhao, Y., Xu, Z., & Zheng, H. (2020). Resource allocation strategy for D2D-assisted edge computing system with hybrid energy harvesting. *IEEE Access*, 8, 192643–192658. https://doi.org/10.1109/ ACCESS.2020.3032033
- Kuang, Z., Liu, G., Li, G., & Deng, X. (2019). Energy efficient resource allocation algorithm in energy harvesting-based D2D heterogeneous networks. *IEEE Internet of Things Journal*, 6(1), 557–567. https:// doi.org/10.1109/JIOT.2018.2842738
- Salim, M. M., Wang, D., Liu, Y., El Atty, Abd, Elsayed, H., & Abd Elaziz, M. (2019). Optimal Resource and Power Allocation With Relay Selection for RF/RE Energy Harvesting Relay-Aided D2D Communication. *IEEE Access*, 7, 89670–89686. https://doi.org/10.1109/ACCESS.2019.2924026
- Del Testa, D., Michelusi, N., & Zorzi, M. (2016). Optimal transmission policies for two-user energy harvesting device networks with limited state-of-charge knowledge. *IEEE Transactions on Wireless Communications*, 15(2), 1393–1405. https://doi.org/10.1109/TWC.2015.2489642

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Springer Nature or its licensor (e.g. a society or other partner) holds exclusive rights to this article under a publishing agreement with the author(s) or other rightsholder(s); author self-archiving of the accepted manuscript version of this article is solely governed by the terms of such publishing agreement and applicable law.



Chaochao Wang is the postgraduate student with the School of Communication and Information Engineering, University of Electronic Science and Technology of China. His research interest includes wireless communications, resource allocation and network optimization.



Kun Yang received his PhD from the Department of Electronic & Electrical Engineering of University College London (UCL), UK, and MSc and BSc from the Computer Science Department of Jilin University, China. He is currently a Chair Professor in the School of Computer Science & Electronic Engineering, University of Essex, leading the Network Convergence Laboratory (NCL), UK. He is also an affiliated professor in University of Electronic Science and Technology of China (UESTC). His main research interests include wireless networks, network convergence, future Internet technology, data and

energy cooperation, mobile computing. He manages research projects funded by various sources such as UK EPSRC, EU FP7/H2020 and industries. He has published 200+ papers. He serves on the editorial boards of both IEEE and non-IEEE journals. He has been a Senior Member of IEEE since 2008.



Jie Hu (1985-), male, Ph.D., is a senior researcher and doctoral supervisor at the School of Information and Communication Engineering, University of Electronic Science and Technology (UEST). His main research interests include the physical layer design and resource allocation in wireless communication and networking, and the key technology of wireless data and energy integrated transmission.



Haibo Mei received his BSc. and MSc. from School of Computer Science andEngineering of University of Electronic Science and Technology of China in 2005and 2008 respectively. He received his Ph.D. degree from School of ElectronicEngineering and Computer Science of Queen Mary University of London (QMUL), U.K.in 2012. He was a post doctoral research assistant in QMUL and a senior R&Dengineer in Securus Software Ltd, U.K. He is currently a lecturer in University of Electronic Science and Technology of China. His research interests includeresource efficiency and self-organization of wireless communications, intelligent transportation system and mobile cloud computing.



Ping Wang is the postgraduate student with the School of Communication and Information Engineering, University of Electronic Science and Technology of China. His research interest includes wirelesscommunications, resource allocation and network optimization.