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# Improving adverse drug event reporting by healthcare professionals (Review)

Shalviri G, Mohebbi N, Mirbaha F, Majdzadeh R, Yazdizadeh B, Gholami K, Grobler L, Rose CJ, Chin WY

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#### [Intervention Review]

### Improving adverse drug event reporting by healthcare professionals

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#### ABSTRACT

#### Background

Adverse drug events, encompassing both adverse drug reactions and medication errors, pose a significant threat to health, leading to illness and, in severe cases, death. Timely and voluntary reporting of adverse drug events by healthcare professionals plays a crucial role in mitigating the morbidity and mortality linked to unexpected reactions and improper medication usage.

#### Objectives

To assess the effectiveness of different interventions aimed at healthcare professionals to improve the reporting of adverse drug events.

#### Search methods

We searched CENTRAL, Embase, MEDLINE and several other electronic databases and trials registers, including ClinicalTrials.gov and WHO ICTRP, from inception until 14 October 2022. We also screened reference lists in the included studies and relevant systematic reviews.

#### **Selection criteria**

We included randomised trials, non-randomised controlled studies, controlled before-after studies, interrupted time series studies (ITS) and repeated measures studies, assessing the effect of any intervention aimed at healthcare professionals and designed to increase adverse drug event reporting. Eligible comparators were healthcare professionals' usual reporting practice or a different intervention or interventions designed to improve adverse drug event reporting rate. We excluded studies of interventions targeted at adverse event reporting following immunisation. Our primary outcome measures were the total number of adverse drug event reports (including both adverse drug reaction reports and medication error reports) and the number of false adverse drug event reports (encompassing both adverse drug reaction reports and medication error reports) submitted by healthcare professionals. Secondary outcomes were the number of serious, high-causality, unexpected or previously unknown, and new drug-related adverse drug event reports submitted by healthcare professionals. We used GRADE to assess the certainty of evidence.



#### Data collection and analysis

We followed standard methods recommended by Cochrane and the Cochrane Effective Practice and Organisation of Care (EPOC) Group. We extracted and reanalysed ITS study data and imputed treatment effect estimates (including standard errors or confidence intervals) for the randomised studies.

#### **Main results**

We included 15 studies (eight RCTs, six ITS, and one non-randomised cross-over study) with approximately 62,389 participants. All studies were conducted in high-income countries in large tertiary care hospitals. There was a high risk of performance bias in the controlled studies due to the nature of the interventions. None of the ITS studies had a control arm, so we could not be sure of the detected effects being independent of other changes. None of the studies reported on the number of false adverse drug event reports submitted.

There is low-certainty evidence suggesting that an education session, together with reminder card and adverse drug reaction (ADR) report form, may substantially improve the rate of ADR reporting by healthcare professionals when compared to usual practice (i.e. spontaneous reporting with or without some training provided by regional pharmacosurveillance units). These educational interventions increased the number of ADR reports in total (RR 3.00, 95% CI 1.53 to 5.90; 5 studies, 21,655 participants), serious ADR reports (RR 3.30, 95% CI 1.51 to 7.21; 5 studies, 21,655 participants), high-causality ADR reports (RR 2.48, 95% CI 1.11 to 5.57; 5 studies, 21,655 participants), unexpected ADR reports (RR 4.72, 95% CI 1.75 to 12.76; 4 studies, 15,085 participants) and new drug-related ADR reports (RR 8.68, 95% CI 3.40 to 22.13; 2 studies, 7884 participants).

Additionally, low-certainty evidence suggests that, compared to usual practice (i.e. spontaneous reporting), making it easier to report ADRs by using a standardised discharge form with added ADR items may slightly improve the total number of ADR reports submitted (RR 2.06, 95% CI 1.11 to 3.83; 1 study, 5967 participants). The discharge form tested was based on the 'Diagnosis Related Groups' (DRG) system for recording patient diagnoses, and the medical and surgical procedures received during their hospital stay.

Due to very low-certainty evidence, we do not know if the following interventions have any effect on the total number of adverse drug event reports (including both ADR and ME reports) submitted by healthcare professionals:

- sending informational letters or emails to GPs and nurses;
- multifaceted interventions, including financial and non-financial incentives, fines, education and reminder cards;
- implementing government regulations together with financial incentives;
- including ADR report forms in quarterly bulletins and prescription pads;
- providing a hyperlink to the reporting form in hospitals' electronic patient records;
- improving the reporting method by re-engineering a web-based electronic error reporting system;

- the presence of a clinical pharmacist in a hospital setting actively identifying adverse drug events and advocating for the identification and reporting of adverse drug events.

#### Authors' conclusions

Compared to usual practice (i.e. spontaneous reporting with or without some training from regional pharmacosurveillance units), lowcertainty evidence suggests that the number of ADR reports submitted may substantially increase following an education session, paired with reminder card and ADR report form, and may slightly increase with the use of a standardised discharge form method that makes it easier for healthcare professionals to report ADRs.

The evidence for other interventions identified in this review, such as informational letters or emails and financial incentives, is uncertain.

Future studies need to assess the benefits (increase in the number of adverse drug event reports) and harms (increase in the number of false adverse drug event reports) of any intervention designed to improve healthcare professionals' reporting of adverse drug events. Interventions to increase the number of submitted adverse drug event reports that are suitable for use in low- and middle-income countries should be developed and rigorously evaluated.

#### PLAIN LANGUAGE SUMMARY

#### Improving healthcare professionals' reporting of adverse drug reactions and medication errors

#### Key messages

- Healthcare professionals have a responsibility to report unexpected and harmful responses to medicines. These responses are known as 'adverse drug events', a term that includes both adverse drug reactions (ADRs) and medication errors (MEs).



- An education session (outreach, in-person workshops or via telephone), along with providing a reminder card and ADR report form, may substantially increase the number of ADR reports submitted.

- Using a standardised discharge form with additional ADR items that is designed to make it easier to report ADRs may slightly increase the number of ADR reports submitted.

- Future studies need to assess the benefit (increase in the number of adverse drug event reports submitted) and harm (increase in the number of false adverse drug event reports submitted) of any intervention designed to improve healthcase professionals' reporting of adverse drug events.

- Interventions suitable for use in low- and middle-income countries need to be developed and rigorously evaluated.

#### What did we want to find out?

This Cochrane review investigated whether interventions for healthcare professionals are effective for improving at their reporting of adverse drug events. Adverse drug events include any adverse drug reaction (ADR) and any medication error (ME).

#### What did we do?

We looked at evidence from a range of different types of studies to find out if interventions aimed at healthcare professionals could increase the number of adverse drug event reports they make. We compared the total number of adverse drug event reports (which included both ADR and ME reports) submitted by healthcare professionals. We were also interested in the number of false adverse drug event reports they made. As well as the total number of reports, we looked separately at the number of reports submitted for adverse drug events that were categorised as serious, high-causality (i.e. very likely to be caused by the drug), unexpected (i.e. previously unknown) or related to recent drugs (i.e. only used in the last five years).

#### What did we find?

This review included 15 studies (62,389 participants) that compared the effect of various interventions aimed at healthcare professionals to increase the number of adverse drug event reports they make. All the studies were carried out in high-income countries. None of the studies looked at whether these interventions led to more false adverse drug event reports.

Compared to usual practice (spontaneous reporting and some training from regional units that monitor the safety of medicines), an education session about why and how to report adverse events, plus reminder of the session content and provision of an ADR report form, may increase the number of ADR reports made by healthcare professionals.

Compared to usual practice (spontaneous reporting), using a standardised discharge form with additional ADR items about when the ADR occurred and how it developed may also slightly improve the number of ADR reports made. The standardised form tested was based on the 'Diagnosis Related Groups' system for recording patient diagnoses and the medical and surgical procedures patients receive during their hospital stay.

We are very uncertain about the effectiveness of other interventions that were tested in the studies, including:

- sending informational letters or emails to GPs and nurses;

- interventions with multiple aspects, including financial and non-financial incentives, fines, education and reminder cards;
- implementing government regulations together with financial incentives;
- including ADR report forms in quarterly bulletins and prescription pads;
- providing a hyperlink to the reporting form in hospitals' electronic patient records;
- improving the reporting method by re-engineering the web-based electronic error reporting system;

- the presence of a clinical pharmacist in hospital who actively identifies adverse drug events and encourages the identification and reporting of adverse drug events.

#### How up to date is this review?

The evidence in this review is based on searches up to October 2022.

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# Improving adverse drug event reporting by healthcare professionals (Review) Copyright © 2024 The Authors. Cochrane Database of Systematic Reviews published by John Wiley & Sons, Ltd. on behalf of The Cochrane Collaboration. SUMMARY OF FINDINGS

#### Summary of findings 1. Education session plus reminder card and ADR report form versus usual practice

**Participants:** physicians and pharmacists

Intervention: education session (in-person workshop or via telephone), reminder card and ADR report form

**Comparator:** usual practice (spontaneous reporting; briefing and standard training given by regional pharmacosurveillance unit)

**Setting:** hospitals and outpatient centres in Northern Portugal and Spain

Outcomes	Risk ratio* (95% CI)	Illustrative comparative risks‡ (95% CI)		Number of par- ticipants (studies)	Certainty of the evidence (GRADE)	Comments		
		Assumed risk with usual practice	Corresponding risk with education ses- sion plus reminder card and report form	(statics)				
Total number of ADE reports (includ- ing ADR reports and ME reports): number of ADR reports Follow-up: 13 to 16 months	3.00 (1.53 to 5.90)	80 ADR reports per 1000 practi- tioner years	240 ADR reports per 1000 practitioner years (122 to 472)	21,665 (5 cRCTs) <sup>1</sup>	Low <sup>4</sup>	An education session, togeth- er with reminder card and ADR report form, may im- prove the reporting rate of ADRs.		
Total number of false ADE reports (including false ADR reports and false ME reports)	None of the inclu	None of the included studies reported on this outcome.						
Number of serious ADE reports (in- cluding serious ADR reports and seri- ous ME reports) Follow-up: 13 to 16 months	3.30 (1.51 to 7.21)	10 ADR reports per 1000 practi- tioner years	33 ADR reports per 1000 practitioner years (15 to 72)	21,665 (5 cRCTs) <sup>1</sup>	Low <sup>5</sup>	An education session, togeth- er with reminder card and ADR report form, may im- prove the reporting rate of se- rious ADRs.		
Number of high-causality ADE re- ports (including high-causality ADR reports and high-causality ME re- ports) Follow-up: 13 to 16 months	2.48 (1.11 to 5.57)	20 ADR reports per 1000 practi- tioner years	50 ADR reports per 1000 practitioner years (22 to 111)	21,665 (5 cRCTs) <sup>1</sup>	Low <sup>6</sup>	An education session, togeth- er with reminder card and ADR report form, may im- prove the reporting rate of high-causality ADRs.		

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Number of unexpected ADE reports (including unexpected ADR reports and unexpected ME reports) Follow-up: 13 to 16 months	4.72 (1.75 to 12.76)	20 ADR reports per 1000 practi- tioner years	94 ADR reports per 1000 practitioner years (35 to 255)	15,085 (4 cRCTs) <sup>2</sup>	Low <sup>7</sup>	An education session, togeth- er with reminder card and ADR report form, may im- prove the reporting rate of unexpected ADRs
Number of new-drug-related ADE re- ports (including drug-related ADR re- ports and drug-related ME reports) Follow-up: 13 to 16 months	8.68 (3.40 to 22.13)	5 ADR reports per 1000 practi- tioner years	43 ADR reports per 1000 practitioner years (17 to 111)	7884 (2 cRCTs) <sup>3</sup>	Low <sup>8</sup>	An education session, togeth- er with reminder card and ADR report form, may im- prove the reporting rate of new-drug-related ADRs.

ADE: adverse drug event; ADR: adverse drug reaction; CI: confidence interval; cRCT: cluster-randomised controlled trial; ME: medication error; vs: versus

\*Risk ratios > 1 are associated with more ADRs with education session plus reminder card and ADR report form versus usual practice. ‡Illustrative comparative risks are presented as numbers of ADRs per 1000 practitioner years and are rounded to whole numbers.

+industrative comparative risks are presented as numbers of ADRs per 1000 practitioner years and are rounded to whole numbers.

<sup>1</sup>Figueiras 2006 (physicians, education group session); Herdeiro 2008 (pharmacists, education group session); Herdeiro 2012 (physicians; same intervention clusters from Herdeiro 2008 randomised a second time to telephone interview or workshop); Lopez-Gonzalez 2015 (physicians, education group session); Ribeiro-Vaz 2011 (pharmacists, telephone interview or workshop)

<sup>2</sup>Figueiras 2006 (physicians); Herdeiro 2008 (pharmacists); Lopez-Gonzalez 2015 (physicians); Ribeiro-Vaz 2011 (pharmacists)

#### <sup>3</sup>Figueiras 2006; Herdeiro 2008

<sup>4</sup>Downgraded once for serious risk of bias (performance bias and potential selection bias due to baseline differences in reporting rates between intervention and control group; see Figueiras 2006; Herdeiro 2012; Ribeiro-Vaz 2011); downgraded once for serious inconsistency: I<sup>2</sup> = 95%. The inconsistency might be explained by the mode of delivery of the education (i.e. telephone vs interactive group session vs workshop) or the different target audience (physicians vs pharmacist), but we are uncertain of this; no serious imprecision; no serious indirectness; no publication bias.

<sup>5</sup>Downgraded once for serious risk of bias (performance bias and potential selection bias due to baseline differences in reporting rates between intervention and control group; see Figueiras 2006; Herdeiro 2012; Ribeiro-Vaz 2011); downgraded once for serious inconsistency: I<sup>2</sup> = 96%. The inconsistency might be explained by the mode of delivery of the education (i.e. telephone vs interactive group session vs workshop) or the different target audience (physicians vs. pharmacist), or both, but we are uncertain of this; no serious imprecision; no serious indirectness; no publication bias.

<sup>6</sup>Downgraded once for serious risk of bias (performance bias and potential selection bias due to baseline differences in reporting rates between intervention and control group; see Figueiras 2006; Herdeiro 2012; Ribeiro-Vaz 2011); downgraded once for serious inconsistency:  $I^2 = 100\%$ . The inconsistency might be explained by the mode of delivery of the educational outreach (i.e. telephone vs interactive group session vs workshop) or the different target audience (physicians vs pharmacist), but we are uncertain of this; no serious imprecision; no serious indirectness; no publication bias.

<sup>7</sup>Downgraded once for serious risk of bias (performance bias and potential selection bias due to baseline differences in reporting rates between intervention and control group; see Figueiras 2006; Ribeiro-Vaz 2011); downgraded once for serious inconsistency: I<sup>2</sup> = 64%. The inconsistency might be explained by the mode of delivery of the education (i.e. telephone vs interactive group session vs workshop) or the different target audience (physicians vs pharmacist), but we are uncertain of this; no serious imprecision; no serious indirectness; no publication bias.

<sup>8</sup>Downgraded once for serious risk of performance bias and potential selection bias due to baseline differences in reporting rates between intervention and control group (see Figueiras 2006); no serious inconsistency; downgraded once for serious imprecision (wide confidence intervals so uncertain of the true estimate of effect); no serious indirectness; no publication bias

#### Summary of findings 2. Informational letter or email versus usual practice

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#### **Comparator:** usual practice (spontaneous reporting)

Outcomes				Exposure <sup>†</sup> (studies)	Certainty of the evidence	Comments			
		Assumed rate with usual practice	Corresponding rate with infor- mational letter or email	(studies)	(GRADE)				
Total number of ADE reports (includ- ing ADR reports and ME reports): number of ADR reports after one year	1.28 (0.42 to 3.91)	80 ADR reports per 100 practi- tioner years	102 ADR reports per 100 practi- tioner years (34 to 313)	268 primary healthcare unit years (2 RCTs) <sup>1</sup>	Very low <sup>2</sup>	We do not know if informatic al letters or emails to GPs an nurses increase the total nur of ADR reports because the e dence is very uncertain.			
Total number of false ADE reports (including false ADR reports and false ME reports)	None of the inc	None of the included studies reported on this outcome.							
Number of serious ADE reports (in- cluding serious ADR reports and se- rious ME reports): number of serious ADR reports after one year	1.79 (0.69 to 4.65)	10 ADR reports per 100 practi- tioner years	18 ADR reports per 100 practi- tioner years (7 to 47)	268 primary healthcare unit years (2 RCTs) <sup>1</sup>	Very low <sup>2</sup>	We do not know if informatic letters or emails to GPs and i es increase serious ADR repo because the evidence is very certain.			
Number of high-causality ADE re- ports (including high-causality ADR reports and high-causality ME re- ports)	None of the inc	None of the included studies reported on this outcome.							
Number of unexpected ADE reports (including unexpected ADR reports and unexpected ME reports): num- ber of unexpected ADR reports after one year	1.46 (0.92 to 2.30)	20 ADR reports per 100 practi- tioner years	29 ADR reports per 100 practi- tioner years (18 to 46)	268 primary healthcare unit years (2 RCTs) <sup>1</sup>	Very low <sup>2</sup>	We do not know if informatic letters or emails to GPs and r es increase the number of ur pected ADR reports as the ce tainty of the evidence is very			
Number of new drug-related ADE re- ports (including drug-related ADR re- ports and drug-related ME reports): number of new drug-related ADR re- ports after one year	2.58 (1.12 to 5.92)	5 ADR reports per 100 practi- tioner years	13 ADR reports per 100 practi- tioner years (6 to 30)	268 primary healthcare unit years (2 RCTs) <sup>1</sup>	Very low <sup>3</sup>	We do not know if informatic letters or emails to GPs and i es increase the total number new drug-related ADR report			

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ADE: adverse drug event; ADR: adverse drug reaction; CI: confidence interval; RCT: randomised controlled trials; ME: medication e	error: vs: versus

\*Rate ratios > 1 are associated with more ADRs with informational letter or email versus usual practice.

<sup>†</sup>Unit of exposure is primary healthcare unit years.

<sup>‡</sup>Illustrative comparative rates are presented as numbers of ADR reports per 100 practitioner years and are rounded to whole numbers.

#### <sup>1</sup>Johansson 2009; Johansson 2011

<sup>2</sup>Downgraded once for serious risk of bias (performance bias and potential contamination bias); no serious inconsistency; downgraded twice for very serious imprecision: wide confidence intervals that cross the line of no effect (in the case of total number of ADR reports, number of serious ADR reports and number of unexpected ADR reports), small event rate (total of 242 ADR reports from 268 units in 2007 and 2008, total of 35 serious ADR reports from 268 units in 2007 and 2008, total of 85 unexpected ADR reports from 268 units in 2007 and 2008); no serious indirectness; no publication bias

<sup>3</sup>Downgraded once for serious risk of bias (performance bias and potential contamination bias); no serious inconsistency; downgraded twice for very serious imprecision: wide confidence intervals, small event rate (total of 16 new drug-related ADRs reported from 268 units in 2007 and 2008); no serious indirectness; no publication bias

#### Summary of findings 3. Multifaceted interventions versus usual practice

#### Participants: physicians and pharmacists

Intervention: multifaceted intervention (including financial incentives, fines, non-financial incentives, education, reminders)

Comparator: usual practice (spontaneous reporting)

#### Setting: hospital

Outcome	Relative num- bers of ADES <sup>*</sup> (95% CI)	-	Illustrative comparative num- bers of ADEs <sup>‡</sup> (95% CI)		Certainty of the evidence (GRADE)	Comments	
	(,	Assumed num- ber with usual practice	Corresponding number with multifaceted intervention	·	, <i>,</i>		
Total number of ADE reports (including ADR reports and ME reports): number of ADR reports after one year	4.29 (0.32 to 56.76)	80 ADR reports per 1000 practi- tioners	343 ADR reports per 1000 prac- titioners (26 to 4541)	6.5 years (2) <sup>1</sup>	Very low <sup>2</sup>	We do not know if multifaceted interven- tions increase the total number of ADR re- ports in physicians and pharmacists one year after implementation because the evidence is very uncertain. <sup>3</sup>	
						Data after two years in footnotes <sup>4</sup>	

cause the evidence is very uncer-

tain.

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Improving adverse drug event re	Total number of false ADE re- ports (including false ADR re- ports and false ME reports)	None of the included studies reported on this outcome.								
	Number of serious ADE re- ports (including serious ADR reports and serious ME re- ports): number of serious ADR reports after one year	2.10 (0.29 to 15.20)	10 ADR reports per 1000 practi- tioners	21 ADR reports per 1000 prac- titioners (3 to 150)	6.5 years (2) <sup>1</sup>	Very low <sup>2</sup>	We do not know if multifaceted interven- tions increase the total number of serious ADR reports in physicians and pharma- cists one year after implementation be- cause the evidence is very uncertain.			
port							Data after two years in footnotes <sup>5</sup>			
Improving adverse drug event reporting by healthcare professionals (Review)	Number of high-causality ADE reports (including high- causality ADR reports and high-causality ME reports)	None of the inclu	ded studies reporte	d on this outcome.						
	Number of unexpected ADE reports (including unexpect- ed ADR reports and unexpect- ed ME reports): unexpected or previously unknown ADR re- ports after one year	0.73 (0.02 to 22.75)	20 ADR reports per 1000 practi- tioners	15 ADR reports per 1000 prac- titioners (0 to 455)	7.0 years (1) <sup>6</sup>	Very low <sup>7</sup>	We do not know if multifaceted interven- tions increase the total number of unex- pected (previously unknown) ADR reports in physicians and pharmacists one year af- ter implementation because the evidence is very uncertain			
2							Data after two years in footnotes <sup>8</sup>			
	Number of new drug-related ADE reports (including drug- related ADR reports and drug- related ME reports): number of new drug- related ADR re- ports after one year	1.65 (0.20 to 13.77)	5 ADR reports per 1000 practi- tioners	8 ADR reports per 1000 practi- tioners (1 to 69)	6.5 years (2) <sup>1</sup>	Very low <sup>2</sup>	We do not know if multifaceted interven- tions increase the total number of new- drug-related ADR reports in physicians and pharmacists one year after imple- mentation, because the evidence is very uncertain.			
							Data after two years in footnotes <sup>9</sup>			

ADE: adverse drug event; ADR: adverse drug reaction; CI: confidence interval; ITS: interrupted time series; ME: medication error; vs: versus

\*Relative numbers of ADRs > 1 are associated with more ADRs with multifaceted intervention versus usual practice.

<sup>‡</sup>Illustrative comparative numbers of ADRs are presented as numbers of ADRs after 1 and 2 years in a setting with 1000 practitioners.

<sup>1</sup>Meta-analysis of data from Chang 2017: financial incentive (1% of physician salary) for spontaneous reporting of ADRs plus fine (double the amount of the incentive) for not reporting or missing an ADR (study timeline - 2006 to 2009 (pre-intervention), 2009 to 2011 (financial incentive), 2012 to 2014 (financial incentive plus government regulations for antimicrobial agents), December 2014 (last time point), total 108 observations); and Pedrós 2009: financial incentives (1% of physicians salary) for spontaneous reporting of ADRs, twice-yearly education meeting, reminder cards and list of the most important ADRs (study timeline - January 1998 (first point); December 2002 (intervention implemented); December 2005 (last time point); a total of 96 observations)

<sup>2</sup>Both studies are observational ITS studies, so GRADE starts at low; downgraded once for serious risk of bias (high risk of bias for domain: intervention independent of other changes; there are no compelling arguments that the intervention occurred independently of other changes over time and the outcome was not influenced by other confounding

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variables or historic events during study period); downgraded once for serious inconsistency (I<sup>2</sup> = 92% for year 1 and 81% for year 2, inconsistency between the studies may be explained by the fact that one study was conducted in China and the other in Spain, but not certain of this); no serious indirectness; downgraded once for serious imprecision (wide confidence intervals that cross the line of no effect); no other considerations.

<sup>3</sup>Data from Ali 2018 (intervention included implementation of financial and non-financial incentives, i.e. employee of the month award, letters of appreciation, a day's leave, performance excellence award of extra month's salary and a certificate) could not be included in the meta-analysis as the length of follow-up was much shorter (study timelines - 2 years; a total of 24 observations) than Chang 2017 (8 years; 108 observations) and Pedrós 2009 (7 years; 96 observations). Data from Ali 2018 shows relative numbers of ADR reports after 1 year: 6.99, 95% CI 3.43 to 10.54; prior to intervention - 80 ADR reports per 1000 practitioners, post intervention implementation - 560 ADR reports per 1000 practitioners (274 to 843); very low certainty evidence as based on observational ITS study, so GRADE starts at low; downgraded once for serious risk of bias (high risk of bias for other bias - seasonality not adjusted for; and intervention independent of other changes - there are no compelling arguments that the intervention occurred independently of other changes over time and the outcome was not influenced by other confounding variables or historic events during study period); no serious inconsistency; no serious indirectness; no serious imprecision; no other considerations.

<sup>4</sup>Total number of ADE reports (including ADR reports and ME reports): **relative number of ADR reports after 2 years** 8.11 (95% CI 0.61 to 107.93); assumed number with usual practice 160 expected ADR reports per 1000 practitioners, corresponding number with multifaceted intervention 1298 expected ADR reports per 1000 practitioners (98 to 17,269), mean study duration 6.5 years, 2 studies<sup>1</sup>; certainty of the evidence: very low (see footnote<sup>2</sup>)

<sup>5</sup>**Relative number of serious ADR reports after 2 years**: 2.57 (95% Cl 0.22 to 29.93); prior to intervention - 20 ADR reports per 1000 practitioners, post intervention - 51 ADR reports per 1000 practitioners (4 to 599) mean study duration 6.5 years (Chang 2017; Pedrós 2009); very low certainty evidence (see footnote<sup>2</sup>) <sup>6</sup>Pedrós 2009

<sup>7</sup>Observational ITS study, so GRADE starts at low; downgraded once for serious risk of bias (high risk of bias for domain: intervention independent of other changes; there are no compelling arguments that the intervention occurred independently of other changes over time and the outcome was not influenced by other confounding variables or historic events during study period); no serious inconsistency; no serious indirectness; downgraded once for serious imprecision (wide confidence intervals that cross the line of no effect); no other considerations.

<sup>8</sup>Relative number of unexpected (previously unknown) ADR reports after 2 years: 0.67 (95% CI 0.01 to 61.55); prior to intervention - 40 ADR reports per 1000 practitioners; post intervention implementation - 27 ADR reports per 1000 practitioners (0 to 2462); mean study duration 7.0 years (Pedrós 2009); very low certainty evidence (see footnote<sup>7</sup>) <sup>9</sup>Relative number of new drug-related ADR reports after 2 years: 1.86 (95% CI 0.16 to 21.59); prior to intervention - 10 ADR reports per 1000 practitioners; post intervention implementation - 19 ADR reports per 1000 practitioners (2 to 216); mean study duration 6.5 years (Chang 2017; Pedrós 2009); very low certainty evidence (see footnote<sup>2</sup>)

#### Summary of findings 4. Government regulations plus financial incentives versus usual practice

#### Participants: healthcare professionals

**Intervention:** financial incentive, fines, plus government regulation, mandatory monitoring, and reporting of ADRs (timeline: 2009 to 2011 (financial incentive or fine); 2012 to 2014 (financial incentive or fine plus government regulations for antimicrobial agents)

Comparator: spontaneous reporting (2006 to 2009: pre-intervention)

Setting: hospital

	Outcome	Relative num- bers of ADEs <sup>*</sup> (95% CI)	Illustrative comp bers of ADEs <sup>‡</sup> (95		Mean study du- ration (stud- ies)	Certainty of the evidence (GRADE)	Comments
			Assumed num- ber with usual practice	Corresponding number with multifaceted intervention			
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drug event reporting by healthcare professionals (Revi

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Improving adverse drug event reporting by healthcare professionals (Review)	Total number of ADE reports (including ADR reports and ME reports): Total number of ADR reports after one year	1.43 (0.54 to       80 ADR reports       114 ADR reports       8.0 years (1) <sup>1</sup> Very low <sup>2</sup> We do not ernment reports itioners         3.79)       per 1000 practitioners       114 ADR reports       8.0 years (1) <sup>1</sup> Very low <sup>2</sup> We do not ernment reports itioners         3.79)       per 1000 practitioners       114 ADR reports       8.0 years (1) <sup>1</sup> Very low <sup>2</sup> We do not ernment reports itioners         3.79)       per 1000 practitioners       (43 to 303)       of ADR reports itioners       114 ADR reports itioners       114 ADR reports       114 ADR reports							
	Total number of false ADE reports (including false ADR reports and false ME reports)	None of the included studies reported on this outcome.							
	Number of serious ADE reports (including seri- ous ADR reports and serious ME reports)	None of the included studies reported on this outcome.							
innale (Possie	Number of high-causality ADE reports (includ- ing high-causality ADR reports and high-causal- ity ME reports)	None of the included studies reported on this outcome.							
	Number of unexpected ADE reports (including unexpected ADR reports and unexpected ME reports)	None of the included studies reported on this outcome.							
	Number of new drug-related ADE reports (in- cluding drug-related ADR reports and drug-re- lated ME reports)	None of the included studies reported on this outcome.							

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ADE: adverse drug event; ADR: adverse drug reaction; CI: confidence interval; cRCT: cluster randomised controlled trials; ME: medication error; vs: versus

\*Relative numbers of ADRs > 1 are associated with more ADRs with multifaceted intervention versus usual practice.

<sup>‡</sup>Illustrative comparative numbers of ADRs are presented as numbers of ADRs after 1 and 2 years in a setting with 1000 practitioners.

<sup>1</sup>Chang 2017: financial incentive (1% of physician salary) for spontaneous reporting of ADRs plus fine (double the amount of the incentive) for not reporting or missing an ADR plus government regulation of antimicrobial use including detailed ADR classification, mandatory monitoring, and reporting of ADRs associated with antimicrobial agents; (timeline - 2006 to 2009 (pre-intervention); 2009 to 2011 (financial incentive); 2012 to 2014 (financial incentive plus government regulations for antimicrobial agents); December 2014 (last time point); total of 108 observations)

<sup>2</sup>Observational ITS study so GRADE starts at low; downgraded by one for risk of bias (high risk of bias for domain: Intervention independent of other changes; there are no compelling arguments that the intervention occurred independently of other changes over time and the outcome was not influenced by other confounding variables or historic events during study period); no serious inconsistency; no serious indirectness; downgraded once for serious imprecision (wide confidence intervals that cross the line of no effect); no other considerations.

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<sup>3</sup>Total number of ADE reports, including ADR reports and ME reports: number of ADR reports after 2 years 1.02 (95% CI 0.24 to 4.32, mean study duration: 8 years, 1 study<sup>1</sup>; assumed number of ADR reports with usual practice 160 ADR reports per 1000 practitioners, corresponding number of ADR reports with multifaceted intervention 163 ADR reports per 1000 practitioners (38 to 346); certainty of the evidence: very low<sup>2</sup>

#### Summary of findings 5. Improving access to ADR report forms versus usual practice

#### **Participants:** healthcare professionals

Intervention: improved access to ADE reporting (standardised discharge form method; yellow card ADR report form in bulletin and prescription pad; online hyperlink to ADR report form)

#### **Comparator:** spontaneous reporting

#### Setting: hospital

Outcome	Relative effect (95% CI) <sup>*</sup>	Illustrative compand numbers of		Number of par- ticipants or mean study	Certainty of the evidence (GRADE)	Comments
		Assumed rate or number with usual practice	Corresponding rate or number with improv- ing access	duration (stud- ies)		
Total number of ADE reports, in- cluding ADR reports and ME reports Data from cRCT	2.06 (1.11 to 3.83)	80 ADR reports per 1000 practi- tioner years	165 ADR reports per 1000 practi- tioner years (89 to 306)	5967 (1) <sup>1</sup>	Low <sup>2</sup>	Use of a standardised discharge form (for recording patient diag- noses, medical and surgical acts re- ceived during hospital stay; based on the 'Diagnosis Related Group- s' (DRG) system) with additional ADR items (time of occurrence and evolution) may slightly increase the number of ADR reports.
Total number of ADE reports, in- cluding ADR reports and ME reports Data from ITS study after one-year follow up	1.95 (1.33 to 2.85)	80 ADR reports per 1000 practi- tioners	156 ADR reports per 1000 practi- tioners (106 to 228)	8.4 years (2) <sup>3</sup>	Very Low <sup>4</sup>	We do not know if including yellow card ADR report form in quarterly bulletins and prescription pads or providing a hyperlink to the ADR re- port form in hospitals' electronic patient records may lead to more ADRs being reported after one year because the evidence is very uncer- tain.
						Data after two years in footnote <sup>5</sup>

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Improving a	Total number of false ADE reports (including false ADR reports and false ME reports)	None of the studies included in this comparison reported on this outcome.							
dverse drug e	Number of serious ADE reports (in- cluding serious ADR reports and se- rious ME reports)	None of the studies included in this comparison reported on this outcome.							
event reporting	Number of high-causality ADE re- ports (including high-causality ADR reports and high-causality ME re- ports)	None of the studies included in this comparison reported on this outcome.							
by healthcar	Number of unexpected ADE reports (including unexpected ADR reports and unexpected ME reports)	None of the studies included in this comparison reported on this outcome.							
Improving adverse drug event reporting by healthcare professionals (Review)	Number of new-drug-related ADE reports (including new-drug-related ADR reports and new-drug-related ME reports)	None of the studies included in this comparison reported on this outcome.							
	*Relative treatment effects are expressed with more ADRs with improving access of "Illustrative comparative rates and num years in a setting with 1000 practitioner Serious ADRs: resulting in death; is life disability, incapacity, or both; high-cause that are not described in the summary of "Hanesse 1994: cluster-RCT (with cross-of usual care) and the standardised discha <sup>2</sup> Downgraded twice for very serious risk outcome assessors were blinded); no set <sup>3</sup> Two ITS studies; Castel 2003: combined form in the prescription pad (introduced included either in the electronic patient <sup>4</sup> Both studies are observational ITS stu- changes; there are no compelling argum variables or historic events during study <sup>5</sup> Total number of ADE reports, including	nbers of ADRs are presented as numbers of ADRs per 1000 practitioner years (for risk ratio) and expected numbers of ADRs after 1 and 2 rs (for the ITS studies). - threatening; is a congenital anomaly; requires hospital admission or prolongation of stay in hospital; or results in persistent or great sality ADRs: ADRs with attribution of definitive or probable causality; unexpected (previously unknown) ADRs: previously unknown ADRs of product characteristics; new-drug-related ADRs: ADRs concerning medications that have been on the market for less than five years. over after 8 weeks, plus 2-week washout period); the two methods for reporting ADRs were the spontaneous reporting method (SR method; arge form with additional ADR items (DRG method; intervention). k of bias (possible contamination effect due to cross-over design and inability to blind physicians to the intervention; also unclear if the erious inconsistency; no serious indirectness; no serious imprecision; no other considerations d effect of quarterly adverse drug reaction bulletin with ADR yellow card report form (introduced Sept 1985) and a ADR yellow card report d January 1991 to December 1994); Ribeiro-Vaz 2012: 2006 to 2010 - hyperlinks to the ADR online reporting pharmacovigilance centre form t record or on a desktop computer. Idies so GRADE starts at low; downgraded once for serious risk of bias (high risk of bias for domain: intervention independent of other enerst that the intervention occurred independently of other changes over time and the outcome was not influenced by other confounding y period); no serious inconsistency: no serious imprecision: no serious indirectness; no other considerations. g ADR reports and ME reports: number of ADR reports after 2 years of follow-up: RR1.80 (95% CI 1.08 to 3.01, assumed rate or number with improved access: 288 ADR reports per 1000 practitioners (173 to 482); mean study							
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#### Summary of findings 6. Improving ADE reporting method (new web-based electronic error reporting system) versus usual practice (existing webbased electronic error reporting system)

**Participants:** healthcare professionals

Intervention: September 2010 replace existing electronic error reporting system with new web-based electronic error reporting system (equipped with a series of standardised screens, drop-down menu choices, and input fields designed to collect specific information and improve communication with all departments involved); post-implementation segment (1 September 2010 to 31 October 2012)

Comparator: pre-implementation segment (1 January 2009 to 31 August 2010) - web-based electronic error reporting system

#### Setting: hospital

Outcome	Relative num- bers of reports (95% CI)*	eports of reports <sup>‡</sup>		Mean study du- ration (stud- ies)	Certainty of the evidence (GRADE)	Comments
		Assumed num- ber with usual practice	Corresponding number with im- proved report- ing system	-		
Total number of ADE reports: number of ME reports after one year	1.80 (1.15 to 2.80)	80 ME reports per 1000 practi- tioners	144 ME reports per 1000 prac- titioners (92 to 224)	3.75 (1) <sup>1</sup>	Very low <sup>2</sup>	We do not know if the re-engineering the web- based electronic error reporting system may have increased the num- ber of ME reports after one year because the ev- idence is very uncertain. Data after two years in footnotes <sup>3</sup>
Total number of false ADE reports, including false ADR reports and false ME reports	None of the inclu	ded studies reporte	d on this outcome.			
Number of serious ADE reports (including se- rious ADR reports and serious ME reports)	None of the studi	es included in this c	comparison reported	on this outcome.		
Number of high-causality ADE reports (in- cluding high-causality ADR reports and high- causality ME reports)	None of the studi	es included in this c	comparison reported	on this outcome.		

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Intervention: case finding - clinical pharmacist i physicians and nurses, comprehensive review of taneous or solicited reporting by a physician, (b) macist by chart review after hospital discharge. Comparator: usual practice (clinical pharmacist Setting: hospital Outcome	patient charts post spontaneous or so	t-discharge using spe licited reporting by a	ecific data extract fo a nurse, (c) detectio pontaneous reportin	orm to identify in-ho n on regular ward r	ospital ADEs; ADEs ounds and (d) dete	were identified by (a) spor
Intervention: case finding - clinical pharmacist i physicians and nurses, comprehensive review of taneous or solicited reporting by a physician, (b) macist by chart review after hospital discharge. Comparator: usual practice (clinical pharmacist	patient charts post spontaneous or so	t-discharge using spe licited reporting by a	ecific data extract fo a nurse, (c) detectio	orm to identify in-ho n on regular ward r	ospital ADEs; ADEs ounds and (d) dete	were identified by (a) spor
<b>Intervention:</b> case finding - clinical pharmacist i physicians and nurses, comprehensive review of taneous or solicited reporting by a physician, (b) macist by chart review after hospital discharge.	patient charts post spontaneous or so	t-discharge using spe licited reporting by a	ecific data extract fo a nurse, (c) detectio	orm to identify in-ho n on regular ward r	ospital ADEs; ADEs ounds and (d) dete	were identified by (a) spor
Intervention: case finding - clinical pharmacist i physicians and nurses, comprehensive review of	patient charts post	t-discharge using spe	ecific data extract fo	orm to identify in-ho	ospital ADEs; ADEs	were identified by (a) spor
- ,						
Participants: healthcare professionals						
Summary of findings 7. Case finding versu	is spontaneous r	eporting (usual p	ractice)			
160 ME reports per 1000 practitioners, correspond study, 3.75 years exposure to intervention; very low			electronic error repo	orting system: 338 I	/E reports per 100	0 practitioners (165 to 693
downgraded once for serious imprecision (wide co <sup>3</sup> Total number of ADE reports, including ADR repor	onfidence intervals ts and ME reports: I	that include little or Relative number of №	no effect to substan IE reports after 2 yea	ntial effect); indirect ars: 2.11 (95% CI 1.0	ness: none; other: 3 to 4.33), assume	none. d number with usual pract
<sup>2</sup> Observational ITS so GRADE starts at low; down to counter this). Furthermore, there is no compel	graded once for se	rious risk of bias (au	thors do not appea	ar to have consider	ed seasonal effect	
reporting system (equipped with a series of standar with all departments involved) implemented in Se	rdised screens, dro	p-down menu choice	es, and input fields d	esigned to collect s	pecific information	
<sup>1</sup> Illustrative comparative rates are presented as ex <sup>1</sup> McKaig 2014: ITS; pre-implementation segment (1	pected numbers of	ME reports after 1 ar	nd 2 years in a settir	ng with 1000 practit	ioners.	www.web-based electronic e
ADE: adverse drug event; ADR: adverse drug reacti *Relative expected numbers of ME reports > 1 are a						
new-drug-related ME reports)						
Number of new-drug-related ADE reports (in- cluding new-drug-related ADR reports and new-drug-related ME reports)	None of the studie	es included in this con	mparison reported	on this outcome.		

None of the studies included in this comparison reported on this outcome.

Number of unexpected ADE reports (including unexpected ADR reports and unexpected ME

reports)

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Follow-up: 12 months	per 1000 pa- tient-days	
Total number of false ADE reports (including false ADR reports and false ME reports): num of false ADE reports	None of the studies included in this comparison reported on this outcome. er	
Follow-up: 12 months		
Number of serious ADE reports (including se ous ADR reports and serious ME reports)	None of the studies included in this comparison reported on this outcome.	
Follow-up: 12 months         Total number of false ADE reports (including false ADR reports and false ME reports): num of false ADE reports         Follow-up: 12 months         Number of serious ADE reports (including se ous ADR reports and serious ME reports)         Number of high-causality ADE reports (including ing high-causality ADR reports and high-causity ME reports)         Number of unexpected ADE reports (including unexpected ADR reports and unexpected ME reports)         Number of new-drug-related ADE reports (including new-drug-related ADR reports and new-drug-related ME reports)         ADE: adverse drug event; ADR: adverse drug re 'Incidence rate ratio (IRR) of ADEs; IRR > 1 is a clinical pharmacist present).         HIllustrative comparative rates are presented a Serious ADRs: resulting in death; is life-thread disability, incapacity, or both); high-causality, that are not described in the summary of proof ISchlienger 1999: non-randomised cross-over the first period (1 to 12 months) of the study, interviewing patients, daily meetings with phy ADEs; ADEs were identified by (a) spontaneous		
Number of unexpected ADE reports (includir unexpected ADR reports and unexpected ME reports)	None of the studies included in this comparison reported on this outcome.	
Number of new-drug-related ADE reports (in cluding new-drug-related ADR reports and new-drug-related ME reports)	None of the studies included in this comparison reported on this outcome.	
	ction; CI: confidence interval; ME: medication error; vs: versus sociated with more ADE reports with case finding (clinical pharmacist present) versus usual practice (spontaneous reporting, no expected numbers of ADEs per 1000 patient-days. ning; is a congenital anomaly; requires hospital admission or prolongation of stay in hospital; or results in persistent or great DRs: ADRs with attribution of definitive or probable causality; unexpected (previously unknown) ADRs: previously unknown ADRs ct characteristics; new-drug-related ADRs: ADRs concerning medications that have been on the market for fewer than 5 years. study, without a washout period. To minimise any possible learning effect, we have only included and analysed the data from n the test units: case finding - clinical pharmacist identified ADEs by joining daily hospital rounds, screening patient charts and icians and nurses, comprehensive review of patient charts post-discharge using specific data extract form to identify in-hospital or solicited reporting by a physician, (b) spontaneous or solicited reporting by a nurse, (c) detection on regular ward rounds and review after hospital discharge. In control units: clinical pharmacist not present; ADEs identified through spontaneous reporting	

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<sup>2</sup>Because it is not a randomised study, the GRADE assessment starts at low; downgraded twice for very serious risk of bias (risk of selection bias as not randomised, risk of performance as no blinding of physicians or nurses, and risk of detection bias as no blinding of outcome assessors); no serious inconsistency: no serious indirectness; no serious imprecision; no other considerations.



#### BACKGROUND

#### **Description of the condition**

Approximately 1.4% of the global Gross Domestic Product (US\$1 trillion) is spent on medicines (World Bank). Medicines cure, arrest or prevent disease, ease symptoms or help diagnose illnesses. However, a great deal of morbidity and mortality is associated with unforeseen reactions to and inappropriate use of medicines. Adverse drug events, defined as "any untoward medical occurrence that may present during treatment with a pharmaceutical product but which does not necessarily have a causal relationship with this treatment" (Uppsala Monitoring Center), are a global public health issue.

Adverse drug events (ADEs) include all adverse drug reactions and medication errors. An adverse drug reaction is "a harmful effect suspected to be caused by a drug at doses normally used in humans for the prophylaxis, diagnosis, or therapy of disease, or for the modification of physiological function" (Uppsala Monitoring Center). A medication error is "any preventable event that may cause or lead to inappropriate medication use or patient harm while the medication is controlled by the health care professional, patient, or consumer. Such events may be related to professional practice, health care products, procedures, and systems, including prescribing, order communication, product labelling, packaging, nomenclature, compounding, dispensing, distribution, administration, education, monitoring, and use" (NCCMERP 2016).

No medicine is without ADEs. While some ADEs are detected during pre-marketing phase clinical trials, limitations associated with the conduct of these trials make it impossible to identify all ADEs related to a product. Trial characteristics such as small sample size, relatively short follow-up periods, close monitoring of study participants (to ensure strict adherence to study protocol), and narrowly defined characteristics of study participants and study indications (study indications for a drug are often limited to a particular disease; Gad 2009) are important for study validity and efficacy but limit the generalisability and effectiveness of the study findings. Continually monitoring the use and effects (both beneficial and harmful) of clinically approved medicines in large numbers of people is therefore important to better understand the effectiveness and safety of medication under everyday circumstances.

Pharmacovigilance, which is "the science and activities relating to the detection, assessment, understanding, and prevention of adverse effects or any other drug-related problems" (WHO 2006), aims to improve patient safety related to the use of medicines (Fornasier 2018). More than 170 countries have pharmacovigilance agencies that collate and manage adverse event reporting (WHO 2016). Identifying any adverse drug events associated either with a medication or with the use of a medication, as soon as possible, prevents or minimises any potential harm. These efforts enable healthcare professionals to maximise the benefits of medicines while avoiding or minimising the risks associated with their use. Spontaneous or voluntary reporting of ADEs (i.e. case reports of ADEs that are voluntarily submitted from healthcare professionals and pharmaceutical manufacturers to the national regulatory authority (Uppsala Monitoring Center)) is the cornerstone of effective pharmacovigilance and is considered "usual practice" in most parts of the world. The level of pharmacovigilance and adverse drug event reporting differs greatly based on the regulations established by the respective regulatory agencies. Data collection also varies amongst countries. France, for example, has regional centres for collecting spontaneous reports, while Iran has a single national pharmacovigilance centre to collect data (Shalviri 2009). Although spontaneous reporting of ADEs is the most common method for collecting information on the safety of medicines during the post-marketing phase (Figueiras 2001; Pal 2013), it is limited and is associated with gross underreporting of ADEs. According to the WHO Monitoring Center in Uppsala, annual reporting rates of over 200 adverse drug event reports per million inhabitants indicate a healthy national pharmacovigilance system (Lindquist 2008). Many countries have yet to achieve this goal. It is estimated that only 2% to 4% of non-serious adverse drug events and 10% of serious ADEs are reported spontaneously by healthcare professionals (Hazell 2006; Moride 1997). It should be noted that reports from patients and healthcare students are also valuable contributors to drug-related data in many countries. However, these populations are not within the scope of this review.

#### **Description of the intervention**

Although spontaneous reporting of adverse drug events is the most common method of collecting safety data associated with medications, there are other methods of collecting safety information (WHO 2006). Some countries have implemented active surveillance systems to complement spontaneous reporting, for example, the prescription event monitoring (PEM) system in New Zealand and the United Kingdom (WHO 2006). In the European Union, a set of measures called "good pharmacovigilance practices" have been drawn up to facilitate pharmacovigilance (EMA 2016). Various interventions have been used in different settings to improve healthcare professionals' spontaneous reporting of ADEs. The most commonly used interventions include the following (Gonzalez-Gonzalez 2013; Molokhia 2009).

- Educational activities such as training sessions
- · Reminders such as letters, emails or posters
- Simplification of the adverse drug event reporting form
- · Increased availability of reporting forms
- Modification of reporting procedures (e.g. reporting by telephone or email)
- Incentives such as provision of educational credits, awards or financial motivations, or disincentives for not reporting, e.g. fines
- Assistance from a colleague (e.g. a clinical pharmacist, physician or nurse) with ADE reporting
- Providing feedback to reporters about adverse drug events
- Use of computerised monitoring systems to signal changes in laboratory results

Some studies focus specifically on developing interventions to improve medication error reporting. For example, a study in New Zealand designed a web-based medication error reporting programme (MERP) to supplement pharmacovigilance (Kunac 2014). Some studies choose to examine more than one intervention. For example, seven overlapping interventions were used in a study to improve ADE reporting, including a poster displaying days since the last medication error resulting in harm, a continuous slide show in the staff lounge showing performance metrics, multiple didactic curricula, unit-wide emails providing

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information on medication errors, computerised physician order entry, introduction of unit-based pharmacy technicians for medication delivery, and patient safety report form streamlining (Abstoss 2011).

#### How the intervention might work

Reasons for inadequate spontaneous reporting or underreporting of adverse drug events by healthcare professionals include complacency (e.g. the belief that very serious adverse drug reactions are well documented by the time a drug is marketed), insecurity (e.g. the belief that it is nearly impossible to determine whether a drug is responsible for a particular adverse reaction), diffidence (e.g. healthcare professionals are afraid of looking foolish or over-reactive by submitting a report for an adverse event that is not severe or not obviously related to a medical product or the use of a medical product), indifference (e.g. some healthcare professionals feel that the one case they might observe could not contribute to medical knowledge), ignorance (e.g. the belief that it is only necessary to report serious or unexpected adverse drug reactions), and lack of time to complete the adverse drug event reporting procedure (Mirbaha 2015; Varallo 2014). Healthcare professionals may also fear being blamed for any adverse event they draw attention to, that acknowledging an adverse reactions may reflect negatively on their competence or put them at risk of litigation (WHO 2006).

Understanding the barriers associated with underreporting of adverse drug events guides the design of interventions to address or minimise the impact of these barriers or reasons for inadequate spontaneous reporting of ADEs. Educational interventions and informational reminders could raise awareness of the importance of reporting adverse drug events. Other interventions aim to simplify or improve the accessibility of the reporting process itself and, in this way, increase the reporting rate. Interventions that reward healthcare professionals with either financial or non-financial incentives for reporting ADEs may also facilitate increases in the reporting rates of adverse drug events. Incentivised interventions may also lead to false reports, however, so checks and balances in the system are necessary.

Results of observational studies seem to suggest that although interventions involving educational sessions (Bäckström 2002), improving access to ADR report forms (McGettigan 1997), or financial incentives (Feely 1990) increase the reporting rate of adverse drug events, the effect of these interventions is temporary, and reporting rates decline once the intervention is removed (McGettigan 1997). The ultimate aim of interventions is to create a "culture of reporting" amongst healthcare professionals that is effective and sustained. Integrating the reporting of adverse drug events into existing hospital electronic reporting systems may be one example of a way to achieve this (Ortega 2008).

#### Why it is important to do this review

The World Health Organization (WHO) International Drug Monitoring Program was created in response to the lack of global harmonisation for monitoring of ADEs (WHO 2006). The programme currently includes over 170 countries as full members and associate members (WHO 2016). Despite the numerous pharmacovigilance activities undertaken in many countries, the problem of underreporting adverse drug events is still a major threat to the public's health and well-being. Adverse drug events are a significant cause of death in many countries (Lazarou 1998; Pirmohamed 2004; Shalviri 2009; Shalviri 2012; Wester 2008), and a significant cause of hospital admissions (Al Hamid 2014; Wilson 2012). Furthermore, a substantial portion of healthcare costs are directly related to adverse drug events, with the economic burden amounting to hundreds of billions of dollars each year (Andel 2012; Classen 1997; Ernst 2001; Gyllensten 2013; Johnson 1995).

Many adverse drug events are preventable. Studies have reported that 10% to 80% of all adverse drug events can be prevented (WHO 2014). Improved spontaneous reporting of suspected adverse drug events enables early detection of any patient safety issues associated with the medication itself or with how the medication is used (Pal 2013), which can reduce drug-related morbidity and mortality (Pal 2013). Several systematic reviews have assessed the effectiveness of interventions to enhance the reporting of adverse drug events (Gonzalez-Gonzalez 2013; Li 2019; Pagotto 2013; Paudyal 2020). These reviews are limited in their scope in terms of intervention (educational interventions; Pagotto 2013) or outcome (ADRs; Gonzalez-Gonzalez 2013; Li 2019; Paudyal 2020). Furthermore, none of the systematic reviews have provided an assessment of the certainty of the evidence for each of the interventions assessed (see Table 1). This Cochrane review aims to identify all interventions directed at healthcare professionals that may improve reporting of adverse drug events, including all ADRs and any MEs. Our review will also systematically assess the certainty of the evidence associated with each type of intervention.

#### OBJECTIVES

To assess the effectiveness of different interventions aimed at healthcare professionals to improve the reporting of adverse drug events.

#### METHODS

#### Criteria for considering studies for this review

#### Types of studies

We included both individually randomised trials and clusterrandomised trials, non-randomised controlled studies and controlled before-after studies. For cluster-randomised trials, nonrandomised cluster trials and controlled before-after studies, we included only those with at least two intervention sites and two control sites (EPOC 2013a). In addition, for controlled beforeafter studies, data collection had to be contemporaneous in both the intervention and control groups during the pre- and postintervention periods, and identical measurement methods had to be used in these periods. We also included interrupted time series and repeated measures studies that had a clearly defined time point when the intervention occurred and at least three data points before and after the intervention (EPOC 2013b). We included data from both published (full-text articles and conference abstracts) and unpublished eligible studies.

#### **Types of participants**

We included studies in which healthcare professionals (including but not limited to general practitioners, pharmacists, nurses and specialists) from any healthcare setting were the target audience of the intervention. We excluded studies aimed at patients and healthcare students (e.g. medical, nursing, pharmacy) as the target audience.



#### **Types of interventions**

We included studies assessing any intervention designed to increase adverse drug event reporting, compared with healthcare professionals' usual adverse drug event reporting practice (mainly spontaneous or voluntary reporting) or a different intervention or interventions designed to improve adverse drug event reporting. We excluded studies of interventions targeted at adverse events reporting following immunisation (AEFI) as AEFI monitoring uses different mechanisms and settings.

#### Types of outcome measures

An adverse drug event (ADE) is defined as "any untoward medical occurrence that may present during treatment with a pharmaceutical product but which does not necessarily have a causal relationship with this treatment" (Uppsala Monitoring Center). ADEs can include both adverse drug reactions (ADRs) and medication errors (MEs). According to the Uppsala Monitoring Center, an adverse drug reaction (ADR) is defined as "a harmful effect suspected to be caused by a drug", including "all kinds of adverse events, many of which are not 'reactions' in the strict sense and have not been subject to any assessment of causality" (Uppsala Monitoring Center). A medication error (ME) is "any preventable event that may cause or lead to inappropriate medication use or patient harm while the medication is controlled by the health care professional, patient, or consumer. Such events may be related to professional practice, health care products, procedures, and systems, including prescribing, order communication, product labelling, packaging, nomenclature, compounding, dispensing, distribution, administration, education, monitoring, and use" (NCCMERP 2016).

#### **Primary outcomes**

- Total number of ADE reports, including ADR reports and ME reports, submitted by healthcare professionals
- Total number of false ADE reports, including false ADR reports and false ME reports, submitted by health care professionals

#### Secondary outcomes

- Number of serious ADE reports (including serious ADR reports and serious ME reports)
  - ADEs that result in death, are life-threatening, are a congenital anomaly, require hospital admission or prolongation of stay in hospital, or result in persistent or significant disability or incapacity or both
- Number of high-causality ADE reports (including high-causality ADR reports and high-causality ME reports)
  - o ADEs with attribution of definitive or probable causality
- Number of unexpected ADE reports (including unexpected ADR reports and unexpected ME reports)
  - Previously unknown ADEs that are not described in the drug's summary of product characteristics
- Number of new-drug-related ADE reports (including new-drug-related ADR reports and new-drug-related ME reports)
  - ADEs relating to medications that have been on the market for less than five years

#### Search methods for identification of studies

#### **Electronic searches**

An EPOC Information Specialist developed the search strategies in consultation with the review authors. We searched the following databases from inception to 14 October 2022.

- Cumulative Index to Nursing and Allied Health Literature (CINAHL) via EbscoHost (1980 to 14 October 2022)
- Cochrane Database of Systematic Reviews (CDSR), Database of Abstracts of Reviews of Effects (DARE), Health Technology Assessment (HTA) database, and NHS Economic Evaluation Database (NHS EED) via the Cochrane Library (Issue 10, 2022; searched on 14 October 2022)
- Cochrane Central Register of Controlled Trials (CENTRAL) (includes the entirety of the EPOC Group Specialised Register) via the Cochrane Library (Issue 10, 2022; searched on 14 October 2022)
- Embase via OvidSP (1974 to 14 October 2022)
- Science Citation Index (SCI), Social Sciences Citation Index (SSCI) via Web of Knowledge (1975 to 14 October 2022)
- Conference Proceedings Citation Index- Science (CPCI-S) via Web of Science (1990 to 14 October 2022)
- MEDLINE (In-Process and other non-indexed citations) via OvidSP (1946 to 14 October 2022)
- Dissertations & Theses (COS Conference Papers Index; ProQuest Dissertations & Theses: UK & Ireland; ProQuest Dissertations & Theses Global) via ProQuest (1861 to 11 March 2021)
- Virtual Health Library (VHL) Regional Portal via pesquisa.bvsalud.org/portal/advanced/?lang=en; search date: 17 October 2022
- World Health Organization Library Catalogue (WHOLIS/IRIS) via https://kohahq.searo.who.int; search date: 17 October 2022

We also searched the following trial registries for potentially eligible ongoing studies on 17 October 2022.

- Word Health Organization (WHO) International Clinical Trials Registry Platform (ICTRP) via www.who.int/ictrp/en;
- ClinicalTrials.gov via clinicaltrials.gov.

Searches were not restricted by language, date or format of publication. The search strategies used are provided in Appendix 1.

#### Searching other resources

We conducted a grey literature search of the following databases using key terms "adverse drug event" OR "adverse drug reaction" OR "medication error" to identify additional potentially eligible studies.

- OpenGrey via opengrey.eu (last search date 20 August 2018; database no longer updated)
- Grey Literature Report, New York Academy of Medicine via www.nyam.org/library/collections-and-resources/#greylit (last search date 20 August 2018; database no longer updated)
- Agency for Healthcare Research and Quality (AHRQ) via www.ahrq.gov (last search date 20 August 2018);
- National Institute for Health and Clinical Excellence (NICE) via www.nice.org.uk (last search date 20 August 2018)

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 Bielefeld Academic Search Engine (BASE) via www.basesearch.net (last search date 20 August 2018)

We also screened the reference lists of all included studies and relevant systematic reviews and primary studies. We contacted authors of relevant studies or reviews to clarify reported published information and to seek unpublished results or other data for potentially eligible studies. We contacted experts in the field for information on additional eligible ongoing or completed studies.

#### Data collection and analysis

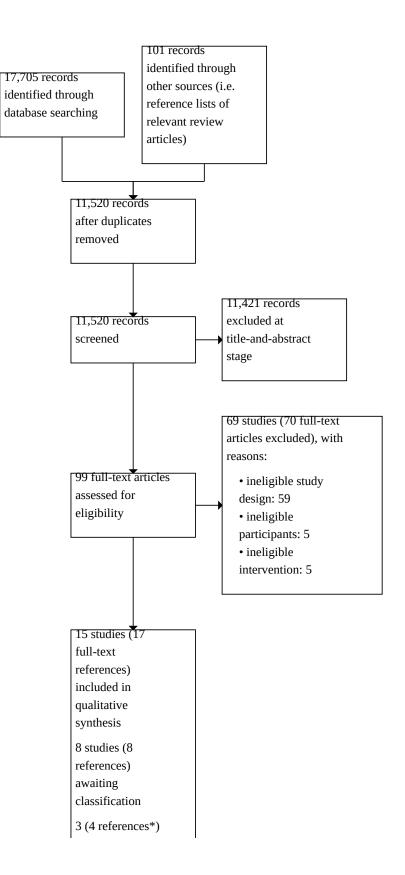
#### **Selection of studies**

All references retrieved through electronic searching were downloaded into a reference management database (EndNote 2013). After removing all duplicate references, the search records were uploaded to the review management programme Covidence (Covidence). Two review authors (from GS, NM, LG, WYC)

independently screened the titles and abstracts for inclusion. We obtained the full texts of all the potentially eligible studies, and two review authors (from GS, NM, LG, WYC) independently screened these for inclusion. We noted the reasons for excluding any potentially eligible full-text studies, and these are provided in a Characteristics of excluded studies table. Any disagreement between review authors regarding study eligibility was resolved through discussion or, if required, consultation with a third author (KG). We collated multiple reports of the same study so that each study, rather than each report, was the unit of interest in the review. Details about (potentially) eligible ongoing studies are provided in a Characteristics of ongoing studies table. If we were unable to obtain the full text of a potentially eligible study and could not determine the eligibility of the study, we recorded the study details in a Characteristics of studies awaiting classification table. We presented the study selection process in a PRISMA flow diagram (Figure 1).

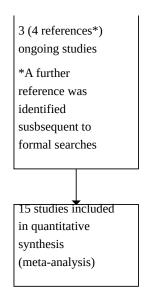


#### Figure 1. PRISMA flow diagram



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#### Data extraction and management

We used a standard data collection form adapted from EPOC (EPOC 2013c) to capture study characteristics and outcome data. Two review authors (GS, NM) independently extracted the following study characteristics from all included studies.

- 1. Methods: study design, number of study centres and location, study setting, date of the study, length of follow-up
- 2. Participants: number, mean age or age range, sex, inclusion and exclusion criteria, withdrawals, loss to follow-up, type of healthcare professionals, education
- 3. Interventions: specific components of the intervention; intensity of intervention; duration of intervention
- 4. Comparison: treatment and contact received by the control or comparison group
- 5. Outcomes: description of study outcomes reported in the study (including the number of reported ADEs, number and percentage of false reports of ADEs and number of detected ADEs), time points at which outcomes were reported, outcome data for all relevant outcomes reported
- 6. Notes: additional details about trial funding, notable author conflicts of interest, ethical approval, any outcome data reported in an unusable way and correspondence with study authors for additional data or information.

A third independent author (CR) also extracted and imputed treatment effect estimates (including standard errors or confidence intervals) for the randomised studies, and extracted and reanalysed data from the interrupted time series (ITS) studies. For randomised studies, we either extracted published risk ratios or imputed rate ratios and exact 95% confidence intervals on the rate ratio from extracted numbers of events and exposures. Because one of the included studies was a three-arm study in which two interventions were compared to a common comparator (Herdeiro 2012), we adjusted the standard errors for this study's comparisons using the exact adjustment method of Rücker 2017. We extracted ITS study data from the time series graphs published in the studies using WebPlotDigitizer (accessed in March and April 2020). In some cases, there were apparent discrepancies between the dates of the interruptions shown in graphs versus those stated in the study texts. We chose to use the dates provided in the study texts where possible, which is a conservative approach that, in the case of these studies, is likely to lead to less extreme effect estimates. We re-analysed all ITS data using piecewise linear regression, adjusted for autocorrelated disturbances and seasonality where possible, using the interrupted time series analysis add-on command (Linden 2015) for Stata (StataCorp LLC, College Station, Texas, USA). Specifically, we estimated the pre-interruption level and slope, post-interruption change in level, post-interruption slope and seasonal effects where possible. We adjusted for autocorrelated disturbances by setting the maximum lag option to a value determined by visual inspection of autocorrelation and partial correlation plots, and by using Cumby-Huizinga general tests for autocorrelation (Cumby 1990), with a significance threshold of 0.05. We adjusted for seasonality by modelling the effect of each quarter as a fixed effect if at least two years of data were available (i.e. each quarter was observed at least twice) and if data were provided monthly or more frequently (i.e. at least three data points were available for each quarter). The included ITS studies generally reported ADR counts. We modelled ITS data on the natural logarithmic scale to constrain the error distribution to positive values (counts cannot be negative), stabilise variance, and facilitate meta-analysis (see Measures of treatment effect). None of the included ITS studies included controls in which no intervention (or a substantively different intervention) was used in the post-interruption period, so we could not adjust for other possible explanations for the observed changes in reporting.

At least one other review author (from LG, GS, NM) checked the extracted and imputed effect estimates. Two review authors independently compared extracted time-series data and model fits (on the count rather than the logarithmic scale) to the graphs published in the included studies. We resolved any disagreements regarding the extracted data by consensus.

**Cochrane** Database of Systematic Reviews

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#### Assessment of risk of bias in included studies

Two review authors (from GS, NM, LG, WYC) independently assessed the risk of bias for each study using the Cochrane risk of bias tool (RoB 1), as outlined in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2024), and following guidance from the EPOC group (EPOC 2015b). We resolved any disagreement by discussion or by involving a third review author (KG).

The Cochrane Handbook for Systematic Reviews of Interventions (Higgins 2024) recommends the explicit reporting of the following individual elements for randomised controlled trials, non-randomised controlled trials and controlled before-after studies: random sequence generation; allocation sequence concealment; blinding (participants, personnel); blinding (outcome assessment); completeness of outcome data; selective outcome reporting; and any other sources of bias such as contamination. For each domain, we described the relevant information provided by the authors and judged each item as being at high, low or unclear risk of bias based on the criteria provided by Higgins 2024.

For cluster-RCTs, we assessed the risk of bias associated with the following additional domains: selective recruitment of participants (recruitment bias); baseline imbalance between clusters; loss of clusters; not accounting for clustering in the analysis; and comparability with individually randomised trials to account for potential "herd effect" seen with cluster-RCTs (Higgins 2024). We added the judgement and rationale for these domains under "Other bias" in the risk of bias tables and figures, and we report them in the Other potential sources of bias section.

For interrupted time series studies (ITS), we assessed the following additional risk of bias domains: was knowledge of the allocated interventions adequately prevented during the study; was the intervention unlikely to affect data collection; was the intervention independent of other changes; and was the shape of the intervention effect prespecified (EPOC 2013b)?

We judged each potential source of bias as high, low or unclear and provided justification for our judgement in the risk of bias table. We summarised our risk of bias judgements across different studies for each domain. We considered blinding separately for different key outcomes where necessary. Where information on the risk of bias related to unpublished data or correspondence with a trialist, we noted this in the risk of bias table. When considering treatment effects, we took into account the risk of bias in the studies that contributed to that outcome.

#### Measures of treatment effect

We measured relative treatment effects using risk ratios and rate ratios for the included randomised studies. For the ITS studies, we exponentiated change in level and slope (which were estimated on the logarithmic scale; see Data extraction and management) to obtain estimates of ratios of post- to pre-interruption levels and slopes. These estimates describe the nature of any change in reporting. In principle, however, genuine changes in level and slope can lead to no overall change in reporting (i.e. a change in slope can effectively cancel a change in level). We, therefore, measured change in reporting as the ratio of expected numbers of ADR reports by extrapolating the pre-interruption curve into the post-interruption period and treating it as a counterfactual. Because this ratio is a function of time, we estimated it at one and two years post-intervention. We excluded a study from any metaanalysis of the data if it would be necessary to extrapolate beyond the end of follow-up for that study. We used a consistent direction of effect for all measures of treatment effect such that a value greater than one favours the intervention over the comparator.

#### Unit of analysis issues

A number of eligible studies were cluster-randomised trials. A statistician (CR) confirmed that the analyses of all these studies were appropriately adjusted for clustering. We, therefore, extracted the data as reported.

Two studies used a cross-over design (Hanesse 1994; Schlienger 1999). After careful consideration, we decided that we would use the data from both periods for Hanesse 1994. However, in Schlienger 1999, due to limitations in the design of the study (i.e. it was non-randomised; the same clinical pharmacologist implemented the intervention and collected the outcome; and there was no washout between study periods), we felt it prudent to include only data from the first period of the study.

#### Dealing with missing data

We contacted study authors to verify key study characteristics and obtain missing outcome data where possible. We noted all interactions with study authors in the Characteristics of included studies table. We did not approach the authors of ITS studies to obtain the original time series data.

#### Assessment of heterogeneity

When we conducted a meta-analysis of study data, we used the  $l^2$  statistic to assess heterogeneity amongst the trials in each analysis. We noted the presence of considerable heterogeneity ( $l^2 = 75\%$  to 100%, Higgins 2024) in the text and explored this heterogeneity through the subgroup analyses. Where there were high levels of unexplained heterogeneity, we interpreted meta-analysis results with caution.

#### Assessment of reporting biases

We had planned to use funnel plots to explore possible nonreporting biases if it were possible to pool results from more than 10 studies (Sterne 2011). We could not pool results from more than six studies, so we did not generate funnel plots.

#### **Data synthesis**

We performed random-effects meta-analyses following standard Cochrane methods. We used the meta-analysis commands provided by Stata version 16.1 (StataCorp LLC, College Station, Texas, USA). We performed estimation using restricted maximum likelihood and presented results on forest plots.

#### Subgroup analysis and investigation of heterogeneity

See Differences between protocol and review

#### Sensitivity analysis

See Differences between protocol and review

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# Summary of findings and assessment of the certainty of the evidence

We summarised the findings for the main comparisons in a summary of findings table for the following primary and secondary outcomes (see Types of outcome measures).

- 1. Total number of ADE reports
  - a. Number of ADR reports
  - b. Number of ME reports
- 2. Total number of false ADE reports a. Number of false ADR reports
  - b. Number of false ME reports
- 3. Number of serious ADE reports, including serious ADR reports and serious ME reports (i.e. resulting in death; is life-threatening; is a congenital anomaly; requires hospital admission or prolongation of stay in hospital; or results in persistent or great disability, incapacity, or both).
- 4. Number of high-causality ADE reports, including high-causality ADR reports and high-causality ME reports (i.e. ADEs with attribution of definitive or probable causality)
- 5. Number of unexpected ADE reports, including unexpected ADR reports and unexpected ME reports (i.e. previously unknown ADEs that are not described in the summary of product characteristics)
- 6. Number of new drug-related ADE reports, including new drugrelated ADR reports and new drug-related ME reports (i.e. ADEs concerning medications that have been on the market for less than five years)

We re-expressed meta-analytical risk and rate ratio estimates as assumed and corresponding numbers of ADE reports per 1000 practitioner years. We used data from study control arms to estimate the "assumed" rate of ADE reports, which necessarily differs with respect to the type of ADE. For example, the total number of ADR reports is larger than that for serious ADRs. We then rounded these estimates to the nearest 10 to aid reasoning. We then calculated the "corresponding" numbers of ADE reports under the intervention by multiplying the assumed rate by the relative treatment estimate and the bounds on its 95% CI. A similar approach was used to re-express ratios of numbers of ADE reports at one and two years as assumed and corresponding numbers of ADE reports in a setting with 1000 practitioners (for simplicity, the assumed number of ADE reports at two years was taken to be twice the number of ADE reports at one year).

Two review authors (from GS, NM, LG, WYC) independently assessed the certainty of the body of evidence (i.e. high, moderate, low and very low) as it relates to these outcomes (GRADEpro GDT 2015; Guyatt 2008), using the five GRADE considerations (risk of bias, consistency of effect, imprecision, indirectness and publication bias). We resolved disagreements on certainty ratings by discussion. We provided justification for decisions to downgrade or upgrade the ratings using footnotes in the table, and we added comments to aid readers' understanding of the review where necessary. We used plain language statements to report these findings in the review (EPOC 2013d).

We considered whether there was any additional outcome information that could not be incorporated in our meta-analyses. We noted this in the comments and stated if it supported or contradicted the information from the meta-analyses. If it was not possible to meta-analyse the data, we narratively summarised the results in the text.

#### RESULTS

#### **Description of studies**

Our study selection process is outlined in a PRISMA flow diagram (Liberati 2009) - see Figure 1.

#### **Results of the search**

A comprehensive search of electronic databases from inception to 14 October 2022 retrieved 17,705 records. We also screened the reference lists of six recently published relevant systematic reviews (see Table 1 for details). Following de-duplication, we screened the titles and abstracts of the remaining 11,520 records and retrieved 99 full-text reports (including trial registrations) to assess for eligibility. Of these 99, 15 studies (17 references) met the review inclusion criteria (Included studies), and we excluded 69 studies (70 references), documenting our reasons - see Excluded studies. We have eight studies awaiting classification, and three studies are ongoing (Hutchinson 2020; Kiguba 2022; NCT05402254). We identified an errata paper for one of the ongoing studies during our preparation of the review (Kiguba 2022).

#### Study design

There were two individually randomised controlled trials (Johansson 2009; Johansson 2011), five cluster-randomised controlled trials (Figueiras 2006; Herdeiro 2008; Herdeiro 2012; Lopez-Gonzalez 2015; Ribeiro-Vaz 2011) and one cluster-randomised cross-over trial (Hanesse 1994). Six were interrupted time series studies (Ali 2018; Castel 2003; Chang 2017; McKaig 2014; Pedrós 2009; Ribeiro-Vaz 2012) and one was a non-randomised cross-over study (Schlienger 1999).

Four of the studies were connected (Figueiras 2006; Herdeiro 2008; Herdeiro 2012; Ribeiro-Vaz 2011). Figueiras 2006 and Herdeiro 2008 were conducted in the same 15 Portuguese clusters (which consisted of one reference hospital plus the outpatient centre and any other hospital in the catchment area). Figueiras 2006 targeted the physicians in these clusters and Herdeiro 2008 targeted the pharmacists. A second randomisation of the four intervention clusters from Figueiras 2006 and Herdeiro 2008 was performed, with two clusters receiving the workshop intervention and two clusters receiving the telephone-interview intervention, and 11 clusters remaining assigned to the control arm. Herdeiro 2012 targeted the physicians and Ribeiro-Vaz 2011 targeted the pharmacists in the newly randomised clusters.

#### Study setting

Five of the studies were conducted in Portugal (Figueiras 2006; Herdeiro 2008; Herdeiro 2012; Ribeiro-Vaz 2011; Ribeiro-Vaz 2012), three in Spain (Castel 2003; Lopez-Gonzalez 2015; Pedrós 2009), two in Sweden (Johansson 2009; Johansson 2011) and one in each of the following countries: France (Hanesse 1994), Switzerland (Schlienger 1999), USA (McKaig 2014), China (Chang 2017) and Saudi Arabia (Ali 2018). Most of the studies were conducted in large tertiary care hospitals.

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#### Participants

Approximately 62,389 participants were enroled in the 15 included studies. While some studies reported approximate participant numbers, four (all ITS studies) did not report the number of healthcare professionals exposed to the intervention. In seven studies, the intervention targeted physicians (Castel 2003; Chang 2017; Figueiras 2006; Hanesse 1994; Herdeiro 2012; Lopez-Gonzalez 2015; Pedrós 2009). In three studies, the intervention targeted hospital pharmacists (Ali 2018; Herdeiro 2008; Ribeiro-Vaz 2011). The intervention in Schlienger 1999 targeted physicians and nurses. The intervention in Johansson 2009 and Johansson 2011 targeted hospital GPs and nurses. In McKaig 2014 and Ribeiro-Vaz 2012, the intervention targeted all hospital medical staff (physicians, nurses, and pharmacists).

#### Interventions

Based on the characteristics of the various interventions, the main focus of the interventions and usual practices implemented in the eligible studies, we devised the following overarching categories and comparisons.

#### Educating and informing

- Comparison 1. Education session plus reminder card and ADR report form versus usual practice (Figueiras 2006; Herdeiro 2008; Herdeiro 2012; Lopez-Gonzalez 2015; Ribeiro-Vaz 2011)
- Comparison 2. Informational letter and email versus usual practice (Johansson 2009; Johansson 2011)

#### Multifaceted intervention

- Comparison 3. Multifaceted intervention (financial and nonfinancial incentives, fines, education, reminders) versus usual practice (Ali 2018; Chang 2017; Pedrós 2009)
- Comparison 4. Government regulations plus financial incentives versus usual practice (Chang 2017)

#### **Process improvement**

- Comparison 5. Improving access to ADR report forms versus usual practice (Castel 2003; Hanesse 1994; Ribeiro-Vaz 2012)
- Comparison 6. Improving the reporting method versus usual practice (McKaig 2014)

#### Adverse drug event champion

Comparison 7. Case finding versus spontaneous reporting (Schlienger 1999)

#### Outcomes

See Table 2 for a summary of the outcomes measured and reported on in each study. In terms of our first primary outcome, all but two studies reported the number of ADR reports submitted (McKaig 2014; Schlienger 1999). McKaig 2014 (comparison 6 - improving ME reporting method versus usual practice) reported the mean number of monthly medication error reports submitted pre- and post-intervention implementation. Schlienger 1999 (comparison 7 - case finding versus spontaneous reporting (usual practice) reported the number of ADE reports per 1000 patient-days. None of the included studies provided data on our other primary outcome, the total number of false ADE reports (including ADR and ME) submitted by healthcare professionals.

#### **Excluded studies**

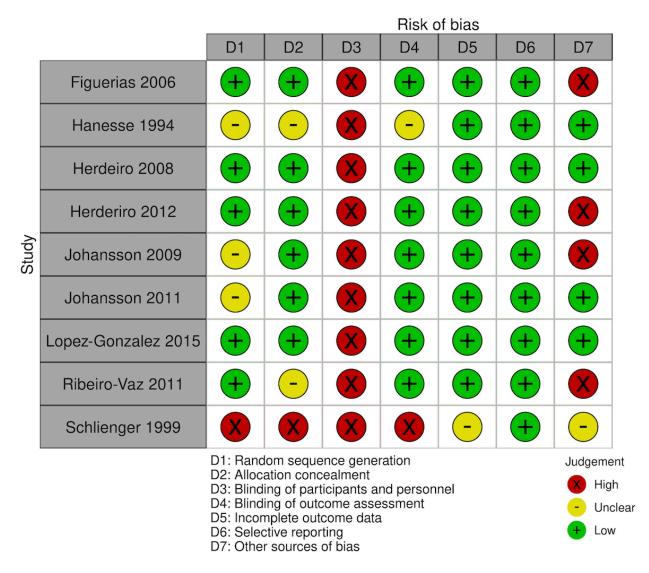
We excluded 69 full-text articles (70 studies). The most common reason for exclusion was ineligible study design. For more details, see Characteristics of excluded studies.

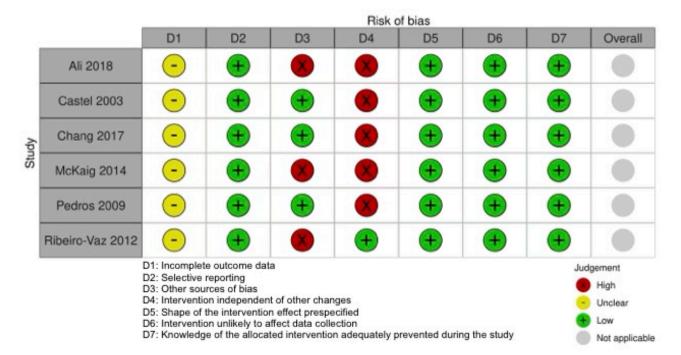
#### **Risk of bias in included studies**

For a summary of our assessment of the studies' risk of bias, see Figure 2 (controlled studies) and Figure 3 (ITS studies).



#### Figure 2. Risk of bias summary for the controlled trials





#### Figure 3. Risk of bias summary for interrupted time series (ITS) studies

#### Allocation

We judged Schlienger 1999 to have a high risk of selection bias as it was a non-randomised study. Johansson 2009 and Johansson 2011 both have an unclear risk of selection bias as they did not describe how the random sequence was generated. However, both studies stated that "a person not involved in the study and without knowledge about the study protocol performed the randomisation procedure". Assuming that the randomisation procedure includes the allocation procedure, it is likely that overall, the risk of selection bias was low in both these studies. We judged Hanesse 1994 to have an unclear risk of selection bias. The study authors state that reporting methods were randomly assigned; however, they did not describe how the random sequence was generated or provide details regarding allocation concealment. We judged the remainder of the randomised studies to have a low risk of selection bias (Figueiras 2006; Herdeiro 2008; Herdeiro 2012; Lopez-Gonzalez 2015; Ribeiro-Vaz 2011).

#### **Performance bias**

We judged all the studies to have a high risk of performance bias as blinding of the participant or intervention targets was not possible due to the nature of the various interventions implemented.

#### **Detection bias**

We judged Schlienger 1999 to have a high risk of detection bias as the outcome assessor was not blinded to the group allocation. The risk of detection bias was judged unclear in Hanesse 1994 as the data on adverse drug reactions were extracted from patients' medical files in the study, and it was unclear who extracted them and if this person was blinded. Although blinding of the outcome assessors was not clearly or explicitly described in most of the studies, we judged the remaining studies to have a low risk of detection bias as the person who extracted the data was likely to be unaware of the intervention allocation.

#### Incomplete outcome data

We judged Schlienger 1999 as unclear risk of attrition bias as neither the flow of participants nor the retention of healthcare professionals was described in the published study. We judged all remaining randomised trials as having a low risk of attrition bias. There was no loss of clusters in the cluster-randomised studies, and even though retention of healthcare professionals (which might affect the number of adverse drug event reports submitted) was not clearly reported, we assumed that any loss or addition of healthcare professionals was just as likely to occur in the intervention and control arms.

#### Selective reporting

We judged all the studies to have a low risk of reporting bias as, even though the protocol was not available for all the studies, all the outcomes mentioned in the methods section were reported on in the results section. Furthermore, all expected outcomes were reported in the studies. Only three studies were registered in a trial registry (Herdeiro 2008; Herdeiro 2012; Lopez-Gonzalez 2015).

#### Other potential sources of bias

We judged Herdeiro 2012 and Ribeiro-Vaz 2011 as having a high risk of other bias due to a baseline imbalance in adverse drug reaction reporting rate between the intervention and control groups. We judged Johansson 2009 as having a high risk of other bias due to potential contamination bias. The authors stated: "The intervention may also have spilled over to the control units. Doctors may work in more than one primary health care unit, i.e. both in the intervention group and in the control group. Also, the units all belong to the same organisation, and information may easily be

passed on from one unit to another." We judged Schlienger 1999 as having an unclear risk of other bias due to an imbalance in the number of males and females in the intervention and control arms, and the effect of this imbalance on the number of reported adverse drug events being unclear. Schlienger 1999 is a non-randomised cross-over study; to mitigate the potential risk of bias due to the carry-over effect, we included only data from the first phase of the study prior to cross-over.

#### Interrupted time-series (ITS) studies

#### Incomplete outcome data (attrition bias)

We judged all the ITS studies to have an unclear risk of attrition bias. Most of the studies reported the number of centres and hospitals exposed to the intervention and the number included in the analysis, but they did not report the number of healthcare professionals serving these hospitals or centres or the retention rate of healthcare professionals in the study.

#### Selective reporting (reporting bias)

We judged all the ITS studies to have a low risk of reporting bias. Even though we did not have access to the study protocols, all relevant outcomes in the method section were reported in the results section of the published studies.

#### Other potential sources of bias

We judged Ali 2018, McKaig 2014 and Ribeiro-Vaz 2012 to have a high risk of other bias as none of these studies appeared to adjust for seasonality. Furthermore, there is a probable clustering effect in Ribeiro-Vaz 2012 (there appears to be a hierarchy of hospitals within centres), which does not seem to be modelled in the analyses.

#### Intervention independent of other changes

We judged Ali 2018, Castel 2003, Chang 2017, McKaig 2014 and Pedrós 2009 to have a high risk of bias in this domain as the study authors did not provide any compelling argument that the intervention occurred independently of other changes over time and that the outcome was not influenced by other confounding variables or historic events during the study period. Ribeiro-Vaz 2012 was the only study to explicitly address this issue: "From the initial 18 centres (31 hospitals), we excluded four hospitals that established other cooperation protocols with UFN to avoid a possible confounder bias. For the other 16 centres, we believe that there were no external interventions that could potentially explain the observed results."

#### Shape of the intervention effect prespecified

We judged all the ITS studies to have a low risk of bias in this domain as the point of analysis matched the point of intervention and was clearly described in all the studies.

#### Intervention unlikely to affect data collection

We judged all the ITS studies to have a low risk of bias in this domain because we thought that the intervention itself was unlikely to

affect data collection as sources and data collection methods were the same before and after the intervention in all studies.

# Knowledge of the allocated interventions adequately prevented during the study

We judged all the ITS studies to have a low risk of bias in this domain because the outcome is objective. In all the studies, the number of ADE reports were retrieved from central or pharmacovigilance databases.

#### **Effects of interventions**

See: Summary of findings 1 Education session plus reminder card and ADR report form versus usual practice; Summary of findings 2 Informational letter or email versus usual practice; Summary of findings 3 Multifaceted interventions versus usual practice; Summary of findings 4 Government regulations plus financial incentives versus usual practice; Summary of findings 5 Improving access to ADR report forms versus usual practice; Summary of findings 6 Improving ADE reporting method (new web-based electronic error reporting system) versus usual practice (existing web-based electronic error reporting system); Summary of findings 7 Case finding versus spontaneous reporting (usual practice)

For a summary of the key comparisons and outcomes, see Summary of findings 1; Summary of findings 2; Summary of findings 3; Summary of findings 4; Summary of findings 5; Summary of findings 6; Summary of findings 7.

None of the included studies reported on our primary outcome 'total number of false ADE reports' (see Primary outcomes).

# Comparison 1. Education session plus reminder card and report form versus usual practice

Data for this comparison came from five cluster-RCTs (Figueiras 2006; Herdeiro 2008; Herdeiro 2012; Lopez-Gonzalez 2015; Ribeiro-Vaz 2011; see Summary of findings 1). All trials assessed the effect of an education session (consisting of 30- to 60-minute long face-to-face group workshops or telephone interviews, or both), plus a reminder card (similar to the ADR report form summarising the main points from the education session) and an ADR report form on the number of ADR reports submitted. The effect of the intervention was measured amongst participants (i.e. physicians and pharmacists).

At 13 to 16 months after the implementation of the intervention, compared to usual practice, education sessions together with reminder cards and ADR report forms may substantially increase the number of submitted ADR reports (risk ratio 3.00, 95% CI 1.53 to 5.90; Figure 4), serious ADR reports (risk ratio 3.30, 95% CI 1.51 to 7.21; Figure 5), high-causality ADR reports (risk ratio 2.48, 95% CI 1.11 to 5.57; Figure 6), unexpected or previously unknown ADR reports (risk ratio 4.72, 95% CI 1.75 to 12.76; Figure 7) and new-drug-related ADR reports (risk ratio 8.68, 95% CI 3.40 to 22.13; Figure 8). The certainty of the evidence was low for all these findings.

Figure 4. Comparison 1. Education session plus reminder card and report form versus usual practice. Outcome: total number of adverse drug reaction reports submitted. Education delivered in group sessions, workshops or via telephone. Meta-analysis of five cluster-randomised controlled studies.

Study			Risk Ratio with 95% C		Weight (%)
Educational group session					
Figueiras 2006			0.23 [ 3.81, 2]	7.49]	14.00
Herdeiro 2008			5.87 [ 1.98, 17	7.40]	13.18
Lopez-Gonzalez 2015			1.65 [ 1.08, 2	2.53]	18.53
Heterogeneity: $\tau^2 = 0.81$ , $I^2 = 82.48\%$ , $H^2 = 5.71$			4.26 [ 1.38, 13	3.21]	
Test of $\theta_i = \theta_j$ : Q(2) = 13.83, p = 0.00					
Telephone interview					
Herdeiro 2012			1.02 [ 0.88,	1.18]	19.81
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$	•		1.02 [ 0.88,	1.18]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .					
Telephone interview or workshop					
Ribeiro-Vaz 2009			3.22 [ 1.33,	7.80]	14.89
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$			3.22 [ 1.33, 7	7.80]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .					
Workshop					
Herdeiro 2012			3.97 [ 3.18,	4.96]	19.58
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$		•	3.97 [ 3.18, 4	4.96]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .					
Overall		•	3.00 [ 1.53, 3	5.90]	
Heterogeneity: $\tau^2 = 0.59$ , $I^2 = 94.85\%$ , $H^2 = 19.40$					
Test of $\theta_i = \theta_j$ : Q(5) = 119.88, p = 0.00	Fewer ADRs with intervention	More ADRs with interventio	n		
Test of group differences: $Q_b(3) = 103.91$ , p = 0.00					
	1/25 1/5	1 5 25			



Study			Risk Ratio with 95% Cl	Weight (%)
Educational group session				
Figueiras 2006			6.32 [ 2.09, 19.14]	14.45
Herdeiro 2008			9.79 [ 2.24, 42.72]	11.81
Lopez-Gonzalez 2015			1.62 [ 0.99, 2.65]	18.85
Heterogeneity: $\tau^2 = 0.74$ , $I^2 = 74.68\%$ , $H^2 = 3.95$			3.99 [ 1.28, 12.43]	
Test of $\theta_i = \theta_j$ : Q(2) = 8.86, p = 0.01				
Telephone interview				
Herdeiro 2012			0.93 [ 0.81, 1.07]	20.25
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$	•		0.93 [ 0.81, 1.07]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .				
Telephone interview or workshop				
Ribeiro-Vaz 2009			3.87 [ 1.29, 11.61]	14.52
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$			3.87 [ 1.29, 11.61]	
Test of $\theta_i = \theta_j$ : Q(0) = -0.00, p = .				
Workshop				
Herdeiro 2012			6.84 [ 5.61, 8.34]	20.12
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$		•	6.84 [ 5.61, 8.34]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .				
Overall		•	3.30 [ 1.51, 7.21]	
Heterogeneity: $\tau^2 = 0.78$ , $I^2 = 96.26\%$ , $H^2 = 26.72$				
Test of $\theta_i = \theta_j$ : Q(5) = 270.48, p = 0.00	Fewer ADRs with intervention		on	
Test of group differences: $Q_b(3) = 262.33$ , p = 0.00				
	1/25 1/5	1 5 25		

# Figure 5. Comparison 1. Education session plus reminder card and report form versus usual practice. Outcome: number of serious adverse drug reaction reports submitted



# Figure 6. Comparison 1. Education session plus reminder card and report form versus usual practice. Outcome: high-causality adverse drug reaction reports submitted

Study			Risk Rat with 95%		Weight (%)
Educational group session					
Figueiras 2006			8.75 [ 3.05, 2	25.09]	14.74
Herdeiro 2008			8.67 [ 2.12, 3	35.44]	12.32
Lopez-Gonzalez 2015		-	1.13 [ 0.72,	1.77]	18.53
Heterogeneity: $\tau^2 = 1.33$ , $I^2 = 85.60\%$ , $H^2 = 6.94$			3.97 [ 0.95, <sup>-</sup>	16.56]	
Test of $\theta_i = \theta_j$ : Q(2) = 17.56, p = 0.00					
Telephone interview					
Herdeiro 2012		1	0.75 [ 0.73,	0.77]	19.66
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$	1		0.75 [ 0.73,	0.77]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .					
Telephone interview or workshop					
Ribeiro-Vaz 2009	-		2.02 [ 0.74,	5.50]	15.10
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$	-		2.02 [ 0.74,	5.50]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .					
Workshop					
Herdeiro 2012			3.58 [ 3.50,	3.67]	19.66
Heterogeneity: $\tau^2$ = 0.00, $I^2$ = .%, $H^2$ = .		1	3.58 [ 3.50,	3.67]	
Test of $\theta_i = \theta_j$ : Q(0) = -0.00, p = .					
Overall		-	2.48 [ 1.11,	5.57]	
Heterogeneity: $\tau^2 = 0.87$ , $I^2 = 99.92\%$ , $H^2 = 1314.30$					
Test of $\theta_i=\theta_j;$ Q(5) = 9237.34, p = 0.00	Fewer ADRs with intervention	More ADRs with intervention	on		
Test of group differences: $Q_b(3) = 9220.95$ , $p = 0.00$					
	1/25 ⅓	1 5 25			

# Figure 7. Comparison 1. Education session plus reminder card and report form versus usual practice. Outcome: unexpected adverse drug reaction reports

Study			Risk Ratio with 95% Cl	Weight (%)
Educational group session				
Figueiras 2006		∎> 3	30.21 [ 4.54, 200.93]	16.51
Herdeiro 2008			4.41 [ 1.11, 17.53]	22.99
Lopez-Gonzalez 2015			2.06 [ 1.19, 3.56]	36.72
Heterogeneity: $\tau^2$ = 1.22, I <sup>2</sup> = 76.24%, H <sup>2</sup> = 4.21			5.22 [ 1.22, 22.37]	
Test of $\theta_i = \theta_j$ : Q(2) = 7.67, p = 0.02				
Telephone interview or workshop				
Ribeiro-Vaz 2009			5.02 [ 1.33, 18.94]	23.77
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$			5.02 [ 1.33, 18.94]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .				
Overall		-	4.72 [ 1.75, 12.76]	
Heterogeneity: $\tau^2 = 0.62$ , $I^2 = 63.67\%$ , $H^2 = 2.75$				
Test of $\theta_i = \theta_j$ : Q(3) = 8.39, p = 0.04	Fewer ADRs with intervention			
Test of group differences: $Q_b(1) = 0.00$ , $p = 0.97$				
	1/25 ⅓	1 5 25		

# Figure 8. Comparison 1. Education session plus reminder card and report form versus usual practice. Outcome: number of new drug-related adverse drug reaction reports submitted

Study			Risk Ratio with 95% Cl	Weight (%)
Educational group session				
Figueiras 2006		8	.04 [ 2.10, 30.81]	48.55
Herdeiro 2008		9.	.33 [ 2.53, 34.40]	51.45
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = 0.00\%$ , $H^2 = 1.00$		8	.68 [ 3.40, 22.13]	
Test of $\theta_i = \theta_j$ : Q(1) = 0.02, p = 0.88				
Overall		8	.68 [ 3.40, 22.13]	
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = 0.00\%$ , $H^2 = 1.00$				
Test of $\theta_i = \theta_j$ : Q(1) = 0.02, p = 0.88	Fewer ADRs with intervention	More ADRs with intervention	1	
Test of group differences: $Q_b(0) = -0.00$ , p = .				
	1/25 ⅓	1 5 25		

# Comparison 2. Informational letter or email versus usual practice

Two RCTs provided data for this comparison (Johansson 2009; Johansson 2011; see Summary of findings 2). The two studies assessed the effectiveness of distributing a series of informative emails or letters to GPs and nurses in primary healthcare units

describing the importance of reporting ADRs and instructions on how to do so. The effect of the intervention was measured in primary healthcare unit years of exposure.

At the one-year follow-up time point following the implementation of the intervention, compared to usual practice, we do not know if sending informational letters or emails to GPs and nurses increases

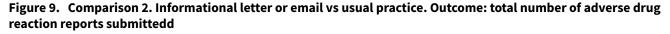
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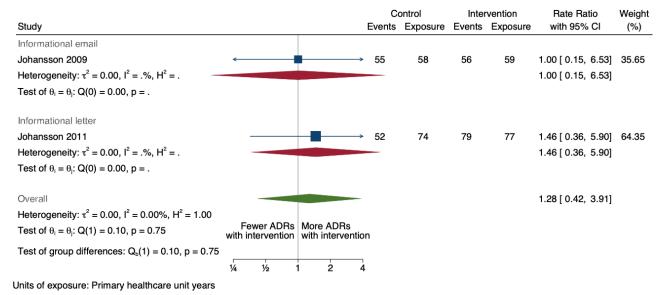
Improving adverse drug event reporting by healthcare professionals (Review)



the number of total ADR reports because the certainty of the evidence is very low (rate ratio 1.28, 95% CI 0.42 to 3.91; very low certainty evidence; Figure 9). Similarly, we do not know if sending informational letters or emails to GPs and nurses increases the number of serious ADR reports (rate ratio 1.79, 95% CI 0.69 to 4.65; very low certainty evidence; Figure 10), new-drug-related ADR

reports (rate ratio 2.58, 95% CI 1.12 to 5.92; very low certainty evidence; Figure 11) or unexpected or previously unknown ADR reports (rate ratio 1.46, 95% CI 0.92 to 2.30; very low certainty evidence; Analysis 1.1). This is because the certainty of the evidence for these outcomes is also very low.





# Figure 10. Comparison 2. Informational letter or email versus usual practice. Outcome: number of serious adverse drug reaction reports submitted

			Control		Intervention		Rate Ratio	Weight
Study			Events	Exposure	Events	Exposure	with 95% CI	(%)
Informational email								
Johansson 2009	_		9	58	10	59	1.09 [ 0.79, 1.51]	48.83
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$							1.09 [ 0.79, 1.51]	
Test of $\theta_i=\theta_j;$ Q(0) = -0.00, p = .								
Informational letter								
Johansson 2011		-	4	74	12	77	2.88 [ 2.51, 3.31]	51.17
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$		•					2.88 [ 2.51, 3.31]	
Test of $\theta_i = \theta_j$ : Q(0) = -0.00, p = .								
Overall			-				1.79 [ 0.69, 4.65]	
Heterogeneity: $\tau^2 = 0.46$ , $I^2 = 96.61\%$ , $H^2 = 29.53$								
Test of $\theta_i = \theta_j$ : Q(1) = 29.53, p = 0.00	Fewer ADRs with intervention		on					
Test of group differences: $Q_b(1) = 29.53$ , $p = 0.00$								
	1/4 1/2	1 2 4	4					
Units of exposure: Primary healthcare unit years								



## Figure 11. Comparison 2. Informational letter or email versus usual practice. Outcome: number of new drug-related adverse drug reaction reports submitted

•	Events	Exposure 58	Events 4	Exposure 59	with 95% Cl 3.93 [ 3.65, 4.24] 3.93 [ 3.65, 4.24]	(%) 50.18
•	1	58	4	59	• • •	50.18
•	1	58	4	59	• • •	50.18
•					3.93 [ 3.65, 4.24]	
	4	74	7	77	1.68 [ 1.48, 1.91]	49.82
•					1.68 [ 1.48, 1.91]	
					2.58 [ 1.12, 5.92]	
lore ADRs vith interventio	n					
2 4						
	ith intervention	ore ADRs ith intervention	ore ADRs ith intervention	ore ADRs ith intervention	ore ADRs ith intervention	<ul> <li>1.68 [ 1.48, 1.91]</li> <li>2.58 [ 1.12, 5.92]</li> <li>ore ADRs ith intervention</li> </ul>

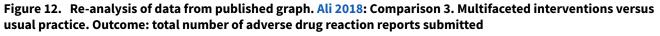
Units of exposure: Primary healthcare unit years

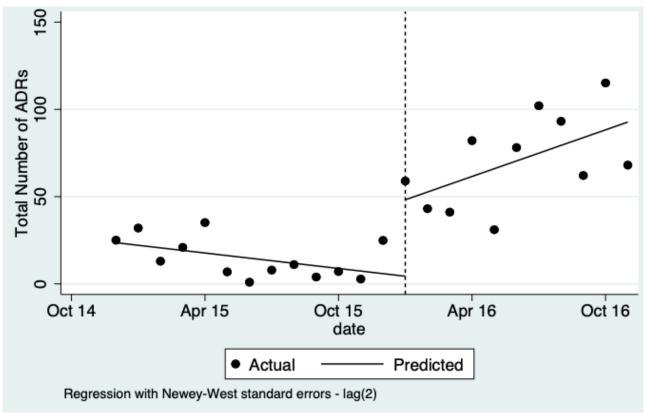
# Comparison 3. Multifaceted interventions (financial incentives, fines, non-financial incentives, education, reminder) versus usual practice

Three ITS studies assessed the effectiveness of various interventions that included financial and non-financial (i.e. letters of appreciation, employee of the month award, certificate and a day's leave) incentives for spontaneous reporting of ADRs, fines

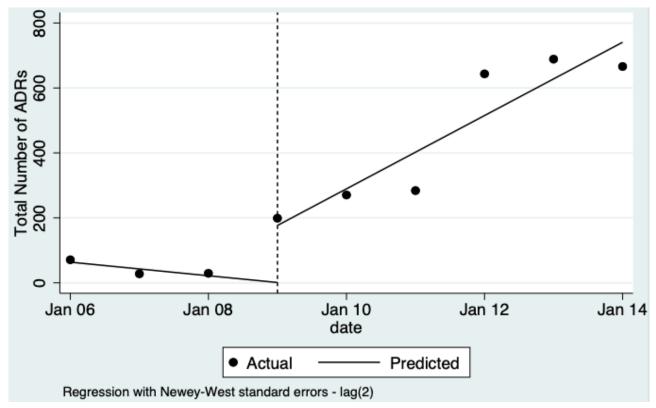
for not reporting or missing an ADR, education workshops, and periodic reminders to report ADRs (Ali 2018; Chang 2017; Pedrós 2009). The data were presented only in the form of published figures, and thus we extracted and re-analysed these data (see Figure 12; Figure 13; Figure 14; Figure 15; Figure 16; Figure 17; Figure 18; Figure 19). The periods over which the studies were conducted and the lengths of follow-up differed across the three studies in this comparison as detailed below.



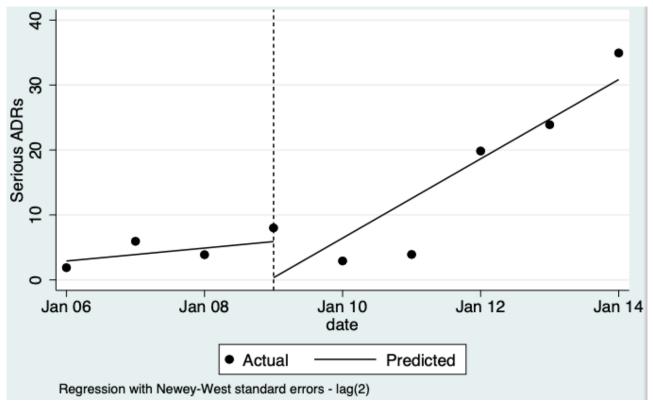




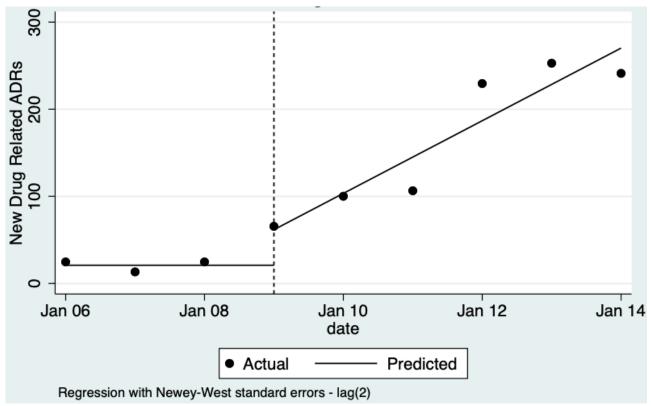






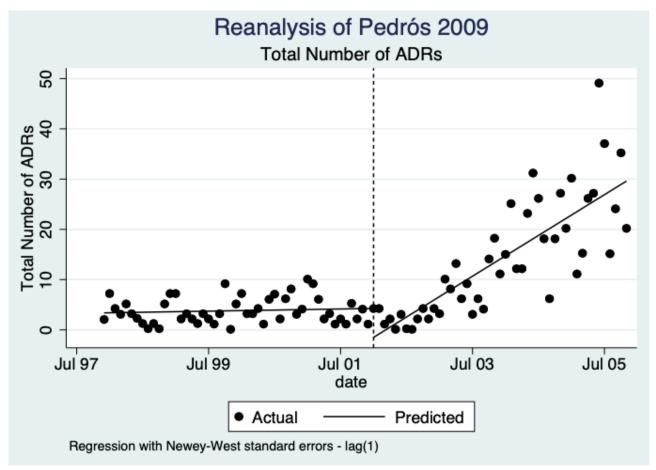




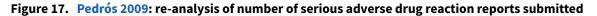


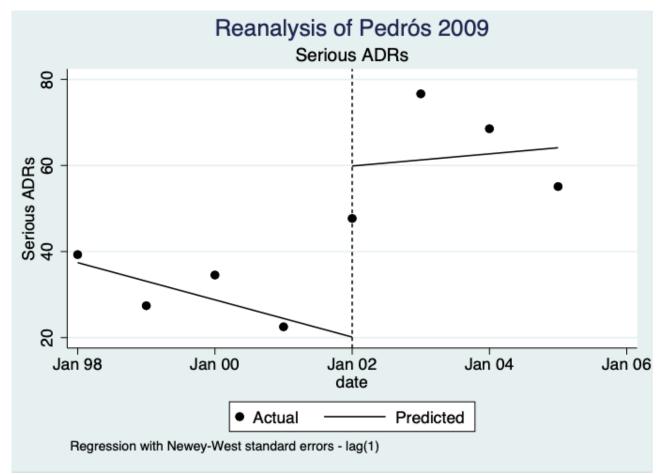


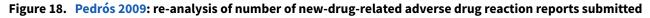


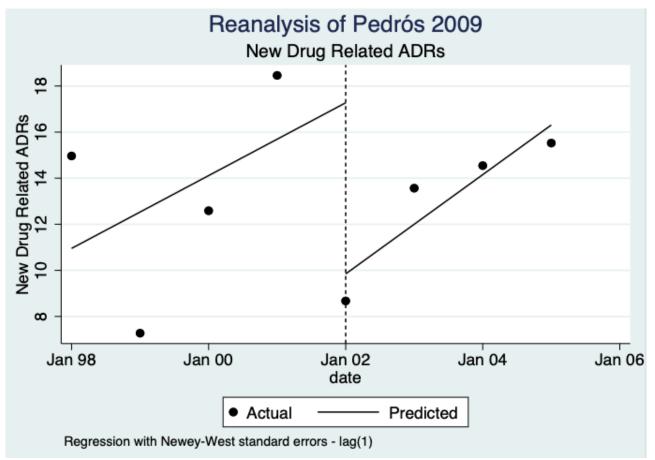












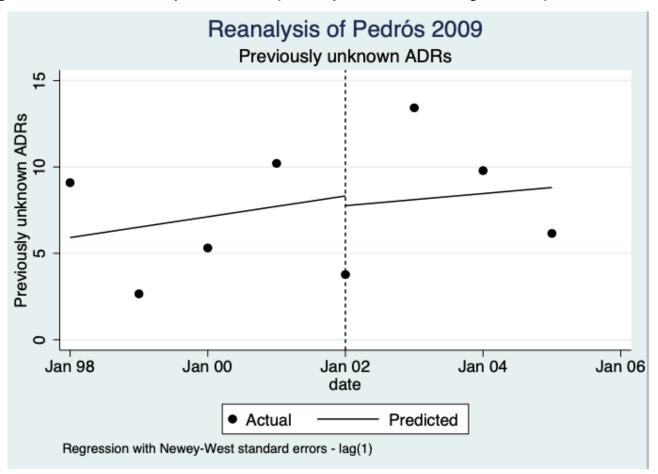


Figure 19. Pedrós 2009: re-analysis of number of previously unknown adverse drug reaction reports submitted

- Ali 2018: December 2015 (first time point); January 2016 (intervention implemented); November 2016 (last time point); a total of 24 observations
- Chang 2017: 2006 to 2009 (pre-intervention); 2009 to 2011 (financial incentive); 2012 to 2014 (financial incentive plus government regulations for antimicrobial agents); December 2014 (last time point); total 108 observations
- Pedrós 2009: January 1998 (first point); December 2002 (intervention implemented); December 2005 (last time point); a total of 96 observations

Summary of findings 3 presents the meta-analysed data from Chang 2017a and Pedrós 2009. Ali 2018 data is presented separately (see Table 3), as the follow-up period after the implementation of the intervention was too short to be included in the meta-analysis. The data for this comparison is generated through ITS studies, in which it is often impossible to know how many people are exposed to the intervention. Therefore, the effectiveness of the intervention is assessed over the mean duration of the contributing studies (i.e. the length of time participants were exposed to the intervention) instead of the number of participants.

We do not know if multifaceted interventions (including incentives, fines, education meetings, and reminder cards) increase the total number of ADE reports by physicians and pharmacists at the oneand two-year time points following intervention implementation. This is because the certainty of the evidence is very low (relative number of ADR reports after one year: 4.29, 95% CI 0.32 to 56.76; Figure 20; after two years: 8.11, 95% CI 0.61 to 107.93; Figure 21; very low certainty evidence; see Summary of findings 3. Although Ali 2018 showed an increase in the total number of ADR reports (relative number of ADR reports after one year: 6.99, 95% CI: 3.43 to 10.54; Figure 22 and Table 3) following the implementation of incentives (both non-financial and financial) targeting the hospital's clinical pharmacists, the certainty of this evidence is very low, so we do not know if this intervention is truly effective or not.

### Figure 20. Comparison 3 and 4. Outcome: total number of ADR reports submitted after 1 year

Study			Relative Expected A with 95%	,	Weight (%)
Comparison 3: Financial incentives, education and reminders					
Chang 2017a			16.63 [ 5.04,	54.91]	31.64
Pedrós 2009	H	-	1.19 [ 0.52,	2.71]	34.78
Heterogeneity: $\tau^2$ = 3.20, I <sup>2</sup> = 92.13%, H <sup>2</sup> = 12.70			4.29 [ 0.32,	56.76]	
Test of $\theta_i = \theta_j$ : Q(1) = 12.70, p = 0.00					
Comparison 4: Financial incentive and government regulations	6				
Chang 2017b	-		1.43 [ 0.54,	3.79]	33.58
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$	•		1.43 [ 0.54,	3.79]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .					
Overall	-		2.92 [ 0.57,	14.81]	
Heterogeneity: $\tau^2 = 1.80$ , $I^2 = 87.71\%$ , $H^2 = 8.13$					
Test of $\theta_i = \theta_j$ : Q(2) = 13.90, p = 0.00	Fewer ADRs with intervention		ı		
Test of group differences: $Q_b(1) = 0.61$ , $p = 0.44$					
	1/100 1/10	1 10 100	-		

### Figure 21. Comparison 3 and Comparison 4. Outcome: total number of ADR reports after 2 years

Study			Relative Expected with 95%	,	Weight (%)
Comparison 3: Financial incentives, education and reminde	rs				
Chang 2017a			34.40 [ 4.99,	237.21]	29.54
Pedrós 2009	-		2.43 [ 0.77,	7.60]	36.49
Heterogeneity: $\tau^2$ = 2.86, $I^2$ = 81.38%, $H^2$ = 5.37	-		8.11 [ 0.61,	107.93]	
Test of $\theta_i = \theta_j$ : Q(1) = 5.37, p = 0.02					
Comparison 4: Financial incentive and government regulation	ons				
Chang 2017b			1.02 [ 0.24,	4.32]	33.97
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$			1.02 [ 0.24,	4.32]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .					
Overall	-		3.96 [ 0.57,	27.58]	
Heterogeneity: $\tau^2 = 2.35$ , $I^2 = 80.74\%$ , $H^2 = 5.19$					
Test of $\theta_i = \theta_j$ : Q(2) = 8.41, p = 0.01	Fewer ADRs with intervention		1		
Test of group differences: $Q_b(1) = 1.88$ , p = 0.17	1/100 1/10	1 10 100			



# Figure 22. Multifaceted interventions: total number of adverse drug reaction reports submitted. This was the first draft of the analyses. We subsequently took Ali 2018 out of the meta-analysis as the follow-up time was too short compared to Chang 2017 and Pedrós 2009.

Study			Relative Expected ADRs with 95% Cl	Weight (%)
Financial incentives				
Chang 2017		• <b>=</b> •	5.76 [ 4.26, 7.26]	35.17
Pedrós 2009		I	0.45 [ -0.55, 1.45]	36.16
Heterogeneity: $\tau^2$ = 13.68, I <sup>2</sup> = 96.99%, H <sup>2</sup> = 33.18			3.07 [ -2.13, 8.28]	
Test of $\theta_i = \theta_j$ : Q(1) = 33.18, p = 0.00				
Incentive				
Ali 2018			6.99 [ 3.43, 10.54]	28.67
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$			6.99 [ 3.43, 10.54]	
Test of $\theta_i = \theta_j$ : Q(0) = -0.00, p = .				
Overall	-		4.19 [ 0.18, 8.20]	
Heterogeneity: $\tau^2 = 11.32$ , $I^2 = 94.08\%$ , $H^2 = 16.88$				
Test of $\theta_i = \theta_j$ : Q(2) = 40.10, p = 0.00	Fewer ADRs with intervention	More ADRs with intervent	tion	
Test of group differences: $Q_b(1) = 1.48$ , p = 0.22				
	-10 1	10		

Similarly, we do not know if multifaceted interventions directed at physicians and pharmacists increase the total number of serious ADR reports (relative number of ADR reports after one year: 2.10, 95% CI 0.29 to 15.20; Figure 23; after two years: 2.57, 95% CI 0.22 to 29.93; Figure 24; very low certainty evidence), the total number of new drug-related ADR reports (after one year: 1.65, 95% CI 0.20 to 13.77; Figure 25; after two years: 1.86, 95% CI 0.16 to 21.59; Figure 26; very low certainty evidence), or the total number of unexpected or previously unknown ADR reports (after one year 0.73, 95% CI

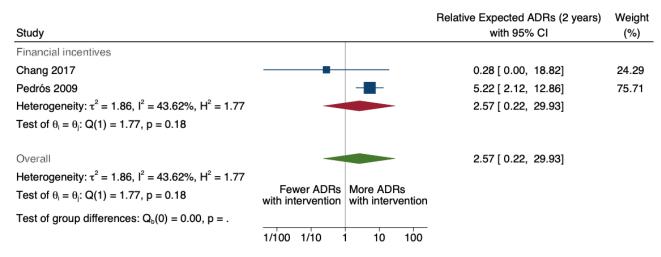
0.02 to 22.75; Figure 27; after two years: 0.67, 95% CI 0.01 to 61.55; Figure 28; very low certainty evidence). This is because the certainty of the evidence is very low. The 95% CIs on the relative treatment effect, and the corresponding illustrative comparative numbers of ADEs, likely reflect (a) the limitations of re-analysis of uncontrolled ITS studies that often reported relatively few data points; (b) highly heterogeneous study results and the effect of the random-effects assumption; and (c) extrapolation using a relatively simple model.

## Figure 23. Comparison 3. Multifaceted interventions. Outcome: serious adverse drug reaction reports submitted after 1 year

Study		Relative Expected ADRs (1 year) with 95% Cl	Weight (%)
Financial incentives			
Chang 2017		0.37 [ 0.01, 9.45]	25.56
Pedrós 2009	-	3.79 [ 2.09, 6.87]	74.44
Heterogeneity: $\tau^2 = 1.28$ , $I^2 = 47.69\%$ , $H^2 = 1.91$		2.10 [ 0.29, 15.20]	
Test of $\theta_i = \theta_j$ : Q(1) = 1.91, p = 0.17			
Overall		2.10 [ 0.29, 15.20]	
Heterogeneity: $\tau^2 = 1.28$ , $I^2 = 47.69\%$ , $H^2 = 1.91$ Test of $\theta_i = \theta_i$ : Q(1) = 1.91, p = 0.17	Fewer ADRs More ADRs		
Test of group differences: $Q_{\rm b}(0) = -0.00$ , p = .	with intervention with interver	nion	
	1/100 1/10 1 10 1	00	

Improving adverse drug event reporting by healthcare professionals (Review)

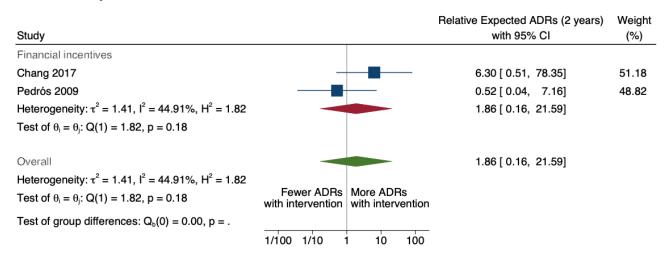
## Figure 24. Comparison 3. Multifaceted interventions. Outcome: serious adverse drug reaction reports submitted after 2 years



## Figure 25. Comparison 3. Multifaceted interventions. Outcome: new-drug-related adverse drug reaction reports submitted after 1 year

Study		Relative Expected ADRs (1 year) with 95% CI	Weight (%)
Financial incentives			
Chang 2017		4.73 [ 0.84, 26.53]	51.45
Pedrós 2009		0.54 [ 0.08, 3.53]	48.55
Heterogeneity: $\tau^2 = 1.50$ , $I^2 = 64.01\%$ , $H^2 = 2.78$		1.65 [ 0.20, 13.77]	
Test of $\theta_i = \theta_j$ : Q(1) = 2.78, p = 0.10			
Overall		1.65 [ 0.20, 13.77]	
Heterogeneity: $\tau^2 = 1.50$ , $l^2 = 64.01\%$ , $H^2 = 2.78$ Test of $\theta_i = \theta_j$ : Q(1) = 2.78, p = 0.10	Fewer ADRs More ADRs with intervention	n	
Test of group differences: $Q_b(0) = 0.00$ , p = .	1/100 1/10 1 10 100	-	

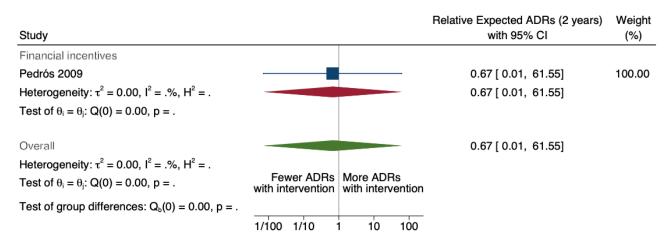
## Figure 26. Comparison 3. Multifaceted interventions. Outcome: new-drug-related adverse drug reaction reports submitted after 2 years



## Figure 27. Comparison 3. Multifaceted interventions. Outcome: unexpected (previously unknown) adverse drug reaction reports submitted after 1 year

Study			Relative Expected ADRs (1 year) with 95% Cl	Weight (%)
Financial incentives				
Pedrós 2009		<b></b>	0.73 [ 0.02, 22.75]	100.00
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$			0.73 [ 0.02, 22.75]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .				
Overall			0.73 [ 0.02, 22.75]	
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$				
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .	Fewer ADRs with intervention	More ADRs with intervention		
Test of group differences: $Q_b(0) = 0.00$ , p = .	<u> </u>			
	1/100 1/10 1	1 10 100		

## Figure 28. Comparison 3. Multifaceted interventions. Outcome: unexpected (previously unknown) adverse drug reaction reports submitted after 2 years



Improving adverse drug event reporting by healthcare professionals (Review)



## Comparison 4: Government regulations plus financial incentives versus usual practice

Chang 2017 assessed the effect of implementing financial incentives for ADR reporting together with government regulations on the clinical use of antimicrobial agents, which included detailed reporting on the total number of ADR reports by physicians (see Figure 20; Figure 21; Summary of findings 4). As the data were generated by an ITS study, the effectiveness of the intervention is assessed over the mean duration of the contributing study (i.e. length of time exposed to the intervention) instead of the number of participants.

We do not know if government regulations and financial incentives increase the total number of ADR reports by physicians one or

two years after the implementation of these interventions as the certainty of the evidence is very low (after one year: 1.43, 95% CI 0.54 to 3.79; Figure 20; after two years: 1.02, 95% CI 0.24 to 4.32; Figure 21; very low certainty evidence). Chang 2017 did not report on the number of serious ADR reports, new drug-related ADR reports or unexpected or previously unknown ADR reports.

## Comparison 5: Improving access to ADE report forms versus usual practice

One randomised cross-over study (Hanesse 1994) and two ITS studies (Castel 2003; Ribeiro-Vaz 2012) provided data for this comparison. We extracted the data from the ITS studies from published figures and re-analysed them (see Figure 29 and Figure 30).

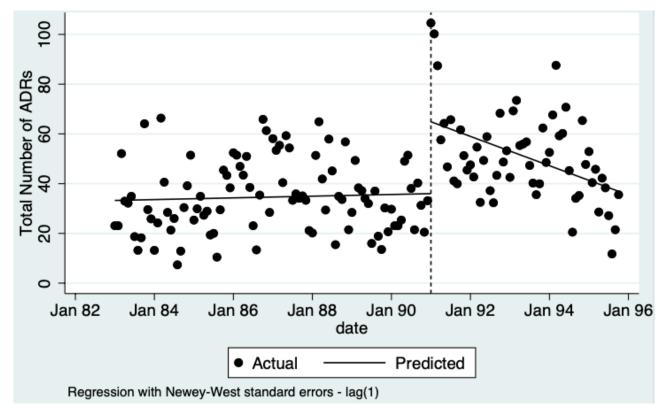


Figure 29. Re-analysis of data from published graph. Castel 2003 Comparison 4. Improving access to adverse drug reaction report form versus usual practice. Outcome: total number of adverse drug reaction reports submitted



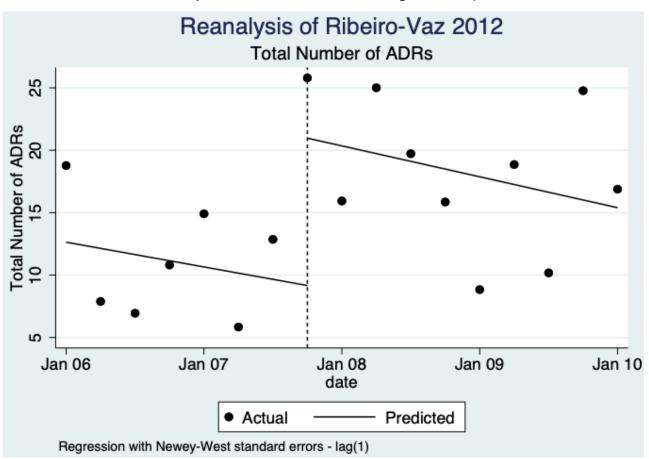


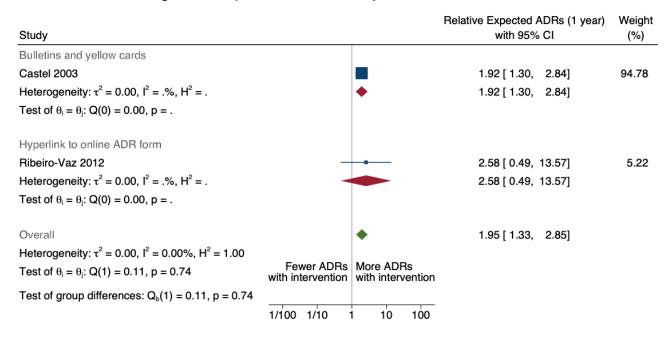
Figure 30. Ribeiro-Vaz 2012: re-analysis of total number of adverse drug reaction reports submitted

Data from Hanesse 1994 suggests that the use of a standardised discharge form (based on the 'Diagnosis Related Groups' (DRG) system and used by physicians for recording patient diagnoses, and medical and surgical acts received during hospital stay) with additional ADR items (addressing time of occurrence and evolution) may slightly improve the number of ADR reports (risk ratio 2.06, 95% CI 1.11 to 3.83; low-certainty evidence). See Summary of findings 5 and Table 4 for more details).

We do not know if making it easier to report ADEs by including ADR yellow card report forms in quarterly bulletins and prescription pads or by providing a hyperlink to the reporting form in hospitals' electronic patient records leads to more ADRs being reported because the certainty of this evidence, based on combined data from Castel 2003 and Ribeiro-Vaz 2012, is very low (after one year: 1.95, 95% CI 1.33 to 2.85; Figure 31; after two years: 1.80, 95% CI 1.08 to 3.01; Figure 32; very low certainty evidence). See Summary of findings 5 and Table 5 for more details.



## Figure 31. Comparison 5. Improving access to adverse drug reaction report form versus usual practice. Outcome: total number of adverse drug reaction reports submitted after 1 year



## Figure 32. Comparision 5. Improving access to adverse drug reaction report form versus usual practice. Outcome: total number of adverse drug reaction reports submitted after 2 years

Study			Relative Expected ADRs (2 years) with 95% Cl	Weight (%)
Bulletins and yellow cards				
Castel 2003		<b>.</b>	1.77 [ 1.05, 2.98]	96.87
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$		•	1.77 [ 1.05, 2.98]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .				
Hyperlink to online ADR form				
Ribeiro-Vaz 2012			2.95 [ 0.16, 53.70]	3.13
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$			2.95 [ 0.16, 53.70]	
Test of $\theta_i = \theta_j$ : Q(0) = 0.00, p = .				
Overall		•	1.80 [ 1.08, 3.01]	
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = 0.00\%$ , $H^2 = 1.00$				
Test of $\theta_i=\theta_j;$ Q(1) = 0.11, p = 0.74	Fewer ADRs with intervention			
Test of group differences: $Q_b(1) = 0.11$ , $p = 0.74$	1/100 1/10	1 10 100		

## Comparison 6. Improving medication error reporting method versus usual practice

One ITS study assessed the effect on the number of ME reports of reengineering the web-based electronic error reporting system (i.e. incorporating a series of standardised screens, drop-down menu choices, and input fields designed to collect specific information and improve communication with all departments involved) (McKaig 2014). We extracted the data from a published figure and re-analysed them (see Table 6 for a detailed re-analysis of the data). As the data were from an ITS study, the effectiveness of the intervention is assessed over the mean duration of the contributing study (i.e. length of time exposed to the intervention) instead of the number of participants.

We do not know if the number of ME reports are greater one year or two years after re-engineering the web-based electronic error



reporting system as the certainty of the evidence is very low for both time points (after one year: 1.80, 95% Cl 1.15 to 2.80; Figure 33; after

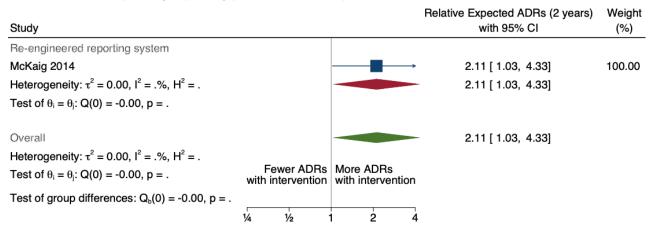
two years: 2.11, 95% Cl 1.03 to 4.33; Figure 34). See Summary of findings 6.

## Figure 33. Comparison 6. Improving usability of reporting form versus usual practice. Outcome: total number of medication error reports submitted after 1 year

### Improving reporting practice vs usual practice - Total Number of Errors

Study			Relative Expected ADRs (1 year) with 95% Cl	Weight (%)
Re-engineered reporting system				
McKaig 2014			1.80 [ 1.15, 2.80]	100.00
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$			1.80 [ 1.15, 2.80]	
Test of $\theta_i = \theta_j$ : Q(0) = -0.00, p = .				
Overall			1.80 [ 1.15, 2.80]	
Heterogeneity: $\tau^2 = 0.00$ , $I^2 = .\%$ , $H^2 = .$				
Test of $\theta_i=\theta_j;$ Q(0) = -0.00, p = .	Fewer ADRs with intervention			
Test of group differences: $Q_b(0) = -0.00$ , $p = .$	1/4 1/2	1 2 4	Ļ	

## Figure 34. Comparison 6. Improving usability of reporting form versus usual practice. Outcome: total number of medication error reports submitted after 2 years



#### Improving reporting practice vs usual practice - Total Number of Errors

#### Comparison 7. Case finding versus spontaneous reporting

A non-randomised, prospective cross-over study evaluated the effectiveness of employing a clinical pharmacist to encourage physicians and nurses to identify and report ADEs in hospitalised patients (Schlienger 1999). As Schlienger 1999 is a cross-over study without a washout period, we used only the data from the first period of the study to minimise any possible "learning effect" on the findings. Using data from Schlienger 1999 (from Table 3 in the full-text study report), we imputed the incidence rates and 95% CIs; then we computed the incidence rate ratios (IRRs) to compare the ADE reporting rate with and without the presence of a clinical pharmacist (see Table 7).

We do not know if the presence of a clinical pharmacist actively identifying and encouraging the identification and reporting of ADEs in a hospital setting increases the number of ADE reports as the certainty of the evidence is very low (IRR 11.07, 95% CI 6.24 to 21.38). See Summary of findings 7.

#### DISCUSSION

A comprehensive search for published and unpublished evidence identified 15 studies of various designs (enroling approximately 62,389 participants) that addressed the review question.



#### **Educating and informing**

## Comparison 2. Informational letter or email versus usual practice

Fairly robust evidence from five cluster-randomised trials suggests that, compared to usual practice, education sessions along with reminder cards, and provision of ADR report forms may increase the number of adverse drug reactions reported (see Summary of findings 1). The inconsistency in the effect of educational interventions may be explained by the mode of delivery. Based on the limited data available, outreach group education sessions delivered in-person, appeared to be more effective in increasing the number of ADR reports submitted compared with delivering similar information in a 3- to 8-minute telephone interview. The different target audience (physicians versus pharmacists) in the studies may also explain the inconsistency in the effect of the education intervention on the number of ADR reports submitted. Participation rates varied between studies and modes of delivering the education intervention. For example, participation rates in the telephone interviews ranged from 7.9% to 36%, whereas participation rates in the education workshops ranged from 27% to 81%. Almost all the studies reported that the effect of the educational interventions lasted no longer than six months, suggesting that education sessions might have to be conducted on a continuous 6-monthly basis to maintain any benefits. The benefits of the intervention would have to be balanced against the time and human resource costs required to implement the education sessions, which may limit the scalability of the intervention.

In contrast, we do not know if sending informational letters or emails to GPs and nurses increases the quantity of ADR reports as the certainty of this evidence is very low (see Summary of findings 2). A major limitation of this intervention is not knowing if the informational email or letter reached its target audience. It would be beneficial to know the true effect of this intervention as it is cheap and easy to implement with broad-reaching potential.

### Multifaceted interventions, including educating, informing, incentivising (financial and non-financial), and reprimanding

## Comparison 4. Government regulations plus financial incentives versus usual practice

Based on very low certainty evidence from three ITS studies, we do not know if multifaceted interventions, including incentives, fines, educational meetings, and reminder cards, increase the number of adverse drug reaction reports submitted by physicians and pharmacists one year and two years after the implementation of the intervention (see Summary of findings 3). While in most cases, quite dramatic increases in adverse drug reaction reports were noted, wide confidence intervals around the summary estimates of effect, and the corresponding illustrative comparative numbers of adverse drug reactions, reflected the uncertainty in the evidence and the possibility of the results being compatible with both an increase or a decrease in the number of reports, or no difference between them. We do not know if implementing government regulations together with financial incentives increases the number of adverse drug reaction reports submitted by physicians one year or two years after implementing these interventions, as the certainty of the evidence is also very low (see Summary of findings 4). Financially and non-financially incentivising or punishing healthcare professionals based on the number of adverse drug reaction reports submitted may increase the risk of false adverse drug event reports, as healthcare professionals' better judgement might be clouded by greed or fear. Unfortunately, none of the studies included in this review reported the effect of any interventions on the number of false reports of adverse drug events submitted.

#### **Process improvement**

## Comparison 6. Improving medication error reporting method versus usual practice

While it may seem evident that improving the reporting process should result in an increase in adverse drug event reports being submitted, the certainty of the available evidence is low or very low. Making it easier to report adverse drug reactions by implementing a standardised discharge form (based on the 'Diagnosis Related Groups' (DRG) system for recording patient diagnoses, medical and surgical acts received during hospital stay) with additional ADR items (i.e. time of occurrence and evolution) may slightly improve the number of adverse drug reaction reports (Hanesse 1994). However, we do not know if including ADR report forms in quarterly bulletins and prescription pads or providing a hyperlink to the reporting form in hospitals' electronic patient records to improve the ease of adverse drug reaction reporting leads to more adverse drug reaction reports being submitted because the certainty of this evidence is very low (Castel 2003; Ribeiro-Vaz 2012; see Summary of findings 5). We also do not know if improving the reporting process by re-engineering the web-based electronic error reporting system (i.e. incorporating standardised screens, drop-down menu choices, and input fields to collect specific information and improve communication with all departments involved) will increase the expected number of medication error reports one or two years after implementing the changes as the certainty of the evidence is very low (see Summary of findings 6).

#### Adverse drug event champion

#### Comparison 7. Case finding versus spontaneous reporting

Finally, we do not know if the presence of a clinical pharmacist actively identifying and encouraging the identification and reporting of adverse drug events in a hospital setting increases the number of adverse drug event reports as the certainty of the evidence is very low (see Summary of findings 7).

#### **Overall completeness and applicability of evidence**

Although we identified 15 eligible studies investigating the effectiveness of various interventions on the reporting rate of adverse drug events, important gaps in the evidence base remain.

All 15 studies included in this review were conducted in high-income countries with relatively well-established pharmacovigilance systems. This may limit the applicability of the evidence to countries without established functioning pharmacovigilance systems. For the most part, interventions to improve reporting of adverse drug events (including adverse drug reactions and medication errors) were targeted at hospital physicians. General practitioners, nurses and pharmacists were targeted to a lesser extent. As a result, we are not sure of the transferability of the effectiveness of the various interventions to all health professionals.

Most studies assessed the effectiveness of interventions to increase the number of adverse drug reaction reports. Only one study



### **Quality of the evidence**

Overall, the certainty of the evidence related to the effectiveness of interventions to improve the reporting of adverse drug events is low to very low. Of the 15 included studies, eight studies incorporated randomisation and a control group. Most of the remaining studies were interrupted time series studies without control populations. Our certainty in the evidence from randomised studies was reduced due to possible risk of bias, inconsistency of effects and imprecision around the effect estimates. We further downgraded the low certainty of the evidence of the observational studies because lack of a control group made it impossible to rule out confounding variables or events as the cause of any effects observed. The inconsistency of the effects between the studies may be explained, but we cannot be certain of our explanations. Lastly, the evidence provided by observational studies was imprecise, including both benefits and harms.

### Potential biases in the review process

We used a comprehensive method to identify all eligible studies investigating interventions aimed at healthcare professionals with the intention of increasing the reporting rate of adverse drug events (including adverse drug reactions and medication errors). We used a sensitive search strategy, without date or language limits, to conduct a comprehensive search of a number of electronic databases for both published and unpublished studies. We supplemented our search of electronic databases by handsearching the reference lists of relevant systematic reviews and eligible studies for additional eligible studies. We also searched clinical trial registry sites for ongoing studies.

Throughout the review process, every effort was made to reduce any potential risk of bias in this review. We consistently adhered to our published review protocol. We have detailed any deviations we made from the published protocol in the Differences between protocol and review section of this review. At least two review authors independently screened identified records for eligibility and extracted data from eligible studies. At least two review authors independently assessed the certainty of the evidence using GRADE. Any disagreements regarding eligibility, extracted data, or certainty of the evidence were discussed or referred to a third review author for resolution. We could not assess publication bias in our metaanalyses using funnel plots as we did not have enough studies to do so reliably.

## Agreements and disagreements with other studies or reviews

A number of systematic reviews have examined this question in whole or in part. We briefly describe these reviews in Table 1. We have included all relevant studies from these reviews in this Cochrane review. The findings of this Cochrane review closely mirror those of the other systematic reviews, which agree that educational sessions, particularly outreach, in-person group workshops (see Summary of findings 1), may improve the number of adverse drug reaction reports by healthcare professionals. This finding is further supported by the findings of a Cochrane review looking at the effectiveness of educational outreach visits on healthcare professionals' practice (O'Brien 2007). Based on data from 69 studies, educational outreach improved the care delivered to patients through small to moderate changes in practice (O'Brien 2007).

Another key finding of the systematic reviews was that multifaceted interventions are likely to be more effective than single interventions. Our Cochrane review was not designed to test this (i.e. single versus multifaceted interventions), so we cannot make such claims. Most of the interventions assessed in our Cochrane Review were multifaceted, including some form of educational input (even when it was not the focus of the intervention), reminders and ADR report forms.

The other systematic reviews also drew attention to the limitations of the current body of evidence; while uncontrolled, observational studies are pragmatic, they do not provide robust data. The evidence is limited to high-income countries with relatively good pharmacovigilance systems, and there is no long-term follow-up data on the degree of the longevity of any intervention effect.

A major difference between our Cochrane Review and previous systematic reviews is that we looked for evidence of harm (i.e. an increase in the number of false adverse drug event reports) as a result of the interventions. Unfortunately, we did not find any data on this outcome, but it remains an important aspect for consideration going forward.

### AUTHORS' CONCLUSIONS

#### **Implications for practice**

Low-certainty evidence suggests that two of the interventions tested in the studies may be useful for increasing the number of ADR reports submitted by healthcare professionals: an education session together with reminder card and adverse drug reaction (ADR) report form may substantially increase the number of ADR reports, and making it easier to report ADRs by using a modified standard discharge form may slightly increase ADR reports. The local applicability of these interventions and how the interventions might be implemented in different settings needs to be assessed. All other interventions identified in the eligible studies, such as informational letters or emails, government regulations and financial incentives, and multifacted interventions warrant further rigorous evaluation in real-world practice settings as the certainty of the evidence for these interventions is very low.

#### **Implications for research**

 Although randomised controlled trials provide the most robust evidence of effectiveness, it may be more feasible and pragmatic to assess the effectiveness of interventions to improve adverse drug event (ADE) reporting using carefully controlled observational study designs. For example, the effectiveness of a clinical pharmacist actively identifying and encouraging the identification and reporting of ADEs in a hospital setting (the intervention) could be assessed using an interrupted time series study design. Two or more prespecified geographic regions, where data from routine systems is readily available and where interventions can be implemented across



a very wide jurisdiction, would be identified. The intervention could be implemented in some hospitals in the regions and not in others (these would serve as the control group). Routine data from all the hospitals would be collected at three or more time points prior to the implementation of the intervention and at three or more time points after the intervention. The type of intervention being assessed would determine the study setting and number of sites to be included.

- Most of the studies in the current body of evidence are focused on physicians. While future studies should continue to focus on testing the effectiveness of the various interventions for physicians, studies should also focus on other healthcare professionals, such as nurses and general practitioners.
- Studies might also consider investigating the effectiveness of these interventions in both inpatient and outpatient settings.
- Studies should assess any intervention in terms of both the benefit (increase in the number of ADE reports) and potential harm (increase in the number of false ADE reports).
- Countries around the world have different pharmacovigilance infrastructures and capacities, different disease burdens and distribution, different medical care cultures, different medical education programmes, and vastly different economic status, so interventions to increase the reporting rate of ADEs need to be developed and tested in more countries, particularly low- and middle-income countries.
- This review focused on interventions to enhance ADE reporting in healthcare providers only. However, other relevant populations (e.g. patients and students) are also significant contributors to this information, and reviews of interventions targeted at enhancing their reporting rates are also valuable.
- Healthcare professional students (e.g. medical, nursing and pharmacy students) are a crucial target population for interventions that enhance ADE reporting. Identifying effective educational interventions is an important area for medical education research to help inform future curriculum development.
- We excluded many studies, with relevant interventions targeted at relevant participants (healthcare professionals), from this review due to having an ineligible study design. Depending on the availability and integrity of the data, it may be possible to re-analyse the data from uncontrolled before-after studies and retrospective observational studies using interrupted time series or repeated-measures analyses.

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The following people conducted the editorial process for this review:

#### **Editorial and peer-reviewer contributions**

- Sign-off Editor (final editorial decision): Yoon Loke, University of East Anglia;
- Managing Editor (provided editorial guidance to authors, edited the article): Joey Kwong, Cochrane Central Editorial Service;
- Editorial Assistant (conducted editorial policy checks, selected peer reviewers, collated peer-reviewer comments and supported editorial team): Sara Hales-Brittain, Cochrane Central Editorial Service;
- Copy Editor (copy editing and production): Laura MacDonald. Cochrane Central Production Service;
- Peer reviewers (provided comments and recommended an editorial decision): MO Reumerman, Amsterdam UMC, location VUmc, RECIPE AMSTERDAM (clinical/content review); Cheryl Sumner (consumer review); Jennifer Hilgart, Cochrane Evidence Production & Methods Directorate (methods review); Steve McDonald, Cochrane Australia and Jo Platt, Cochrane Evidence Production & Methods Directorate (search reviews). One additional peer reviewer provided clinical/content peer review but chose not to be publicly acknowledged.

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\* Indicates the major publication for the study



### CHARACTERISTICS OF STUDIES

### **Characteristics of included studies** [ordered by study ID]

Study characteristics					
Methods	Study design: ITS				
	<b>Study setting:</b> Riyadh, Saudi Arabia (large hospital, 1400 ward beds; estimated annual outpatient visit of about 300,000)				
	Duration of follow-up	: December 2015 to November 2016; total of 24 observations			
Participants	Participants: clinical p	harmacists			
	Number of participan	ts: not reported			
	Mean age: not reporte	d			
	Sex: not reported				
Interventions	Comparison 3: multif	aceted intervention (financial and non-financial incentives) vs usual prac-			
	<b>Intervention:</b> in January 2016, pharmacy leadership of the hospital introduced incentives to encour- age ADR reporting amongst the hospital's pharmacy staff. A member of staff with the highest number of ADR reports, who has also excelled in other aspects of their job including ME reporting and participa- tion in ongoing research projects, was nominated every month for the employee of the month award. Letters of appreciation were given to the awardees; their names were posted on the hospital's notice board, and they were entitled to a full day's leave. Becoming the employee of the month also increased their chances of nomination for the annual performance excellence award (PEA). PEA awardees were eligible for an extra month's salary and a certificate.				
	December 2015 (first time point); January 2016 (intervention implemented); November 2016 (last time point); a total of 24 observations				
	Comparison: usual practice				
Outcomes	<ul> <li>Number of spontaneously reported adverse drug reactions (monthly)</li> <li>Number of spontaneously reported serious adverse drug reactions</li> </ul>				
Notes	Funding: this research not for-profit sectors.	received no specific grant from any funding agency in the public, commercial or			
	Conflict of interest: the authors declare that there is no conflict of interest.				
Risk of bias					
Bias	Authors' judgement	Support for judgement			
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	The study authors do not provide information on the number of clinical phar- macists working in this hospital before or after the implementation of the in- tervention, so it is not possible to assess completeness of follow-up.			
Selective reporting (re- porting bias)	Low risk	No access to the study protocol; however, all relevant outcomes in the meth- ods section are reported in the results section.			

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Other bias	High risk	Seasonality was not adjusted for. Study authors say: "A limitation of this study is the few timepoints before and after intervention, hence we were unable to evaluate the reports for seasonal variations."
Intervention independent of other changes	High risk	There are no compelling arguments that the intervention occurred indepen- dently of other changes over time and the outcome was not influenced by oth- er confounding variables or historic events during study period.
Shape of the intervention effect pre-specified	Low risk	Point of analysis is the point of intervention (i.e. the point in time at which the intervention was implemented). "The change in the level of average monthly adverse drug reaction reporting between 2015 and 2016 was determined using segmented regression analysis of an interrupted time series, with 24 observation points."
Intervention unlikely to af- fect data collection	Low risk	The intervention itself was unlikely to affect data collection: sources and methods of data collection were the same before and after the intervention.
Knowledge of the allo- cated interventions ade- quately prevented during the study	Low risk	The primary outcome (number of adverse drug reaction reports noted in the pharmacovigilance database) is objective.

#### Castel 2003

Study characteristics	
Methods	Study design: ITS
	Study setting: Catalan Centre of Pharmacovigilance; Barcelona, Spain
	Duration of follow-up: 1983 to 1995
Participants	Participants: physicians
	Number of participants: approximately 30,000 physicians
	Mean age: not reported
	Sex: not reported
Interventions	Comparison 5: improving access to reporting form vs usual practice
	Intervention
	1982 - Catalan Centre of Pharmacovigilance began its activities at the end of 1982.
	1985 to 1991: quarterly adverse drug reaction bulletin (Adverse Drug Reaction (Butlletí Groc) - ADRB) with ADR yellow card report form enclosed, mailed to all physicians (approximately 30,000) in catch- ment area.
	1991 to 1994: quarterly adverse drug reaction bulletin with ADR yellow card report form, plus ADR yel- low card report form included in the prescription pads of the main provider organisation for the Cata- lan Health Service (Institut Catala de la Salut, ICS), with approximately 6500 prescribers.
	Comparison: usual practice
Outcomes	Primary outcome: number of spontaneous ADR reports received at the Catalan Center per month

Improving adverse drug event reporting by healthcare professionals (Review)

#### Castel 2003 (Continued)

Notes

**Funding:** Servei Català de la spontan-Salut. Departament de Sanitat i Seguretat Social. Generalitat eous de Catalunya

Conflict of interest: the authors have no conflicts of interest relevant to the contents of this study.

Risk of bias		
Bias	Authors' judgement	Support for judgement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	We know that approximately 30,000 physicians and 6500 prescribers were potentially exposed to the interventions. It is unclear how these numbers changed over the time period of the study, so we cannot be sure how this might affect the primary outcome: number of spontaneously reported ADRs per month.
Selective reporting (re- porting bias)	Low risk	Although we do not have a copy of the study protocol, all relevant outcomes in the methods section are reported in the results section.
Other bias	Low risk	Analyses appropriate; seasonality considered
Intervention independent of other changes	High risk	The duration of the study was long (from 1983 to 1995), so the number of adverse drug reaction reports might be influenced by factors other than the intervention. There are no compelling arguments that the intervention occurred independently of other changes over time and the outcome was not influenced by other confounding variables or historic events during study period.
Shape of the intervention effect pre-specified	Low risk	Point of analysis is the point of intervention (i.e. the point in time at which the intervention was implemented).
Intervention unlikely to af- fect data collection	Low risk	The intervention itself was unlikely to affect data collection: sources and methods of data collection were the same before and after the intervention.
Knowledge of the allo- cated interventions ade- quately prevented during the study	Low risk	The primary outcome (number of adverse drug reaction reports per month re- ceived by the centre) is objective.

#### Chang 2017

Study characteristics	s
Methods	Study design: ITS
	<b>Study setting:</b> First Affiliated Hospital of Zhengzhou University (Henan Province), a Chinese tertiary care university hospital
	Duration of follow-up: 2006 to 2014
Participants	Participants: physicians
	<b>Number of participants:</b> unclear, although it states that, "The general ward of the hospital has approximately 5000 beds, with more than 6624 staff physicians and pharmacists."
	Mean age: not reported
	Sex: not reported

Improving adverse drug event reporting by healthcare professionals (Review)



Chang 2017 (Continued)			
Interventions	Comparison 3: multifaceted intervention vs usual practice		
	Comparison 4: financ	ial incentives plus government regulations vs usual practice	
	Intervention: financia	l arrangements (incentives, fines), government regulations	
	<ul> <li>2006: multifaceted intervention aimed at improving pharmacovigilance in hospital initiated; included implementation of the ADR database.</li> <li>2009: financial incentives for spontaneous ADR reporting initiated (economic incentives for ADR reporting were integrated in clinical activity at 2 levels: medical staff and ward; included a bonus of 20 RMB for a spontaneous ADR report and a fine of 50 RMB (2.5 times the bonus) for a withheld report (missed ADRs found by routine retrospective review of the medical charts by dedicated pharmacists; if ADR in the chart or if the patient was rehospitalised because of an ADR and that the ADR had not been reported by the physician, then the fine was charged). The financial incentive was fixed and represented less than 1% of the physician's salary.</li> <li>2012: government-enacted strict regulations on the clinical use of antimicrobial agents, including detailed ADR classification and mandatory monitoring and reporting of ADRs associated with antimicrobial agents.</li> <li>Comparison: usual practice</li> </ul>		
Notes	Funding: authors report that there was no funding for this study.		
	Study on the optimal model of health management for elderly chronic diseases based on the theory of social network (grant no. 71673298)		
	Conflict of interest: not reported		
Risk of bias			
Bias	Authors' judgement	Support for judgement	
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	The monthly number of spontaneous ADR reports received is the primary outcome. We know that the general ward of the hospital was served by 6624 physicians and pharmacists, but it is not clearly described how this number changed over time.	
Selective reporting (re- porting bias)	Low risk	Although we do not have access to the study protocol, all relevant outcomes in the methods section are reported in the results section.	
Other bias	Low risk	Analyses appropriate and seasonality considered	

Other bias	Low risk	Analyses appropriate and seasonality considered
Intervention independent of other changes	High risk	There are no compelling arguments that the intervention occurred indepen- dently of other changes over time and the outcome was not influenced by oth- er confounding variables or historic events during study period.
Shape of the intervention effect pre-specified	Low risk	Point of analysis is the point of intervention (i.e. the point in time at which the intervention was implemented).
Intervention unlikely to af- fect data collection	Low risk	The intervention itself was unlikely to affect data collection: sources and methods of data collection were the same before and after the intervention.
Knowledge of the allo- cated interventions ade- quately prevented during the study	Low risk	The primary outcome (number of ADR reports noted in the pharmacovigilance database) is objective.

Improving adverse drug event reporting by healthcare professionals (Review)



#### Figueiras 2006

Study characteristics	
Methods	<b>Study design:</b> cluster-RCT (4 intervention clusters; 11 control clusters; each cluster consisted of 1 reference hospital plus the outpatient centre and any other hospital in its catchment area). See comments on Herdeiro 2012
	<b>Study setting:</b> Northern Region of Portugal; 104 outpatient centres, 25 hospitals (15 general medical hospitals; 5 small satellite hospitals; 5 speciality hospitals (e.g. cancer, maternity, paediatric)
	Duration of intervention: March to July 2004
	Duration of follow-up: 13 to 16 months
Participants	All National Health System <b>physicians</b> in the north of Portugal
	Number of participants: 6451 (4 intervention clusters: N = 1388; 11 control clusters: N = 5063)
	Mean age: 45.1 years (control); 43.5 years (intervention)
	Sex (% females): 52 (control); 51 (intervention)
Interventions	Comparison 1: education session plus reminder card and report form vs usual practice
	Intervention
	<ul> <li>60-minute education session, tailored to training needs: outreach visit (1-hour, 2-part presentation during weekly staff meetings; group setting - 10 to 20 physicians; part 1 - presentation included definitions of pharmacosurveillance and ADRs; review of international studies on drug-related morbidity and mortality, hospital admissions and the cost to health systems and patients; and description of the methods used in pharmacosurveillance and in spontaneous reporting systems, explaining that underreporting constituted the system's principal limitation; parts 2 to 5 - attitudes associated with underreporting, emphasising that only 5 minutes are required to complete the report form)</li> <li>Reminder card</li> <li>Report form</li> </ul>
	A reminder card similar to the report form and containing the principal messages of the presentation was distributed to approximately 90% of physicians attending the sessions.
	Intervention delivered March 2004 to July 2004
	Intervention fidelity: only 655/1388 intervention physicians attended the education sessions.
	Comparison: usual practice
	Authors do not specify what the control group received or what usual practice entailed.
Outcomes	<ul> <li>Total number of reported ADRs (per 1000 physician-years)</li> <li>Number of serious ADRs (ADRs resulting in death; is life-threatening; is a congenital anomaly; requires hospital admission or prolongation of stay in hospital; or results in persistent or great disability or incapacity)</li> <li>Number of high-causality ADRs (ADRs with attribution of definitive or probable causality)</li> <li>Number of unexpected ADRs (unknown ADRs that are not described in the summary of product characteristics)</li> <li>Number of new-drug-related ADRs (ADRs related to medications that have been on the market for less than 5 years)</li> </ul>
Notes	<b>Study funding:</b> PRODEP, the Portuguese Educational Development Program; Dr Figueiras was in part funded by Health Research Fund (Fondo de Investigación Sanitaria - FIS) grant PI021512 from the Spanish Ministry of Health.

Improving adverse drug event reporting by healthcare professionals (Review)



Figueiras 2006 (Continued)

#### Financial disclosures: none reported

**Role of the sponsor:** sponsors had no role in the design and conduct of the study; collection, management, analysis and interpretation of the data; or preparation, review or approval of the manuscript.

**Risk of bias** 

RISK OI DIUS		
Bias	Authors' judgement	Support for judgement
Random sequence genera- tion (selection bias)	Low risk	A computer-generated procedure was used to generate the randomisation se- quence.
Allocation concealment (selection bias)	Low risk	A computer-generated procedure was used to generate the randomisation se- quence and assign the clusters to intervention and control groups.
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Due to the type of intervention, participants could not be blinded. Study per- sonnel delivering the intervention would also not have been blinded to the group allocation.
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	The Pharmacosurveillance Unit expert responsible for codifying adverse re- actions was blinded to the physician study group assignment. Confidentiali- ty was maintained, with data only being available for study purposes under a code number assigned to each physician that precluded any further identifica- tion.
Incomplete outcome data (attrition bias) All outcomes	Low risk	ADR reporting is a passive process; every report that is generated is received by the Northern Pharmacosurveillance Unit then given to the researchers. Be- cause of this, there was 100% assessment of ADR outcomes in the study popu- lation, and effectively no loss to follow-up.
		Potential source of error: if physicians in the study left clinical practice or died, it could distort the per-physician rates but would not affect the accuracy of the number of ADR reports.
Selective reporting (re- porting bias)	Low risk	No access to study protocol; however, the published report includes all ex- pected outcomes as well as those those specified in the methods section.
Other bias	High risk	Statistically significant differences between groups at baseline with respect to age, specialities and work setting; baseline rates of reporting for all out- comes were lower in the intervention group, but not statistically significantly so, therefore we judged it to be high risk of other bias.
		Additional risk of bias domains pertaining to cluster-randomised trials listed below.
		<ul> <li>Recruitment bias: low risk, as clusters assigned prior to intervention implemented</li> </ul>
		<ul> <li>Outcomes adjusted for clustering: low risk, outcomes adequately adjusted for clustering</li> </ul>
		Loss of cluster: low risk, no reported loss of clusters
		<ul> <li>Risk of contamination: low risk, cluster (one reference hospital plus the out patient centre and any other hospital in its catchment area) design minimis es contamination</li> </ul>

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Study characteristics	
Methods	Study design: cluster-RCT (with cross-over after 8 weeks, plus 2-week washout period)
	10 participating departments were pair-matched according to the medical or surgical nature of their activities resulting in five strata: internal medicine, otorhinolaryngology, ophthalmology, rheumatol-ogy, cardiology.
	1st 8 weeks - reporting methods randomly assigned to one of the departments within each stratum
	2-week washout
	2nd 8 weeks - attribution of reporting methods reversed within each stratum
	<b>Study setting:</b> Centre Hospitalier et Universitaire de Nancy, France; 50 departments receiving about 100,000 patients a year; six medical departments and four surgical departments participated in the trial, including two of each of the following specialities: internal medicine, rheumatology, cardiology, otorhinolaryngology and ophthalmology
	Duration of follow-up: start date - early 1993; plus 18-week study duration
Participants	Intervention was aimed at <b>physicians</b> working in 1 of 10 participating departments; participating physicians were aware of the aims of the study.
	Outcomes were measured in all patients discharged from a participating department during one of the two 8-week periods of the study (N = 5967 participants; 1st 8 weeks N = 3106 spontaneous reporting, N = 2861 standardised form); 44% of 5967 participants were female.
	*Study authors did not report any details regarding the physicians.
Interventions	Comparison 5: improving access to ADR report form vs spontaneous ADR reporting
	Intervention: standardised discharge form used by physicians for recording patient diagnoses by ICD-9 <sup>th</sup> classification (required to determine Diagnosis Related Group (DRG) system (DRG method)), and medical or surgical acts received during hospital stay with additional items addressing time of ADR occurrence (i.e. whether it was the cause of admission or occurred during hospital stay) and its evolution; on discharge from hospital, physician completes standardised outcome summary form for each patient; each completed questionnaire is transmitted to the centre; then medical data about ADRs are extracted from patients' medical files; during this time no weekly meeting between medical student and physician to summarise and file ADRs and no spontaneous reports to 'Centre Regional de Pharmacovigilance de Lorraine'.
	<b>Comparison:</b> spontaneous reporting method. Medical students meet weekly with hospital physicians in their department. They record ADR physicians' reports and refer them to the 'Centre Regional de Pharmacovigilance de Lorraine'. Usual practice for the past 16 years.
Outcomes	<ul> <li>Total number of ADRs per 1000 patient-days</li> <li>Imputation (causality): unlikely; possible; plausible</li> <li>Type of drug: anticoagulant, antimicrobial, cardiovascular drugs and diuretics, NSAID and analgesics sulphonylureas, others</li> <li>Type of ADR: predictable, unpredictable</li> </ul>
Notes	Study funding: no information provided
	Conflict of interest: no information provided
Risk of bias	
Bias	Authors' judgement Support for judgement

Improving adverse drug event reporting by healthcare professionals (Review)

Hanesse 1994 (Continued)

ianesse 1994 (continued)		
Random sequence genera- tion (selection bias)	Unclear risk	The authors state: "For the first eight-week period, reporting methods were randomly assigned to one of the departments within each stratum. After a two-week 'wash-out' period, the attribution of methods was reversed within each stratum in a second eight-week period", but do not describe how the ran- domisation sequence was generated.
Allocation concealment (selection bias)	Unclear risk	Study authors do not decribe who allocated the departments to the experi- mental groups and whether or not this person knew to which group the de- partments were being allocated.
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	The authors state participating physicians were aware of the study aims, which suggests that they were not blinded. Authors do not describe blinding study personnel.
Blinding of outcome as- sessment (detection bias) All outcomes	Unclear risk	Data on ADRs were extracted from patient medical files - it is not clear who ex- tracted this data or if this person was blinded to the group allocations.
Incomplete outcome data (attrition bias) All outcomes	Low risk	ADRs were collected either spontaneously on a weekly basis or on discharge of patients. Patient attrition is not an issue in this instance.
Selective reporting (re- porting bias)	Low risk	We do not have access to the study protocol. The methods do not clearly out- line which outcomes will be assessed and reported on; however, all expected outcomes appear to be reported on.
Other bias	Low risk	10 participating departments were pair-matched according to the medical or surgical nature of their activities resulting in five strata: (1) internal medicine, (2) otorhinolaryngology, (3) ophthalmology, (4) rheumatology, (5) cardiology.
		Departments within each strata = clusters; reduced risk of contamination
		Data on prior reporting of ADRs per department not provided
		Although patients in the standardised form group appear to be older and might therefore present with more ADRs, it seems that the difference was not statistically significant.
		Recruitment bias: low risk; as clusters assigned and intervention implement- ed; correct analyses: outcomes adequately adjusted for clustering; no report- ed loss of clusters

Study characterist	ics
Methods	<b>Study design:</b> cluster-RCT (4 intervention clusters; 11 control clusters; each spatial-cluster consisted on one reference hospital plus the community pharmacist and any other hospital in catchment area). See comments on Ribeiro-Vaz 2011
	<b>Study setting:</b> Portugal's Northern Region Health Authority (104 outpatient centres, 25 hospitals (5 speciality hospitals) and 761 community pharmacies)
	Duration of intervention: March 2004 to June 2004
	Duration of follow-up: July 2004 to June 2005

Improving adverse drug event reporting by healthcare professionals (Review)



erdeiro 2008 (Continued)			
Participants	All <b>pharmacists</b> emplo Health Authority	yed in hospital and community pharmacies across Portugal's Northern Region	
	Number of participan	<b>ts:</b> 1433 (control N = 1091; intervention: N = 342)	
	Mean age: control: 37.5	5 years, intervention: 38.2 years	
	Sex (% female): 79.7 (d	control); 79.5 (intervention)	
Interventions	Comparison 1: educat	ion session plus reminder card and report form versus usual practice	
	Intervention: 60-minu	te education session	
	Active (group-session s leaflets):	lide presentation) and passive approaches (distribution of informational	
	<ul> <li>group (1 to 5 pharmater of pharmacosurveill of animated picture the possible factors ADRs are well docum only be reported if i (the belief that it is of time to consider the Northern Pharm</li> <li>Passive: the informate was a reminder of the state of the</li></ul>	roximately 30 minutes of presentation and 30 minutes of discussion or debat acists; 1 community to 15 hospital pharmacists) presentation - addressed the ma- veillance and the definition of ADRs; discussion of examples from internation of ADRs on mortality, morbidity, hospital admission and costs; the methods use lance; spontaneous reporting, and under-reporting of ADRs in particular; seri- s were shown, depicting health professionals talking amongst themselves abo that affect under-reporting, namely: (i) complacency (the belief that very serior nented by the time a drug is marketed); (ii) diffidence (the belief that an ADR wou it were certain that it was related to the use of a particular drug); (iii) ignoran- only necessary for serious or unexpected ADRs to be reported); and, lastly, (iv) la- the diagnosis of an ADR; explanation outlining all the possible ways of contactin accovigilance Unit.	
	-	ups received briefing and standard training provided by Portugal's Northern	
Outcomes	• Total number of rep	orted ADRs (assessed monthly; per 1000 pharmacist-years)	
	Number of serious ADRs		
	<ul><li>Number of high-causality ADRs</li><li>Number of unexpected ADRs</li></ul>		
	<ul> <li>Number of new drug-related ADRs</li> </ul>		
Notes	work on this project wa	n for the Educational Development in Portugal; Professor Dr Adolfo Figueiras' as in part funded by Health Research Fund (Fondo de Investigacio ´n Sanitaria) e Spanish Ministry of Health, and by CIBER of Epidemiology and Public Health.	
	Conflict of interest: the to the content of this st	authors declare that they have no conflicts of interest that are directly relevan udy.	
	Trial registration ID: ISF	RCTN45894687	
Risk of bias			
Bias	Authors' judgement	Support for judgement	
Random sequence genera- tion (selection bias)	Low risk	A computer-generated procedure was used to generate the randomisation se quence.	

#### Herdeiro 2008 (Continued)

Cochrane

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Allocation concealment (selection bias)	Low risk	A computer-generated procedure was used to generate the randomisation se- quence and assign four clusters to the intervention group and 11 to the control group.
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Due to the type of intervention, participants could not be blinded. Study per- sonnel delivering the intervention would also not have been blinded to the group allocation.
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	The Pharmacosurveillance Unit expert (Jorge Polonia) responsible for codify- ing adverse reactions was blinded as regards the study group to which the re- porting pharmacist belonged.
Incomplete outcome data (attrition bias) All outcomes	Low risk	All these data came from the Northern Pharmacosurveillance Unit part of the Portuguese Health Authority.
Selective reporting (re- porting bias)	Low risk	The study protocol is available (ISRCTN45894687) and all of the study's pre- specified (primary and secondary) outcomes including rate of total notifica- tions per month, rate of serious, unexpected, high-causality, and new-drug-re- lated ADRs per month) that are of interest in the review have been reported in the prespecified way.
Other bias	Low risk	Low risk of recruitment bias, as clusters assigned and intervention implement- ed; outcomes adequately adjusted for clustering; no reported loss of clusters; allocation was by cluster, which reduced the risk of contamination between experimental groups; baseline characteristics of pharmacists and ADR report- ing rates were similar between the intervention and control groups.

#### Herdeiro 2012

Study characteristics	
Methods	Study design: cluster-RCT
	Each cluster - one reference hospital, small hospitals in the selected geographic area, plus the outpa- tient centre.
	This study builds on previous studies: Figueiras 2006 targeted physicians in the 15 clusters randomised to receive 1-hour education session, tailored to training needs or no intervention; Herdeiro 2008 target- ed pharmacists in the 15 clusters randomised to receive 1-hour education sessions, tailored to training needs or no intervention. A second randomisation of the four intervention clusters (from Figueiras 2006 and Herdeiro 2008) was performed, and two clusters received the workshop intervention and two clusters received the telephone-interview intervention, with 11 clusters remaining assigned to the control arm. Herdeiro 2012 targeted the physicians and Ribeiro-Vaz 2011 targeted the pharmacists in the newly randomised clusters.
	<b>Study setting:</b> National Health System in the northern region of Portugal (25 hospitals and their re- spective outpatient centres); 15 of the hospitals were general medical hospitals, which cover a desig- nated geographic catchment area; five were small satellite hospitals of general hospitals; and five were speciality hospitals (e.g. cancer, maternity, paediatrics)
	Duration of follow-up: 2008 to 2009 (20 months)
Participants	Participants: clinically active National Health System physicians based in northern Portugal
	<b>Number of participants:</b> 438 physicians (workshop); 1034 physicians (telephone interview); 5107 physicians (control)

Herdeiro 2012 (Continued)	<b>Mean age:</b> control: 48. years	55 years, intervention (workshop): 49.31 years, intervention (telephone): 46.29
	<b>Sex:</b> control: 52.1% fen male	nale, intervention (workshop): 42.9% female, intervention (telephone): 54.6% fe-
Interventions	Comparison 1: educat	ion session plus reminder card and report form versus usual practice
		intervention clusters (from 2004 study), 2 clusters received the workshop inter- the telephone-interview intervention
	asked if (i) they had ever ing; (iii) they remember fax, email or internet); they remembered any had any questions com- post) support material	telephone conversation (pre-established script; 3 to 8 minutes); physicians er had any suspicion of ADRs; (ii) they had experienced any difficulty in report- red the different methods that could be used for reporting purposes (telephone, (iv) they attached importance to the individual physician's role in reporting; (v) cases of an alert in which reporting had played a vital role; and finally (vi) they cerning the reporting system; following telephone interview participant sent (via (letter of acknowledgement, ADR spontaneous report form and one NPC presen- ots made to contact physician, after which deemed impossible to contact.
	their impact on public cians' attitudes to and to discuss it, an ADR for	entation; approximately 1 hour (definitions of pharmacovigilance, ADRs and health, plus more in-depth approach to spontaneous ADR reporting and physi- knowledge of the practice); clinical case presented, and each physician invited rm completed with the case data and the support of the SPC; attendance certifi- i folder, ADR report form sent to attending physicians.
	Intervention delivered	in 2008
	Intervention fidelity: 11	18/438 attended workshop (26.9%); 82/1034 telephone interview (7.9%)
	<b>Comparison:</b> NPC and campaigns	Portuguese National Medicine and Health Product Authority-run awareness
Outcomes	• Number of serious A	R reports (assessed monthly; raw data; per 1000 pharmacist-years) DRs (one that results in death; is life-threatening; is a congenital anomaly; requires or prolongation of stay in hospital; or results in persistent or great disability or
		h attribution of definitive or probable causality
	-	ted ADRs (unknown ADRs that are not described in the SPC)
	Number of ADRs cor	ncerning medications that have been on the market for fewer than 5 years
		s follows: (no. of reports of a study group during a specific follow-up period icians belonging to the study group during that follow-up period)*(no. of months d)*1000*12
Notes	(CIBER en Epidemiolog sor Maria Teresa Herde	s - Consortium for Biomedical Research in Epidemiology and Public Health (a y Salud Publica [CIBERESP]) [AC08_008]; Marıa Pineiro (CIBERESP); Profes- iro - Science and Technology Fund (Fundação para a Ciencia e Tecnologia) grant from the Portuguese Ministry of Science, Technology and Higher Education
	Conflict of interest: the contents of this study.	authors declare that they have no conflicts of interest directly relevant to the
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence genera- tion (selection bias)	Low risk	Study authors do not describe how the random sequence was generated; how- ever, they state that randomisation was performed.

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#### Herdeiro 2012 (Continued)

Allocation concealment (selection bias)	Low risk	Study authors refer to Figueiras 2006, for methodological details. In Figueiras 2006, a computer-generated procedure was used to generate the randomisation sequence and assign the clusters to intervention and control group.
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Study authors do not discuss participant blinding; it was not possible to blind participants to the intervention due to the type of intervention.
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	All data was sourced from the Portuguese Health Authority (NPC). A code num- ber was attributed to the physicians so as to prevent further identification and ensure confidentiality.
Incomplete outcome data (attrition bias) All outcomes	Low risk	ADRs are either reported or not, so attrition bias is not relevant in this in- stance. There was no loss of clusters.
Selective reporting (re- porting bias)	Low risk	The study protocol is available (ISRCTN45894687) and all of the study's pre- specified primary and secondary outcomes have been reported.
Other bias	High risk	Low risk of recruitment bias; clusters assigned then intervention implement- ed; outcome data appropriately adjusted for clustering; no reported loss of clusters; allocation by clusters reducing risk of contamination; baseline char- acteristics different between groups (workshop group higher % males and general medicine; telephone group higher % work in hospital), but unclear if any differences are statistically significant; baseline reporting rates differ- ent between groups (telephone group had higher baseline reporting rates for total, serious and high-causality ADRs compared to the control group; work- shop group had lower reporting rates for serious and high-causality ADRs com- pared with the control group; both workshop and telephone groups had high- er rate of unexpected ADRs compared to the control group), but unclear if any of these differences were statistically significant.

#### Johansson 2009

Study characteristics	
Methods	Study design: RCT
	Setting: primary healthcare units in the Region Västra Götaland, Sweden.
	Duration of intervention: three emails January, May and September 2007
	Duration of follow-up: 1 year (2007)
	In addition, a small number of the units were participating in a contemporary educational intervention during the study period. Consequently, the allocation was stratified according to number of ADR reports in 2006 and whether or not the unit had received the educational intervention.
Participants	Target audience: doctors employed in primary healthcare units
	Number of primary healthcare units: 117 (58 control; 59 intervention)
	<b>Baseline ADR reporting rate (2006):</b> "total of 89 reports from the primary health care units were regis- tered, with the number of reports per unit ranging from zero to 12 (intervention group) and zero to five (control group)"
Interventions	Comparison 2: informational email or letter vs usual practice

Improving adverse drug event reporting by healthcare professionals (Review)



Iohansson 2009 (Continued)	lowed the established "Every adverse drug re tions on how to report.	nails with attachments sent in January, May and September 2007. Emails fol- system for emails on drug news and included one page with (1) the heading action report is important", (2) a current case report of an ADR and (3) instruc- . Each email included a new current case report of an ADR. actice (no informative email)
Outcomes	<ul> <li>Total number of rep</li> <li>Serious ADRs</li> <li>Previously not knov</li> <li>New-drug-related A</li> </ul>	vn ADRs
Notes	-	ndation for Strategic Research and The Swedish Society of Medicine o conflict of interest exists"
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence genera- tion (selection bias)	Unclear risk	Study authors do not describe how the random sequence was generated. Study authors state that the primary healthcare units were randomised in a 1:1 ratio to intervention and control, and stratification of primary healthcare units is also discussed.
Allocation concealment (selection bias)	Low risk	"A person not involved in the study and without any knowledge of the study protocol performed the randomization procedure". Assume that "randomisa- tion procedure" includes the allocation of primary healthcare units.
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Due to the nature of the intervention, it would not have been possible to blind the participants or study personnel.
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	Study authors only say, "The number of reports from each primary care unit run by the same head was registered", and thus it is not clear if the outcome assessor was blinded to the allocation of the primary healthcare unit, but it is unlikely that this would affect the number of reports.
Incomplete outcome data (attrition bias) All outcomes	Low risk	ADR reports were sought from all primary healthcare units randomised to in- tervention or control, so no loss to follow-up.
Selective reporting (re- porting bias)	Low risk	The study protocol is not available, but the published report includes all expected outcomes.
Other bias	High risk	Possible high risk of contamination bias: "The intervention may also have spilled over to the control units. Doctors may work in more than one primary health care unit, i.e. both in the intervention group and in the control group. Also, the units all belong to the same organization, and information may easily be passed on from one unit to another."
		Not enough information is provided to determine whether or not there were significant differences in primary healthcare unit characteristics or ADR re- porting rate ("total of 89 reports from the primary health care units were reg- istered, with the number of reports per unit ranging from zero to 12 (interven- tion group) and zero to five (control group) between groups at baseline").

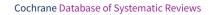
Improving adverse drug event reporting by healthcare professionals (Review)



#### Johansson 2011

Study characteristics		
Methods	Study design: RCT (15	1 PHCs randomly allocated)
	Setting: PHCs in the R	egion Västra Götaland, Sweden
	Duration of intervent	ion: letters sent in January, May and September 2008
	Duration of follow-up	:1 year
Participants	Target audience: phy	sicians and nurses working in the PHCs
	Number of PHCs: 77 ir	ntervention units, 74 control units
	Total number of phys	icians and nurses: 845 physicians, 1423 nurses
		<b>Ins and nurses per PHC unit:</b> intervention = 6 (4 to 7) physicians and 9 (7 to 13) o 7) physicians and 9 (6 to 13) nurses
		<b>ng rate (2007):</b> 62 reports from 32 (42%) intervention units, and 55 reports from (mean number of reports per unit $\pm$ standard deviation: 0.8 $\pm$ 1.4 vs 0.74 $\pm$ 1.1, P =
Interventions	Comparison 2: inform	ational email or letter vs usual practice
	structions to distribute information letter cont case report of an ADR a	age ADR information letter was sent to the secretary of each PHC unit with in- e the ADR information letter to all physicians and nurses at the PHC unit. The ADR cained (i) the heading "adverse drug reaction Information Letter", (ii) a current and (iii) instructions on what and how to report. The information letters were uary, May and September 2008.
	returned); 300 respond (23%), and 362 (28%) h intervention group tha 26%, P < 0.001) an ADR	ssessed through questionnaire: 57% response rate (1292/2268 questionnaires lents reported having received at least one ADR information letter during 2008 had read at least one ADR information letter during the year. More people in the n in the control group had received (29% vs 19%, P < 0.001) and read (31% vs information letter during 2008. In the intervention group, more physicians than 6% vs 28%, P < 0.015) but not read (36% vs 37%, P = 0.89) the ADR information
	Comparison: usual pra	actice (no informative letter)
Outcomes	-	-
		tion reports extracted from the SWEdish Drug Information System.
Notes	0	he Swedish Foundation for Strategic Research. The authors' work was indepen- e authors declare that they have no competing interests.
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence genera- tion (selection bias)	Unclear risk	How the randomisation sequence was generated is not described. Study stat- ed that the primary healthcare units were randomised 1:1 to intervention and control groups. Allocation was stratified according to number of ADR reports

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Libi	r <mark>ary</mark>

Johansson 2011 (Continued)

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Jonansson 2011 (Continued)		in 2007 and whether or not the unit had received the repeated drug safety e- mails (see Johansson 2009).
Allocation concealment (selection bias)	Low risk	Statement in study: "a person not involved in the study and without knowl- edge about the study protocol performed the randomisation procedure. As- sume that randomisation procedure includes the allocation procedure
Blinding of participants and personnel (perfor-	High risk	Due to the nature of the intervention, it would not be possible to blind participants and personnel.
mance bias) All outcomes		In the discussion, the study authors believe that the intervention spilled over to the control units as questionnaire responders in the control units reported having received and read the ADR information letter. Physicians and nurses may work in more than one primary healthcare unit, so they may have been exposed to both the intervention and control settings.
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	Blinding of outcome assessors is not described. Primary healthcare units' ADR reports were extracted from the SWEdish Drug Information System (SWEDIS), so it is unlikely that lack of blinding would have affected the outcome.
Incomplete outcome data (attrition bias) All outcomes	Low risk	ADR reports from the included primary healthcare units were extracted from the SWEdish Drug information System (SWEDIS), the Swedish ADR database where all ADR reports are registered, after being assessed for, e.g. causality and seriousness, according to the definitions by the WHO. There is no reported loss of PHC units.
Selective reporting (re- porting bias)	Low risk	The study protocol is not available but the published report includes all expected outcomes.
Other bias	Low risk	Possible high risk of contamination: Based on the questionnaire 19% of re- spondents in the control group had received and 26% had read an adverse drug reaction information letter during 2008.
		There does not appear to be any significant differences in PHC unit character- istics or adverse drug reaction reporting rate between groups at baseline

#### Lopez-Gonzalez 2015

Study characteristic	s
Methods	Study design: cluster-RCT
	Each cluster made up of referral hospital, small hospitals and primary healthcare centres in the respec- tive catchment area. Randomisation was stratified by geographical, social and economic differences between coastal and inland areas.
	<b>Setting:</b> Galician, in the northwest of Spain; local public health system provides hospitalised care at 13 general hospitals (seven referral and six small) and primary care at 405 healthcare centres distributed throughout the territory.
	Duration of follow-up: 8 months
Participants	Participants: all physicians actively engaged in clinical practice in the Galician public health system
	Number of participants: 5734 (control N = 3614; intervention: N = 2120)
	Mean age: data not provided

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#### Lopez-Gonzalez 2015 (Continued)

Interventions	Comparison 1: education session plus reminder card and report form vs usual practice
	<b>Intervention:</b> education intervention programme with active component (group sessions) and passive component (educational material)
	Active: 20- to 25-minute presentation, dynamic, objective and brief; importance of reporting ADRs, ex- pressed in terms of morbidity, mortality and cost; limitations of clinical trials for the detection of ad- verse reactions and the advantages of a spontaneous voluntary reporting scheme; under-reporting highlighted as one of the main disadvantages of this scheme; messages were reinforced to modify atti- tudes of complacency, insecurity, lack of self-confidence (diffidence), indifference and ignorance, with additional stress being laid on the fact that only a few minutes were needed to complete an ADR report form; procedures for reporting to the Galician Pharmacovigilance Center were explained.
	Passive: a specimen ADR yellow card report form was handed out to each of those attending the educa- tional session.
	Who delivered the intervention: 4 pharmacy researchers trained in pharmacovigilance; to ensure that the sessions would be as uniform as possible, all researchers met beforehand to agree on the use of common criteria.
	Intervention delivered from November 2007 to December 2008
	Intervention fidelity: 57.2% of the physicians in the intervention clusters attended the presentations.
	<b>Comparison:</b> both groups received the continuing education course imparted by the Galician Pharma- covigilance Center.
Outcomes	
	<ul> <li>Total number of ADR reports submitted (assessed monthly; raw data; per 1000 physician-years)</li> <li>Number of serious ADRs submitted (one that results in death, is life-threatening, is a congenital anomaly, requires hospital admission or prolongation of stay in hospital, or results in persistent or great disability or incapacity)</li> </ul>
	<ul> <li>Number of serious ADRs submitted (one that results in death, is life-threatening, is a congenital anom aly, requires hospital admission or prolongation of stay in hospital, or results in persistent or grea disability or incapacity)</li> <li>Number of ADRs submitted with attribution of definitive or probable causality</li> </ul>
	<ul> <li>Number of serious ADRs submitted (one that results in death, is life-threatening, is a congenital anom aly, requires hospital admission or prolongation of stay in hospital, or results in persistent or grea disability or incapacity)</li> </ul>
Notes	<ul> <li>Number of serious ADRs submitted (one that results in death, is life-threatening, is a congenital anom aly, requires hospital admission or prolongation of stay in hospital, or results in persistent or grea disability or incapacity)</li> <li>Number of ADRs submitted with attribution of definitive or probable causality</li> </ul>
Notes	<ul> <li>Number of serious ADRs submitted (one that results in death, is life-threatening, is a congenital anom aly, requires hospital admission or prolongation of stay in hospital, or results in persistent or grea disability or incapacity)</li> <li>Number of ADRs submitted with attribution of definitive or probable causality</li> <li>Number of unexpected ADRs submitted (unknown ADRs that are not described in the SPC)</li> </ul> Funding: Health Research Fund (Fondo de Investigacion Sanitaria) grants PI 081239, PI09/90609 from

**Risk of bias** 

Bias	Authors' judgement	Support for judgement
Random sequence genera- tion (selection bias)	Low risk	Study authors do not describe how the random sequence was generated; how- ever, based on the description of how clusters were defined and how randomi- sation was stratified, we feel that the randomisation sequence was probably generated appropriately.
Allocation concealment (selection bias)	Low risk	Study authors do not describe who allocated the clusters to the experimental groups and whether the person was blinded to the group allocation; however, selection bias was unlikely because in forming the clusters the particularities of the public healthcare system were taken into account (i.e. each cluster consisted of a referral hospital, small hospitals and primary healthcare centres in the respective health catchment areas), and randomisation was stratified by geographical, social and economic differences between Galicia's coastal and inland areas.

Improving adverse drug event reporting by healthcare professionals (Review)

#### Lopez-Gonzalez 2015 (Continued)

Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	The nature of the intervention does not allow for blinding of study participants or study personnel.
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	Outcome assessors were blinded to the assignment of the regions: "adverse drug reaction reports were assessed at the Galician Pharmacovigilance Center. To eliminate subjectivity, assessors were kept ignorant of which geographical areas pertained to the control and intervention clusters."
Incomplete outcome data (attrition bias) All outcomes	Low risk	ADRs were either reported or not, so attrition bias is not relevant. There was a well-established system for collecting ADR reports (Galician Pharmacovigi- lance Center).
Selective reporting (re- porting bias)	Low risk	Based on the registered study protocol (ISRCTN91140684), all measured out- comes are reported in the published paper.
Other bias	Low risk	Clusters were assigned and then the intervention was implemented; no report- ed loss of clusters; outcome data appropriately adjusted for clustering; alloca- tion by clusters thus reducing the risk of contamination; baseline ADR report- ing rates for all adverse drug reaction categories studied were higher, albeit not statistically significantly so, in the control group than in the intervention group; no information available on baseline characteristics

# McKaig 2014

Study characteristics	5
Methods	Study design: ITS
	Setting: a 719-bed multidisciplinary urban medical centre, USA
	Duration of follow-up: 2009 to 2012
Participants	Participants: nurses, physicians, pharmacists
	Number of participants: unclear
	Mean age: unclear
	Sex: unclear
Interventions	Comparison 6: improving reporting method vs usual practice
	Intervention
	Pre-implementation: 1 January 2009 to 31 August 2010
	Post-implementation: 1 September 2010 to 31October 2012
	September 2010: web-based electronic error-reporting system replaced previous electronic error-re- porting system
	<b>Previous electronic error-reporting system:</b> limited number of entry fields and drop-down menu choices, reducing the quantity and quality of event-specific information that can be entered at the time of reporting. Reports were directly routed to risk managers, who would identify stakeholders, such as floor nurse managers, and contact them for additional details regarding the event. This was performed using standard e-mail communication, which resulted in potential delays in gathering event-specific details. The risk manager entered the final event report through the electronic error-reporting system.

McKaig	z 2014	(Continued)

On a monthly basis, a system-wide report was generated and provided to the institution wide Patient Safety and Quality Improvement Committees. The lack of access of the previous electronic error-reporting system to staff or clinical managers limited the ability to add new information to the event or to document actions taken or improvements that had been made as a result of the report.

**Web-based electronic error-reporting system:** staff access web-based electronic error-reporting system using secure web-based portal from any computer in the hospital through the hospital intranet; reporting system is equipped with a series of standardised screens, drop-down menu choices, and input fields designed to collect specific information regarding the nature and specific type of the event, time and location of event occurrence and discovery, involved personnel, impact on patient care, and sub-sequent patient outcomes. Events are routed by the system in real time for peer review to managers in the patient care area where the error has occurred, as well as to other departments identified by the reporter as involved in the event (e.g. pharmacy, laboratory). The system generates an e-mail notification to alert managers that an event has been reported in the area and that it has been added to the task list for review.

For all types of events, area managers perform a "first-level" review to validate the accuracy of the information included in the report. Manager is also responsible for:

- collecting and documenting additional pertinent details regarding the event;
- completing quality assurance and performance improvement assessment form, using a series of predefined checklists or drop-down lists to identify potential causative factors (e.g. process and logistics, communication, staff-related), the likelihood of the event's recurrence, the ability to prevent a similar event, and what the potential for patient harm would be if the event were to occur again. The quality assurance and performance improvement assessment form also contains a free-text field, which is used to capture a narrative summary of the corrective action plan that will be developed in response to the event, including the goals of the action plan and the follow-up actions that have been taken.

The Quality Management Department manages the overall hospital-wide reporting process, providing high-level review of the documented responses to events; performs general trending of event reporting; and follows up with managers who have not responded to outstanding events in a timely manner. The department is responsible for marking event status as "closed" when appropriate corrective action and follow-up has been documented by the manager. Reported events that are identified by the reporter as resulting in patient harm are immediately routed by the system to senior hospital leadership and risk management for appropriate corrective action and for event reporting to appropriate outside agencies, such as the Massachusetts Department of Health. These reports receive an expedited formal review that uses the hospital's root cause analysis (RCA) process, whereby an appropriate corrective action plan is developed. The action plan and tracking of follow-up actions.

Outcomes	Total number of monthly reported MEs
Notes	Study funding: not reported
	Conflict of interest: not reported
Risk of bias	

Bias	Authors' judgement	Support for judgement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Study authors do not clearly descibe the number of nurses, physicians or phar- macists exposed to the intervention or how these numbers changed over the duration of the study.
Selective reporting (re- porting bias)	Low risk	Although we do not have access to the study protocol, all relevant outcomes in the methods section are reported in the results section.
Other bias	High risk	Authors did not appear to consider seasonal effects and there is no control arm.

Improving adverse drug event reporting by healthcare professionals (Review)

McKaig 2014 (Continued)		
Intervention independent of other changes	High risk	Comment: there are no compelling arguments that the intervention occurred independently of other changes over time and the outcome was influenced by other confounding variables or historic events during study period.
		Comment: "A limitation of our study design is that we could not prospectively quantify nor isolate the impact of human factors and culture change that may have accompanied the launch of the current electronic error-reporting system. Therefore, we are unable to attribute the impact of changing the electronic er- ror-reporting system on error-reporting rates in the absence of any other ex- traneous influence".
Shape of the intervention effect pre-specified	Low risk	Point of analysis is the point of intervention (i.e. the point in time at which the intervention was implemented).
Intervention unlikely to af- fect data collection	Low risk	The intervention itself was unlikely to affect data collection: sources and methods of data collection were the same before and after the intervention.
Knowledge of the allo- cated interventions ade- quately prevented during the study	Low risk	The primary outcome (number of ME reports in the hospital-wide medica- tion-error-reporting programme) is objective.

# Pedrós 2009

Study characteristics	5		
Methods	Study design: ITS		
	<b>Study setting:</b> Spain, Vall d'Hebron Hospital, tertiary care teaching hospital; general area of the hospi- tal: 700 beds		
	Duration of follow-up: 1998 to 2005 (intervention implemented in 2002); total of 96 observations		
	First period prior to the intervention: 1998 to 2002); intervention implemented in December 2002; sec- ond period post implementation of intervention: 2003 to 2005		
Participants	Participants: physicians		
	<b>Number of participants:</b> approximately 500 staff physicians and pharmacists; 200 physicians and pharmacists in training.		
	Mean age: not reported		
	Sex: not reported		
Interventions	Comparison 3: multifaceted intervention vs usual practice		
	Intervention (financial and education)		
	Implemented in December 2002: a multifaceted intervention based on healthcare management agree- ments between hospital managers and clinical services implemented.		
	Physicians offered <b>economic incentives</b> with aim of increasing number of spontaneous reports of ADRs. The economic incentives for ADR reporting were integrated with other clinical objectives at three levels: (i) institution or whole hospital; (ii) clinical department or clinical team; and (iii) physician. The financial incentive was variable according to the objectives achieved, and was approximately 5 to 7% o the physician's salary. The size of the financial payment to physicians for ADR reporting was not fixed, instead being variable depending on the prioritisation of other commitments, and it accounted for less		



Pedrós 2009 (Continued)

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	between physicians an spontaneous reporting report adverse drug re- lished in the EU and Sp phone number of the p and a <b>list of the most</b> i	on <b>meetings (</b> 45 to 60 minutes long) - in each clinical service, an initial meeting ad the hospital pharmacovigilance team was held with the objective of discussing g of ADRs, a summary of the hospital's pharmacovigilance activities, the way to actions, and the changes in the pharmacovigilance legal rules recently estab- bain were presented. In addition, <b>reminder cards</b> containing the contact tele- oharmacovigilance team in charge of the hospital pharmacovigilance programme <b>important</b> ADRs to be reported (serious, unexpected and those associated with buted to the hospital wards.
Outcomes	<ul> <li>Total number of spontaneous ADR reports per month</li> <li>Number of serious ADRs</li> <li>Number of new-drug-related ADRs</li> <li>Number of previously unknown ADRs</li> </ul>	
Notes	Study funding: no sou	rces of funding were used to assist in the development of this study.
	<b>Conflict of interest:</b> the authors have no conflicts of interest that are directly relevant to the con this study.	
Risk of bias		
Bias	Authors' judgement	Support for judgement
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	While we know that the general area of the hospital was served by approxi- mately 500 staff physicians and pharmacists; 200 physicians and pharmacists in training, it is not clearly described how this number changed over the dura- tion of the study.
Selective reporting (re- porting bias)	Low risk	No access to study protocol. However, there is no evidence that outcomes were selectively reported (all relevant outcomes in the methods section are reported in the results section).
Other bias	Low risk	Study authors used an autoregressive integrated moving average (ARIMA) model that accounted for seasonality.
Intervention independent of other changes	High risk	There are no compelling arguments that the intervention occurred indepen- dently of other changes over time and the outcome was not influenced by oth- er confounding variables or historic events during study period.
Shape of the intervention effect pre-specified	Low risk	Point of analysis is the point of intervention (i.e. the point in time at which the intervention was implemented).
Intervention unlikely to af- fect data collection	Low risk	The intervention itself was unlikely to affect data collection: sources and methods of data collection were the same before and after the intervention.
Knowledge of the allo- cated interventions ade- quately prevented during the study	Low risk	The primary outcome (number of adverse drug reaction reports noted in the pharmacovigilance database) is objective.

than 10% of the total of agreed incentives. Therefore, the financial incentive obtained for reporting

was, on average, less than 1% of the physician's salary.



# Ribeiro-Vaz 2011

Study characteristics				
Methods	Study design: cluster-RCT			
	Geospacial clusters: pharmacists working in a referral hospital and in community pharmacies in the ge ographic area; 4 intervention clusters (2 education workshop, 2 telephone interview), 11 control clus- ters.			
	This study builds on previous studies: Figueiras 2006 targeted physicians in the 15 clusters randomised to receive 1-hour education session, tailored to training needs or no intervention; Herdeiro 2008 target ed pharmacists in the 15 clusters randomised to receive 1-hour education sessions, tailored to training needs or no intervention. A second randomisation of the four intervention clusters (from Figueiras 2000 and Herdeiro 2008) was performed, and two clusters received the workshop intervention and two clusters received the telephone-interview intervention, with 11 clusters remaining assigned to the control arm. Herdeiro 2012 targeted the physicians and Ribeiro-Vaz 2011 targeted the pharmacists in the newly randomised clusters.			
	Study setting: 20 hospitals in the northern region of Portugal			
	Duration of intervention: May to June 2007			
	Duration of follow-up: 20 months			
Participants	Clinically active <b>pharmacists</b> based in the northern region of Portugal (Herdeiro 2012 reports on the physicians in these clusters)			
	<b>Number of participants:</b> 1467 (control: N = 1103; intervention: N = 364 including 261 pharmacists in telephone group and 103 pharmacists in workshop group)			
	Mean age: unclear			
	Sex: 79% female			
Interventions	Comparison 1: education session plus reminder card and report form versus usual practice			
	<b>Intervention:</b> of the 4 intervention clusters, 2 received educational workshops and 2 received tele- phone interviews.			
	Workshop - 60-minute sessions; problem of ADR and its impact on public health; approach to spon- taneous ADR notification; attitudes and knowledge that pharmacists have on the subject; guidance on how to complete the spontaneous ADR report form; discussion of a practical case and completion of the spontaneous notification of adverse drug reaction with data from the case study; after session, each participant provided with support material for filing and facilitating the process: a copy of the practical case, a copy of a spontaneous notification of ADR to be filled in with the practical case, of pre- sentation of the Northern Pharmacovigilance Unit and certificate of attendance.			
	Telephone - telephone conversation (pre-established script); 4 to 12 minutes of fluid conversation; 3 at tempts made to contact participants, after which deemed impossible to contact; following telephone interview participant sent (via post) support material (thank-you letter, spontaneous notification of ad verse drug reaction report form and presentation of the Northern Pharmacovigilance Unit).			
	Intervention delivered from 29 May to 26 June 2007 (workshop) and 2 to 20 July 2007 (telephone)			
	Intervention fidelity: workshop - attended by 46% of pharmacists; telephone interview - 36% of all indi viduals contacted			
	Comparison: usual practice; no workshop or telephone interview			
Outcomes	1. Total number of ADR reports (assessed monthly; raw data; per 1000 pharmacist-month)			
	2. Number of serious ADRs (one that results in death; is life-threatening; is a congenital anomaly; re- quires hospital admission or prolongation of stay in hospital; or results in persistent or great disability, incapacity, or both)			



Ribeiro-Vaz 2011 (Continued)			
	3. Number of ADRs with	h attribution of definitive or probable causality	
	4. Number of unexpected ADRs (unknown ADRs that are not described in the SPC)		
Notes	Study funding: no information provided Conflict of interest: no statement provided		
Risk of bias			
Bias	Authors' judgement	Support for judgement	
Random sequence genera- tion (selection bias)	Low risk	Study authors do not describe how the random sequence was generated; hov ever, they do state that the intervention and control clusters were assigned in a ratio of 1:3, so it is likely that a legitimate sequence was generated.	
Allocation concealment (selection bias)	Unclear risk	Study authors do not describe how the clusters were allocated to the experi- mental groups. The risk of bias is therefore unclear.	
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Due to the nature of the intervention, it is not possible for the participants to be blinded. It is not clear to what extent the study personnel were blinded to the group allocation of the pharmacist.	
Blinding of outcome as- sessment (detection bias) All outcomes	Low risk	The outcome assessor was blinded to the study group of each pharmacist and data on notifers was transformed into a numeric code. "The expert responsib for assessing adverse reactions was unknown to the study group every phar- macist belonged. Confidence was throughout the study and data on notifiers have been transformed into a numeric code rich."	
Incomplete outcome data (attrition bias)	Low risk	ADRs are spontaneously reported or not reported. Therefore, attrition bias is not applicable in this instance.	
All outcomes		All ADR data was obtained from the Northern Pharmacovigilance Unit.	
Selective reporting (re- porting bias)	Low risk	The study protocol is not available; however, all the outcomes described in th methods are reported in the results.	
Other bias	High risk	Low risk of recruitment bias as clusters were allocated and then intervention was implemented; outcome data appropriately adjusted for clustering; no re- ported loss of clusters; baseline ADR reporting rate in all the categories was	

# Ribeiro-Vaz 2012

Study characteristics	
Methods	Study design: ITS
	Setting: hospitals in Northern Portugal
	Duration of study: 2006 to 2010
	Duration of intervention: implemented over 6 months in 2007 to 2008
	Duration of follow-up: 31 months

higher in the workshop group, but it was unclear if this difference was statistically significant; no information regarding participants' baseline characteris-

tics; allocation by clustering thus low risk of contamination

Bias	Authors' judgement Support for judgement
Risk of bias	
	Conflict of interest: no statement provided
Notes	Study funding: not reported
	23 months before intervention and 31 months after the intervention in each hospital
Outcomes	<ol> <li>Total number of spontaneous ADR reports (median and range) per month</li> <li>Number of spontaneous ADR online reports (median and range) per month</li> </ol>
	Comparison: usual practice
	Hyperlink in both the desktop and in the electronic patient record: 1 centre (1 hospital)
	Hyperlink in the desktop: 2 centres (5 hospitals)
	Hyperlink in the electronic patient record: 8 centres (12 hospitals)
	December 2007: five hospital centres implemented the hyperlink. Another six implemented it over the course of the next 5 months.
	Five of the centres failed to respond by the end of 2010.
	October 2007: letter was sent to the chief physicians of these 18 northern Portuguese hospitals regard- ing inclusion of the hyperlink. If no response within 2 weeks, clinical administration boards were re- minded by telephone.
	<b>Intervention:</b> hyperlinks to the ADR online reporting UFN (Northern Pharmacovigilance Center) form were proposed to 18 northern Portugal hospitals. The hyperlinks can be included either in healthcare professional-specific software (typically electronic patient records or pharmacy-specific applications used by doctors, nurses and pharmacists) or on hospital computer desktops.
Interventions	Comparison 4: improving access to ADR report form vs usual practice
	Study does not report the number of healthcare professionals serving these centres and hospitals.
	Number of centres (hospitals) included in analysis: 11 centres (18 hospitals) - 5 centres (9 hospitals) did not include the hyperlink intervention
	Number of centres (hospitals) included: 16 centres (27 hospitals)
	Number of centres (hospitals) excluded: 2 centres (3 hospitals) plus 1 other hospital
	Number of centres (hospitals) in the area: 18 centres (31 hospitals)
Participants	<b>Target population:</b> healthcare professionals (i.e. <b>physicians, pharmacists, nurses</b> ) working in hospi- tals in northern Portugal
Ribeiro-Vaz 2012 (Continued)	

Incomplete outcome data (attrition bias) All outcomes	Unclear risk	We know the number of centres and hospitals offered the intervention (16 cen- tres; 27 hospitals) and the number included in the analysis (11 centres; 18 hos- pitals - 5 centres; 9 hospitals did not implement the hyperlink), but the study does not report the number of health professionals serving these hospitals.
Selective reporting (re- porting bias)	Low risk	Although we do not have access to the study protocol, there is no evidence that outcomes were selectively reported (all relevant outcomes in the meth- ods section are reported in the results section).
Other bias	High risk	There is a probable clustering effect in Ribeiro-Vaz 2012 (there appears to be a hierarchy of hospitals within centres), which does not seem to be modelled.

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# Ribeiro-Vaz 2012 (Continued)

		The study covers a period of about 4 years, but there does not seem to be any consideration of seasonality effects.
Intervention independent of other changes	Low risk	"From the initial 18 centres (31 hospitals) we excluded four hospitals that established other cooperation protocols with UFN to avoid a possible con- founder bias. For the other 16 centres, we believe that there were no external interventions that could potentially explain the observed results."
Shape of the intervention effect pre-specified	Low risk	Point of analysis is the point of intervention (i.e. the point in time at which the intervention was implemented).
Intervention unlikely to af- fect data collection	Low risk	The intervention itself was unlikely to affect data collection: sources and methods of data collection were the same before and after the intervention.
Knowledge of the allo- cated interventions ade- quately prevented during the study	Low risk	The primary outcome (number of adverse drug reaction reports noted in the pharmacovigilance database) is objective.

#### Schlienger 1999

Study characteristics	5
Methods	Study design: a prospective, comparative, open, cross-over study
	Cross-over study: we decided to only use data from the first year of the study (first period data) in or- der to reduce the risk of contamination bias and any carry-over effect inherent in a cross-over study. We judged the risk of bias of the study based on the first period data only.
	<b>Setting:</b> Department of Medicine of the University Hospital of Basel, Switzerland; 900-bed teach- ing hospital providing primary and tertiary care and tertiary care referral centre for the north west of Switzerland; the ward specialised in the care of patients with infectious diseases, cardiovascular, haematological, oncological and peripheral vascular disorders; it included four adjacent 13-bed nurs- ing units located on the same floor.
	Duration of follow-up: 24 months (12 months, intervention and control units crossed over, 12 months)
Participants	Target of the intervention: physicians, nurses, pharmacists
	Each unit was staffed with one medical intern (second or third year of postgraduate training on a 3- to 6-month rotation scheme). The four interns were supervised by a full-time chief resident board-certi- fied in internal medicine.
	<b>The outcome was assessed per participant.</b> Consecutive patients were included who were admitted to one of the units of the General Medical Service included in the study, and were prescribed at least one pharmacologically active drug.
	First study period (months 1 to 12)
	Number of participants: 507 (intervention); 509 (control)
	<b>#Mean age:</b> 63 ± 17 years (intervention); 63 ± 17 (control)
	<b>*Sex (% male):</b> 66 (intervention); 53 (control)
	#combined data for both 12-month periods; as data not presented separately for each 12-month peri- od.
Interventions	Comparison 7: case finding (pharmacists) vs usual practice (spontaneous reporting)

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Schlienger 1999 (Continued)		
-	No structured system f	or reporting ADEs was in place in the institution.
		sponsible for reporting and identification of ADEs; graduated from the School of ne member of the staff of the hospital's Division of Clinical Pharmacology.
	Intervention in the tes	t units consisted of the following three components.
	patient and the phy	joined the regular rounds of the test units on alternate weekdays, thus seeing each sicians and nurses in charge at least every second day. During rounds, the clinical ally searched for ADEs by screening the charts and questioning patients if ADEs
		ADEs was solicited from physicians and nurses of the test units by regular, daily nedical care team by the clinical pharmacist.
	-	iew of the charts of all patients in the test units was performed by the pharmacist g a specific data form with the aim of identifying further ADEs.
		ion about ADEs from control units was based only on spontaneous voluntary re- and nurses; the clinical pharmacist did not visit the patients or the medical and
Outcomes	Primary outcome	
	• Number of ADEs per	r 1000 patient-days
	on a modified WHO def used in humans for pro more, for the purpose of ate use of a drug as we intentional overdoses	in this study is a little bit unclear. Firstly, the study authors defined ADEs based finition: an ADE is an event that is "noxious and unintended and occurs at doses ophylaxis, diagnosis, therapy, or modification of physiologic functions. Further- of this investigation, this definition included injuries resulting from inappropri- ll as errors in drug administration, whereas therapeutic failures, poisonings, and were excluded." Secondly, the authors go on to say that ADEs were classified as able, or possible causal relationship with a drug", which would usually be ADRs.
Notes		ly supported in part by grants from the Swiss Society of Hospital Pharmacists etica SA, Mendrisio Switzerland.
	Conflict of interest: not	reported
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence genera- tion (selection bias)	High risk	No randomisation was performed.
Allocation concealment (selection bias)	High risk	"At the beginning of the study and after 12 months - with the change from test to control unit status - physicians and the nursing teams of study and control units were informed about the study design and goals during a one-hour oral presentation by the clinical pharmacist. In addition, at the beginning of each rotation period, interns joining the teams were also informed about the inter- vention."
Blinding of participants	High risk	Participants and personnel were not blinded.

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High risk

and personnel (perfor-

Blinding of outcome as-

sessment (detection bias)

mance bias) All outcomes

All outcomes

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cation.

The outcome assessor (clinical pharmacist) was not blinded to the group allo-

There were four ways by which ADEs were identified in test unit patients:



Schlienger 1999 (Continued)		
		(a) spontaneous or solicited reporting by a physician;
		(b) spontaneous or solicited reporting by a nurse;
		(c) detection on regular ward rounds; and
		(d) detection by the clinical pharmacist by chart review after hospital dis- charge.
		In control unit patients, spontaneous reporting by members of the medical and nursing team was the only method used.
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	The flow of patients through the study is not clearly described. "Consecutive patients were included who were admitted to one of the units of the General Medical Service included in the study, and were prescribed at least one pharmacologically active drug."
Selective reporting (re- porting bias)	Low risk	No access to study protocol. There is no evidence that outcomes were selec- tively reported (all relevant outcomes in the methods section are reported in the results section).
Other bias	Unclear risk	Baseline characteristics: "Patients in test and control units were similar in age, length of stay and average number of med- ications, whereas the gender dis- tribution differed significantly (Table 1)" The effect of this imbalance on the number of reported AREs is unclear.
		As this is a cross-over study, it is also unclear how any potential carry-over ef- fect might bias the outcome measured.

ADE: adverse drug event; ADR: adverse drug reaction; IQR: interquartile range; IRR: incidence rate ratio; ITS: interrupted time series; ME: medication error; N: number of participants; NPC: Northern Pharmacovigilance Centre; P: probability; PHC: primary healthcare unit; RCT: randomised controlled trial; RMB: renminbi, Chinese currency; SPC: summary of product characteristics; vs: versus; WHO: World Health Organization

# Characteristics of excluded studies [ordered by study ID]

Study	Reason for exclusion
Aldeyab 2016	Ineligible participants: intervention targets patients not health professionals
Anbalagan 2019	Ineligible study design: uncontrolled before-after study
Åserød 2017	Ineligible intervention: description of mobile application design
Aspinall 2002	Ineligible study design: descriptive observational study
Avong 2018	Ineligible study design: no control/comparison and not ITS
Bäckström 2002	Ineligible study design: CBA study with only one intervention and one control group
Bäckström 2006	Ineligible study design: CBA study with only one intervention and one control group
Belhekar 2022	Ineligible study design: CBA study with only one intervention and one control group
Bracchi 2005	Ineligible study design: CBA study with only one intervention and one control group and not enough data points to re-analyse as ITS

Study	Reason for exclusion
Candore 2022	Ineligible study design: retrospective observational study (data includes adverse reactions report- ed by patients and HCPs)
Cano-Sandoval 2020	Ineligible study design: descriptive observational study
Capucho 2008	Ineligible study design: not enough data points post intervention for ITS analysis
Chatas 1990	Ineligible study design: uncontrolled cohort and not enough data pre intervention to analyse as ITS/repeated measures
Clarkson 2001	Ineligible study design: only one intervention region or cluster
Colodny 1999	Ineligible study design: ITS - monthly ADR reporting rates in 1996 (prior to programme implementa- tion) and 1997 (post implementation) provided, but apart from "early 1997", there is not a clear-cut point in time when the programme/policy was implemented.
Correa 2019	Ineligible study design: uncontrolled before-after study and unable to re-analyse as ITS
Costello 2007	Ineligible study design: not enough data collection timepoints for ITS analysis
Deslandes 2022	Ineligible study design: not enough data points pre and post intervention to analyse as ITS
Fang 2017	Ineligible study design: retrospective, uncontrolled before-after study; not enough data point pro- vided to analyse as ITS/repeated measures
Fracchiolla 2018	Ineligible study design: observational cohort study
Gony 2010	Ineligible study design: controlled before-after study with only one control group
Haramburu 1988	Ineligible study design: retrospective observational study
Haw 2011	Ineligible study design: ITS but no data pre-intervention, only data for post-intervention
He 2018	Ineligible study design: uncontrolled before-after study
lessa 2021	Ineligible study design: descriptive observational study
Jacqout 2012	Ineligible study design: uncontrolled before-after study
Jha 2017	Ineligible study design: descriptive observational study
Kronenfeld 2019	Ineligible study design: historical control and not possible to re-analyse as ITS/repeated measures
Lata 2004	Ineligible study design: uncontrolled before-after study
Lee 2004	Ineligible study design: ITS but only one time point post intervention
Li 2020	Ineligible participants: intervention directed to both consumers and HCPs and data not reported separately
Linder 2010	Ineligible intervention: no health professional involved
Lynn 2010	Ineligible study design: ITS but no pre intervention data

Study	Reason for exclusion	
Marquez 2016	Ineligible study design: controlled post-intervention study with no pre-intervention data collection; has only two clusters	
Massah 2021	Ineligible study design: uncontrolled before-after study, not enough data points for ITS	
McGettigan 1997	Ineligible study design: historical controls, not enough data points for ITS analysis	
Menat 2021	Ineligible study design: only 2 medicine units involved in the study, no control group and multiple time points not collected so cannot re-analyse as ITS; study compared if more ADRs reported by spontaneous reporting or triggers (e.g. red flags on the computerised medical records) method.	
Morales 2016	Ineligible study design: uncontrolled before-after study	
Morgan 1990	Ineligible study design: uncontrolled before-after study, not enough data to re-analyse as ITS	
Mwamwitwa 2022	Ineligible study design: uncontrolled before-after study but not enough data to re-analyse as ITS	
NCT02087293	Ineligible participants: intervention targeted patient reporting of ADEs	
Ng 2020	Ineligible intervention: ITS study, intervention aimed at reducing MEs, not aimed at improving the reporting of MEs	
Opadeyi 2019	Ineligible study design: focus of the study was to improve knowldege, attitude and process out- comes	
Ortega 2008	Ineligible study design: not enough timepoints pre and post implementation of the intervention provided	
Potlog 2020	Ineligible study design: only 1 intervention cluster and 1 control cluster (made up of B and C)	
Praveen 2021	Ineligible study design: cross-sectional observational study assessing knowledge, attitude and practice/process outcomes	
Reumerman 2021a	Ineligible study design: although this is a CBA design, our review inclusion criteria stipulated tha CBA should have at least two intervention sites and two control sites to be eligible. This study ha one intervention site and two control sites.	
Reumerman 2021b	Ineligible study design: no control group or baseline data; ADRs were reported by medical student not qualified healthcare professionals (so wrong participants)	
Rogers 1989	Ineligible study design: CBA study with only one intervention group	
Roy 2018	Ineligible study design: pre and post survey	
Rubin 2019	Ineligible study design: retrospective observational study	
Salcedo de Diego 2015	Ineligible study design: uncontrolled before-and-after study; monthly data are not available, which would allow re-analysis as an ITS	
Sánchez 2014	Ineligible study design: no control group and no mention of baseline data	
Sanko 2020	Ineligible intervention: ADR reporting was from a simulation programme	
Schindler 2019	Ineligible study design: not interventional, just discusses how adverse drug reactions might be re- ported	

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Study	Reason for exclusion
Segec 2021	Ineligible participants: patients/consumers were intervention target population
Sonowa 2020	Ineligible study design: before-after study without a control group; only one time point assessed pre-intervention so cannot be re-analysed as ITS
Srikanth 2019	Ineligible study design: before-after study without a control group
Tabali 2009	Ineligible study design: uncontrolled before-after study
Terblanche 2018	Ineligible study design: before-after study with no control group and not enough time points pre and post intervention to analyse as ITS/repeated measures
Touchette 2012	Ineligible participants: intervention targeted patient reporting of ADEs
Varallo 2015	Ineligible study design: uncontrolled before-after study
Weant 2010	Ineligible study design: retrospective cohort review
Welsh 1996	Ineligible study design: uncontrolled before-after study (not enough data points before and after intervention for ITS re-analysis)
Wengrove 2021	Ineligible study design: uncontrolled before-after study
Wentzell 2017	Ineligible study design: only one intervention and one control site
Winstanley 1989	Ineligible study design: ITS, but only 2 data timepoints pre-intervention provided
Xu 2014	Ineligible intervention: intervention aimed at improving patient safety and reducing MEs
Yen 2010	Ineligible study design: uncontrolled before-after study (data collected 39 months before and af- ter the intervention of the electronic system - the (monthly) data is not provided so we cannot re- analyse as an ITS/repeated measures study)

ADE: adverse drug events; ADR: adverse drug reaction; CBA: controlled before-and-after study; HCP: healthcare professional; ITS: interrupted time series; ME: medication error

# Characteristics of studies awaiting classification [ordered by study ID]

Biagi 2013	
Methods	Objective: assessing the knowledge, attitudes, and behavior of GPs regarding ADR reporting; evalu- ating whether a monthly e-mail-based newsletter on drug safety could affect the rate or quality of the ADR reports submitted by these GPs
	Design: controlled before-after study (questionnaire study)
	Setting: Italy (three local health authorities)
	Duration: 3 years. Was divided into: (1) identification of the reasons leading to underreporting through a questionnaire (Phase I); (2) the intervention, i.e. sending a newsletter for a 10-month period (Phase II); (3) evaluation of the intervention outcomes during the 10 months following the period in which the newsletter had been received (Phase II)
Participants	All GPs (N = 737) associated with these three local health authorities were recruited.
Interventions	GPs associated with three local health authorities exposed to the intervention; study authors re- port: "The pooled number of ADR reports sent by GPs in the remaining seven LHAs of the region

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Biagi 2013 (Continued)	was used as controls". Seven local health authorities of the region not exposed to intervention were used as controls; however, it is unclear if these controls were considered historical controls. We have contacted the study authors for additional information.
Outcomes	Pooled number of ADR reports sent by GPs; number of GP reports per 100,000 inhabitants
Notes	The design of this study is not clear; if it is to be considered a CBA study, we need to be sure that the seven unexposed local health authorities are not historical controls. We do not have sufficient data from the study to re-analyse as an ITS study.

Kim 2012	
Methods	Objective: to examine the impact of the implementation of an electronic-based reporting system on the occurrence of ME reports in the hospice setting.
	Method: an electronic ME reporting system was developed and an inservice was provided to partic- ipants.
Participants	Healthcare workers in hospice setting
Interventions	Pre-intervention data was collected for a two-year period and consisted of ME reports from the hospice's paper-based system. Post-intervention data was collected for a 120-day period and consisted of reports from the newly electronic-based system.
Outcomes	ME reports collected and analysed comparing two specific time periods.
Notes	Potentially eligible - poster abstract only; probably an eligible ITS study

Kumar 2021	
Methods	The design of this ongoing study is not clearly described: "Method of generating randomization sequence: Random Number Table Method of allocation concealment: Sequentially numbered, sealed, opaque envelopes Blinding and masking: Not Applicable". It looks like an RCT, but trial reg- istration entry goes on to say the following about the control group: "Standard ADR reporting be- fore our training on mobile app ADR reporting: The ADR reporting done before mobile app inter- vention will be the control arm"
Participants	Medical officers (target sample size: 50)
Interventions	Intervention: ADR reporting by mobile app: medical officers will be trained on reporting ADR using mobile app namely Pharmacovigilance Program of India (PvPI) ADR app developed by Government of India, and will be asked to use it for ADR reporting when encountered while treating patients with tuberculosis.
	Control: standard ADR reporting before our training on mobile app ADR reporting: The ADR report- ing done before mobile app intervention will be the control arm
Outcomes	Change in number of ADR reports per medical officer in study Revised National Tuberculosis Con- trol Program (RNTCP) centres
	<ul> <li>Presence of absence of the mobile app in the mobile phone of medical officers in study RNTCP centres</li> </ul>
	Recording of ADRs by the medical officers in study RNTCP centres after the study period
	Time points: baseline, 3rd, 6th, 9th and 12th months

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Kumar 2021 (Continued)	
Notes	It is unclear how the data will be collected; while the participants and intervention are appropriate for our review, it appears that the control data might be historical data, so it is not clear if the study is a controlled before-after study.
	Recruitment status: open to recruitment
	Emailed authors on 9 March 2023 for additional study information (Dr S Ramesh Kumar: drramesh- skumar@yahoo.co.in)

# Lan 2022 Methods Conducted in China (a large general hospital). Authors retrospectively analysed the number of inpatient ADRs submitted to the Center for Advanced Drug Monitoring per month, the number of unreported ADRs per month, and the standardised ADRs reporting rate per month in 2010 to 2019 to investigate the immediate and long-term efects of clinical pharmacists intervention on ADRs reporting. The intervention was implemented on 1 June 2015, and a segmented regression model was used to analyse the data from 1 January 2010 to 31 December 2019. Participants **Clinical pharmacists** Interventions A team of clinical pharmacists detected ADRs among hospital patients and promptly reminded clinicians to report them. In cases of potential ADRs, clinical pharmacists assessed causality, verifed the event with clinicians, and reminded the clinicians to report any missed ADRs. In addition, clinical pharmacists reviewed ADRs reports for missing items, measures taken to treat the ADRs, improvement or resolution of the ADRs after drug discontinuation or dose reduction, recurrence of ADRs after the resumption of the suspected drug, and causality, to reduce potential under-reporting and omission and promote the standardisation of ADRs reporting. Number of inpatient ADRs reports submitted to the Center for Advanced Drug Monitoring Outcomes Number of unreported ADRs Number of standardised ADRs reporting rate per month Notes Study identified in the updated search conducted just prior to submitting the review for peer review; study to be assessed for inclusion in the updated review; retrospective observational study, however, data analysed as ITS

Lander 2013	
Methods	Study design: unclear (retrospective CBA study) or repeated measures study (although the de- scribed analyses does not support this)
	Setting: Denmark
	"The number of ADEs reported during the study period (1 October 2010–30 September 2011) was compared with the number of reports between 1 October 2009 and 30 September 2010, which fur- thermore was compared with the number of reports between 1 October 2008 and 30 September 2009", so there appear to be repeated measures data.
	"The total number of ADE reports from all hospitals in the Capital Region of Denmark was provided by the DMA", so there appears to be a control or comparison group.
Participants	Two junior doctors and one resident from the Department of Clinical Pharmacology took on the role of the ADE manager and were responsible for reporting the ADEs.

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Lander 2013 (Continued)	
Interventions	Intervention: 1 hospital
	ADE manager introduced at a university hospital (five medical wards) in Denmark in the period October 2010 to September 2011. Function of the ADE manager was to complete the ADE report, whenever a physician required assistance. ADE manager used electronic health records to collect the necessary information about the ADE and complete a report to the DMA, now part of Danish Health and Medicines Authority.
	Comparison: 11 hospitals; study authors do not describe ADE reporting usual practice in the other hospitals.
Outcomes	Number of ADE reports per month
Notes	Study is presented as a descriptive observational study or a CBA study; if we assume it is a CBA, it would be ineligible as there is only one intervention site (1 hospital); it may be possible to re- analyse the data using ITS analysis; closer inspection of Figure 1 data - no data points post the in- tervention implementation period (the study states that in the period between October 2010 and September 2011, an ADE manager was introduced at Bispebjerg University Hospital, and Figure 1 only provides data up to September 2011, so we do not have our required 3 data points post in- tervention needed for ITS analysis). This study was identified in the search update and we did not have the resources to re-analyse the data at that stage.

Marquez 2015	
Methods	The aim of this study is to evaluate the quantitative and qualitative increase of ADR reports by nurses after an educational intervention; quasi-experimental study; 113 nurses in the intervention group; 590 nurses in the control group; January 2013 to September 2014.
Participants	Nurses working in primary care (Portugal)
Interventions	Two educational interventions (no further information provided)
Outcomes	Quantitative and qualitative increase of ADR reports
Notes	Awaiting classification - abstract only, and not enough information; non-randomised controlled tri- al of primary care nurses, so there may be more than one site and might possibly be eligible; co-au- thors include Herdeiro and Ribeiro-Vaz (authors of currently included studies)

 Opadeyi 2021

 Methods
 This study evaluated the impact of an educational lecture followed by repeated text messages via the Short Messaging System on ADR reporting. Six teaching hospitals in the South-South zone of Nigeria were randomised in 1:1 ratio into intervention and non-intervention hospitals.

 Participants
 We assume healthcare professionals were the intervention target (4912 healthcare professionals were working in the 6 hospitals at the time of the study; 3099 in the intervention and 1813 in the control)

 Interventions
 The intervention hospitals received an educational lecture followed by monthly SMS reinforcements over 12 months. Educational intervention conducted between January and March 2016. No further information provided in the abstract.

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# Opadeyi 2021 (Continued) Outcomes The number and quality of ADR reports from the local pharmacovigilance centers of each teaching hospital over the 12 months before and after the intervention were described Notes Study identified in updated search; we have not been able to access a copy of the full text and there is not enough information in the abstract to determine study eligibility. We have contacted the study authors for a copy of the full text and for more information and data.

Sawangjit 2022	
Methods	Cluster-RCT (based on the study title)
Participants	HCPs including doctors and pharmacists work at public tertiary hospital in Lao People's Democrat- ic Republic, who have a role in reporting ADRs in hospital.
Interventions	Education-related ADR report for 2 hour 3 time plus using TaWai mobile system as a tool to report ADR in hospital for 3 months
	Education-related ADR report for 2 hour 3 time plus using classical (usual) form as a tool to report ADR in hospital for 3 months
Outcomes	Total number of ADR reported in 3 months
	Satisfaction of reporters: mean of satisfaction score
	Quality of ADR report during 3 months: number of ADR reports with good quality
	Rate of ADR report 1, 2 and 3 months: the number of ADR reports/measurement time
Notes	No full text or data published for this study; need more information on the study design hence awaiting classification; emailed authors on 9 March for additional study information (Ratree Sawangjit: ratree.m@msu.ac.th); copy of PhD dissertation (completed after submission of our re- view) found online on 27 January 2024 - to be reviewed and assessed for future review update

ADR: adverse drug reaction; ADE: adverse drug event; CBA: controlled before and after; DMA: Danish Medicines Agency; GP: general practitioner; HCP: healthcare professional; ITS: interrupted time series; RCT: randomised controlled trial

# Characteristics of ongoing studies [ordered by study ID]

# Hutchinson 2020

Study name	Use of an audit with feedback implementation strategy to promote medication error reporting by nurses
Methods	<b>Study design:</b> quasi-experimental design (possible re-analysis as controlled before-after study or cluster RCT if additional data provided)
	<b>Study setting:</b> study was undertaken at one large, private, not-for-profit hospital in Melbourne, Australia (hospital selected for convenience; associated pilot research conducted at this hospital see Hutchinson 2015). Sampling of wards was purposive, with ward selection and matching guided by an initial assessment of the clinical case mix (diagnostic group, average length of stay, occupied beds) of all acute care wards at the participating hospital.
	<b>Duration of follow up:</b> intervention implemented over 12 months then data collected during 6 months post implementation
Participants	<b>Participants:</b> nurses; medical wards included a neurology or stroke ward and a general medical or aged care assessment ward; surgical wards included a cardiothoracic ward and a plastics or gen-

Improving adverse drug event reporting by healthcare professionals (Review)

Hutchinson 2020 (Continued) eral surgery ward. All participating wards were located in close proximity to each other and comprised teams of medical doctors that worked across multiple wards. All managers (n = 4) and fulland part-time nurses (both registered and enrolled nurses; n = 162) working in the participating wards were considered eligible for inclusion. Casual nurse bank or agency nurses and nursing students were excluded due to their inconsistent presence on wards. Number of participants: 162 nurses, whether full-time or part-time, and whether registered or enrolled nurses, in all 4 wards Interventions Comparison 8: audit and feedback of analysed audit data (intervention) versus audit without feedback (control) Intervention: nurses within intervention wards received audit with feedback on a quarterly basis over a 12-month implementation period (i.e. four times in total). Feedback report incorporated a brief educational component, but mainly consisted of a coloured, one-page infographic poster, with content based on medication error data obtained from audits and the hospitals' risk management system (RiskMan). Feedback posters were placed in the intervention wards by a member of the research team in locations deemed appropriate by the stakeholder group and nurse unit managers of participating wards (i.e. medication rooms, the mirror next to hand basin in staff bathrooms, on the back of toilet doors and on the walls above toilet roll holders, on tables in tea rooms, in staff communication books and on the wall near staff lockers). Feedback posters were also sent electronically via email by the senior nurse or nurses to nurses in the intervention wards. The feedback report was unique to each intervention ward and specifically related to processes of care. The content of the posters was sourced from the following. The audits of patient medical records detected errors evidenced in the documentation related to (e.g. missed medications without a documented reason for omission, wrong timing and frequency of administration, or both; medications administered when the patient had a record of a previous adverse drug reaction; and medications administered when the medication record did not include sufficient patient identifiers, when the prescription was not signed by a medical officer or when the prescription medication name, dose, frequency and route, or both, were not clearly documented. Data included the number of MEs observed, the number of patients affected by a medication error, a breakdown of the types of MEs observed and the number of charts appropriately documenting patient allergy status, weight and identification. ME reports and medicine-related adverse events reported in the risk management and reporting system. Routinely reported RiskMan MEs or adverse event data extracted for the individual intervention wards for the timeframe corresponding to the specific time period of the point-prevalence audits. **Comparison:** nurses in control wards underwent quarterly point-prevalence audits of medication documentation in patients' medical records. No feedback provided. Outcomes Primary outcome: rate of ME reports per month (models considered number of reported medication errors in study wards as the outcome, offset by the average number of occupied beds in the wards per month; i.e. modelling rate of medication errors per ward per month) Rate of ME reports per month determined in both groups at pre-implementation (12-month period prior to the implementation), implementation (12-month period of the implementation) and postimplementation phases (6-month period following the implementation phase). The outcome data was collected retrospectively: "all medication-related RiskMan data were retrospectively extracted for all four wards by a staff member of the Clinical Governance Unit at the participating hospital, who was blinded to ward allocation. Relevant RiskMan data were extracted for the following periods: a 12-month period prior to the implementation phase (pre-implementation); the 12-month period of the implementation phase (implementation); and a 6-month period following the implementation phase (postimplementation). The purpose of extracting data over the three specified periods was to establish a trend in medication error reporting over pre-implementation, implementation and post-implementation periods" Starting date Study dates and phases: March 2014 to March 2015 (pre-implementation); March 2015 to March 2016 (implementation phase); April to September 2016 (post implementation)

# Hutchinson 2020 (Continued)

	<b>Conflict of interest:</b> the authors have no conflicts of interest to declare.
	<b>Funding:</b> this work was supported by the Australian Government through the Australian Research Council (project number LP120200197). The funding source of the study had no role in study de- sign, data collection, data analysis, data interpretation, writing of the report or decision to submit for publication.
	Trial registration: not registered
Notes	The study meets the eligibility criteria for this review but the published paper only provides the rate of ME reports per month for the intervention wards and the control wards, without any indication of variation around the mean. We have emailed the study authors for the required information.
Contact information	Alison M Hutchinson, School of Nursing & Midwifery, Centre for Quality & Patient Safety Research, Institute for Health Transformation, Faculty of Health, Deakin University, Geelong, VIC 3220, Aus- tralia. Email: alison.hutchinson@deakin.edu.au

Kiguba 2022	
Study name	Effectiveness of the Med Safety mobile application in improving adverse drug reaction reporting by healthcare professionals in Uganda
Methods	A pragmatic cluster-RCT will be implemented over 30 months at 191 intervention and 191 compari- son cART sites to evaluate the Med Safety app.
Participants	Healthcare professionals
Interventions	The Med Safety mobile application (developed through the European Union's Innovative Med- icines Initiative WEB-Recognising Adverse Drug Reactions project) to promote digital pharma- covigilance. Healthcare professionals enrolled in the intervention arm will be trained in the use of mobile-based, paper-based and web-based reporting, while those in the comparison arm will be trained in paper-based and web-based reporting only.
Outcomes	Primary outcome: number of HCP-reported ADRs per 100,000 person-months of treated people liv- ing with HIV per study arm. Secondary outcomes: number of app ADR reports per 1000 app down- loads per month of follow-up; causality (by Naranjo Scale and Liverpool Causality Assessment Tool); seriousness as per the WHO definitions (threatens life, i.e. leads to or prolongs hospitalisa- tion, causes incapacitation or death); ADR outcome; cost per ADR report; cost per additional ADR report; and cost per additional avoidable serious ADR report.
Starting date	1 July 2020 (anticipated stated date on registration site)
Contact information	Primary Sponsor: Medical Research Council, London, United Kingdom
	Principal Investigator: Dr. Ronald Kiguba, Mulago Hill Road, Kampala, Uganda
Notes	Eligible ongoing study; protocol stage; trial registration number PACTR202009822379650

#### NCT05402254

Study name

Impact of a pharmacovigilance program led by advanced practice nursing (IMPACTO)



#### NCT05402254 (Continued)

Methods	Hypothesis - an advanced practice nursing intervention in the area of pharmacovigilance per- formed on patients and professionals improves the identification and reporting of suspected ADRs and improves the overall experience of hospitalised patients.
Participants	Based on hypothesis, intervention is aimed at patients and healthcare professionals
Interventions	Pharmacovigilance Program - intensive nursing intervention for the identification and notification of ADEs; knowledge of the risks in the use of the drug, identification and notification of ADEs.
Outcomes	Number of identified ADEs
Starting date	1 May 2022; estimated primary study completion date: 1 June 2023
Contact information	Natalia Rodriguez, Hospital San Carlos, Madrid, Spain, 28040
Notes	

ADE: adverse drug event; ADR: adverse drug reactions; cART: combination antiretroviral therapy; HCP: healthcare professionals; ME: medication error; RCT: randomised controlled trials; WHO: World Health Organization

## DATA AND ANALYSES

#### Comparison 1. Comparison 2: Informational letter or email versus usual practice

Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
1.1 Number of unexpected (previously un- known) adverse drug reaction reports	2	268	Risk Ratio (M-H, Ran- dom, 95% CI)	1.46 [0.92, 2.30]

# Analysis 1.1. Comparison 1: Comparison 2: Informational letter or email versus usual practice, Outcome 1: Number of unexpected (previously unknown) adverse drug reaction reports

	Informational lett	er or email	Usual p	ractice		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
Johansson 2009	16	59	11	58	45.7%	1.43 [0.73 , 2.81]	
Johansson 2011	20	77	13	74	54.3%	1.48 [0.79 , 2.75]	
Total		136		132	100.0%	1.46 [0.92 , 2.30]	•
Total events:	36		24				
Test for overall effect: Z	= 1.61 (P = 0.11)					0.0	
Test for subgroup differe	ences: Not applicable						s usual practice Favours informational letter/
Heterogeneity: Tau <sup>2</sup> = 0.	00; Chi <sup>2</sup> = 0.01, df = 1	(P = 0.94); I <sup>2</sup> =	= 0%				

#### ADDITIONAL TABLES

	Pagotto 2013	Gonzalez-Gon- zalez 2013	Ribeiro-Vaz 2016	Li 2019	Paudyal 2020	Khalili 2020 (scoping re- view)
Objectives	To identi- fy the tech- niques of education- al inter- vention for promotion of pharma- covigilance by health- care pro- fessionals and to as- sess their impact.	To conduct a critical review of papers that assessed the ef- fectiveness of different strate- gies to increase ADR reporting, regardless of the healthcare pro- fessionals or pa- tients included.	To describe the state of the art information systems used to pro- mote ADR reporting.	To deter- mine the features and suc- cesses of the various strategies undertak- en to im- prove ADR reporting by health- care pro- fessionals, and pro- pose al- ternative initiatives that may enhance these exist- ing meth- ods.	To evaluate the effec- tiveness of interventions used for improving ADR reporting by patients and healthcare professionals.	To system- atically map interven- tions and strategies to improve ADR report- ing among health care profession- als.
Eligible study de- signs	All study designs in- cluded	Pre-post exper- imental design; time series; non- randomised con- trolled experi- mental study; randomised con- trolled experi- mental study; cluster ran- domised con- trolled experi- mental study	Any studies describ- ing or evaluating the use of information sys- tems to promote ad- verse drug reaction re- porting. Studies with data related to the number of ADRs re- ported before and af- ter each intervention and the follow-up pe- riod were included in the quantitative analy- sis.	RCTs, qua- si-exper- imental, time series studies	All forms of intervention- al designs were consid- ered. Meta analysis not undertaken for non-ran- domised trials	Quantita- tive methods focused on healthcare profession- als
Eligible partici- pants	Healthcare profession- als	Professionals to whom the in- tervention for increasing ADR reporting is ad- dressed: physi- cians, nurses, pharmacists, young physi- cians, house offi- cers, pharmacy stu- dents, section head, 'quality re- view staff',	Healthcare profession- als or patients	Healthcare profession- als	Healthcare professionals and patients	Healthcare profession- als

# Table 1. Overview of published systematic reviews assessing interventions to increase ADE reporting

Improving adverse drug event reporting by healthcare professionals (Review)



# Table 1. Overview of published systematic reviews assessing interventions to increase ADE reporting (Continued) medical stu

		medical stu- dents.				
Eligible interven- tions	Education- al interven- tions only	Educational ac- tivity, reminders, modification of reporting forms, modifciation of reporying process, incen- tives, assistance from another professiionl, in- creased avail- ability of report- ing forms, feed- back on report- ing	Studies describing or evaluating the use of information systems to promote adverse drug reaction reports were selected	Any inter- vention aimed at increasing ADR report- ing	Any pharmacovigilence intervention	Any inter- vention or strategy (such as ones imple- mented by government policies, ap- plied experi- mentally or non-experi- mentally, or adopted in specific set- tings) to im- prove ADR reporting
Eligible compari- son	Not stated	Not stated	Not stated	Not stated	Not stated	Not stated
Outcomes reported on	ADE report- ing	Increase in ADR reporting	Rate of ADR reporting increase	ADR rport- ing	Primary outcome: quan- tity of ADRs reported as a re- sult of the intervention including improvement in the number or rate of report- ing. Secondary outcomes: the quality of ADR reporting including the nature of ADRs reported (e.g. serious, nonserious ADRs) and completeness of the re- ports.	ADR report- ing rate
Number and type of studies	16 met the inclusion criteria 6 RCT, 5 quasi-ex- perimental, 2 case- con- trol studies, 2 ecologi- cal time se- ries analy- sis, 1 obser- vational an- alytic)	43 studies	33 articles were in- cluded in the analy- sis; these articles de- scribed 29 different projects.	13 studies included (3 cRCTs, 1 RCT, 7 qua- si-experi- mental, 2 ITS)	28 studies	90 studies included in qualitative synthesis
Findings and con- clusions	Pharma- covigi- lance-based	Multiple inter- ventions have a	Most projects per- formed passive pro- motion of ADR report-	Mul- ti-faceted approach	Limited evidence showed that active interventions involving face to face ed-	Interven- tions aimed at enhancing

Improving adverse drug event reporting by healthcare professionals (Review)



Trusted evidence. Informed decisions. Better health.

# Table 1. Overview of published systematic reviews assessing interventions to increase ADE reporting (Continued)

Table 1. Ove	erview of pub	lished systematic	reviews assessing inte	erventions to	increase ADE reporting	(Continued)
	education- al inter- ventions showed	greater impact than single. Evidence to	ing (i.e., facilitating the process). Devel- oped in hospitals and tailored to healthcare	including education, reminders, and elec-	ucational approaches, fi- nancial incentives, and electronic features tar- geted at healthcare pro-	ADR report- ing have a good chance of produc-
		Evidence to show that, when it comes to bringing about changes in pro- fessional prac- tice, interven- tions that boost the active par- ticipation of pro- fessionals (i.e. workshops) can be more effective than passive di- dactic sessions. Another vital fac- tor is the dura- tion of the ef- fect of the inter- vention. It can be concluded that, as was to be expected, the longer the peri- od from the date of the interven- tion, the more the latter's effect is progressively reduced.			geted at healthcare pro- fessionals could improve ADR reporting. Howev- er, the results need to be interpreted cautious- ly given the short term evaluation out- comes, dominance of observa- tional designs and low quality of included stud- ies. Interventions need to be developed and test- ed in countries low-and- middle income coun- tries. Most of the includ- ed studies included edu- cational interventions to improve ADR reporting. A variety of educational methods were used in- cluding reminders, face to face educational ses- sions and newsletters. While most of these stud- ies were reported to have improved ADR report- ing, there was a lack of long-term follow up of the outcomes. The clus- ter- randomized con- trolled trials included in the study reported that the impact of interven- tions observed by the dif-	of produc- ing positive results, al- though their effect, espe- cially in the case of edu- cational in- terventions, could be temporary. Multiple in- ter- ventions might cause greater in- crease in ADR report- ing rates compared with single interven- tions. Fur- ther research is warrant- ed to im- prove the methodolog- ical quality using control groups, large sample sizes, longer fol- low-up pe- riods, and
					ference in the interven- tion and control group in the ADR reporting rate lasted for only 12 months after which such differ- ence was no longer sig- nificant.	adjustment for the con- founders.
Any limits noted	Language limit: Eng- lish, Por- tuguese, or Spanish search for publica- tions from Novem- ber 2011 to Janu- ary 2012, updated in March 2013.	Limit publication date: up to 2010; Language lim- ited to English, French or Span- ish	Language limited to English, Portuguese or French Excluded articles based on: (1) only fo- cused on medication errors; (2) only fo- cused on ADR detec- tion; (3) studies with- out any information system implemented; (4) studies concerning data quality; (5) stud- ies focused on web- site usability; (6) au-	Search limited to studies published from 2010 to 2019; English on- ly; NO med- ication er- ror report- ing	Educational research with student partici- pants; interventions not including qualified healthcare practitioners or patients were exclud- ed as well as the inter- ventions related to devices and planned ADR surveillance monitoring programmes, such as those used for mass vaccinations; Ab- stract	Search date limited from 1999 to Feb- ruary 2019; no language restrictions; methodolog- ical qual- ity or risk of bias of the includ- ed articles were not ap- praised

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# Table 1. Overview of published systematic reviews assessing interventions to increase ADE reporting (Continued)

Quality as-	thors' reflections on	only publications includ-
sessment	the theme; (7) stud-	ing conference abstracts
of the man-	ies only related to in-	were excluded.
uscripts	cidents that occurred	
was not	in health institutions;	
carried out.	(8) studies concerning	
	signal detection and	
	(9) studies concerning	
	electronic transmis-	
	sion between the au-	
	thority and other insti-	
	tutions (pharmaceu-	
	tical companies or re-	
	gional pharmacovigi-	
	lance centres).	

ADE: adverse drug event; ADR: adverse drug reaction; cRCT: cluster-randomised controlled trial; ITS:interrupted time series; RCT: randomised controlled trial

#### Table 2. Outcomes reported by the included studies

Total number of ADE reports (in- cluding ADR reports and ME re- ports)	Total number of false ADE reports (in- cluding false ADR reports and false ME reports)	Number of seri- ous ADE reports (including seri- ous ADR reports and serious ME reports)	Number of high-causal- ity ADE re- ports (in- cluding high- causality ADR reports and high-causal- ity ME re- ports)	Number of unex- pected (previous- ly unknown) ADE reports (includ- ing unexpected ADR reports and unexpected ME reports)	Number of new drug-re- lated ADE re- ports (includ- ing drug-relat- ed ADR reports and drug-re- lated ME re- ports)
Comparison 1. Education session	olus reminder car	d and report form ve	rsus usual practio	ce	
Figueiras 2006; Herdeiro 2008; Herdeiro 2012; Lopez-Gonzalez 2015; Ribeiro-Vaz 2011 (ADR re- ports)		Figueiras 2006; Herdeiro 2008; Herdeiro 2012; Lopez-Gonzalez 2015; Ribeiro-Vaz 2011 (ADR reports)	Figueiras 2006; Herdeiro 2008; Herdeiro 2012; Lopez-Gon- zalez 2015; Ribeiro-Vaz 2011 (ADR re- ports)	Figueiras 2006; Herdeiro 2008; Lopez-Gonzalez 2015; Ribeiro-Vaz 2011 (ADR reports)	Figueiras 2006; Herdeiro 2008 (ADR reports)
Comparison 2. Informational lette	r or email versus	usual practice			
Johansson 2009; Johansson 2011 (ADR reports)		Johansson 2009; Johansson 2011 (ADR reports)		Johansson 2009; Johansson 2011 (ADR reports)	Johansson 2009; Johansson 2011 (ADR re- ports)
Comparison 3. Multifaceted interv	ention versus usu	ual practice			
Ali 2018; Chang 2017; Pedrós 2009 (ADR reports)		Chang 2017; Pe- drós 2009 (ADR re- ports)		Pedrós 2009 (ADR reports)	Chang 2017; Pedrós 2009 (ADR reports)

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#### Table 2. Outcomes reported by the included studies (Continued)

#### Comparison 4. Government regulations and financial incentives versus usual practice

#### Chang 2017 (ADR reports)

#### Comparison 5. Improving access to ADR report form versus usual practice

Castel 2003; Ribeiro-Vaz 2012; Hanesse 1994 (ADR reports)

#### Comparison 6. Improving reporting method versus usual practice

McKaig 2014 (mean number of monthly ME reports pre- and postintervention)

#### Comparison 7. Case finding versus spontaneous reporting (usual practice)

Schlienger 1999 (number of ADE reports per 1000 patient-days)

- Serious ADRs: adverse drug reactions resulting in death; is life-threatening; is a congenital anomaly; requires hospital admission or prolongation of stay in hospital; results in persistent or great disability, incapacity or both
- High-causality ADRs: adverse drug reactions with attribution of definitive or probable causality; unexpected (previously unknown)
- ADRs: unknown adverse drug reactions that are not described in the drug's summary of product characteristics
- New-drug-related ADRs: adverse drug reactions relating to medications that have been on the market for less than five years
- ADE: adverse drug event
- ME: medication error

#### Table 3. Re-analysis of data in three included studies (Ali 2018, Chang 2017, Pedros 2009)

Study	Outcome	Relative treatment effect	ITS parameter	95% CI
Ali 2018	Total number of ADR reports	Relative change in level	2.533	1.658 to 3.408
	ADICTEDUITS	Relative change in slope	0.308	0.073 to 0.543
		Relative expected adverse drug reaction re- ports <sup>1</sup>	6.99	3.43 to 10.54
Chang 2017	Total number of	Relative change in level	2.084	1.490 to 2.678
Financial incen- tives	ADR reports	Relative change in slope	0.061	-0.005 to 0.126
Chang 2017	Total number of	Relative change in level	0.695	0.129 to 1.261
ADR reports Government reg- ulations		Relative change in slope	-0.028	-0.069 to 0.014
Pedrós 2009	Total number of ADR reports	Relative change in level	-0.538	-1.142 to 0.065
	ADA TEPOILS	Relative change in slope	0.059	0.026 to 0.092

<sup>1</sup>See Figure 22 for data source

ADR: adverse drug reactions; CI: confidence interval; ITS: interrupted time series

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#### Table 4. Comparison 5. Improving access to ADR report forms (Hanesse 1994)

Study	Intervention	Outcome	<b>Risk ratio</b>	95% CI
Hanesse 1994	Improving access vs usual practice	Total number of ADR re- ports	2.06	1.11 to 3.83

ADR: adverse drug reactions; CI: confidence interval; vs: versus

Study ID	Intervention	Outcome	Measure	ITS parame- ter	95% CI	
Castel 2003 Bulletins and ADR report	Total number of ADR reports	Relative change in level	0.734	0.422 to 1.047		
	forms		UNDRICEPOILS	Relative change in slope	-0.007	-0.022 to 0.008
		Relative expected ADRs (1 year)	0.653	0.263 to 1.043		
			Relative expected ADRs (2 years)	0.572	0.050 to 1.093	
<b>3</b> 1		Relative change in level	0.813	0.302 to 1.324		
	of ADR reports	Relative change in slope	0.034	-0.281 to 0.348		
			Relative expected ADRs (1 year)	0.947	-0.714 to 2.608	
			Relative expected ADRs (2 years)	1.081	-1.822 to 3.983	

#### Table 5. Comparison 5. Improving access to ADR report forms (Castel 2003 and Ribeiro-Vaz 2012)

ADR: adverse drug reaction; CI: confidence interval; ITS: interrupted time series

#### Table 6. Comparison 6. Improving reporting practice vs usual practice (McKaig 2014)

Study	Outcome	Relative treatment effect	ITS parameter	95% CI
McKaig 2014	Total number of ME reports	Relative change in level	0.426	0.229 to 0.624
METEPOITS	Relative change in slope	0.013	-0.010 to 0.037	
		Relative expected ME reports (1 year)	0.586	0.142 to 1.031
		Relative expected ME reports (2 years)	0.746	0.026 to 1.466

CI: confidence interval; ITS: interrupted time series; ME: medication error

# Table 7. Comparison 7. Case finding versus spontaneous reporting (usual practice) (Schlienger 1999)

Study	Incidence rate (95% CI) of ADE re	Incidence rate ratio	
	With clinical pharmacist	Without clinical pharmacist	-

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#### Table 7. Comparison 7. Case finding versus spontaneous reporting (usual practice) (Schlienger 1999) (Continued)

Schlienger 1999	15.5 (95% Cl 12.87 to 18.51)	1.4 (95% CI 0.75 to 2.39)	11.07 (95% Cl 6.24 to 21.38) more
	ADEs per 1000 patient-days	ADEs per 1000 patient-days	ADEs per 1000 patient-days with clinical pharmacist than without

ADE: adverse drug event; CI: confidence interval

As Schlienger 1999 is a cross-over study without a washout period, we only used the data from the first period of the study, prior to the treatment allocation cross-over. Using data from Table 3 in the full-text study report (first study period), we imputed the incidence rates and 95% CI; then we computed the incidence rate ratios to compare the rate of ADE reporting rate with and without the presence of a clinical pharmacist actively checking for and submitting reports on any ADEs.

#### APPENDICES

#### **Appendix 1. Search strategies**

#### **Science Citation Index**

Science Citation Index Expanded (SCI-EXPANDED), 1945 to present

Conference Proceedings Citation Index - Science (CPCI-S), 1990 to 14 October 2022

No.	Search terms
#01	TS=(adverse NEAR/2 drug* NEAR/2 report*)
# 02	TS=((pharmacovigilan* near/2 surveillance) OR (pharmacovigilan* near/2 monitor*) OR (pharma- covigilan* near/2 report*))
# 03	#2 OR #1
# 04	TS=(physician* OR clinician* OR nurs* OR provider* OR family practice* OR general practice* OR clinical practice* OR doctor* OR caregiver* OR therap* OR physiotherapy* OR pharmac* OR profes- sional* OR personnel OR practitioner* OR staff)
# 05	#4 AND #3
# 06	TS=((increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or sponta- neous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*) near/5 report*)
# 07	#6 AND #5
# 08	TS=(randomis* OR randomiz* OR randomly OR groups)
# 09	TS=(trial OR multicenter OR "multi center" OR multicentre OR "multi centre")
# 10	TS=(intervention* OR effect* OR impact* OR controlled OR "control group*" OR (before near/5 af- ter) OR (pre near/5 post) OR ((pretest OR "pre test") AND (posttest OR "post test")) OR quasiexperi- ment* OR "quasi experiment*" OR "pseudo experiment*" OR pseudoexperiment* OR evaluat* OR time series OR "time point*" OR "repeated measur*")
# 11	#10 OR #9 OR #8
# 12	#11 AND #7

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# CINAHL (EbscoHost)

1980 to 14 October 2022

No.	Search terms	
S1	(MH "Pharmacovigilance")	
S2	((pharmacovigilan* or medication error? or ADE? or adverse drug reaction?) N2 (surveillance or monitor* or report*))	
S3	yellow card?	
S4	(MH "Adverse Drug Event+")	
S5	(MH "Voluntary Reporting")	
S6	S4 AND S5	
S7	S1 OR S2 OR S3 OR S6	
S8	(increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*)	
S9	S7 AND S8	
S10	((increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*) N5 ((unexpected or undesir- able or harm* or serious or toxic* or adverse) N2 (drug* or medicine? or medication? or pharmaceu- tical?) N2 (effect? or reaction? or event? or outcome?)))	
S11	(surveillance or monitor* or report*)	
S12	S10 AND S11	
S13	((increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*) N5 ((unexpected or undesir- able or harm* or serious or toxic* or adverse) N2 (effect? or reaction? or event? or outcome?)) N2 (surveillance or monitor* or report*))	
S14	(drug* or medicine? or medication? or pharmaceutical?)	
S15	S13 AND S14	
S16	TI ((unexpected or undesirable or harm* or serious or toxic* or adverse) and (drug* or medicine? or medication? or pharmaceutical?) and (effect? or reaction? or event? or outcome?) and (surveillance or monitor* or report*))	
S17	S9 OR S12 OR S15 OR S16	
S18	PT randomised controlled trial	
S19	PT clinical trial	

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(Continued)	
S20	PT research
S21	(MH "Randomized Controlled Trials")
S22	(MH "Clinical Trials")
S23	(MH "Intervention Trials")
S24	(MH "Nonrandomized Trials")
S25	(MH "Experimental Studies")
S26	(MH "Pretest-Posttest Design+")
S27	(MH "Quasi-Experimental Studies+")
S28	(MH "Multicenter Studies")
S29	(MH "Health Services Research")
S30	TI ( randomis* or randomiz* or randomly) OR AB ( randomis* or randomiz* or randomly)
S31	TI (trial or effect* or impact* or intervention* or before N5 after or pre N5 post or ((pretest or "pre test") and (posttest or "post test")) or quasiexperiment* or quasi W0 experiment* or pseudo exper- iment* or pseudoexperiment* or evaluat* or "time series" or time W0 point* or repeated W0 mea- sur*) OR AB (trial or effect* or impact* or intervention* or before N5 after or pre N5 post or ((pretest or "pre test") and (posttest or "post test")) or quasiexperiment* or quasi W0 experiment* or pseudo experiment* or pseudoexperiment* or evaluat* or "time series" or time W0 point* or repeated W0 measur*)
S32	S18 OR S19 OR S20 OR S21 OR S22 OR S23 OR S24 OR S25 OR S26 OR S27 OR S28 OR S29 OR S30 OR S31
S33	S17 AND S32
S34	S17 AND S32 Limiters - Exclude MEDLINE records

#### Cochrane Library (Wiley)

Databases: CDSR, DARE, CENTRAL, HTA, NHS EED

Issue/year searched: 5/2022 (CDSR), 2/2015 (DARE), 4/2022 (CENTRAL), 10/2022 (Cochrane Library)

No.	Search terms
#1	((pharmacovigilan* or medication error? or ADE? or adverse drug reaction?) near/2 (surveillance or monitor* or report*)):ti,ab
#2	yellow card?:ti,ab
#3	[mh "drug-related side effects and adverse reactions"]
#4	[mh "product surveillance, postmarketing"]

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(Continued)	
#5	#3 and #4
#6	{or #1-#2, #5}
#7	(increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*):ti,ab
#8	#6 and #7
#9	((increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*) near/5 ((unexpected or un- desirable or harm* or serious or toxic* or adverse) near/2 (drug* or medicine? or medication? or pharmaceutical?) near/2 (effect? or reaction? or event? or outcome?))):ti,ab
#10	(surveillance or monitor* or report*):ti,ab
#11	#9 and #10
#12	((increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*) near/5 ((unexpected or un- desirable or harm* or serious or toxic* or adverse) near/2 (effect? or reaction? or event? or out- come?)) near/2 (surveillance or monitor* or report*)):ti,ab
#13	(drug* or medicine? or medication? or pharmaceutical?):ti,ab
#14	#12 and #13
#15	((unexpected or undesirable or harm* or serious or toxic* or adverse) and (drug* or medicine? or medication? or pharmaceutical?) and (effect? or reaction? or event? or outcome?) and (surveillance or monitor* or report*)):ti
#16	[mh "Adverse Drug Reaction Reporting Systems"]
#17	{or #8, #11, #14-#16}

# Embase (OvidSP)

1974 to 2022 October 14

No.	Search terms
1	((pharmacovigilan* or medication error? or ADE? or adverse drug reaction?) adj2 (surveillance or monitor* or report*)).ti,ab.
2	yellow card?.ti,ab.
3	*adverse drug reaction/
4	exp *postmarketing surveillance/
5	*drug surveillance program/

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(Continued)	
6	3 and (4 or 5)
7	(increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*).ti,ab.
8	or/1-2,6
9	7 and 8
10	((increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*) adj5 ((unexpected or unde- sirable or harm* or serious or toxic* or adverse) adj2 (drug* or medicine? or medication? or phar- maceutical?) adj2 (effect? or reaction? or event? or outcome?))).ti,ab.
11	(surveillance or monitor* or report*).ti,ab.
12	10 and 11
13	((increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz* or manag* or enhanc*) adj5 ((unexpected or unde- sirable or harm* or serious or toxic* or adverse) adj2 (effect? or reaction? or event? or outcome?)) adj2 (surveillance or monitor* or report*)).ti,ab.
14	(drug* or medicine? or medication? or pharmaceutical?).ti,ab.
15	13 and 14
16	((unexpected or undesirable or harm* or serious or toxic* or adverse) and (drug* or medicine? or medication? or pharmaceutical?) and (effect? or reaction? or event? or outcome?) and (surveillance or monitor* or report*)).ti.
17	or/9,12,15-16
18	(physician* or clinician* or nurs* or provider* or family practice? or general practice? or clinical practice? or doctor? or caregiver? or GP? or therap* or physiotherapy* or pharmac* or (health* adj2 (professional? or personnel)) or practitioner? or staff).ti,ab.
19	exp health care personnel/
20	or/18-19
21	17 and 20
22	randomised controlled trial/
23	controlled clinical trial/
24	quasi experimental study/
25	pretest posttest control group design/
26	time series analysis/
27	experimental design/

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(Continued)	
28	multicenter study/
29	(randomis* or randomiz* or randomly).ti,ab.
30	groups.ab.
31	(trial or multicentre or multicenter or multi centre or multi center).ti.
32	(intervention? or effect? or impact? or controlled or control group? or (before adj5 after) or (pre adj5 post) or ((pretest or pre test) and (posttest or post test)) or quasiexperiment* or quasi experi- ment* or pseudo experiment* or pseudoexperiment* or evaluat* or time series or time point? or re- peated measur*).ti,ab.
33	or/22-32
34	(systematic review or literature review).ti.
35	"cochrane database of systematic reviews".jn.
36	exp animals/ or exp invertebrate/ or animal experiment/ or animal model/ or animal tissue/ or ani- mal cell/ or nonhuman/
37	human/ or normal human/ or human cell/
38	36 not (36 and 37)
39	34 or 35 or 38
40	33 not 39
41	21 and 40

#### ClinicalTrials.gov

No.	Search terms	
	adverse drug reaction AND report [intervention field] + Interventional Studies	
	pharmacovigilance [intervention field] + Interventional Studies	
	adverse drug AND surveillance [intervention field] + Interventional Studies	

# WHO ICTRP

No.	Search terms
	adverse* AND drug* AND report*
	pharmacovigilan*

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(Continued)

adverse\* AND drug\* AND surveillance\*

#### MEDLINE (OvidSP)

Epub Ahead of Print, In-Process & Other Non-Indexed Citations, Ovid MEDLINE Daily and Ovid MEDLINE

1946 to present

No.	Search terms
1	"Adverse Drug Reaction Reporting Systems"/
2	((pharmacovigilan* or medication error? or ADE? or adverse drug reaction?) adj2 (surveillance or monitor* or report*)).ti,ab.
3	yellow card?.ti,ab.
4	((unexpected or undesirable or harm* or serious or toxic* or adverse) adj2 drug* adj2 (effect? or re- action? or event? or outcome?) adj3 (surveillance or monitor* or report*)).ti,ab.
5	"drug-related side effects and adverse reactions"/
6	product surveillance, postmarketing/
7	5 and 6
8	or/1-4,7
9	(increas* or improv* or motivat* or decreas* or chang* or volunteer* or voluntary or spontaneous or encourag* or promot* or alter or alters or altered or influenc* or willing* or stimulat* or prompt* or program* or system* or organis* or organiz? or manag* or enhanc*).ti,ab.
10	8 and 9
11	((unexpected or undesirable or harm* or serious or toxic* or adverse) and (drug* or medicine? or medication? or pharmaceutical?) and (effect? or reaction? or event? or outcome?) and (surveillance or monitor* or report*)).ti.
12	*"Adverse Drug Reaction Reporting Systems"/
13	or/10-12
14	randomised controlled trial.pt.
15	controlled clinical trial.pt.
16	multicenter study.pt.
17	pragmatic clinical trial.pt.
18	(randomis* or randomiz* or randomly).ti,ab.
19	groups.ab.

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(Continued)	
20	(trial or multicenter or multi center or multicentre or multi centre).ti.
21	(intervention? or effect? or impact? or controlled or control group? or (before adj5 after) or (pre adj5 post) or ((pretest or pre test) and (posttest or post test)) or quasiexperiment* or quasi experi- ment* or pseudo experiment* or pseudoexperiment* or evaluat* or time series or time point? or re- peated measur*).ti,ab.
22	non-randomized controlled trials as topic/
23	interrupted time series analysis/
24	controlled before-after studies/
25	or/14-24
26	exp animals/
27	humans/
28	26 not (26 and 27)
29	review.pt.
30	meta analysis.pt.
31	news.pt.
32	comment.pt.
33	editorial.pt.
34	cochrane database of systematic reviews.jn.
35	comment on.cm.
36	(systematic review or literature review).ti.
37	or/28-36
38	25 not 37
39	13 and 38

#### **ProQuest Dissertations & Theses Global**

No.	Search terms
	(TI, AB(adverse AND drug AND report) OR TI,AB(pharmacovigilan*)) AND (SU(health*) OR TI(effect OR effects OR impact OR influenc* OR random* OR study OR controlled OR trial OR effectiveness) OR ALL(random* OR intervention OR collaborat* OR team* OR multidisciplin* OR multi-disciplin* OR crossdisciplin* OR cross-disciplin* OR interdisciplin* OR community OR quasi*) OR ALL(before NEAR/10 after) OR ALL(before NEAR/10 during) OR ALL("time series" OR timeseries) OR ALL((con- trol* NEAR/2 group) OR (control NEAR/2 study) OR (control NEAR/2 cohort)))

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#### **Other sources**

Based on recommendations from EPOC information specialist, we used key terms only (in titles/abstracts) of "adverse drug event", "adverse drug reaction", "medication error" for VHL/IRIS/WHOLIS and reviewed the following number of extracted items, retrieved on 17 October 2022.

- Virtual Health Library (VHL) (http://pesquisa.bvsalud.org/portal/advanced/?lang=en): 197 items
- World Health Organization IRIS (http://apps.who.int/iris/simple-search?query=): 285 items
- WHO Library Information System (WHOLIS) (https://kohahq.searo.who.int/): 43 items

We also searched the below sources on 20 August 2018.

- OpenGrey: 42 items
- Grey Literature Report (New York Academy of Medicine): 43 items

Trusted evidence. Informed decisions. Better health.

- Agency for Healthcare Research and Quality (AHRQ): 97 items
- National Institute for Health and Clinical Excellence (NICE): 82 items

#### HISTORY

Protocol first published: Issue 3, 2017

# CONTRIBUTIONS OF AUTHORS

Screened titles/abstracts and full texts; extracted data and assessed risk of bias/GRADE: GS, NM, LG, WYC, KG Co-ordinated the review development process: NM, GS, LG, RM, BY, KG, FM Wrote the review: GS, LG, CR, NM Provided general content/methods advice: RM, FM, BY, KG Analysed data: CR

GS is the guarantor of the review.

# DECLARATIONS OF INTEREST

Gloria Shalviri: none known Niayesh Mohebbi: none known Fariba Mirbaha: none known Reza Majdzadeh: none known Bahareh Yazdizadeh: none known Kheirollah Gholami: none known Liesl Grobler: NHMRC, South African Medical Research Council (employment); Associate Editor of Cochrane Effective Practice and Organisation of Care (closed March 2023) but not involved in the editorial process for this review. Chris Rose: no relevant interests; Statistical Editor of Cochrane Effective Practice and Organization of Care (closed March 2023) but not involved in the editorial process for this review. Weng Yee Chin: Norwegian Institute of Public Health (independent contractor)

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#### Internal sources

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#### External sources

• National Institute for Health Research, UK

National Institute for Health Research (NIHR), via Cochrane Infrastructure funding to Cochrane Effective Practice and Organisation of Care (EPOC) (which closed in March 2023). The views and opinions expressed therein are those of the authors and do not necessarily reflect those of the NIHR or the Department of Health & Social Care.

### DIFFERENCES BETWEEN PROTOCOL AND REVIEW

#### Wording of the objective

In the published protocol for our review (Shalviri 2017), the review objective was "to assess the effects of different interventions implemented for improving adverse drug event reporting by health professionals". While the objective of the review has not changed, the wording of the objective has been amended slightly to comply with Cochrane Review style guidance. The objective is now "to assess the effectiveness of different interventions aimed at healthcare professionals to improve the reporting of adverse drug events".

#### Authorship

Liesl Nicol, Christopher Rose, and Weng Chin were added to the author team. Liesl and Weng brought Cochrane review experience to the team, and Christopher Rose brought statistical expertise to the team.

#### Search update

In the protocol, we stated we would search the following databases from inception:

- Embase, 1974 to present, OvidSP;
- Dissertations and Theses Database, 1861 to present, ProQuest;
- Science Citation Index and Social Sciences Citation Index, 1975 to present, ISI Web of Knowledge;
- Web of Science, Conference Proceedings Citation IndexScience, 1990 to present, (ISI Web of Knowledge);
- World Health Organization Library Information System (WHOLIS/IRIS);
- Virtual Health Library (VHL);
- CINAHL (Cumulative Index to Nursing and Allied Health Literature), 1980 to present, EbscoHost.

In the most recent search update, we did not search AMED, CRD, Psychinfo, Agency for Healthcare Research and Quality (AHRQ), National Institute for Health and Clinical Excellence (NICE) and BASE (https://www.base-search.net/) as we did not consider them a priority in relation to the review question. A check of all of the included studies we had identified (15 at 19 October 2022) indicated that the studies are all indexed in either MEDLINE or CENTRAL: Ali 2018; Castel 2003; Chang 2017; Figueiras 2006; Hanesse 1994; Herdeiro 2008, Herdeiro 2012; Johansson 2009; Johansson 2011; Lopez-Gonzalez 2015; McKaig 2014; Pedrós 2009; Ribeiro-Vaz 2011; Ribeiro-Vaz 2012; Schlienger 1999.

- All included are indexed in MEDLINE, Ovid except for Hanesse 1994.
- Hanesse 1994 is indexed in CENTRAL, Cochrane Library.
- Additional databases to MEDLINE and CENTRAL have so far not identified unique eligible studies for inclusion.

#### **Types of studies**

In our review, we followed the updated guidance provided by the EPOC group and included all of the different types of studies prespecified in the protocol but with some additional caveats to reduce inherent bias associated with the observational studies: "For clusterrandomised trials, non-randomised cluster trials, and controlled before-after studies, we only included those with at least two intervention sites and two control sites (EPOC 2013a). In addition, for controlled before-after studies, data collection had to be contemporaneous in both the intervention and control groups during the pre- and post-intervention periods, and identical measurement methods had to be used in these periods. We also included interrupted time series and repeated measures studies that had a clearly defined time point when the intervention occurred and at least three data points before and after the intervention (EPOC 2013b)."

#### **Types of interventions**

In the protocol we stated that we would classify interventions according to the following categories:

- 1. delivery arrangements;
- 2. financial arrangements;
- 3. governance arrangements; and
- 4. implementation strategies.

While it seemed to make sense to do this at the protocol stage, it did not make sense to do this at the review stage because it was not possible to cleanly classify the interventions assessed in the included studies in this way since various aspects of the assessed interventions fit into some or all of the prespecified categories.

#### **Outcome measures**

In the protocol, the primary outcomes of interest were:

• number of ADE reports, including ADR reports and ME reports, submitted by health care professionals;

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• number of false ADE reports, including false ADR reports and false ME reports, submitted by health care professionals

The secondary outcome was:

• number of detected adverse drug events by health professionals.

During the review development phase, we noted that for this clinical question there are other important outcomes, which we did not consider at the protocol stage and which were also reported by the eligible studies.

- Number of serious ADE reports (including serious ADR reports and serious ME reports)
  - ADEs resulting in death, are life-threatening, are a congenital anomaly, require hospital admission or prolongation of stay in hospital, or result in persistent or significant disability or incapacity or both
- Number of high-causality ADE reports (including high-causality ADR reports and high-causality ME reports)
   ADEs with attribution of definitive or probable causality
- Number of unexpected ADE reports (including unexpected ADR reports and unexpected ME reports)
   Previously-unknown ADEs that are not described in the summary of product characteristics
- Number of new drug-related ADE reports (including drug-related ADR reports and drug-related ME reports)
- ADEs concerning medications that have been on the market for less than five years

For optimal clinical relevance and applicability, we made a post hoc decision to include the above outcomes as secondary outcomes in the review. See Secondary outcomes and Summary of findings and assessment of the certainty of the evidence.

#### Data collection and analysis

In the protocol we stated we would use Endnote to screen records. In the review we uploaded all records into Covidence (Covidence) and used this platform to screen records identified by the comprehensive searches.

#### Subgroup analysis

In the protocol we planned to conduct subgroup analyses based on the different categories of interventions (e.g. delivery arrangements, governance arrangements). In the review we separated the different categories or types of interventions into stand-alone comparisons, for each section (see Types of interventions for details of how this was presented). We were unable to perform any of the other subgroup analyses as planned as there were not enough data in each of the comparisons.

In the protocol we also said that we would conduct subgroup analyses based on the type of ADE reported (i.e. adverse drug reaction or medication error). In the review, we separated the type of ADEs and did not attempt to combine or subgroup the data for these different types of ADEs.

## Sensitivity analysis

We were unable to perform the sensitivity analyses we had planned in the protocol as there were not enough studies in any of the comparisons to justify this.

## Summary of findings and assessment of the certainty of the evidence

In the protocol we planned to include the following outcomes in the SOF tables:

- number of ADE reports (including ADR reports and ME reports) submitted by health professionals;
- number of false adverse event reports (including false ADR reports and false ME reports) submitted by health professionals.

In the review, for optimal clinical relevance and applicability, we made a post hoc decision to assess the certainty of the evidence, and we included the following outcomes in 'Summary of findings' tables (see Summary of findings and assessment of the certainty of the evidence):

- 1. total number of ADE reports submitted, including number of ADR reports and number of ME reports;
- 2. total number of false ADE reports submitted, including number of false ADR reports and number of false ME reports;
- 3. number of serious ADE reports submitted, including serious ADR reports and serious ME reports (i.e. ADEs resulting in death; is lifethreatening; is a congenital anomaly; requires hospital admission or prolongation of stay in hospital; or results in persistent or great disability, incapacity, or both);
- 4. number of high-causality ADE reports submitted, including high-causality ADR reports and high-causality ME reports (i.e. ADEs with attribution of definitive or probable causality);
- 5. number of unexpected ADE reports submitted, including unexpected ADR reports and unexpected ME reports (i.e. previously unknown ADEs that are not described in the summary of product characteristics);



6. number of new drug-related ADE reports submitted, including new drug-related ADR reports and new drug-related ME reports (i.e. ADEs concerning medications that have been on the market for fewer than five years).