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# On affine Toda field theories related to $D_r$ algebras and their real Hamiltonian forms

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*To the memory of our colleague and friend A. B. Yanovski (1953–2023)*

**Abstract.** The paper deals with affine 2-dimensional Toda field theories related to simple Lie algebras of the classical series  $D_r$ . We demonstrate that the complexification procedure followed by a restriction to a specified real Hamiltonian form commutes with the external automorphisms of  $\mathfrak{g}$ . This is illustrated on the examples  $D_{r+1}^{(1)} \rightarrow B_r^{(1)}$  and  $D_4^{(1)} \rightarrow G_2^{(1)}$  using external automorphisms of the corresponding extended Dynkin diagrams.

**Keywords:** Soliton theory, Partial differential equations, Lie algebras, Real forms

## 1. Introduction

It is well known that with each simple Lie algebra  $\mathfrak{g}$  of rank  $r$  one can relate a 2-dimensional affine Toda field theory (ATFT) [42, 7, 8, 44, 45, 2, 3]. Their Lagrangian densities are given by:

$$\mathcal{L}[\mathbf{q}] = \frac{1}{2} ((\partial_{x_0} \mathbf{q} \cdot \partial^{x_0} \mathbf{q}) - (\partial_{x_1} \mathbf{q} \cdot \partial^{x_1} \mathbf{q}) - \sum_{k=0}^n n_k (e^{-2(\alpha_k \cdot \mathbf{q})} - 1)), \quad (1)$$

where the field  $\mathbf{q}(x, t)$  is an  $r$ -dimensional vector. The equations of motion are therefore:

$$\frac{\partial^2 \mathbf{q}}{\partial x \partial t} = \sum_{j=0}^r n_j \alpha_j e^{-2(\alpha_j \cdot \mathbf{q}(x, t))}, \quad (2)$$

where  $t = \frac{1}{2}(x_1 - x_0)$  and  $x = \frac{1}{2}(x_1 + x_0)$ . The important results that mattered for the derivation of ATFT were: 1) the work of A. Zamolodchikov [50] on deformation of conformal field theories preserving integrability and 2) the discovery of Mikhailov's reduction group which allowed one to derive their Lax representation [41, 42]. Here  $r$  is the rank of  $\mathfrak{g}$ ,  $\alpha_k$ 's ( $k = 1, \dots, n$ ) are the simple roots of  $\mathfrak{g}$  and  $\alpha_0$  is the minimal root.

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The notion of real Hamiltonian forms was introduced in [27] and used to study reductions of ATFTs in [22, 23, 26]. Real Hamiltonian forms (RHF) are another type of “reductions” of Hamiltonian systems. First one complexifies the ATFT and then applies an involution  $\mathcal{C}$  which is compatible with the Poisson structure of the initial system. This is similar to the obtaining a real forms of a semi-simple Lie algebra. that is why the RHF have indefinite kinetic energy quadratic form.

The structure of the paper is as follows. Section 2 contains some facts about the ATFT and simple Lie algebras. Section 3 describes the complexification procedure and Section 4 specifies the RHF of ATFT for which the involution is the external automorphism of  $\mathfrak{g}$ . Thus we demonstrate that the complexification procedure commutes with the external automorphisms of  $\mathfrak{g}$ . This is illustrated on the examples  $\mathbf{D}_{r+1}^{(1)} \rightarrow \mathbf{B}_r^{(1)}$  and  $\mathbf{D}_4^{(1)} \rightarrow \mathbf{G}_2^{(1)}$ .

## 2. Preliminaries

The Lax representations of the ATFT models widely discussed in the literature (see e.g. [41, 42, 44, 39, 40] and the references therein). Most of the results on it are related mostly to the normal real form of the Lie algebra  $\mathfrak{g}$ , see [37, 6, 9, 38, 47, 48, 21]. Below we use the following Lax pair:

$$L\psi \equiv \left( i \frac{d}{dx} - iq_x(x, t) - \lambda J_0 \right) \psi(x, t, \lambda) = 0, \quad (3)$$

$$M\psi \equiv \left( i \frac{d}{dt} - \frac{1}{\lambda} I(x, t) \right) \psi(x, t, \lambda) = 0, \quad (4)$$

whose potentials take values in  $\mathfrak{g}$ . Here  $q(x, t) \in \mathfrak{h}$  - the Cartan subalgebra of  $\mathfrak{g}$ , and  $\mathbf{q}(x, t) = (q_1, \dots, q_r)$  is its dual  $r$ -component vector. The potentials of the Lax operators take the form

$$J_0 = \sum_{\alpha \in \pi} E_\alpha, \quad I(x, t) = \sum_{\alpha \in \pi} e^{-\langle \alpha, \mathbf{q}(x, t) \rangle} E_{-\alpha}. \quad (5)$$

Here  $\pi_{\mathfrak{g}}$  stands for the set of admissible roots of  $\mathfrak{g}$ , i.e.  $\pi_{\mathfrak{g}} = \{\alpha_0, \alpha_1, \dots, \alpha_r\}$ , with  $\alpha_1, \dots, \alpha_r$  being the simple roots of  $\mathfrak{g}$  and  $\alpha_0$  being the minimal root of  $\mathfrak{g}$ . The Dynkin graph corresponding to the set of admissible roots  $\pi_{\mathfrak{g}} = \{\alpha_0, \alpha_1, \dots, \alpha_r\}$  of  $\mathfrak{g}$  is called extended Dynkin diagram (EDD). The equations of motion are of the form (2) where  $n_j$  are the minimal positive integer coefficients  $n_k$  that provide the decomposition of  $\alpha_0$  over the simple roots of  $\mathfrak{g}$ :

$$-\alpha_0 = \sum_{k=1}^r n_k \alpha_k. \quad (6)$$

It is well known that ATFT models are an infinite-dimensional Hamiltonian system. The (canonical) Hamiltonian structure is given by:

$$H_{\mathfrak{g}} = \int_{-\infty}^{\infty} dx \mathcal{H}_{\mathfrak{g}}(x, t), \quad \mathcal{H}_{\mathfrak{g}}(x, t) = \frac{1}{2}(\mathbf{p}(x, t), \mathbf{p}(x, t)) + \sum_{k=0}^r n_k (e^{-\langle \mathbf{q}(x, t), \alpha_k \rangle} - 1), \quad (7)$$

$$\Omega_{\mathfrak{g}} = \int_{-\infty}^{\infty} dx \omega_{\mathfrak{g}}(x, t), \quad \omega_{\mathfrak{g}}(x, t) = (\delta \mathbf{p}(x, t) \wedge \delta \mathbf{q}(x, t)), \quad (8)$$

where  $H_{\mathfrak{g}}$  is the canonical Hamilton function and  $\Omega_{\mathfrak{g}}$  is the canonical symplectic structure. Here also  $\mathbf{p} = d\mathbf{q}/dx$  are the canonical momenta and coordinates satisfying canonical Poisson brackets:

$$\{q_k(x, t), p_j(y, t)\} = \delta_{jk} \delta(x - y). \quad (9)$$

The infinite-dimensional phase space  $\mathcal{M} = \{\mathbf{q}(x, t), \mathbf{p}(x, t)\}$  is spanned by the canonical coordinates and momenta.

**Remark 1.** In what follows we shall need the coefficients  $n_k$  for the  $\mathbf{D}_{r+1}^{(1)}$  and  $\mathbf{B}_r^{(1)}$  algebras, which are equal to [37, 6, 9]:

$$\begin{aligned} \mathbf{D}_{r+1}^{(1)} : \quad & n_1 = 1, \quad n_2 = n_3 = \cdots = n_{r-1} = 2, \quad n_r = n_{r+1} = 1, \\ \mathbf{B}_r^{(1)} : \quad & n_1 = 1, \quad n_2 = n_3 = \cdots = n_{r-1} = n_r = 2. \end{aligned} \quad (10)$$

### 3. Complexification of ATFT

The starting point in the construction of real Hamiltonian forms (RHF) is the complexification of the field functions  $\mathbf{q}(x, t)$  and  $\mathbf{p}(x, t)$  involved in the Hamiltonian (7):

$$\mathbf{q}^{\mathbb{C}} = \mathbf{q}^0 + i\mathbf{q}^1, \quad \mathbf{p}^{\mathbb{C}} = \mathbf{p}^0 + i\mathbf{p}^1.$$

Next we introduce an involution  $\mathcal{C}$  acting on the phase space  $\mathcal{M} \equiv \{q_k(x), p_k(x)\}_{k=1}^n$  as follows:

$$\begin{aligned} 1) \quad & \mathcal{C}(F(p_k, q_k)) = F(\mathcal{C}(p_k), \mathcal{C}(q_k)), \\ 2) \quad & \mathcal{C}(\{F(p_k, q_k), G(p_k, q_k)\}) = \{\mathcal{C}(F), \mathcal{C}(G)\}, \\ 3) \quad & \mathcal{C}(H(p_k, q_k)) = H(p_k, q_k). \end{aligned} \quad (11)$$

Here  $F(p_k, q_k)$ ,  $G(p_k, q_k)$  and the Hamiltonian  $H(p_k, q_k)$  are functionals on  $\mathcal{M}$  depending analytically on the fields  $q_k(x, t)$  and  $p_k(x, t)$ .

The complexification of the ATFT is rather straightforward. The resulting complex ATFT (CATFT) can be written down as standard Hamiltonian system with twice as many fields  $\mathbf{q}^a(x, t)$ ,  $\mathbf{p}^a(x, t)$ ,  $a = 0, 1$ :

$$\mathbf{p}^{\mathbb{C}}(x, t) = \mathbf{p}^0(x, t) + i\mathbf{p}^1(x, t), \quad \mathbf{q}^{\mathbb{C}}(x, t) = \mathbf{q}^0(x, t) + i\mathbf{q}^1(x, t), \quad (12)$$

$$\{q_k^0(x, t), p_j^0(y, t)\} = -\{q_k^1(x, t), p_j^1(y, t)\} = \delta_{kj}\delta(x - y). \quad (13)$$

The densities of the corresponding Hamiltonian and symplectic form equal

$$\begin{aligned} \mathcal{H}_{\text{ATFT}}^{\mathbb{C}} &\equiv \text{Re } \mathcal{H}_{\text{ATFT}}(\mathbf{p}^0 + i\mathbf{p}^1, \mathbf{q}^0 + i\mathbf{q}^1) \\ &= \frac{1}{2}(\mathbf{p}^0, \mathbf{p}^0) - \frac{1}{2}(\mathbf{p}^1, \mathbf{p}^1) + \sum_{k=1}^r n_k e^{-(\mathbf{q}^0, \alpha_k)} \cos((\mathbf{q}^1, \alpha_k)), \end{aligned} \quad (14)$$

$$\omega^{\mathbb{C}} = (d\mathbf{p}^0 \wedge i d\mathbf{q}^0) - (d\mathbf{p}^1 \wedge d\mathbf{q}^1). \quad (15)$$

The family of RHF then are obtained from the CATFT by imposing an invariance condition with respect to the involution  $\tilde{\mathcal{C}} \equiv \mathcal{C} \circ *$  where by  $*$  we denote the complex conjugation. The involution  $\tilde{\mathcal{C}}$  splits the phase space  $\mathcal{M}^{\mathbb{C}}$  into a direct sum  $\mathcal{M}^{\mathbb{C}} \equiv \mathcal{M}_+^{\mathbb{C}} \oplus \mathcal{M}_-^{\mathbb{C}}$  where

$$\begin{aligned} \mathcal{M}_+^{\mathbb{C}} &= \mathcal{M}_0 \oplus i\mathcal{M}_1, & \mathcal{M}_-^{\mathbb{C}} &= \mathcal{M}_0 \oplus -i\mathcal{M}_1, \\ \mathcal{C}(\mathbf{q}^+ + i\mathbf{q}^-) &= (\mathbf{q}^+ - i\mathbf{q}^-), & \mathcal{C}(\mathbf{p}^+ + i\mathbf{p}^-) &= (\mathbf{p}^+ - i\mathbf{p}^-). \end{aligned} \quad (16)$$

Each involution  $\mathcal{C}$  on  $\mathcal{M}$  induces an involution  $\mathcal{C}^{\#}$  on  $\mathfrak{g}$ . Thus to each involution  $\mathcal{C}$  one can relate a RHF of the ATFT. Due to Property 3),  $\mathcal{C}^{\#}$  preserves the system of admissible roots of  $\mathfrak{g}$  (and thus the extended Dynkin diagrams of  $\mathfrak{g}$ ).

Indeed, the condition 3) above requires that:

$$(\mathcal{C}(\mathbf{q}), \alpha) = (\mathbf{q}, \mathcal{C}^{\#}(\alpha)), \quad \alpha \in \pi_{\mathfrak{g}}, \quad (17)$$

and therefore we must have  $\mathcal{C}(\pi_{\mathfrak{g}}) = \pi_{\mathfrak{g}}$ .

The relation (17) defines uniquely the relation between  $\mathcal{C}$  and  $\mathcal{C}^\#$ . Using  $\mathcal{C}^\#$  we can split the root space  $\mathbb{E}^n$  into direct sum  $\mathbb{E}^n = \mathbb{E}_+ \oplus \mathbb{E}_-$  of two eigensubspaces of  $\mathcal{C}^\#$ . Taking the average of the roots  $\alpha_j$  with respect to  $\mathcal{C}^\#$  we get:

$$\beta_j = \frac{1}{2}(\alpha_j + \mathcal{C}^\#(\alpha_j)), \quad j = 0, \dots, n_+ = \dim \mathbb{E}_+. \tag{18}$$

By construction the set  $\{\beta_0, \beta_1, \dots, \beta_{n_+}\}$  will be a set of admissible roots for some Kac-Moody algebra with rank  $n_+$ . Graphically each set of admissible roots can be represented by an extended Dynkin diagrams. Therefore one can relate an automorphism  $\mathcal{C}^\#$  to each  $\mathbb{Z}_2$  symmetry of the extended Dynkin diagram.

The splitting of  $\mathbb{E}^n$  naturally leads to the splittings of the fields:

$$\mathbf{p} = \mathbf{p}^+ + \mathbf{p}^-, \quad \mathbf{q} = \mathbf{q}^+ + \mathbf{q}^-, \tag{19}$$

where  $\mathbf{p}^+, \mathbf{q}^+ \in \mathbb{E}_+$  and  $\mathbf{p}^-, \mathbf{q}^- \in \mathbb{E}_-$ . If we also introduce:

$$\gamma_j = \frac{1}{2}(\alpha_j - \mathcal{C}^\#(\alpha_j)), \quad j = 0, \dots, n_- = \dim \mathbb{E}_-. \tag{20}$$

The Hamiltonian along with the terms related to the simple roots, contains also the minimal root  $\alpha_0$  given in (6). The RHF of ATFT are more general integrable systems than the models described in [13, 14, 15, 39, 40] which involve only the fields  $\mathbf{q}^+, \mathbf{p}^+$  invariant with respect to  $\mathcal{C}$ .

#### 4. RHF of ATFT from external automorphisms

Let us now outline the RHF obtained from the algebras  $\mathbf{D}_{r+1}$  using the external automorphisms. The set of admissible roots  $\mathbf{D}_{r+1}$  are:

$$\alpha_1 = e_1 - e_2, \quad \alpha_2 = e_2 - e_3, \quad \dots, \quad \alpha_r = e_r - e_{r+1}, \quad \alpha_{r+1} = e_r + e_{r+1}, \quad \alpha_0 = e_1 + e_2.$$

Here  $\alpha_1, \alpha_2, \dots, \alpha_{r+1}$  form the set of simple roots of  $\mathbf{D}_{r+1}^{(1)}$  and  $\alpha_0$  is the minimal root of the algebra. The extended Dynkin diagram of  $\mathbf{D}_{r+1}^{(1)}$  is shown on the upper panel of Figure 1. Here also  $e_k, k = 1, \dots, r + 1$  are the basic vectors of the dual Euclidean space  $\mathbb{E}^{r+1}$ , i.e. any vector  $\mathbf{q} \in \mathbb{E}^{r+1}$  can be written as

$$\mathbf{q} = \sum_{k=1}^{r+1} q_k e_k.$$

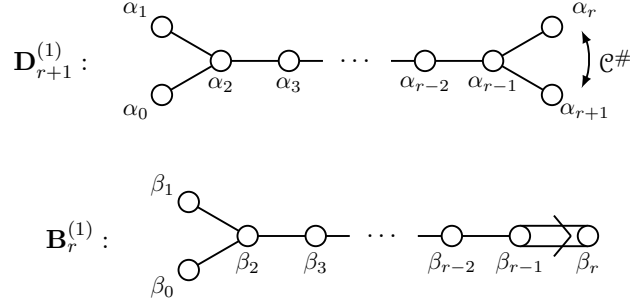
The components  $q_k, k = 1, \dots, r + 1$  are the coordinates in the phase space.

The fundamental weights of  $\mathbf{D}_r^{(1)}$  are

$$\begin{aligned} \omega_k &= e_1 + \dots + e_k, & 1 \leq k \leq r - 1, \\ \omega_r &= \frac{1}{2}(e_1 + e_2 + \dots + e_{r-1} + e_r - e_{r+1}), \\ \omega_{r+1} &= \frac{1}{2}(e_1 + e_2 + \dots + e_{r-1} + e_r + e_{r+1}). \end{aligned}$$

The corresponding Hamiltonian has the form

$$H_{\mathbf{D}_r^{(1)}} = \int_{-\infty}^{\infty} dx \left( \sum_{k=1}^r \frac{p_k^2}{2} + \sum_{k=1}^r n_k e^{2(q_k - q_{k-1})} + e^{2(q_{r+1} - q_r)} + e^{-2(q_{r+1} + q_r)} + e^{2(q_1 + q_2)} \right) \tag{21}$$



**Figure 1.** Extended Dynkin diagrams of the complex untwisted affine Kac-Moody algebras  $\mathbf{D}_{r+1}^{(1)}$  and  $\mathbf{B}_r^{(1)}$  (upper and lower panels respectively).

#### 4.1. From $\mathbf{D}_{r+1}^{(1)}$ to $\mathbf{B}_r^{(1)}$

Let us choose  $\mathfrak{g} \simeq \mathbf{D}_{r+1}^{(1)}$  and fix up the involution  $\mathcal{C}$  acting on the phase space as follows:

$$\mathcal{C}(q_k) = q_k, \quad \mathcal{C}(p_k) = p_k, \quad k = 1, \dots, r; \quad \mathcal{C}(q_{r+1}) = -q_{r+1}, \quad \mathcal{C}(p_{r+1}) = -p_{r+1}.$$

Then introducing on  $\mathcal{M}_{\pm}$  new coordinates by

$$\begin{aligned} q_k^+ &= q_k, & p_k^+ &= p_k, & q_r^- &= q_r, & p_r^- &= p_r, & k &= 1, \dots, r; \\ q_{r+1}^- &= q_{r+1}, & p_{r+1}^- &= p_{r+1}, \end{aligned}$$

i.e.  $\dim \mathcal{M}_+ = 2r$  and  $\dim \mathcal{M}_- = 2$ .

This involution induces an involution  $\mathcal{C}^{\#}$  of the Kac-Moody algebra  $\mathbf{D}_{r+1}^{(1)}$  which acts on the root space as follows (see the upper panel of Fig. 1):

$$\begin{aligned} \mathcal{C}^{\#}(e_k) &= e_k, & k &= 1, \dots, r; & \mathcal{C}^{\#}(e_{r+1}) &= -e_{r+1}, \\ \mathcal{C}^{\#}(\alpha_k) &= \alpha_k, & \mathcal{C}^{\#}(\alpha_{r+1}) &= \alpha_r, & \mathcal{C}^{\#}(\alpha_r) &= \alpha_{r+1}, \end{aligned}$$

The involution  $\mathcal{C}^{\#}$  splits the root space  $\mathbb{E}^{r+1}$  into a direct sum of eigensubspaces:  $\mathbb{E}^{r+1} = \mathbb{E}_+ \oplus \mathbb{E}_-$  with  $\dim \mathbb{E}_+ = r$  and  $\dim \mathbb{E}_- = 1$ . The restriction of  $\pi$  onto  $\mathbb{E}_+$  leads to the admissible root system  $\pi' = \{\beta_0, \dots, \beta_r\}$  of  $\mathbf{B}_r^{(1)}$ :

$$\beta_k = \alpha_k, \quad k = 0, \dots, r-1; \quad \beta_r = \frac{1}{2} [\alpha_r + \mathcal{C}^{\#}(\alpha_r)],$$

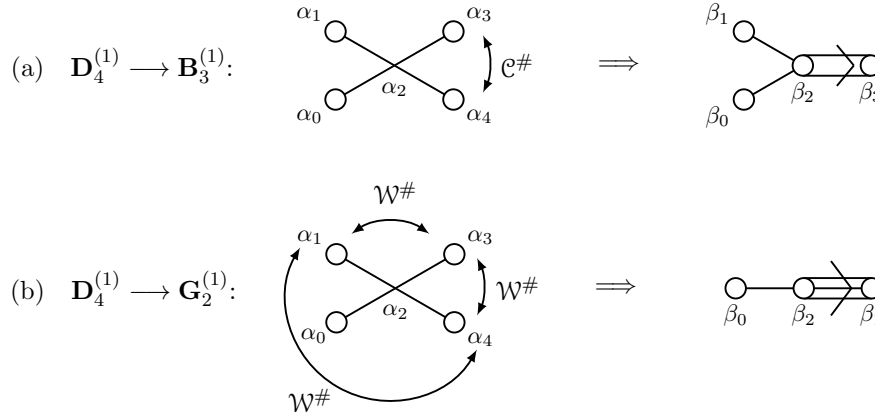
The subspace  $\mathbb{E}_-$  is spanned by the only nontrivial vector

$$\gamma_r = \frac{1}{2} [\alpha_r - \mathcal{C}^{\#}(\alpha_r)] = -e_{r+1}.$$

The reduced RHF is described by the densities  $\mathcal{H}^{\mathbb{R}}, \omega^{\mathbb{R}}$ :

$$\mathcal{H}^{\mathbb{R}} = \frac{1}{2} \sum_{k=1}^r p_k^{+2} - \frac{1}{2} p_{r+1}^{-2} + \sum_{k=1}^r n_k e^{2(q_{k+1}^+ - q_k^+)} + e^{2q_{r+1}^+} \cos q_{r+1}^-, \quad (22)$$

$$\omega^{\mathbb{R}} = \sum_{k=1}^r \delta p_k^+ \wedge \delta q_k^+ - \delta p_{r+1}^- \wedge \delta q_{r+1}^-. \quad (23)$$



**Figure 2.** Reductions of  $\mathbf{D}_4^{(1)}$  affine Lie algebra: (a)  $\mathbf{D}_4^{(1)} \rightarrow \mathbf{B}_3^{(1)}$ ; (b)  $\mathbf{D}_4^{(1)} \rightarrow \mathbf{G}_2^{(1)}$ .

The restriction on  $\mathbb{E}_+$  (i.e. setting  $q_{r+1}^- = 0$  in (22)) gives the canonical Hamiltonian of  $\mathbf{B}_r^{(1)}$  ATFT:

$$H_{\mathbf{B}_r^{(1)}} = \int_{-\infty}^{\infty} dx \left( \sum_{k=1}^r \frac{p_k^2}{2} + \sum_{k=1}^{r-1} n_k e^{2(q_k - q_{k-1})} + 2e^{-2q_r} + e^{2(q_1 + q_2)} \right), \quad (24)$$

as expected.

#### 4.2. From $\mathbf{D}_4^{(1)}$ to $\mathbf{B}_3^{(1)}$

If we take now a particular case of Example 1 with  $r = 3$ , the Hamiltonian (21) will reduce to the generic ATFT Hamiltonian related to  $\mathbf{D}_4^{(1)}$ :

$$H_{\mathbf{D}_4^{(1)}} = \int_{-\infty}^{\infty} dx \left( \sum_{k=0}^3 \frac{p_k^2}{2} + e^{2(q_2 - q_1)} + 2e^{2(q_3 - q_2)} + e^{2(q_4 - q_3)} + e^{-2(q_3 + q_4)} + e^{2(q_1 + q_2)} \right). \quad (25)$$

The corresponding extended root system is

$$\bar{\pi}(\mathbf{D}_4^{(1)}) = \{e_1 + e_2, e_1 - e_2, e_2 - e_3, e_3 - e_4, e_3 + e_4\},$$

and the extended Dynkin diagram is given on Figure 2(a). This algebra has 4 outer  $\mathbb{Z}_2$  automorphisms and one  $\mathbb{Z}_2$  automorphism [6, 46]. Here we will take  $C^\#$  to be the outer  $\mathbb{Z}_2$ -automorphism, swapping  $\alpha_3$  and  $\alpha_4$  (See Figure 2(a)). The action of  $C^\#$  on the root space  $\mathbb{E}^4$  is then given by:

$$\begin{aligned} C^\#(e_1) &= e_1, & C^\#(e_2) &= e_2, & C^\#(e_3) &= e_4, & C^\#(e_4) &= e_3; \\ C^\#(\alpha_1) &= \alpha_1, & C^\#(\alpha_2) &= \alpha_2, & C^\#(\alpha_3) &= \alpha_4, & C^\#(\alpha_4) &= \alpha_3. \end{aligned}$$

The involution  $C^\#$  splits the root space  $\mathbb{E}^{r+1}$  into a direct sum of eigensubspaces:  $\mathbb{E}^{r+1} = \mathbb{E}_+ \oplus \mathbb{E}_-$  with  $\dim \mathbb{E}_+ = 3$  and  $\dim \mathbb{E}_- = 1$ . The restriction of  $\pi$  onto  $\mathbb{E}_+$  leads to the admissible root system  $\pi' = \{\beta_0, \beta_1, \beta_2, \beta_3\}$  of  $\mathbf{B}_3^{(1)}$ :

$$\beta_0 = \alpha_0, \quad \beta_1 = \alpha_1, \quad \beta_2 = \alpha_2, \quad \beta_3 = \frac{1}{2} [\alpha_3 + C^\#(\alpha_3)] = e_3.$$

The subspace  $\mathbb{E}_-$  is spanned by the vector

$$\gamma_3 = \frac{1}{2} [\alpha_3 - \mathcal{C}^\#(\alpha_3)] = -e_4.$$

As a result, the densities of the reduced RHF become:

$$\begin{aligned} \mathcal{H}^{\mathbb{R}} &= \frac{1}{2} (p_1^+)^2 + \frac{1}{2} (p_2^+)^2 + \frac{1}{2} (p_3^+)^2 - \frac{1}{2} (p_4^-)^2 + e^{2(q_2^+ - q_1^+)} + 2e^{2(q_3^+ - q_2^+)} + 2e^{-2q_3^+} \cos q_4^+ \\ \omega^{\mathbb{R}} &= \sum_{k=1}^r \delta p_k^+ \wedge \delta q_k^+ - \delta p_{r+1}^- \wedge \delta q_{r+1}^-. \end{aligned} \quad (26)$$

The restriction on  $\mathbb{E}_+$  (i.e. setting  $p_4^- = q_4^- = 0$  in (26)) gives the canonical Hamiltonian of  $\mathbf{B}_3^{(1)}$  ATFT:

$$H_{\mathbf{B}_3^{(1)}} = \int_{-\infty}^{\infty} dx \left( \frac{1}{2} \sum_{j=1}^3 (p_j^+)^2 + e^{2(q_2^+ - q_1^+)} + 2e^{2(q_3^+ - q_2^+)} + 2e^{-2q_3^+} \right). \quad (27)$$

#### 4.3. From $\mathbf{D}_4^{(1)}$ to $\mathbf{G}_2^{(1)}$

If we take again the  $\mathbf{D}_4^{(1)}$  Hamiltonian (25) and use the  $\mathbb{Z}_3$  outer automorphism of  $\mathbf{D}_4^{(1)}$  sketched on Figure 2(b), then we will get the induced action  $\mathcal{W}^\#$  on the root space  $\mathbb{E}^4$ :

$$\begin{aligned} \mathcal{W}^\#(e_1) &= \frac{1}{2}(e_1 + e_2 + e_3 - e_4), & \mathcal{W}^\#(e_2) &= \frac{1}{2}(e_1 + e_2 - e_3 + e_4), \\ \mathcal{W}^\#(e_3) &= \frac{1}{2}(e_1 - e_2 + e_3 + e_4), & \mathcal{W}^\#(e_4) &= \frac{1}{2}(e_1 - e_2 - e_3 - e_4), \end{aligned} \quad (28)$$

i.e.

$$\begin{aligned} \mathcal{W}^\#(e_1 + e_2) &= e_1 + e_2, & \mathcal{W}^\#(e_2 - e_3) &= e_2 - e_3, & \mathcal{W}^\#(e_1 - e_2) &= e_3 - e_4, \\ \mathcal{W}^\#(e_3 - e_4) &= e_3 + e_4, & \mathcal{W}^\#(e_3 + e_4) &= e_1 - e_2, \end{aligned} \quad (29)$$

and

$$\begin{aligned} \beta_0 &= -(e_1 + e_2), & \beta_2 &= (e_2 - e_3), \\ \beta_1 &= \frac{1}{3} [\alpha_1 + \mathcal{W}^\#(\alpha_1) + \mathcal{W}^{\#2}(\alpha_1)] = \frac{1}{3}(e_1 - e_2 + 2e_3). \end{aligned}$$

This is the extended root system of  $\mathbf{G}_2^{(1)}$  (see Figure 2(b)). This results to a RHF related to  $\mathbf{G}_2^{(1)}$  with Hamiltonian given by

$$H_{\mathbf{G}_2^{(1)}} = \int_{-\infty}^{\infty} dx \left( \frac{1}{2} (p_1^+)^2 + \frac{1}{2} (p_2^+)^2 + \frac{1}{2} (p_3^+)^2 + e^{-2(\beta_0, \mathbf{q})} + 2e^{-2(\beta_1, \mathbf{q})} + 3e^{-2(\beta_2, \mathbf{q})} \right),$$

where the three component vectors  $\mathbf{q}$  and  $\mathbf{p}$  are restricted by  $q_1 + q_2 + q_3 = 0$  and  $p_1 + p_2 + p_3 = 0$ .

The above results establish the following

**Proposition 1.** *Let us consider the RHF of ATFT using the external automorphisms of the algebras  $\mathbf{D}_{r+1}^{(1)}$ . If we use the second order external automorphisms, then*

$$\mathcal{H}_{\mathbf{D}_{r+1}^{(1)}}^{\mathbb{R}} = \mathcal{H}_{\mathbf{B}_r^{(1)}}, \quad \mathcal{H}_{\mathbf{D}_4^{(1)}}^{\mathbb{R}} = \mathcal{H}_{\mathbf{B}_3^{(1)}}. \quad (30)$$

*If we use the third order external automorphism of  $D_4$ , then we obtain*

$$\mathcal{H}_{\mathbf{D}_4^{(1)}}^{\mathbb{R}} = \mathcal{H}_{\mathbf{G}_2^{(1)}}. \quad (31)$$



The next step would be to analyze the more general situation when the RHF is generated by  $\mathcal{C}_0 \circ \mathcal{C}^\#$  where  $\mathcal{C}_0$  is a generic suitable automorphism and  $\mathcal{C}^\#$  is an external automorphism. Our hypothesis is that the above Proposition will hold true also for this more general case.

## 5. Conclusions

We presented here real Hamiltonian forms of affine Toda field theories related to the untwisted complex Kac-Moody algebra  $\mathbf{D}_4^{(1)}$ . We outlined the construction of the RHF and studied  $\mathbb{Z}_2$  and  $\mathbb{Z}_3$  symmetries of the extended Dynkin diagrams. Thus resulted in reductions to  $\mathbf{B}_3^{(1)}$  and  $\mathbf{G}_2^{(1)}$ .

The spectral properties of the Lax operators of the real Hamiltonian forms of ATFTs can be studied in the frame of the ISM [16, 43]. This will lead to the construction of Jost solutions and scattering data for Lax operators with complex-valued Cartan elements [4, 5, 29]. The continuous spectrum of the Lax operators will consist of  $2h$  rays intersecting at the origin and closing angles  $\pi/h$ .

The interpretation of the ISM as a generalized Fourier transforms [1, 17, 29] allows one to study all the fundamental properties of the corresponding nonlinear evolutionary equations (NLEE's): i) the description of the class of NLEE related to a given Lax operator  $L(\lambda)$  and solvable by the ISM; ii) derivation of the infinite family of integrals of motion; iii) their hierarchy of Hamiltonian structures [28]; and iv) description of the gauge equivalent systems [24, 25, 33, 34, 35, 36].

Some additional problems are natural extensions to the results presented here:

- The complete classification of all nonequivalent RHF of ATFT.
- The description of the hierarchy of Hamiltonian structures of RHF of ATFT (for a review of the infinite-dimensional cases see e.g. [12, 19] and the references therein) and the classical  $r$ -matrix. It is also an open problem to construct the RHF for ATFT using some of its higher Hamiltonian structures.
- The extension of the dressing Zakharov-Shabat method [49] to the above classes of Lax operators is also an open problem. One of the difficulties is due to the fact that the  $\mathbb{Z}_h$  reductions requires dressing factors with  $2h$  pole singularities [18, 20].
- Another open problem is to study types of boundary conditions and boundary effects of ATFT's and their RHF [10, 11].

The last and more challenging problem is to prove the complete integrability of all these models. The ideas of [1, 28] about the interpretation of the inverse scattering method as a generalized Fourier transform holds true also for the  $\mathbb{Z}_h$  reduces Lax operators [29, 30, 31, 32]. This may allow one to derive the action-angle variables for these classes of NLEE.

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